

# Principles of AI Planning

## 17. Strong cyclic planning

Albert-Ludwigs-Universität Freiburg



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January 18th, 2019



Strong cyclic plans

Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm

Maintenance

Summary

## Strong cyclic plans

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2 / 67

## Planning objectives

### Strong plans



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

- The simplest objective for nondeterministic planning is the one we have considered in the previous lecture: reach a goal state with certainty.
- With this objective the nondeterminism can also be understood as **an opponent** like in 2-player games. The plan guarantees reaching a goal state no matter what the opponent does: plans are **winning strategies**.

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3 / 67

## Planning objectives

### Limitations of strong plans



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

- In strong plans, goal states can be reached without visiting any state twice.
- This property guarantees that the length of executions is bounded by some constant (which is smaller than the number of states.)
- Some solvable problems are not solvable this way.
  - 1 Action may fail to have any effect.  
Hit a coconut to break it.
  - 2 Action may fail and take us away from the goals.  
Build a house of cards.

Consequences:

- 1 It is impossible to avoid visiting some states several times.
- 2 There is no finite upper bound on execution length.

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4 / 67

# Planning objectives

When strong cyclic plans make sense



## Fairness assumption

For any nondeterministic operator  $\langle \chi, \{e_1, \dots, e_n\} \rangle$ , the “probability” of every effect  $e_i$ ,  $i = 1, \dots, n$ , is greater than 0.

Alternatively: For each  $s' \in \text{img}_o(s)$  the “probability” of reaching  $s'$  from  $s$  by  $o$  is greater than 0.

This assumption guarantees that a strong cyclic plan reaches the goal **almost certainly** (with probability 1).

This is **not compatible** with viewing nondeterminism as an opponent in a 2-player game: the opponent’s strategy might rule out some of the choices  $e_1, \dots, e_n$ .

Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

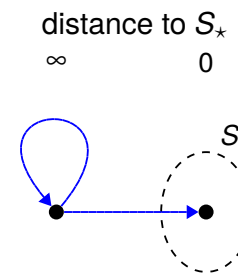
# Need for strong cyclic plans

Example



## Example (Breaking a coconut)

- Initial state: coconut is intact.
- Goal state: coconut is broken.
- On every hit the coconut may or may not break.
- There is no finite upper bound on the number of hits.



This is equivalent to coin tossing.

Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

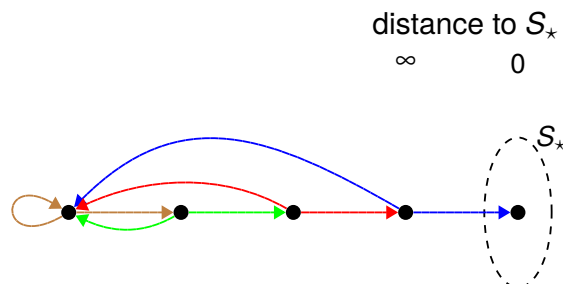
# Need for strong cyclic plans

Example



## Example (Build a house of cards)

- Initial state: all cards lie on the table.
- Goal state: house of cards is complete.
- At every construction step the house may collapse.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

# Algorithms for strong cyclic planning



We present two algorithms for strong cyclic planning:

- The **nested fixpoint algorithm** is conceptually simpler, but typically very costly, especially if not implemented symbolically.
  - Historically older
  - **Uninformed**
  - **Considers entire state space**
- The **determinization-based incremental planning algorithm** is a bit more complicated, but typically more efficient.
  - Historically newer, **state of the art**
  - Can use **informed** classical planner as sub-procedure
  - Often only **considers small portion of state space**

Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

# Nested Fixpoint Algorithm

## Idea

- Finds plans that may loop (strong cyclic plans).
- The algorithm is rather tricky in comparison to the algorithm for strong plans.
- Every state covered by a plan satisfies two properties:
  - 1 The state is **good**: there is at least one execution (= path in the graph defined by the plan) leading to a goal state.
  - 2 Every successor state is either a goal state or good.
- The algorithm repeatedly eliminates states that are not good.

Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

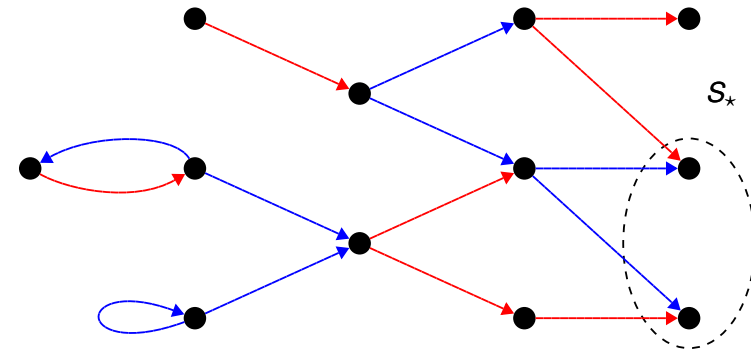
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9 / 67

# Nested Fixpoint Algorithm

## Example



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

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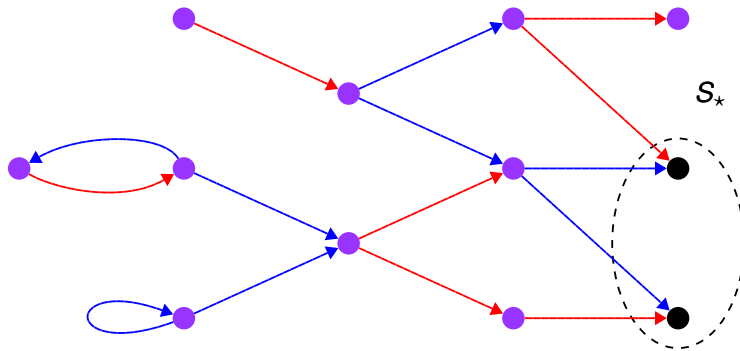
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10 / 67

# Nested Fixpoint Algorithm

## Example

All states are candidates for being **good**.



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Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

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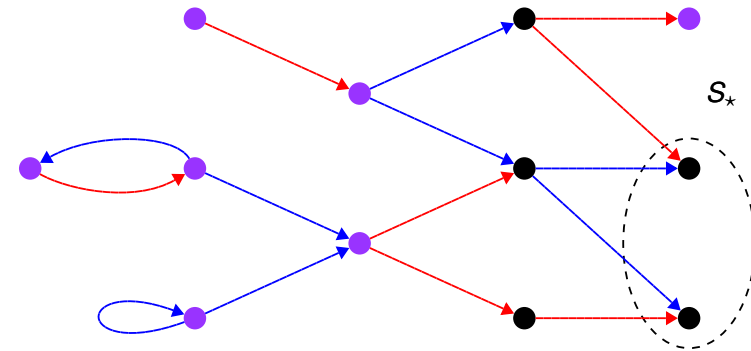
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11 / 67

# Nested Fixpoint Algorithm

## Example

States from which goals are reachable in  $\leq 1$  steps so that all immediate successors are possibly good.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

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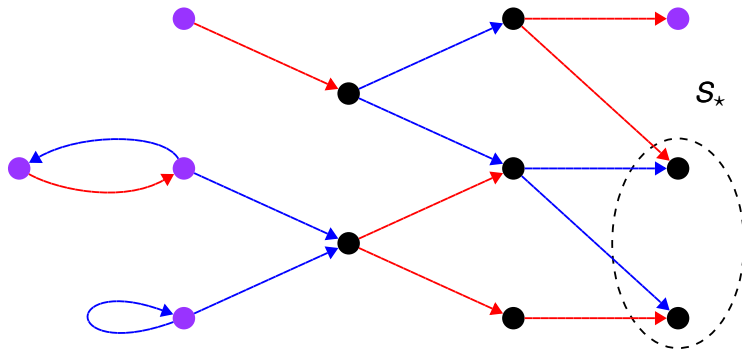
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12 / 67

# Nested Fixpoint Algorithm

## Example

States from which goals are reachable in  $\leq 2$  steps so that all immediate successors are possibly good.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

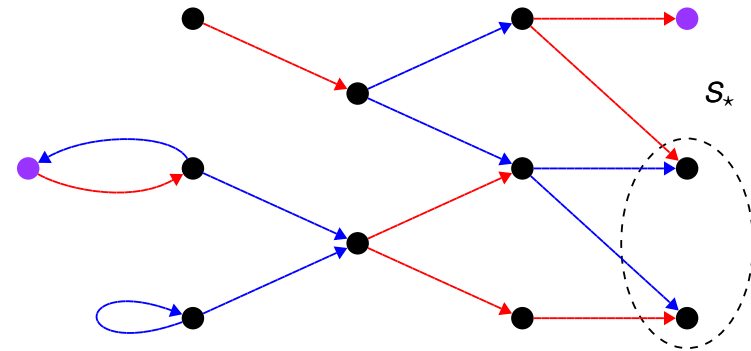
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13 / 67

# Nested Fixpoint Algorithm

## Example

States from which goals are reachable in  $\leq 3$  steps so that all immediate successors are possibly good.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

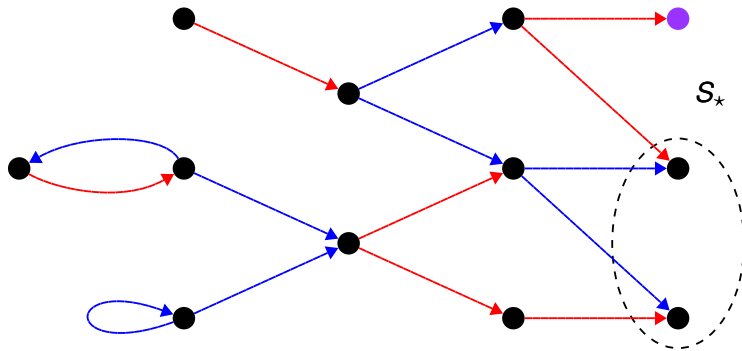
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14 / 67

# Nested Fixpoint Algorithm

## Example

States from which goals are reachable in  $\leq 4$  steps so that all immediate successors are possibly good.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

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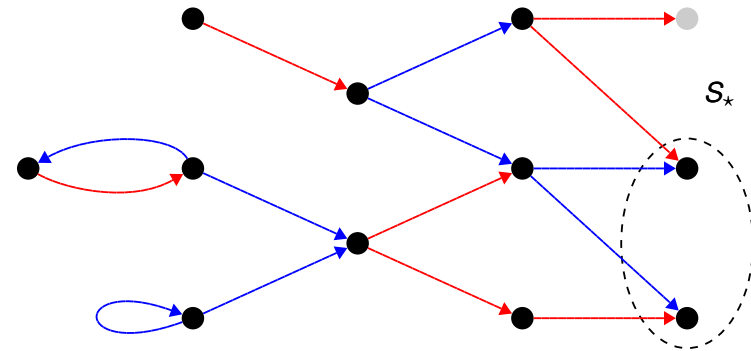
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15 / 67

# Nested Fixpoint Algorithm

## Example

Eliminate states that turned out not to be good.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

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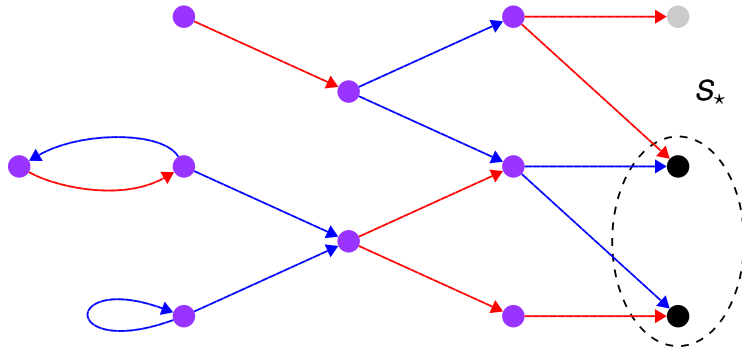
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16 / 67

# Nested Fixpoint Algorithm

## Example

The set of possibly good states is now smaller.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

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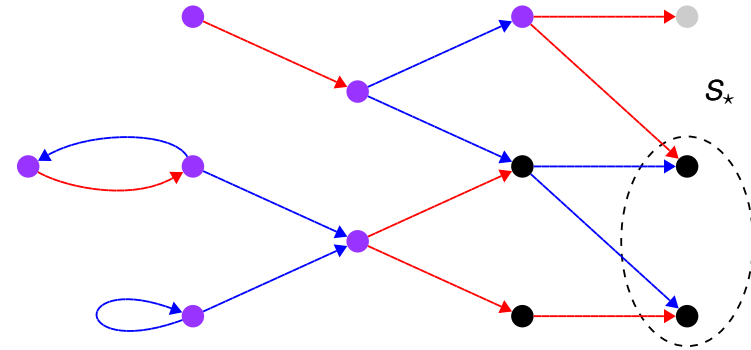
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17 / 67

# Nested Fixpoint Algorithm

## Example

States from which goals are reachable in  $\leq 1$  steps so that all immediate successors are possibly good.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

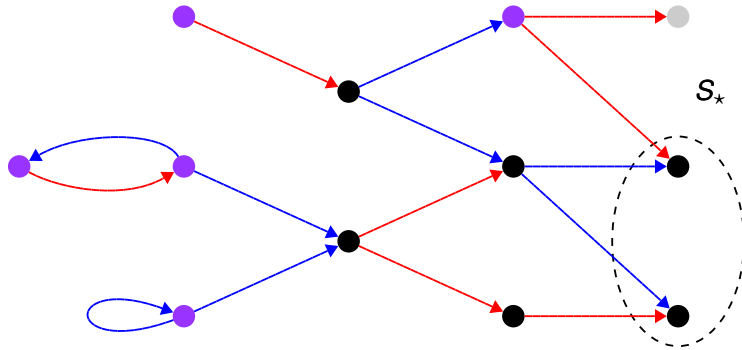
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18 / 67

# Nested Fixpoint Algorithm

## Example

States from which goals are reachable in  $\leq 2$  steps so that all immediate successors are possibly good.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

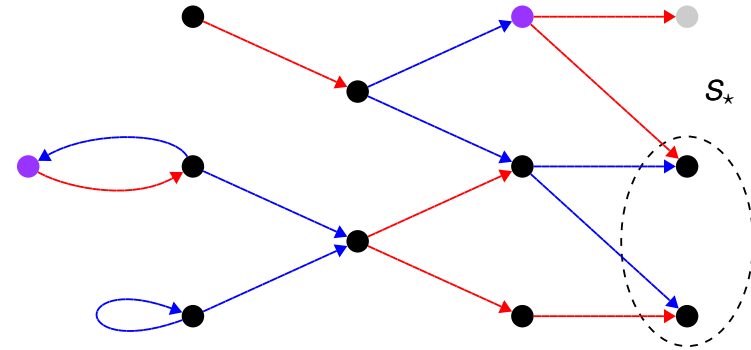
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19 / 67

# Nested Fixpoint Algorithm

## Example

States from which goals are reachable in  $\leq 3$  steps so that all immediate successors are possibly good.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

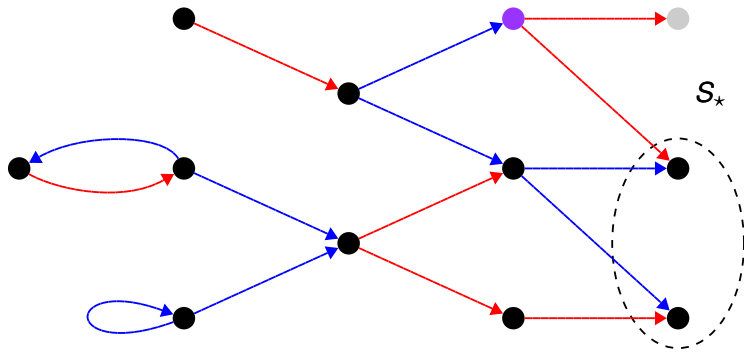
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20 / 67

# Nested Fixpoint Algorithm

## Example

States from which goals are reachable in  $\leq 4$  steps so that all immediate successors are possibly good.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

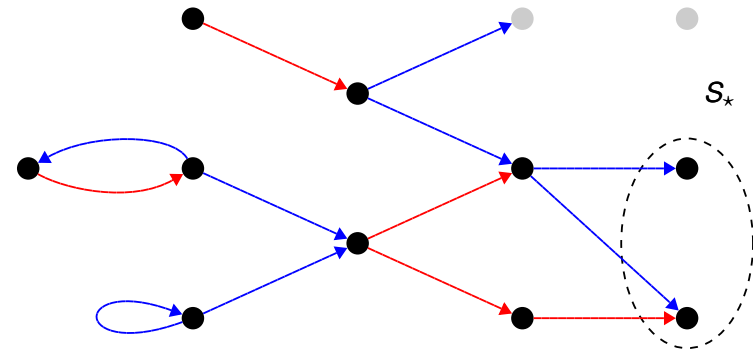
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21 / 67

# Nested Fixpoint Algorithm

## Example

Eliminate states that turned out not to be good.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

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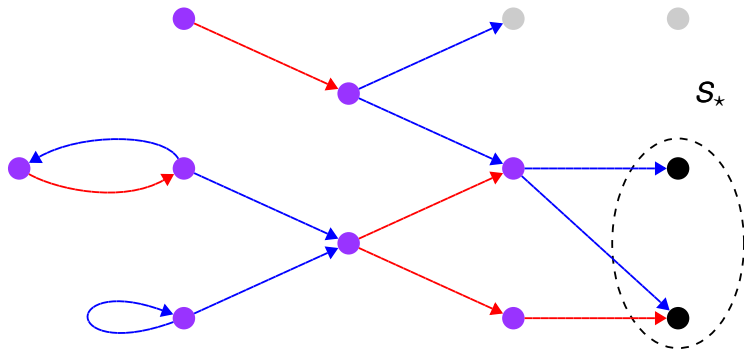
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22 / 67

# Nested Fixpoint Algorithm

## Example

The set of possibly good states is now smaller.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

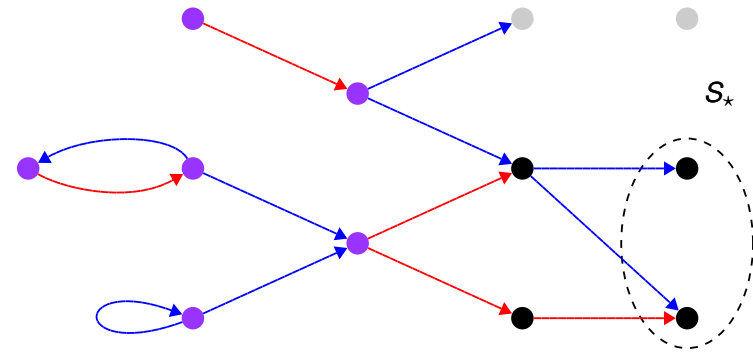
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23 / 67

# Nested Fixpoint Algorithm

## Example

States from which goals are reachable in  $\leq 1$  steps so that all immediate successors are possibly good.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

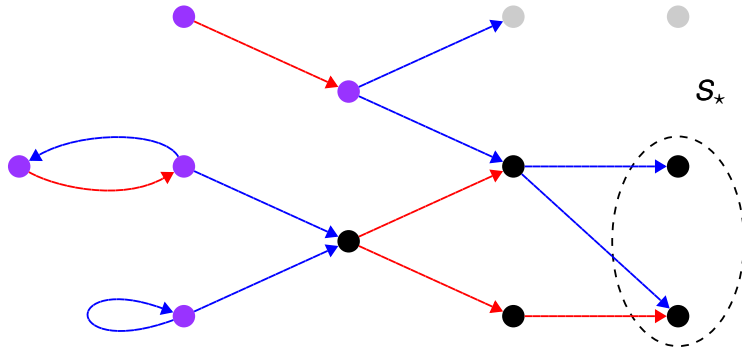
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24 / 67

# Nested Fixpoint Algorithm

## Example

States from which goals are reachable in  $\leq 2$  steps so that all immediate successors are possibly good.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

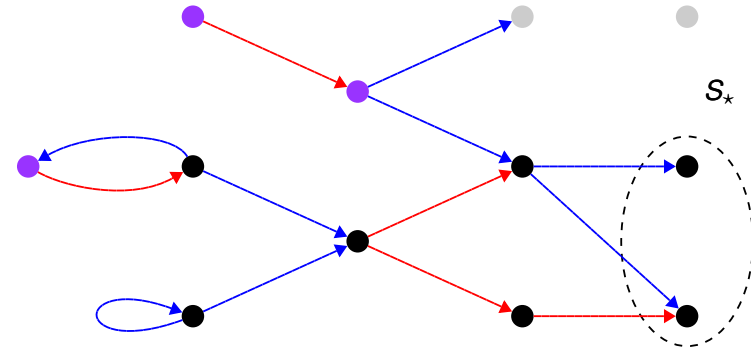
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25 / 67

# Nested Fixpoint Algorithm

## Example

States from which goals are reachable in  $\leq 3$  steps so that all immediate successors are possibly good.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

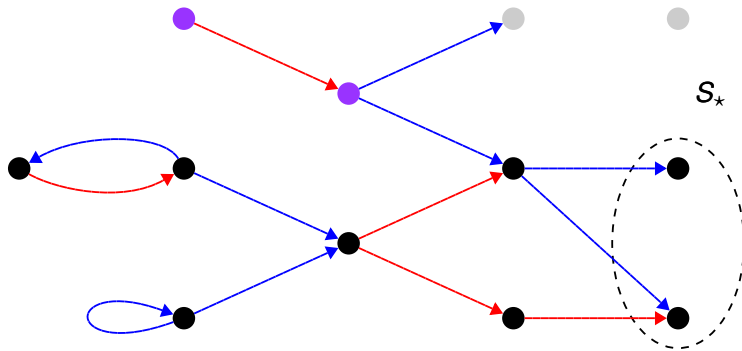
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26 / 67

# Nested Fixpoint Algorithm

## Example

States from which goals are reachable in  $\leq 4$  steps so that all immediate successors are possibly good.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

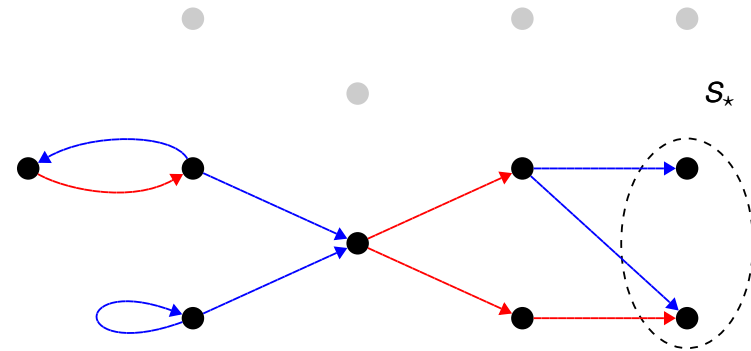
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27 / 67

# Nested Fixpoint Algorithm

## Example

Remaining states are all good.  
A further iteration would not eliminate more states.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

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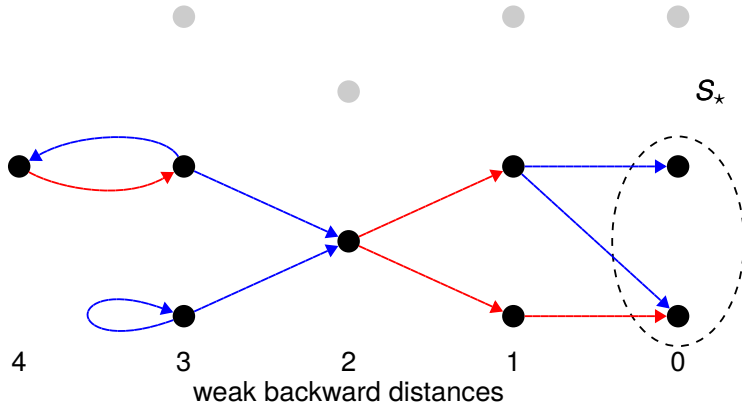
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28 / 67

# Nested Fixpoint Algorithm

## Example

Assign each state an operator so that the successor states are goal states or good, and some of them are closer to goal states. Use **weak distances** computed with **weak preimages**. For this example this is trivial.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

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29 / 67

# Strong cyclic plans

Recall the definition of cyclic strong plans:

## Definition (strong cyclic plan)

Let  $S$  be the set of states of a planning task  $\Pi$ . Then a **strong cyclic plan** for  $\Pi$  is a function  $\pi : S_\pi \rightarrow O$  for some subset  $S_\pi \subseteq S$  such that

- $\pi(s)$  is applicable in  $s$  for all  $s \in S_\pi$ ,
- $S_\pi(s_0) \subseteq S_\pi \cup S_*$  ( $\pi$  is closed), and
- $S_\pi(s') \cap S_* \neq \emptyset$  for all  $s' \in S_\pi(s_0)$  ( $\pi$  is proper).

Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

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30 / 67

# Procedure *prune*

- The procedure **prune** finds a maximal set of states for which reaching goals with looping is possible.
- It consists of two nested loops:
  - 1 The outer loop iterates through  $i = 0, 1, 2, \dots$  and produces a **shrinking** sequence of candidate good state sets  $C_0, C_1, \dots, C_n$  until  $C_i = C_{i+1}$ .
  - 2 The inner loop identifies **growing** sets  $W_j$  of states from which a goal state can be reached with  $j$  steps without leaving the current set of candidate good states  $C_i$ . The union of all  $W_0, W_1, \dots$  will be  $C_{i+1}$ .

Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

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31 / 67

# Procedure *prune*

## Definition

## Procedure *prune*

```
def prune( $S, O, S_*$ ):
     $C_0 := S$ 
    for each  $i \in \mathbb{N}_1$ :
         $W_0 := S_*$ 
        for each  $j \in \mathbb{N}_1$ :
             $W_j := W_{j-1} \cup \bigcup_{o \in O} (wpreimg_o(W_{j-1}) \cap spreimg_o(C_{i-1}))$ 
            if  $W_j = W_{j-1}$ :
                break
         $C_i := W_j$ 
        if  $C_i = C_{i-1}$ :
            return  $\langle C_i, \langle W_0, \dots, W_{j-1} \rangle \rangle$ 
```

Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

January 18th, 2019

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32 / 67



## Procedure *prune*

Correctness



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

### Lemma (Procedure *prune*)

Let  $S$  and  $S_* \subseteq S$  be sets of states and  $O$  a set of operators. Then *prune*( $S, O, S_*$ ) terminates after a finite number of steps and returns  $C \subseteq S$  such that there is a strategy  $\pi : C \setminus S_* \rightarrow O$  that is a strong cyclic plan (for the states for which it is defined) and maximal in the sense that there is no set  $C' \supsetneq C$  and a strong cyclic plan  $\pi' : C' \setminus S_* \rightarrow O$ .

- The sets  $W_j$  also returned by *prune* encode weak distances and can be used to define the strong cyclic plan  $\pi$ .

## Nested Fixpoint Algorithm

Main algorithm



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

### The planning algorithm

```
def strong-cyclic-plan( $\langle V, I, O, \gamma \rangle$ ):  
     $S :=$  set of states over  $V$   
     $S_* := \{s \in S \mid s \models \gamma\}$   
     $\langle C, (W_j)_{j=0,1,2,\dots} \rangle = \text{prune}(S, O, S_*)$   
    if  $I \notin C$ :  
        return no solution  
    for each  $s \in C$ :  
         $\delta(s) := \min\{j \in \mathbb{N}_0 \mid s \in W_j\}$   
    for each  $s \in C \setminus S_*$ :  
         $\pi(s) :=$  some operator  $o \in O$  with  $\text{img}_o(s) \subseteq C$   
        and  $\min\{\delta(s') \mid s' \in \text{img}_o(s)\} < \delta(s)$   
    return  $\pi$ 
```

## Nested Fixpoint Algorithm

Complexity



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

- The procedure *prune* runs in polynomial time in the number of states because the number of iterations of each loop is at most  $n$  – hence there are  $O(n^2)$  iterations – and computation on each iteration takes polynomial time in the number of states.
- Finding strong cyclic plans for full observability is in the complexity class EXPTIME.
- The problem is also EXPTIME-hard.
- Similar to strong planning, we can speed up the algorithm in many practical cases by using a symbolic implementation (e. g. with BDDs).

## Determinization-based Incremental Alg.



Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary

**Idea** [Kuter/Nau/Reisner/Goldman, 2008; Fu/Ng/Bastiani/Yen, 2011]:

1. Pretend the planning task was deterministic: Turn each action  $o = \langle \chi, E \rangle$  with  $E = \{e_1, \dots, e_n\}$  into  $n$  actions  $o_i = \langle \chi, e_i \rangle$  for  $i = 1, \dots, n$ . Obtain classical problem  $\Pi'$ .
2. Find classical plan  $P$  in  $\Pi'$ . Add state-action mapping corresponding to  $P$  to  $\pi$ .
3. For each operator  $o_i$  used in  $P$  (in state  $s$ ), identify original nondeterministic operator  $o$  and states  $S' = \text{img}_o(s)$ .
4. For each “open” state  $s' \in S'$ , go to 2.

**Remark:** May require backtracking, if some state used in a classical plan turns out not to admit a strong cyclic plan.

# Determinization-based Incremental Alg.

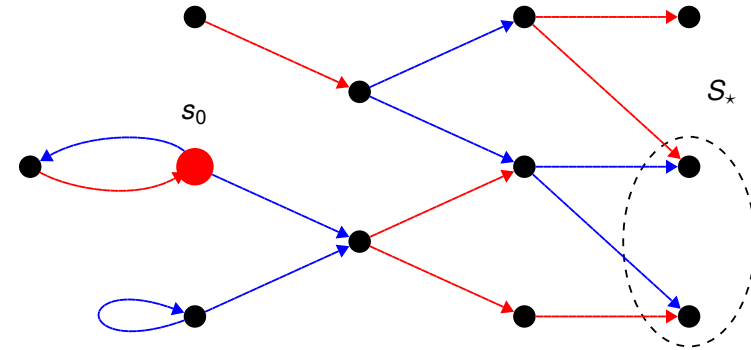
## Definition (all-outcomes determinization)

Let  $\Pi = \langle V, I, O, \gamma \rangle$  be a nondeterministic planning task. The **all-outcomes determinization** of  $\Pi$  is the deterministic planning task  $\Pi_{\text{det}} = \langle V, I, O_{\text{det}}, \gamma \rangle$ , where  $O_{\text{det}} = \bigcup_{o \in O} o_{\text{det}}$ , and  $\langle \chi, E \rangle_{\text{det}} = \{ \langle \chi, e \rangle \mid e \in E \}$ .

# Determinization-based Incremental Alg.

## Example

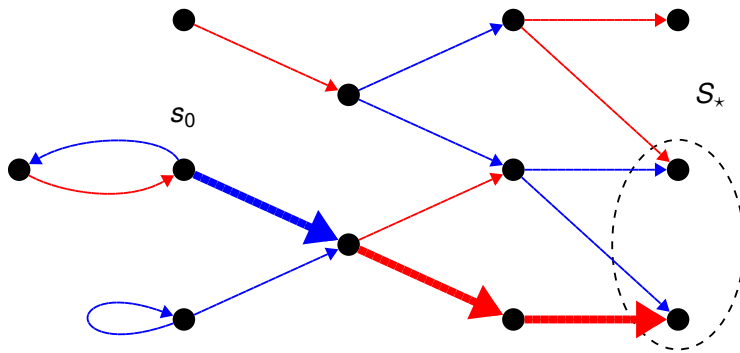
List of states to solve:  $\{s_0\}$



# Determinization-based Incremental Alg.

## Example

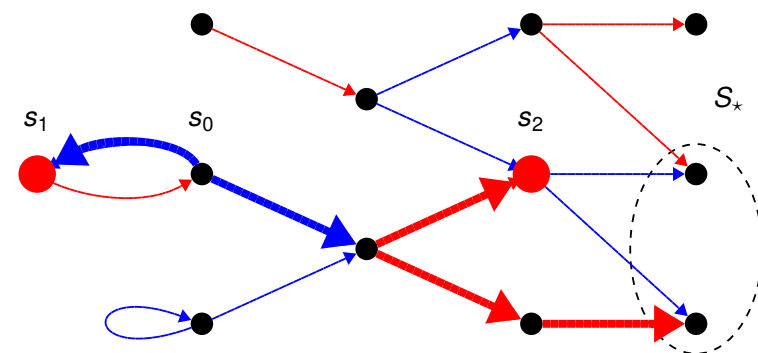
Plan for  $s_0$  in determinization: *blue<sub>2</sub>, red<sub>2</sub>, red*



# Determinization-based Incremental Alg.

## Example

“Undesired” outcomes of *blue<sub>1</sub>* and *red<sub>1</sub>* lead to new list of states to solve:  $\{s_1, s_2\}$



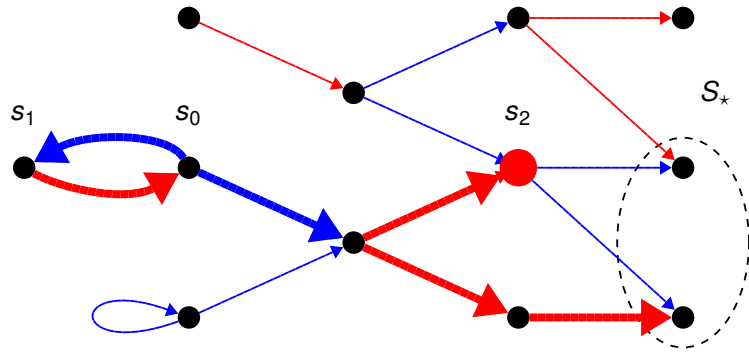
# Determinization-based Incremental Alg.

Example



Plan for  $s_1$  in determinization: *red*, *blue*<sub>2</sub>, *red*<sub>2</sub>, *red*

Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary



January 18th, 2019

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41 / 67

# Determinization-based Incremental Alg.

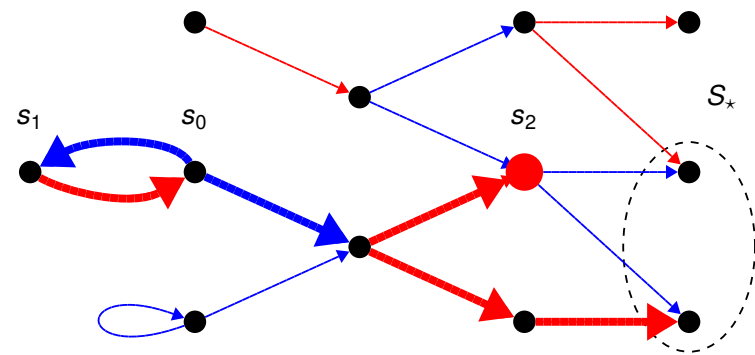
Example



No new “undesired” outcomes.

List of states to solve:  $\{s_2\}$

Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary



January 18th, 2019

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42 / 67

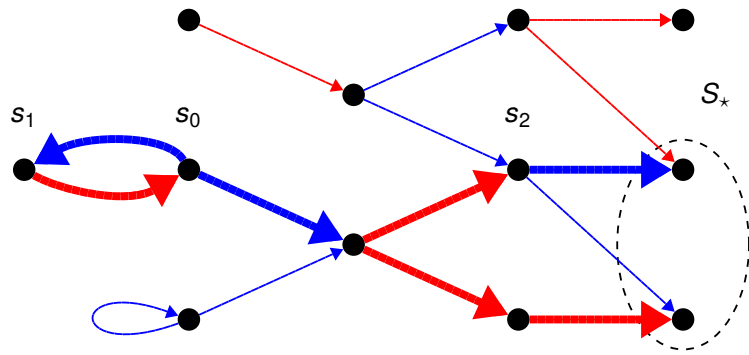
# Determinization-based Incremental Alg.

Example



Plan for  $s_2$  in determinization: *blue*<sub>1</sub>

Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary



January 18th, 2019

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43 / 67

# Determinization-based Incremental Alg.

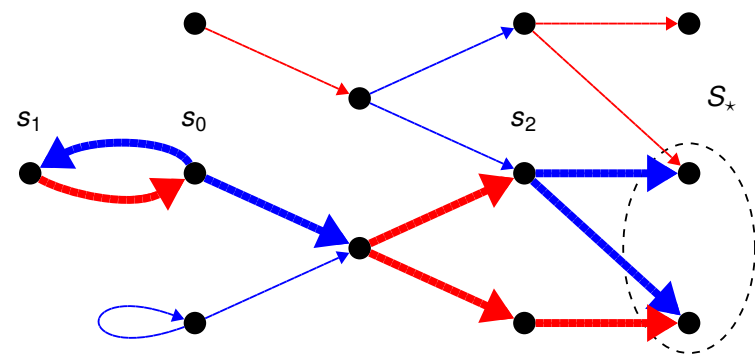
Example



“Undesired” outcome of *blue*<sub>2</sub> in  $s_2$  leads to goal state, too.

List of states to solve:  $\emptyset$ . Strong cyclic plan found.

Strong cyclic plans  
Motivation  
Nested Fixpoint Algorithm  
Incremental Planning Algorithm  
Maintenance  
Summary



January 18th, 2019

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44 / 67

## Procedure incremental-strong-cyclic-plan

```

def incremental-strong-cyclic-plan( $\langle V, I, O, \gamma \rangle$ ):
     $\pi \leftarrow \emptyset$ ;  $fail \leftarrow \{I\}$ 
    while  $fail \neq \emptyset$ :
         $s \leftarrow \text{SELECTANDREMOVEFROM}(fail)$ 
         $\pi' \leftarrow \text{DETSEARCH}(\langle V, s, O_{\text{det}}, \gamma \rangle)$ 
        if  $\pi' = \text{FAILURE}$ :
            if  $s = I$ : return FAILURE
            else:  $\text{BACKTRACK}(s, \pi, \langle V, I, O, \gamma \rangle)$ 
        else:
             $\pi \leftarrow \pi \cup \pi'$ 
             $fail \leftarrow \{s \in S \mid s \text{ nongoal state reachable from } I$ 
                 $\text{following } \pi, \text{ but } \pi(s) \text{ undefined}\}$ 
    return  $\pi$ 
    
```

Strong cyclic  
plans  
Motivation  
Nested Fixpoint  
Algorithm  
Incremental  
Planning Algorithm  
Maintenance  
Summary

If a deterministic search fails, the state  $s$  from which it started cannot be part of a strong cyclic plan.

- If  $s = I$ , the whole given planning problem is unsolvable and the algorithm returns FAILURE.
- Otherwise, state  $s$ , which has already been added to the constructed policy  $\pi$ , has to be removed from  $\pi$ , and the algorithm has to ensure that  $s$  will never be reconsidered again. This is accomplished by the procedure BACKTRACK.

Strong cyclic  
plans  
Motivation  
Nested Fixpoint  
Algorithm  
Incremental  
Planning Algorithm  
Maintenance  
Summary

## Procedure backtrack

```

def backtrack( $s, \pi, \langle V, I, O, \gamma \rangle$ ):
    update  $\pi$  by deleting all entries that would immediately
        lead to  $s$ , i.e.  $\pi \leftarrow \pi \setminus \{(s', \pi(s')) \mid s \in \text{img}_{\pi(s')}(s')\}$ 
    add all states  $s'$  removed from  $\pi$  to the set of fail-states  $fail$ 
    permanently mark all formerly assigned actions  $\pi(s')$ 
        removed from  $\pi$  at  $s'$  as inapplicable in  $s'$  to avoid
        running into the same dead end again.
    
```

Strong cyclic  
plans  
Motivation  
Nested Fixpoint  
Algorithm  
Incremental  
Planning Algorithm  
Maintenance  
Summary

- Iteratively solves all-outcomes determinizations of  $\Pi$  with “fail-states” as initial states.
- Planner can choose desired outcome of each action.
- Deterministic plans are added to policy under construction.
- Corresponding undesired outcomes have to be added to the set of “fail-states”  $fail$ .
- Deterministic plans for “fail-states” are constructed until no more “fail-states” remain.
- Eventually, the algorithm either returns a strong cyclic plan or FAILURE if no such plan exists.

Strong cyclic  
plans  
Motivation  
Nested Fixpoint  
Algorithm  
Incremental  
Planning Algorithm  
Maintenance  
Summary

### Theorem

*Procedure incremental-strong-cyclic-plan, called with task  $\Pi$ , returns a strong cyclic plan for  $\Pi$  iff such a plan exists, and FAILURE, otherwise.*

- Can use any classical planner for deterministic searches.
- Can benefit from heuristics etc. used there.
- Classical planner can be configured to prefer short solutions or solutions using deterministic actions induced by nondeterministic actions with few different outcomes (likely fewer new “fail-states”).

- When to terminate a deterministic sub-search?
  - At goal states?
  - At states currently part of the partial solution?
  - At parent of currently solved “fail-state”?

This can make a huge difference.

- Similarly: Where should the heuristic guide the classical planner? Goals, partial solution, parent node?
- Additional marking of nodes as definitely solved if this can be detected.
- State reuse between subsequent classical planner calls.
- Generalization of solved states by regression search from goal along weak (deterministic) plan (cf. [Muise/McIlraith/Beck, 2012]).

# Maintenance goals

## Maintenance goals

- In this lecture, we usually limit ourselves to the problem of finding plans that **reach a goal state**.
- In practice, planning is often about more general goals, where execution cannot be terminated.
  - 1 An animal: find food, eat, sleep, find food, eat, sleep, ...
  - 2 Cleaning robot: keep the building clean.
- These problems cannot be directly formalized in terms of reachability because infinite (unbounded) plan execution is needed.
- We do not discuss this topic in full detail. However, to give at least a little impression of **planning for temporally extended goals**, we will discuss the simplest objective with infinite plan executions: **maintenance**.

## Plan objectives

### Maintenance

### Definition

Let  $\mathcal{T} = \langle V, I, O, \gamma \rangle$  be a planning task with state set  $S$  and set of goal states  $S_* = \{s \in S \mid s \models \gamma\}$ .

A strategy  $\pi$  for  $\mathcal{T}$  is called a **plan for maintenance** for  $\mathcal{T}$  iff

- $\pi(s)$  is applicable in  $s$  for all  $s \in S_\pi$ ,
- $S_\pi(s_0) \subseteq S_\pi$ , and
- $S_\pi(s_0) \subseteq S_*$ .

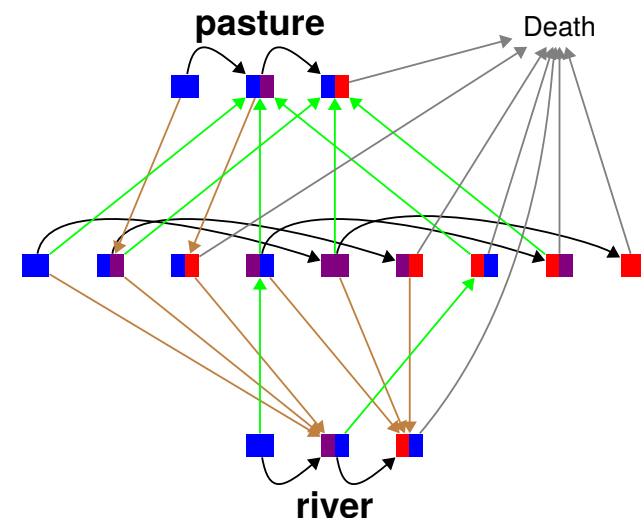
## Maintenance goals

### Example

- The state of an animal is determined by three state values: hunger (0, 1, 2), thirst (0, 1, 2) and location (river, pasture, desert). There is also a special state called **death**.
- Thirst grows when not at river; at river it is 0.
- Hunger grows when not on pasture; on pasture it is 0.
- If hunger or thirst exceeds 2, the animal dies.
- The goal of the animal is to avoid death.

## Maintenance goals

Transition system for the example 0-safe states 1-safe states  $i$ -safe states for all  $i \geq 2$



## Maintenance goals

Plan for the example

We can infer rules backwards starting from the death condition.

- 1 If in desert and **thirst** = 2, must go to river.
- 2 If in desert and **hunger** = 2, must go to pasture.
- 3 If on pasture and **thirst** = 1, must go to desert.
- 4 If at river and **hunger** = 1, must go to desert.

If the above rules conflict, the animal will die.

## Algorithm for maintenance goals

Idea

### Summary of the algorithm idea

Repeatedly eliminate from consideration those states that in one or more steps unavoidably lead to a non-goal state.

- A state is ***i*-safe** iff there is a plan that guarantees “survival” for the next  $i$  actions.
- A state is **safe** (or  **$\infty$ -safe**) iff it is  $i$ -safe for all  $i \in \mathbb{N}_0$ .
- The **0-safe** states are exactly the goal states: maintenance objective is satisfied for the current state.
- Given all  $i$ -safe states, compute all  $i + 1$ -safe states by using strong preimages.
- For some  $i \in \mathbb{N}_0$ ,  $i$ -safe states equal  $i + 1$ -safe states because there are only finitely many states and at each step and  $i + 1$ -safe states are a subset of  $i$ -safe states. Then  $i$ -safe states are also  $\infty$ -safe.

## Algorithm for maintenance goals

Algorithm

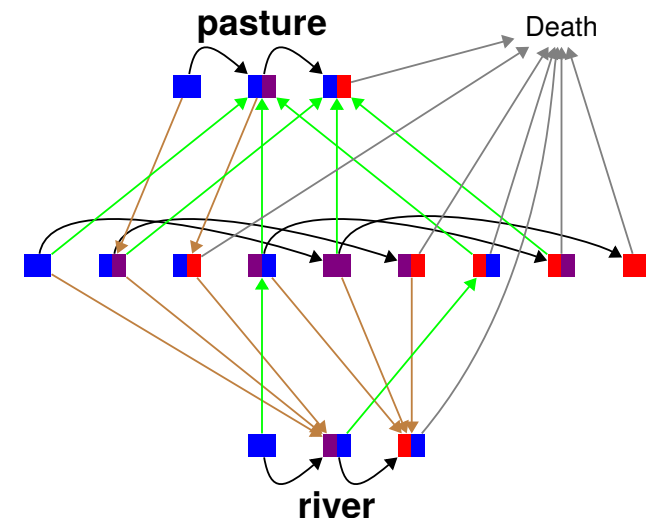
### Planning for maintenance goals

```

def maintenance-plan( $\langle V, I, O, \gamma \rangle$ ):
    S := set of states over V
    Safe0 := {s ∈ S | s ⊨ γ}
    for each i ∈ ℕ1:
        Safei := Safei-1 ∩ ⋃o ∈ O spreimgo(Safei-1)
        if Safei = Safei-1:
            break
    if I ∉ Safei:
        return no solution
    for each s ∈ Safei:
        π(s) := some operator o ∈ O with imgo(s) ⊆ Safei
    return π
    
```

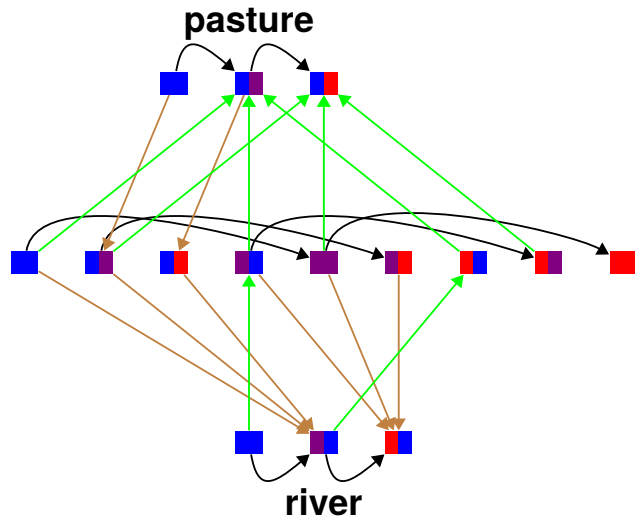
## Maintenance goals

Transition system for the example 0-safe states 1-safe states  $i$ -safe states for all  $i \geq 2$



## Maintenance goals

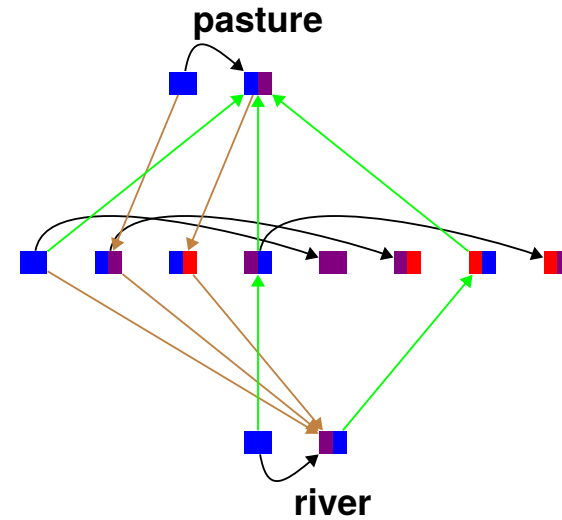
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Strong cyclic  
plans  
Maintenance  
Definition  
Example  
Algorithm  
Summary

## Maintenance goals

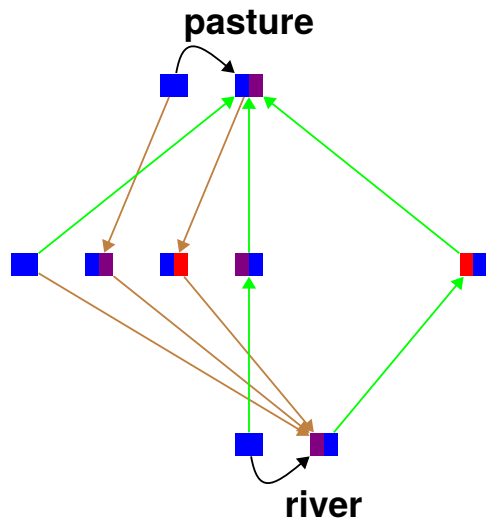
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Strong cyclic  
plans  
Maintenance  
Definition  
Example  
Algorithm  
Summary

## Maintenance goals

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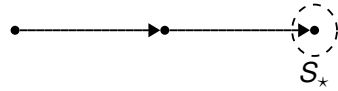
Strong cyclic  
plans  
Maintenance  
Definition  
Example  
Algorithm  
Summary

## Summary

Strong cyclic  
plans  
Maintenance  
Summary

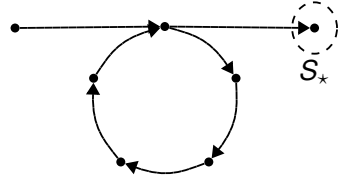


Strong planning



Strong cyclic plans

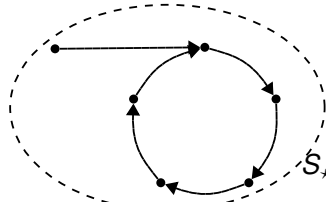
Strong cyclic planning



Maintenance

Summary

Maintenance



- We have considered different classes of solutions for planning tasks by defining **different planning problems**.
  - strong planning problem: find a strong plan
  - strong cyclic planning problem: find a strong cyclic plan
  - ...
- Alternatively, we could allow specifying goals in a **modal logic** like **computational tree logic** to directly express the type of plan we are interested in using **modalities** such as A (all), E (exists), G (globally), and F (finally).
  - Weak planning:  $EF\phi$
  - Strong planning:  $AF\phi$
  - Strong cyclic planning:  $AGEF\phi$
  - Maintenance:  $AG\phi$

Strong cyclic plans

Maintenance

Summary

- We have extended our earlier planning algorithm from **strong plans** to **strong cyclic plans**.
- The story does not end there: When considering infinitely executing plans, many more types of goals are feasible.
- We considered **maintenance** as a simple example of a **temporally extended goal**.
- In general, temporally extended goals be expressed in **modal logics** such as computational tree logic (CTL).
- We presented dynamic programming (backward search) algorithms for strong cyclic and maintenance planning.
- In practice, one might implement both algorithms by using binary decision diagrams (BDDs) as a data structure for state sets.

Strong cyclic plans

Maintenance

Summary