

# Principles of AI Planning

## 14. Planning as search: Partial-Order Reduction

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# 1 Motivation



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- **Worst case:** Heuristic search may explore **exponentially** more states than necessary, even if heuristic is **almost perfect**.
- **Example:** A\* search in GRIPPER domain explores all permutations of ball transportations if heuristic is off by a small constant.
- **Idea:** Complement heuristic search with **orthogonal technique** to reduce size of explored state space.
- **Desired properties of this technique:** preservation of **completeness** and, if possible, **optimality**.

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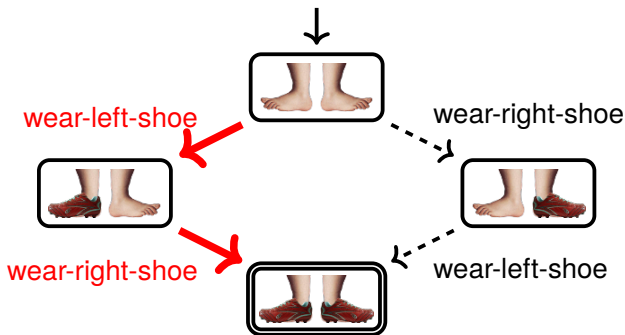
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## Idea:

- Enforce particular ordering among operators.
- Ignore all other orderings.

## Example



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## 2 Preliminaries



- Setting
- Basic Definitions
- Operator Dependencies
- Active Operators
- Necessary Enabling Sets and Disjunctive Action Landmarks

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**Assumption:** For the rest of the chapter, we assume that all planning tasks are SAS<sup>+</sup> planning tasks  $\Pi = (V, I, O, \gamma)$ .

For convenience, we assume that operators have the form  $o = \langle pre(o), eff(o) \rangle$ , where  $pre(o)$  and  $eff(o)$  are both **partial states** over  $V$ , i.e., partial functions mapping variables  $v$  to values in  $\mathcal{D}_v$ . Similarly, we assume that  $\gamma$  is a partial state describing the goal.

## Example

Operator  $o = \langle pre(o), eff(o) \rangle$  with

- $pre(o) = \{v_1 \mapsto d_1, v_5 \mapsto d_5\}$  and
- $eff(o) = \{v_2 \mapsto d_2, v_3 \mapsto d_3\}$

corresponds to  $o = \langle \chi, e \rangle$  with

$\chi = (v_1 = d_1 \wedge v_5 = d_5)$  and  $e = (v_2 := d_2 \wedge v_3 := d_3)$ .

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## Definition (Operators)

Let  $\Pi = (V, I, O, \gamma)$  be a SAS<sup>+</sup> planning task and  $o \in O$  an operator. Then

- $prevars(o) := vars(pre(o))$  are the variables that occur in the precondition of  $o$ .
- $effvars(o) := vars(eff(o))$  are the variables that occur in the effect of  $o$ .
- $o$  **reads**  $v \in V$  iff  $v \in prevars(o)$ .
- $o$  **modifies**  $v \in V$  iff  $v \in effvars(o)$ .

Variable  $v \in V$  is **goal-related** iff  $v \in vars(\gamma)$ .

**Assumption:**  $effvars(o) \neq \emptyset$  for all  $o \in O$ .

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## Definition (Domain transition graph)

Let  $\Pi = (V, I, O, \gamma)$  be a SAS<sup>+</sup> planning task and  $v \in V$ . The **domain transition graph** for  $v$  is the directed graph

$DTG(v) = \langle \mathcal{D}_v, E \rangle$  where  $(d, d') \in E$  iff there is an operator  $o \in O$  with

- $eff(o)(v) = d'$ , and
- $v \notin prevars(o)$  or  $pre(o)(v) = d$ .

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## Example

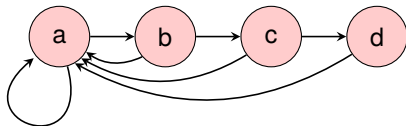
move-a-b =  $\langle \text{pos} = \text{a}, \text{pos} := \text{b} \rangle$

move-b-c =  $\langle \text{pos} = \text{b}, \text{pos} := \text{c} \rangle$

move-c-d =  $\langle \text{pos} = \text{c}, \text{pos} := \text{d} \rangle$

reset =  $\langle \top, \text{pos} := \text{a} \wedge \text{othersvar} := \text{othersvar} \rangle$

Then  $DTG(\text{pos})$ :



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## Definition (Operator dependencies)

Let  $\Pi = \langle V, O, I, \gamma \rangle$  be a planning task and  $o, o' \in O$ .

- 1  $o$  **disables**  $o'$  iff there exists  $v \in \text{effvars}(o) \cap \text{prevars}(o')$  such that  $\text{eff}(o)(v) \neq \text{pre}(o')(v)$ .
- 2  $o$  **enables**  $o'$  iff there exists  $v \in \text{effvars}(o) \cap \text{prevars}(o')$  such that  $\text{eff}(o)(v) = \text{pre}(o')(v)$ .
- 3  $o$  and  $o'$  **conflict** iff there is  $v \in \text{effvars}(o) \cap \text{effvars}(o')$  such that  $\text{eff}(o)(v) \neq \text{eff}(o')(v)$ .
- 4  $o$  and  $o'$  **interfere** iff  $o$  disables  $o'$ , or  $o'$  disables  $o$ , or  $o$  and  $o'$  conflict.
- 5  $o$  and  $o'$  are **commutative** iff  $o$  and  $o'$  do not interfere, and neither  $o$  enables  $o'$ , nor  $o'$  enables  $o$ .

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## Example

wear-left =  $\langle \text{pos} = \text{home} \wedge \text{left} = f, \text{left} := t \rangle$

wear-right =  $\langle \text{pos} = \text{home} \wedge \text{right} = f, \text{right} := t \rangle$

go-to-uni =  $\langle \text{left} = t \wedge \text{right} = t, \text{pos} := \text{uni} \rangle$

go-to-gym =  $\langle \text{left} = t \wedge \text{right} = t, \text{pos} := \text{gym} \rangle$

Then:

- go-to-uni and go-to-gym disable wear-left and wear-right.
- wear-left and wear-right enable go-to-uni and go-to-gym.
- go-to-uni and go-to-gym conflict.
- wear-left and wear-right are commutative.

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## Definition (Active operators)

Let  $\Pi = \langle V, I, O, \gamma \rangle$  be a planning task and let  $s$  be a state. The set of **active operators**  $Act(s) \subseteq O$  in  $s$  is defined as the set of operators such that for all  $o \in Act(s)$ :

- For every variable  $v \in prevars(o)$ , there is a path in  $DTG(v)$  from  $s(v)$  to  $pre(o)(v)$ . If  $v$  is goal-related, then there is also a path from  $pre(o)(v)$  to the goal value  $\gamma(v)$ .
- For every goal-related variable  $v \in effvars(o)$ , there is a path in  $DTG(v)$  from  $eff(o)(v)$  to the goal value  $\gamma(v)$ .

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## Proposition

- 1  $Act(s)$  can be identified efficiently for a given state  $s$  by considering paths in the projection of  $\Pi$  onto  $v$ .
- 2 Operators not in  $Act(s)$  can be treated as nonexistent when reasoning about  $s$  because they are not applicable in all states reachable from  $s$ , or they lead to a dead-end from  $s$ .

## Proof

- 1 Homework: Specify efficient algorithm for identification of  $Act(s)$ .
- 2 Obvious. □

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## Definition (Necessary enabling set)

Let  $\Pi = \langle V, I, O, \gamma \rangle$  be a planning task,  $s$  a state, and  $o \in O$  an operator that is not applicable in  $s$ . A set  $N$  of operators is a **necessary enabling set** (NES) for  $o$  in  $s$  if all operator sequences that lead from  $s$  to a goal state and include  $o$  contain an operator in  $N$  before the first occurrence of  $o$ .

**Note:** NESs not uniquely determined for given  $o$  and  $s$ . (E.g., supersets of NESs are still NESs.)

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## Definition (Disjunctive action landmark)

Let  $\Pi = \langle V, I, O, \gamma \rangle$  be a planning task and  $s$  a state. A **disjunctive action landmark** (DAL)  $L$  in  $s$  is a set of operators such that all operator sequences that lead from  $s$  to a goal state contain some operator in  $L$ .

## Observation

For state  $s$  and operator  $o$  that is not applicable in  $s$ , disjunctive action landmarks for task  $\langle V, I, O, pre(o) \rangle$  are necessary enabling sets for  $o$  in  $s$ .

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## Proof

Let  $L$  be such a disjunctive action landmark.

Then each operator sequence that leads from  $s$  to a state satisfying  $pre(o)$  contains some operator in  $L$ .

Thus, each operator sequence that leads from  $s$  to a goal state and includes  $o$  contains an operator in  $L$  before the first occurrence of  $o$ .

Therefore,  $L$  is an NES for  $o$  in  $s$ .

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# 3 Stubborn Sets



- Strong Stubborn Sets
- Weak Stubborn Sets
- Algorithms
- Properties of Stubborn Sets
- Some Experiments

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## Back to the motivation:

If, in state  $s$ , some set of operators can be **applied in any order** and the order does not matter, we want to **commit to one such order** and **ignore all other orders**.

## One idea:

Identify operators that can be “postponed” since they are independent of all operators that are not “postponed”.  
E.g., wear-right-shoe could be postponed, since it is independent of wear-left-shoe (that is not postponed).

## Second idea (roughly):

Identify operators that **have** to be applied and cannot be postponed because they are not independent of other operators also not postponed.

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Following the second idea:

First attempt at a definition:

## Definition (Strong stubborn set)

Let  $\Pi = \langle V, I, O, \gamma \rangle$  be a planning task and  $s$  a state. A set  $T_s \subseteq O$  is a **strong stubborn set in  $s$**  if

- 1  $T_s$  contains a disjunctive action landmark in  $s$ , and
- 2 for all  $o \in T_s$  that are applicable in  $s$ ,  $T_s$  contains all operators that interfere with  $o$ , and
- 3 for all  $o \in T_s$  that are not applicable in  $s$ ,  $T_s$  contains a necessary enabling set for  $o$  and  $s$ .

Instead of applying all applicable operators in  $s$  only apply those that are applicable and contained in  $T_s$ .

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Following the second idea:

Improved attempt at a definition:

## Definition (Strong stubborn set)

Let  $\Pi = \langle V, I, O, \gamma \rangle$  be a planning task and  $s$  a state. A set  $T_s \subseteq O$  is a **strong stubborn set in  $s$**  if

- 1  $T_s$  contains a disjunctive action landmark in  $s$ , and
- 2 for all  $o \in T_s$  that are applicable in  $s$ ,  $T_s$  contains all operators that **are active in  $s$  and** interfere with  $o$ , and
- 3 for all  $o \in T_s$  that are not applicable in  $s$ ,  $T_s$  contains a necessary enabling set for  $o$  and  $s$ .

Instead of applying all applicable operators in  $s$  only apply those that are applicable and contained in  $T_s$ .

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**Remark 1:** Even when excluding **inactive** operators, this preserves completeness and even optimality of a search algorithm (see proof below).

**Remark 2:** Excluding **inactive** operators can “cascade” in the sense that additional **active** operators need not be considered.

### Example

Let  $\Pi = \langle V, I, O, \gamma \rangle$  be a planning task with the following components:

- $V = \{u_1, u_2, v, w\}$
- $O = \{o_1, o_2, o_3\}$
- $pre(o_1) = \{u_1 \mapsto 0\}$ ,  $eff(o_1) = \{u_1 \mapsto 1, w \mapsto 2\}$
- $pre(o_2) = \{u_2 \mapsto 0\}$ ,  $eff(o_2) = \{u_2 \mapsto 1, w \mapsto 2\}$
- $pre(o_3) = \{u_1 \mapsto 0, u_2 \mapsto 0\}$ ,  $eff(o_3) = \{v \mapsto 1, w \mapsto 1\}$
- $I = \{u_1 \mapsto 0, u_2 \mapsto 0, v \mapsto 0, w \mapsto 0\}$
- $\gamma = \{v \mapsto 0, u_1 \mapsto 1, u_2 \mapsto 1\}$

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### Example

- **Case 1** (first attempt at definition where non-active interfering operators are included in  $T_S$ ):

- Include  $o_1$  (or  $o_2$ ) in  $T_S$  as disjunctive action landmark.
- Include  $o_3$  in  $T_S$  since it interferes with  $o_1$  (or  $o_2$ ).
- Include  $o_2$  (or  $o_1$ ) in  $T_S$  since it interferes with  $o_3$ .

⇒ all applicable operators included in  $T_S$ , no pruning.

- **Case 2** (improved attempt without non-active interfering operators):

- $o_3$  is not active in any reachable state.
- $T_S = \{o_1\}$  strong stubborn set in  $I$ .
- Even **active** operator  $o_2$  is not included in  $T_S = \{o_1\}$ .

⇒ nice amount of pruning occurs.

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With **weak** stubborn sets, some operators that disable an operator in  $T_S$  need not be included in  $T_S$ .

Therefore, weak stubborn sets potentially allow more pruning than strong stubborn sets.

## Definition (Weak stubborn set)

Let  $\Pi = \langle V, I, O, \gamma \rangle$  be a planning task and  $s$  a state. A set  $T_S \subseteq O$  is a **weak stubborn set in  $s$**  if

- 1  $T_S$  contains a disjunctive action landmark in  $s$ , and
- 2 for all  $o \in T_S$  that are applicable in  $s$ ,  $T_S$  contains the active operators in  $s$  that have conflicting effects with  $o$  or that are disabled by  $o$ , and
- 3 for all  $o \in T_S$  that are not applicable in  $s$ ,  $T_S$  contains a necessary enabling set for  $o$  and  $s$ .

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For weak stubborn sets, it suffices to include active operators  $o'$  that **are disabled** or **conflict** with applicable operators  $o \in T_s$ . However,  $o'$  **does not need to be included** if  $o'$  **disables** an applicable operator  $o \in T_s$ .

**No computational overhead** of computing weak stubborn sets over computing strong stubborn sets.

## Theorem

In the best case, weak stubborn sets admit **exponentially more pruning** than strong stubborn sets.

## Proof

Homework. □

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**compute-DAL:** Compute a disjunctive action landmark.

## Procedure compute-DAL

```
def compute-DAL( $\gamma$ ):  
    select  $v \in vars(\gamma)$  with  $s(v) \neq \gamma(v)$   
     $L \leftarrow \{o' \in Act(s) \mid eff(o')(v) = \gamma(v)\}$   
    return  $L$ 
```

Selection of  $v \in vars(\gamma)$  arbitrary. Any variable will do.  
Selection heuristics?

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**compute-NES:** Compute a necessary enabling set.

## Procedure compute-NES

**def** compute-NES( $o, s$ ):

  select  $v \in \text{prevars}(o)$  with  $s(v) \neq \text{pre}(o)(v)$

$N \leftarrow \{o' \in \text{Act}(s) \mid \text{eff}(o')(v) = \text{pre}(o)(v)\}$

**return**  $N$

Selection of  $v \in \text{prevars}(o)$  arbitrary. Any variable will do.

Selection heuristics?

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**compute-interfering-operators:** Compute interfering operators.

## Procedure compute-interfering-operators (for strong SS)

```
def compute-interfering-operators(o):  
  disablers  $\leftarrow$   $\{o' \in O \mid o' \text{ disables } o\}$   
  disablees  $\leftarrow$   $\{o' \in O \mid o \text{ disables } o'\}$   
  conflicting  $\leftarrow$   $\{o' \in O \mid o \text{ and } o' \text{ conflict}\}$   
  return disablers  $\cup$  disablees  $\cup$  conflicting
```

## Procedure compute-interfering-operators (for weak SS)

```
def compute-interfering-operators(o):  
  disablees  $\leftarrow$   $\{o' \in O \mid o \text{ disables } o'\}$   
  conflicting  $\leftarrow$   $\{o' \in O \mid o \text{ and } o' \text{ conflict}\}$   
  return disablees  $\cup$  conflicting
```

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Computing (strong and weak) stubborn sets for planning can be achieved with a **fixed-point iteration** until the constraints of  $T_s$  are satisfied:

**compute-stubborn-set**: Compute (strong or weak) stubborn set.

## Precedure **compute-stubborn-set**

**def** compute-stubborn-set(s):

$T_s \leftarrow \text{compute-DAL}(\gamma)$

**while** no fixed-point of  $T_s$  reached **do**

for  $o \in T_s$  applicable in s:

$T_s \leftarrow T_s \cup \text{compute-interfering-operators}(o)$

for  $o \in T_s$  not applicable in s:

$T_s \leftarrow T_s \cup \text{compute-NES}(o, s)$

**end while**

**return**  $T_s$

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**Observation:** stubborn sets are state-dependent, but not path-dependent.

This allows filtering the applicable operators in  $s$  in graph search algorithms like A\* that perform duplicate detection, too.

Instead of applying all applicable operators  $app(s)$  in  $s$ , only apply operators in  $T_{app(s)} := T_s \cap app(s)$ .

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## Theorem

*Weak stubborn sets are completeness and optimality preserving.*

## Proof

Let  $T_{app(s)} := T_s \cap app(s)$  for a weak stubborn set  $T_s$ .

We show that for all states  $s$  from which an optimal plan consisting of  $n > 0$  operators exists,  $T_{app(s)}$  contains an operator that starts such a plan.

We show by induction that  $A^*$  restricting successor generation to  $T_{app(s)}$  is optimal.

Let  $T_s$  be a weak stubborn set and  $\pi = o_1, \dots, o_n$  be an optimal plan that starts in  $s$ .

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## Proof (ctd.)

As  $T_S$  contains a disjunctive action landmark,  $\pi$  must contain an operator from  $T_S$ .

Let  $o_k$  be the operator with smallest index in  $\pi$  that is also contained in  $T_S$ , i.e.,  $o_k \in T_S$  and  $\{o_1, \dots, o_{k-1}\} \cap T_S = \emptyset$ .

We observe:

1.  $o_k \in \text{app}(s)$ : otherwise by definition of weak stubborn sets, a necessary enabling set  $N$  for  $o_k$  in  $s$  would have to be contained in  $T_S$ , and at least one operator from  $N$  would have to occur before  $o_k$  in  $\pi$  to enable  $o_k$ , contradicting that  $o_k$  was chosen with smallest index.
2. ...

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## Proof (ctd.)

1. ...
2.  $o_k$  does not disable any of the operators  $o_1, \dots, o_{k-1}$ , and all these operators have non-conflicting effects with  $o_k$ : otherwise, as  $o_k \in \text{app}(s)$ , and by definition of weak stubborn sets, at least one of  $o_1, \dots, o_{k-1}$  would have to be contained in  $T_s$ , again contradicting the assumption.

Hence, we can move  $o_k$  to the front:

$o_k, o_1, \dots, o_{k-1}, o_{k+1}, \dots, o_n$  is also a plan for  $\Pi$ .

It has the same cost as  $\pi$  and is hence optimal.

Thus, we have found an optimal plan of length  $n$  started by an operator  $o_k \in T_{\text{app}(s)}$ , completing the proof.  $\square$

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**Remark:** The argument to move  $o_k$  to the front also holds for strong stubborn sets: in this case,  $o_k$  is not even disabled by any of  $o_1, \dots, o_{k-1}$  (and hence,  $o_k$  is independent of  $o_1, \dots, o_{k-1}$ ), which is a stronger property than needed in the proof.

## Corollary

*Strong stubborn sets are completeness and optimality preserving.*



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# Some Experiments: Overview

Optimal Planning, A\* with LM-cut Heuristic, Selected Domains



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Domain (problems)	Coverage		Nodes generated	
	A*	+SSS	A*	+SSS
PARCPRINTER-08 (30)	18	<b>+12</b>	2455181	<b>&lt;1%</b>
PARCPRINTER-OPT11 (20)	13	<b>+7</b>	2454533	<b>&lt;1%</b>
WOODWORKING-OPT08 (30)	17	<b>+10</b>	26796212	<b>&lt;1%</b>
WOODWORKING-OPT11 (20)	12	<b>+7</b>	26795517	<b>&lt;1%</b>
SATELLITE (36)	7	<b>+5</b>	5116312	<b>2%</b>
ROVERS (40)	7	<b>+2</b>	1900691	<b>22%</b>
AIRPORT (50)	<b>28</b>	<b>±0</b>	545072	<b>93%</b>
OPENSTACKS-OPT08 (30)	19	<b>+2</b>	56584063	<b>51%</b>
OPENSTACKS-OPT11 (20)	14	<b>+2</b>	56456969	<b>51%</b>
DRIVERLOG (20)	13	<b>+1</b>	3679376	<b>82%</b>
SCANALYZER-08 (30)	<b>15</b>	-3	<b>14203012</b>	<b>100%</b>
SCANALYZER-OPT11 (20)	<b>12</b>	-3	<b>14202884</b>	<b>100%</b>
PARKING-OPT11 (20)	<b>3</b>	-1	<b>560914</b>	<b>100%</b>
SOKOBAN-OPT08 (30)	<b>30</b>	-1	<b>20519270</b>	<b>100%</b>
VISITALL-OPT11 (20)	<b>11</b>	-1	<b>1991169</b>	<b>100%</b>
REMAINING DOMAINS (980)	<b>544</b>	<b>±0</b>	436017004	<b>93%</b>
SUM (1396)	763	<b>+39</b>	670278179	<b>77%</b>

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Strong Stubborn  
Sets

Weak Stubborn  
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Properties of  
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# Some Experiments

## Weak compared to strong stubborn sets



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Domain (problems)	Coverage		Nodes generated		# problems w. diff. gen.
	SSS	WSS	SSS	WSS	
OPENSTACKS-OPT08 (30)	<b>21</b>	$\pm 0$	152711917	<b>99.936%</b>	18
OPENSTACKS-OPT11 (20)	<b>16</b>	$\pm 0$	152642101	<b>99.936%</b>	16
PATHWAYS-NONEG (30)	<b>5</b>	$\pm 0$	162347	<b>99.702%</b>	2
PSR-SMALL (50)	<b>49</b>	$\pm 0$	18119489	<b>99.998%</b>	6
SATELLITE (36)	<b>12</b>	$\pm 0$	70299721	<b>92.804%</b>	12

⇒ In practice (or, at least, in the standard benchmark problems) there is no significant difference between weak and strong stubborn sets.

# 4 Conclusion



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- Need for **techniques orthogonal to heuristic search**, complementing heuristics.
- One idea: **Commit to one order of operators** if they are independent. Prune other orders.
- Class of such techniques: **partial-order reduction** (POR)
- One such technique: **strong/weak stubborn sets**
- Can lead to **substantial pruning** compared to plain  $A^*$ .
- Many other POR techniques exist.
- Other pruning techniques exist as well, e.g., symmetry reduction.

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