

# Constraint Satisfaction Problems

## Enforcing Consistency

Albert-Ludwigs-Universität Freiburg



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- The more explicit and tight constraint networks are, the more restricted is the search space of partial solutions.
- **Idea:** tighten the domains of variables or infer new constraints (by methods called **bounded consistency inference**, **constraint propagation**).
- Consistency-enforcing algorithms aim at *assisting search*:  
**How can we extend a given partial solution of a small subnetwork to a partial solution of a larger subnetwork?**

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions



# Arc Consistency

Arc  
Consistency

Path  
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*i*-Consistency

AC  
Extensions



- In what follows we will always assume that the variables of a constraint network appear in some order.
- Further, we assume that  $C$  does not contain unary constraints, i.e., constraints in  $C$  are always relations with arity  $n > 1$  (but we allow that the domains  $D_i$  are **possibly empty**).

This is no restriction, since we can rewrite  $D_i$ :

$$D_i \leftarrow D_i \cap R_{v_i}$$

and then remove  $R_{v_i}$  from the network.

$D_i$  will be referred to as **domains**, **unary constraint**, or **domain constraint**.

- We assume networks to be normalized. We write constraints with scope  $\{v_{i_1}, \dots, v_{i_k}\}$  in the form  $R_{i_1 \dots i_k}$ .

Arc  
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$i$ -Consistency

AC  
Extensions



Let  $N = \langle V, D, C \rangle$  be a constraint network.

## Definition

- (a) Given  $R_{ij}$  exists in  $C$ , variable  $v_i$  is called **arc-consistent** relative to variable  $v_j$  if for each value  $a_i \in D_i$ , there exists an  $a_j \in D_j$  with  $(a_i, a_j) \in R_{ij}$ .
- (b) An “arc constraint”  $R_{ij}$  is **arc-consistent** if  $v_i$  is arc-consistent relative to  $v_j$  and  $v_j$  is arc-consistent rel. to  $v_i$ .
- (c) A network  $N$  is **arc-consistent** if all its arc constraints are arc-consistent.

## Lemma

*Checking whether a network  $N = \langle V, D, C \rangle$  is arc-consistent requires at most  $e \cdot k^2$  operations (where  $e$  is the number of its binary constraints and  $k$  is an upper bound of its domain sizes).*

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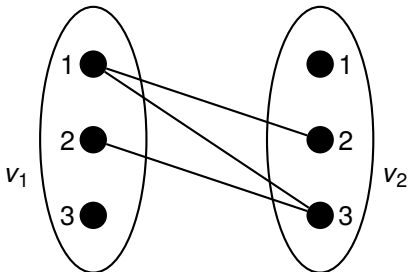
$i$ -Consistency

AC  
Extensions

# Example



Consider a constraint network with two variables  $v_1$  and  $v_2$ , domains  $D_1 = D_2 = \{1, 2, 3\}$ , and the binary constraint expressed by  $v_1 < v_2$ .



A network that is not arc-consistent

Arc  
Consistency

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# Revising a single domain



**Revise** ( $v_i, v_j$ ):

---

*Input:* a network with two variables  $v_i, v_j$ , domains  $D_i$  and  $D_j$ , and constraint  $R_{ij}$

*Result:* a network with refined  $D_i$  such that  $v_i$  is arc-consistent relative to  $v_j$

```
for each  $a_i \in D_i$ 
  if there is no  $a_j \in D_j$  with  $(a_i, a_j) \in R_{ij}$ 
    then remove  $a_i$  from  $D_i$ 
  endif
endfor
```

This is equivalent to applying:

$$D_i \leftarrow D_i \cap \pi_i(R_{ij} \bowtie D_j)$$

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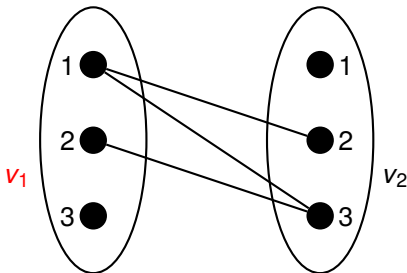
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## Lemma

*The complexity of Revise is  $\mathcal{O}(k^2)$ , where  $k$  is an upper bound of the domain sizes.*

Note: With a simple modification of the Revise algorithm one could improve to  $\mathcal{O}(t)$ , where  $t$  is the maximal number of tuples occurring in one of the binary constraints in the network.



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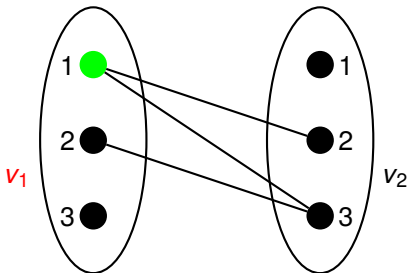
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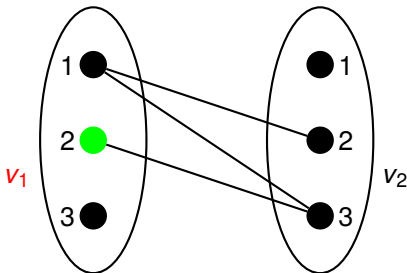
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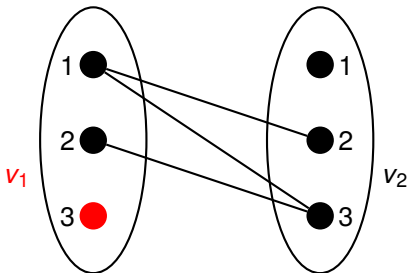
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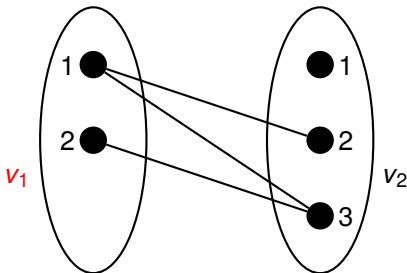
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# Enforcing arc consistency: AC1



**AC1(N):**

---

*Input:* a constraint network  $N = \langle V, D, C \rangle$

*Result:* equivalent, arc-consistent network

**repeat**

**for** each arc  $\{v_i, v_j\}$  with  $R_{ij} \in C$

        Revise( $v_i, v_j$ )

        Revise( $v_j, v_i$ )

**endfor**

**until** no domain is changed

Arc  
Consistency

Path  
Consistency

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AC  
Extensions

# Enforcing arc consistency: AC1



## Lemma

Let  $N$  be a constraint network with  $n$  variables, each with a domain of size  $\leq k$ , and  $e$  binary constraints.

Applying AC1 on the network runs in time  $\mathcal{O}(e \cdot n \cdot k^3)$ .

## Proof.

One cycle through all binary constraints takes  $\mathcal{O}(e \cdot k^2)$ . In the worst case, one cycle just removes one value from one domain. Moreover, there are at most  $n \cdot k$  values. This results in an upper bound of  $\mathcal{O}(e \cdot n \cdot k^3)$ . □

Note: If the input network is already arc-consistent, then AC1 runs in time  $\mathcal{O}(e \cdot k^2)$ .

Arc  
Consistency

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Consistency

$i$ -Consistency

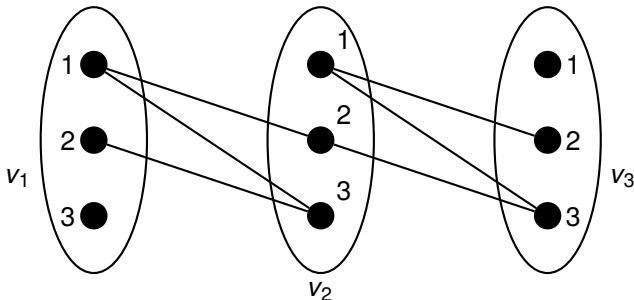
AC  
Extensions



# Example: AC1



Consider a constraint network with three variables  $v_1$ ,  $v_2$ , and  $v_3$ , domains  $D_1 = D_2 = \{1, 2, 3\}$ , and the binary constraints expressed by  $v_1 < v_2$  and  $v_2 < v_3$ .



Arc  
Consistency

Path  
Consistency

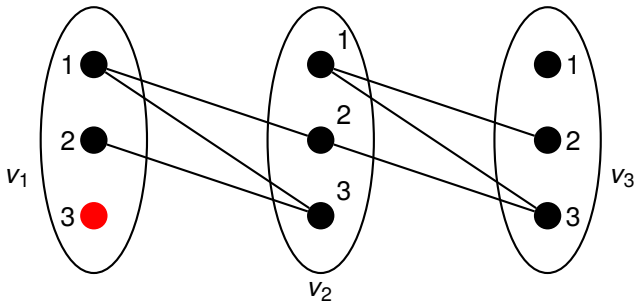
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arc  $\{v_1, v_2\}$ :  $\text{Revise}(v_1, v_2)$

Arc  
Consistency

Path  
Consistency

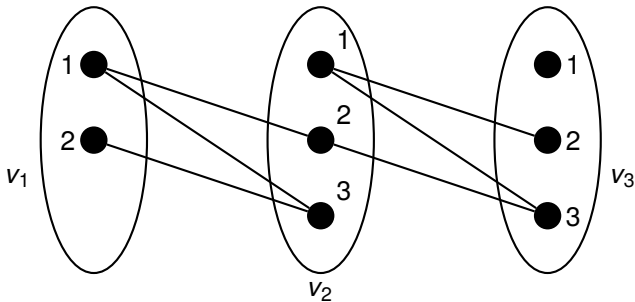
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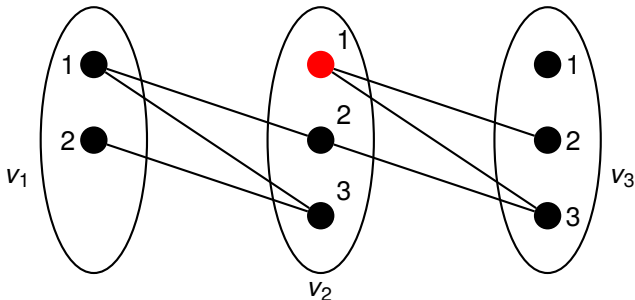
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arc  $\{v_1, v_2\}$ :  $\text{Revise}(v_2, v_1)$

Arc  
Consistency

Path  
Consistency

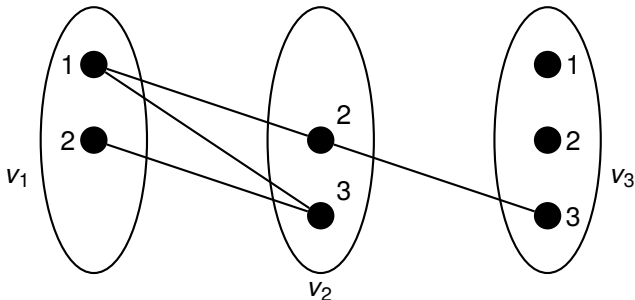
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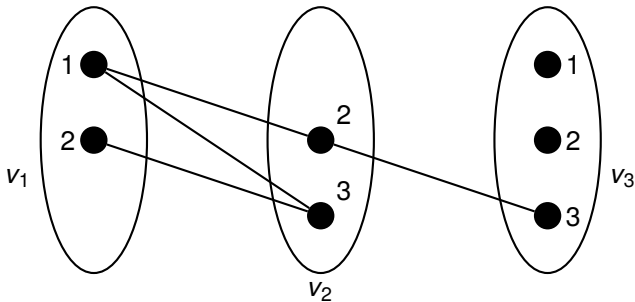
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no arc  $\{v_1, v_3\}$

Arc  
Consistency

Path  
Consistency

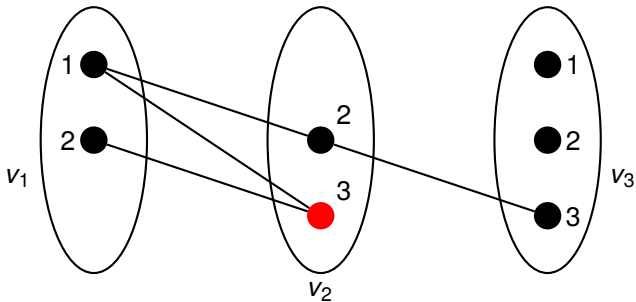
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Arc  
Consistency

Path  
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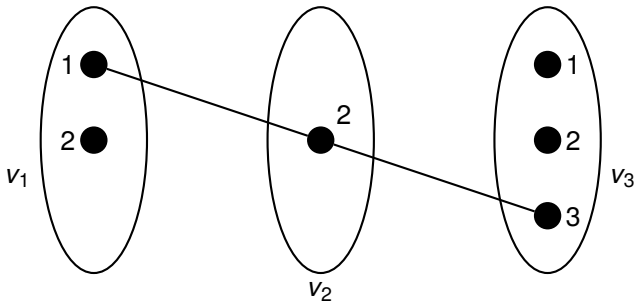
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Arc  
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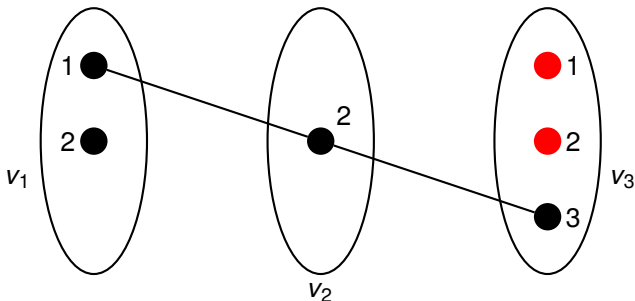
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Arc  
Consistency

Path  
Consistency

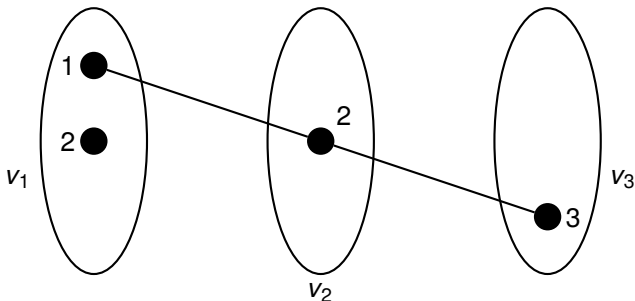
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Arc  
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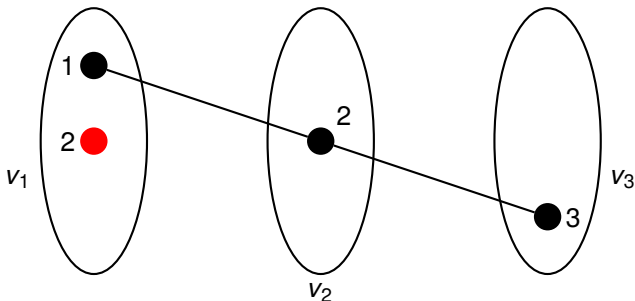
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Arc  
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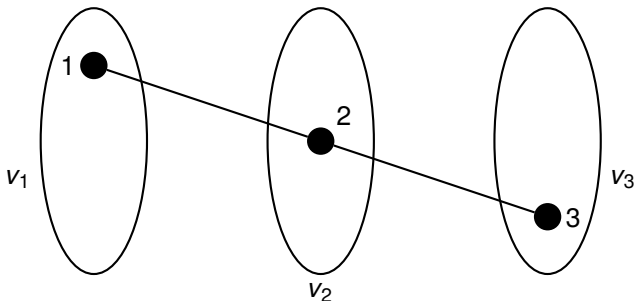
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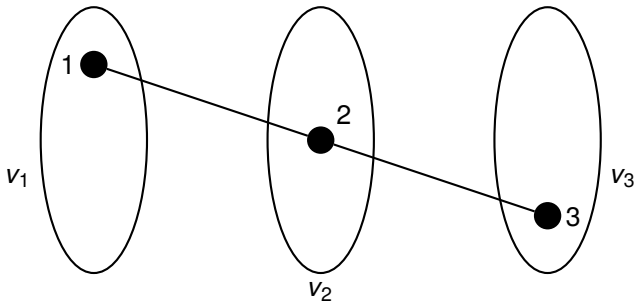
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no further changes

Arc  
Consistency

Path  
Consistency

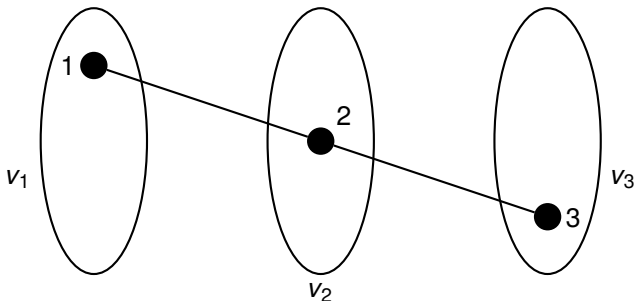
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Arc  
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Note: Enforcing arc consistency may already be sufficient to show that a constraint network is inconsistent. For example, add the constraint  $v_3 < v_1$  to the network just considered.

# Enforcing arc consistency: AC3



Idea: no need to process all constraints if only a few domains have changed. Operate on a queue of constraints to be processed.

**AC3(N):**

---

*Input:* a constraint network  $N = \langle V, D, C \rangle$

*Result:* equivalent, arc-consistent network

$queue \leftarrow \{(v_i, v_j), (v_j, v_i) : \{v_i, v_j\} \text{ scope of some constraint in } N\}$

**while**  $queue$  is not empty

    select and remove  $(v_i, v_j)$  from  $queue$

    Revise( $v_i, v_j$ )

**if** Revise( $v_i, v_j$ ) changes  $D_i$

**then**  $queue \leftarrow queue \cup \{(v_k, v_i) : k \neq i\}$

**endif**

**endwhile**

Arc  
Consistency

Path  
Consistency

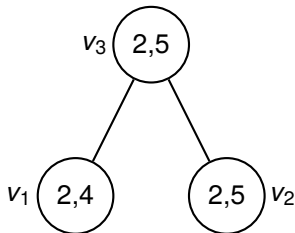
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# Enforcing arc consistency: AC3



Example: Consider a constraint network with 3 variables  $v_1$ ,  $v_2$ ,  $v_3$  with domains  $D_1 = \{2, 4\}$  and  $D_2 = D_3 = \{2, 5\}$ , and two constraints expressed by  $v_3|v_1$  and  $v_3|v_2$  (“divides”).



Queue

Arc  
Consistency

Path  
Consistency

*i*-Consistency

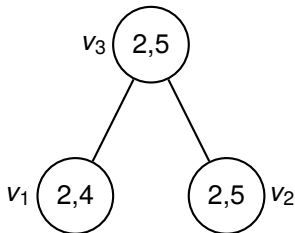
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Queue  
 $(v_1, v_3)$

Arc  
Consistency

Path  
Consistency

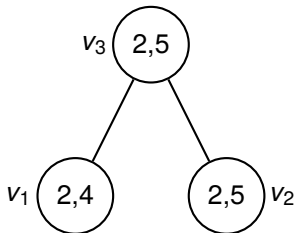
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Queue

$(v_1, v_3)$

$(v_3, v_1)$

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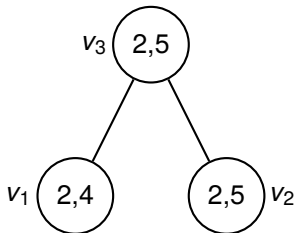
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Queue

$(v_1, v_3)$

$(v_3, v_1)$

$(v_2, v_3)$

Arc  
Consistency

Path  
Consistency

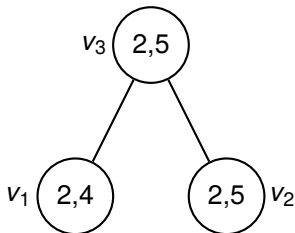
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Queue

$(v_1, v_3)$

$(v_3, v_1)$

$(v_2, v_3)$

$(v_3, v_2)$

Arc  
Consistency

Path  
Consistency

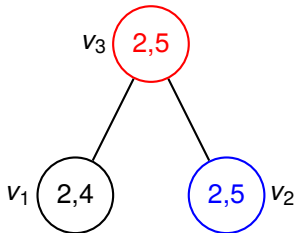
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AC  
Extensions

# Enforcing arc consistency: AC3



Example: Consider a constraint network with 3 variables  $v_1$ ,  $v_2$ ,  $v_3$  with domains  $D_1 = \{2, 4\}$  and  $D_2 = D_3 = \{2, 5\}$ , and two constraints expressed by  $v_3|v_1$  and  $v_3|v_2$  (“divides”).



Queue

$(v_1, v_3)$

$(v_3, v_1)$

$(v_2, v_3)$

$(v_3, v_2)$

Arc  
Consistency

Path  
Consistency

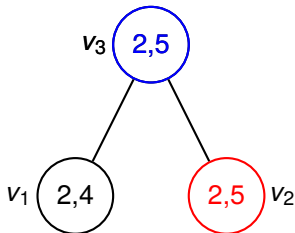
*i*-Consistency

AC  
Extensions

# Enforcing arc consistency: AC3



Example: Consider a constraint network with 3 variables  $v_1$ ,  $v_2$ ,  $v_3$  with domains  $D_1 = \{2, 4\}$  and  $D_2 = D_3 = \{2, 5\}$ , and two constraints expressed by  $v_3|v_1$  and  $v_3|v_2$  (“divides”).



Queue

$(v_1, v_3)$

$(v_3, v_1)$

$(v_2, v_3)$

Arc  
Consistency

Path  
Consistency

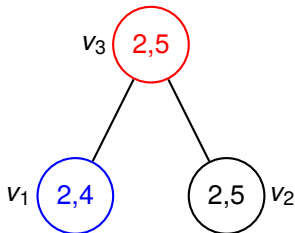
$i$ -Consistency

AC  
Extensions

# Enforcing arc consistency: AC3



Example: Consider a constraint network with 3 variables  $v_1$ ,  $v_2$ ,  $v_3$  with domains  $D_1 = \{2, 4\}$  and  $D_2 = D_3 = \{2, 5\}$ , and two constraints expressed by  $v_3|v_1$  and  $v_3|v_2$  (“divides”).



*Queue*

---

$(v_1, v_3)$

$(v_3, v_1)$

Arc  
Consistency

Path  
Consistency

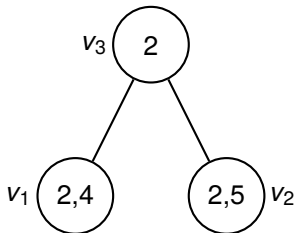
*i*-Consistency

AC  
Extensions

# Enforcing arc consistency: AC3



Example: Consider a constraint network with 3 variables  $v_1$ ,  $v_2$ ,  $v_3$  with domains  $D_1 = \{2, 4\}$  and  $D_2 = D_3 = \{2, 5\}$ , and two constraints expressed by  $v_3|v_1$  and  $v_3|v_2$  (“divides”).



Queue  
 $(v_1, v_3)$

Arc  
Consistency

Path  
Consistency

$i$ -Consistency

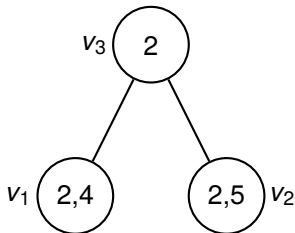
AC  
Extensions



# Enforcing arc consistency: AC3



Example: Consider a constraint network with 3 variables  $v_1$ ,  $v_2$ ,  $v_3$  with domains  $D_1 = \{2, 4\}$  and  $D_2 = D_3 = \{2, 5\}$ , and two constraints expressed by  $v_3|v_1$  and  $v_3|v_2$  (“divides”).



Queue

$(v_1, v_3)$

$(v_2, v_3)$

Arc  
Consistency

Path  
Consistency

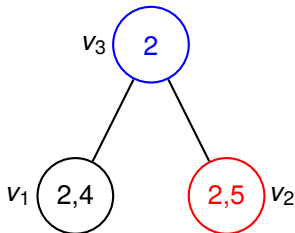
*i*-Consistency

AC  
Extensions

# Enforcing arc consistency: AC3



Example: Consider a constraint network with 3 variables  $v_1$ ,  $v_2$ ,  $v_3$  with domains  $D_1 = \{2, 4\}$  and  $D_2 = D_3 = \{2, 5\}$ , and two constraints expressed by  $v_3|v_1$  and  $v_3|v_2$  (“divides”).



*Queue*

$(v_1, v_3)$

$(v_2, v_3)$

Arc  
Consistency

Path  
Consistency

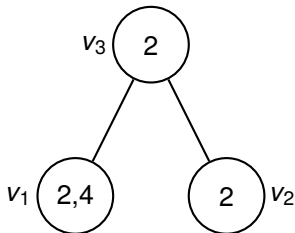
*i*-Consistency

AC  
Extensions

# Enforcing arc consistency: AC3



Example: Consider a constraint network with 3 variables  $v_1$ ,  $v_2$ ,  $v_3$  with domains  $D_1 = \{2, 4\}$  and  $D_2 = D_3 = \{2, 5\}$ , and two constraints expressed by  $v_3|v_1$  and  $v_3|v_2$  (“divides”).



Queue  
 $(v_1, v_3)$

Arc  
Consistency

Path  
Consistency

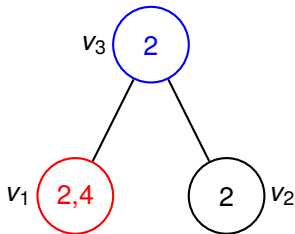
*i*-Consistency

AC  
Extensions

# Enforcing arc consistency: AC3



Example: Consider a constraint network with 3 variables  $v_1$ ,  $v_2$ ,  $v_3$  with domains  $D_1 = \{2, 4\}$  and  $D_2 = D_3 = \{2, 5\}$ , and two constraints expressed by  $v_3|v_1$  and  $v_3|v_2$  (“divides”).



Queue  
 $(v_1, v_3)$

Arc  
Consistency

Path  
Consistency

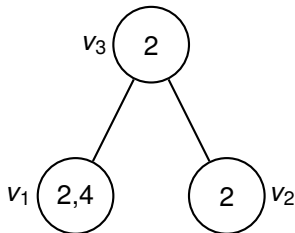
$i$ -Consistency

AC  
Extensions

# Enforcing arc consistency: AC3



Example: Consider a constraint network with 3 variables  $v_1$ ,  $v_2$ ,  $v_3$  with domains  $D_1 = \{2, 4\}$  and  $D_2 = D_3 = \{2, 5\}$ , and two constraints expressed by  $v_3|v_1$  and  $v_3|v_2$  (“divides”).



Queue

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

# Enforcing arc consistency: AC3



## Lemma

Let  $N$  be a constraint network with  $n$  variables, each with a domain of size  $\leq k$ , and  $e$  binary constraints.

Applying AC3 on the network runs in time  $\mathcal{O}(e \cdot k^3)$ .

## Proof.

Consider a single constraint. Each time, when it is reintroduced into the queue, the domain of one of its variables must have been changed. Since there are at most  $2 \cdot k$  values, AC3 processes each constraint at most  $2 \cdot k$  times. Because we have  $e$  constraints and processing of each is in time  $\mathcal{O}(k^2)$ , we obtain  $\mathcal{O}(e \cdot k^3)$ . □

Note: If the input network is arc-consistent, then AC3 runs in time  $\mathcal{O}(e \cdot k^2)$ .

Arc  
Consistency

Path  
Consistency

$i$ -Consistency

AC  
Extensions

# Enforcing arc consistency: AC4



- To verify that a network is arc-consistent needs  $e \cdot k^2$  operations.
- The following algorithm AC4 achieves optimal performance, ...
- at the cost of “best case performance”, which is  $\Omega(e \cdot k^2)$ .

Idea:

- Associate to each value  $a_i$  in the domain of variable  $v_i$  the amount of **support** from variable  $v_j$  (i.e., the number of values in  $D_j$  that are consistent with  $a_i$ );
- remove a value  $a_i$  if it loses support from any other variable

Details:

- $Q$ : queue of unsupported variable-value pairs;
- $counter(v_i, a_i, v_j)$ : amount of support for  $a_i$  from  $v_j$ ;
- $S[v_j, a_j]$ : set containing variable-value pairs  $(v_i, a_i)$  (with  $i \neq j$ ) supported by  $(v_j, a_j)$ .

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

# Enforcing arc consistency: AC4



## AC4(N):

*Input:* a constraint network  $N = \langle V, D, C \rangle$

*Result:* an equivalent, but arc-consistent network

$Q \leftarrow \emptyset$ ;

$S[v_j, a_j] \leftarrow \emptyset$ ,  $counter(v_i, a_i, v_j) \leftarrow 0$  for all  $R_{ij} \in C$ ,  $a_i \in D_i, a_j \in D_j$

**for** each  $R_{ij} \in C$ ,  $a_i \in D_i$

**for** each  $a_j \in D_j$

**if**  $(a_i, a_j) \in R_{ij}$  **then**

            increment  $counter(v_i, a_i, v_j)$  and add  $(v_i, a_i)$  to  $S[v_j, a_j]$

**if**  $counter(v_i, a_i, v_j) = 0$  **then**

        add  $(v_i, a_i)$  to  $Q$  and remove  $a_i$  from  $D_i$

**while**  $Q$  is not empty

    select and remove  $(v_j, a_j)$  from  $Q$

**for** each  $(v_i, a_i)$  in  $S[v_j, a_j]$

**if**  $a_i \in D_i$  **then**

            decrement  $counter(v_i, a_i, v_j)$

**if**  $counter(v_i, a_i, v_j) = 0$  **then**

            add  $(v_i, a_i)$  to  $Q$  and remove  $a_i$  from  $D_i$

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

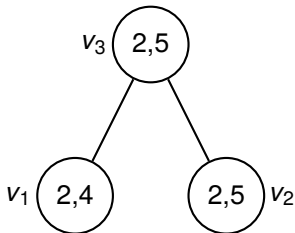


# Example: AC4



Consider the same network as for AC3.

Constraints:  $v_3|v_1$  and  $v_3|v_2$ .



Arc  
Consistency

Path  
Consistency

*i*-Consistency

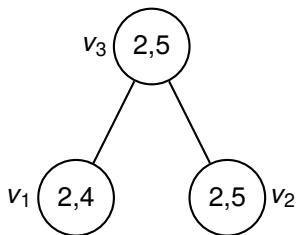
AC  
Extensions

# Example: AC4



Consider the same network as for AC3.

Constraints:  $v_3|v_1$  and  $v_3|v_2$ .



The initialization steps yield:

$$S[v_3, 2] = \{(v_1, 2), (v_1, 4), (v_2, 2)\}$$

$$S[v_3, 5] = \{(v_2, 5)\}$$

$$S[v_2, 2] = \{(v_3, 2)\}$$

$$S[v_2, 5] = \{(v_3, 5)\}$$

$$S[v_1, 2] = \{(v_3, 2)\}$$

$$S[v_1, 4] = \{(v_3, 2)\}$$

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

# Example: AC4



The initialization steps yield:

$$S[v_3, 2] = \{(v_1, 2), (v_1, 4), (v_2, 2)\} \quad S[v_3, 5] = \{(v_2, 5)\}$$

$$S[v_2, 2] = \{(v_3, 2)\} \quad S[v_2, 5] = \{(v_3, 5)\}$$

$$S[v_1, 2] = \{(v_3, 2)\} \quad S[v_1, 4] = \{(v_3, 2)\}$$

Furthermore:

$$\text{counter}(v_3, 2, v_1) = 2 \quad \text{and} \quad \text{counter}(v_3, 5, v_1) = 0.$$

All other counters are 1 (note: we only need consider counters between connected variables).

$$Q = \{(v_3, 5)\} \quad \text{and} \quad D_3 = \{2\}.$$

When  $(v_3, 5)$  is selected (and removed) from  $Q$ , we obtain  $\text{counter}(v_2, 5, v_3) = 0$ .  $(v_2, 5)$  is added to  $Q$  and 5 deleted from  $D_2$ .

Then  $(v_2, 5)$  is selected from  $Q$ .  $(v_2, 5)$  has only support for  $(v_2, 5)$  but 5 has already been removed from  $D_2$ .

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions



- **Fine-grained** algorithms (like AC4) directly propagate the removal of a value  $(v_i, a_i)$  to values  $(v_j, a_j)$  which were supported by  $(v_i, a_i)$
- ... while **coarse-grained** algorithms (like AC3) propagate changes on the level of the domains only
- Nevertheless coarse-grained algorithms have advantages: no need for additional data structures  $S[v_j, a_j]$  (costs for initialization and maintenance)
- **AC2001** is a coarse-grained method: works like AC3, but with a different revise function: achieves optimal run time  $\mathcal{O}(e \cdot k^2)$ .

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions



- Assume orderings on each of the domains (use dummy value *nil* smaller than all domain values)
- AC2001 first initializes and maintains pointers  $Last(v_i, a_i, v_j) \leftarrow nil$

**Revise2001**( $v_i, v_j$ ):

---

*Input:* a network with two variables  $v_i, v_j$ ,  
domains  $D_i$  and  $D_j$ , and constraint  $R_{ij}$

*Result:* a network with a refined domain  $D_i$

**for** each  $a_i$  in  $D_i$  with  $Last(v_i, a_i, v_j) \notin D_j$

$a_j \leftarrow$  the smallest value  $a$  in  $D_j$  with

$a > Last(v_i, a_i, v_j)$  and  $(a_i, a) \in R_{ij}$

**if**  $a_j$  exists **then**

$Last(v_i, a_i, v_j) \leftarrow a_j$

**else**

remove  $a_i$  from  $D_i$

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions



# Path Consistency

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions



Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

- Sometimes “enforcing arc consistency” is sufficient for detecting inconsistent (unsolvable) networks; but ...
  - enforcing arc consistency is not **complete** for deciding the satisfiability of networks; because ...
  - inferences rely only on domain constraints and single binary constraints defined on the domains.
- ⇒ We consider further concepts of **local consistency**

Let  $N = \langle V, D, C \rangle$  be a normalized constraint network.

## Definition

- (a) Given pairwise distinct variables  $v_i, v_j, v_k$  such that the constraints  $R_{ij}, R_{ik}, R_{kj}$  exist in  $N$ , the binary constraint  $R_{ij}$  (the variables  $v_i, v_j$ , resp.) is called **path-consistent** relative to variable  $v_k$  if for every pair  $(a_i, a_j) \in R_{ij}$ , there exists an  $a_k \in D_k$  such that  $(a_i, a_k) \in R_{ik}$  and  $(a_k, a_j) \in R_{kj}$ .
- (b) A set of distinct variables  $\{v_i, v_j, v_k\}$  is **path-consistent** if any pair of these variables is path-consistent relative to the omitted third variable.
- (c) A constraint network is **path-consistent** if all its three-element subsets of variables are path-consistent.

Arc  
Consistency

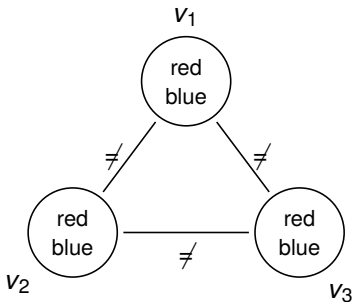
Path  
Consistency

$i$ -Consistency

AC  
Extensions



# An example



This network is arc-consistent, but not path-consistent.

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

**Revise3**( $\{v_i, v_j\}, v_k$ ):

---

*Input:* a binary network  $\langle V, D, C \rangle$  with variables  $v_i, v_j, v_k$

*Result:* a revised constraint  $R_{ij}$  path-consistent with  $v_k$

```
for each pair  $(a_i, a_j) \in R_{ij}$ 
  if there is no  $a_k \in D_k$  such that  $(a_i, a_k) \in R_{ik}$ 
    and  $(a_j, a_k) \in R_{jk}$ 
    then remove  $(a_i, a_j)$  from  $R_{ij}$ 
  endif
endfor
```

This is equivalent to applying:

$$R_{ij} \leftarrow R_{ij} \cap \pi_{ij}(R_{ik} \bowtie D_k \bowtie R_{kj})$$

**Revise3**( $\{v_i, v_j\}, v_k$ ):

---

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*Result:* a revised constraint  $R_{ij}$  path-consistent with  $v_k$

```
for each pair  $(a_i, a_j) \in R_{ij}$   
    if there is no  $a_k \in D_k$  such that  $(a_i, a_k) \in R_{ik}$   
        and  $(a_j, a_k) \in R_{jk}$   
        then remove  $(a_i, a_j)$  from  $R_{ij}$   
    endif  
endfor
```

This is equivalent to applying:

$$R_{ij} \leftarrow R_{ij} \cap \pi_{ij}(R_{ik} \bowtie D_k \bowtie R_{kj})$$



## Lemma

When applied to a constraint network  $N$ , procedure  $Revise3(\{v_i, v_j\}, v_k)$ :

- *does not do anything if the pair  $v_i, v_j$  is path-consistent relative to  $v_k$ , and otherwise*
- *transforms the network into an equivalent one where the pair  $v_i, v_j$  is path-consistent relative to  $v_k$ .*

## Proof.

From the definition of path consistency. □

Arc  
Consistency

Path  
Consistency

$i$ -Consistency

AC  
Extensions



## Lemma

*Let  $t$  be the maximal number of tuples in one of the binary constraints, and let  $k$  be an upper bound for the domain sizes.*

*The worst-case runtime of Revise3 is  $\mathcal{O}(t \cdot k)$ .*

*The best-case runtime of Revise3 is  $\Omega(t)$ .*

With respect to  $k$ , the complexity of Revise3 can also be expressed as  $\mathcal{O}(k^3)$  in the worst and  $\Omega(k^2)$  in the best case.

Arc  
Consistency

Path  
Consistency

$i$ -Consistency

AC  
Extensions

# Enforcing path consistency: PC1



**PC1(N):**

---

*Input:* a constraint network  $N = \langle V, D, C \rangle$

*Result:* an equivalent, path-consistent network

**repeat**

**for** each (ordered) triple of variables  $v_i, v_j, v_k$ :

        Revise3( $\{v_i, v_j\}, v_k$ )

**endfor**

**until** no constraint is changed

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

# Enforcing path consistency: Soundness of PC1



## Lemma

*When applied to a constraint network  $N$ , the PC1 algorithm computes a path-consistent constraint network which is equivalent to  $N$ .*

## Proof.

Follows directly from the properties of Revise3. □

# Enforcing path consistency: Complexity of PC1



## Lemma

*Let  $N$  be a constraint network with  $n$  variables, each with a domain of size  $\leq k$ . Let  $t$  be an upper bound of the number of tuples in one of the binary constraints in  $\mathcal{C}$ .*

*The worst-case runtime of PC1 on such networks is  $\mathcal{O}(n^5 \cdot t^2 \cdot k)$ .  
The best-case runtime of PC1 on such networks is  $\Omega(n^3 \cdot t)$ .*

The runtime bounds can also be stated as  $\mathcal{O}(n^5 \cdot k^5)$  and  $\Omega(n^3 \cdot k^2)$ , respectively.



# Enforcing path consistency: Complexity of PC1



## Proof (worst case).

In each iteration of the outer loop in PC1, only one value pair might be removed from one of the constraints. Hence the number of iterations may be as large as  $\mathcal{O}(n^2 \cdot t)$ .

Processing a specific triple of constraints (there are  $\mathcal{O}(n^3)$  many such triples) costs  $\mathcal{O}(t \cdot k)$ .

Hence each iteration costs  $\mathcal{O}(n^3 \cdot t \cdot k)$ . □

## Proof (best case).

In the best case, the network is already path-consistent and only one iteration through the outer loop is needed. There are  $\Omega(n^3)$  calls to Revise3, each requiring time  $\Omega(t)$  in the best case. □

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

# Enforcing path consistency: Complexity of PC1



## Proof (worst case).

In each iteration of the outer loop in PC1, only one value pair might be removed from one of the constraints. Hence the number of iterations may be as large as  $\mathcal{O}(n^2 \cdot t)$ .

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Hence each iteration costs  $\mathcal{O}(n^3 \cdot t \cdot k)$ . □

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Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions



## PC2(N):

---

*Input:* a constraint network  $N = \langle V, D, C \rangle$

*Result:* an equivalent, path-consistent network  $N'$

$queue \leftarrow \{(i, k, j) : 1 \leq i < j \leq n, 1 \leq k \leq n, k \neq i, k \neq j\}$

**while**  $queue$  is not empty

    select and remove a triple  $(i, k, j)$  from  $queue$

    Revise3( $\{v_i, v_j\}, v_k$ )

**if**  $R_{ij}$  has changed **then**

$queue \leftarrow queue \cup \{(l, i, j), (l, j, i) : 1 \leq l \leq n, l \neq i, j\}$

**endif**

**endwhile**

Arc  
Consistency

Path  
Consistency

$i$ -Consistency

AC  
Extensions

# Enforcing path consistency: Soundness of PC2



## Lemma

*When applied to a constraint network  $N$ , the PC2 algorithm computes a path-consistent constraint network which is equivalent to  $N$ .*

## Proof.

Equivalence follows directly from the properties of Revise3. To see that the remaining constraint network is path-consistent, verify the following invariant:

*Before and after each iteration of the **while**-loop, for each pair  $v_i, v_j$  which is not path-consistent relative to  $v_k$ , one of the triples  $(i, k, j)$  and  $(j, k, i)$  is contained in the queue.*



Arc  
Consistency

Path  
Consistency

$i$ -Consistency

AC  
Extensions

# Enforcing path consistency: Complexity of PC2



## Lemma

*Let  $N$  be a constraint network with  $n$  variables, each with a domain of size  $\leq k$ . Let  $t$  be an upper bound of the number of tuples in one of the binary constraints in  $N$ .*

*The worst-case runtime of PC2 on such networks is  $\mathcal{O}(n^3 \cdot t^2 \cdot k)$ .  
The best-case runtime of PC2 on such networks is  $\Omega(n^3 \cdot t)$ .*

Because of  $t \leq k^2$ , the runtime bounds can also be stated as  $\mathcal{O}(n^3 \cdot k^5)$  and  $\Omega(n^3 \cdot k^2)$ , respectively.

# Enforcing path consistency: Complexity of PC2



## Proof (worst case).

There are initially  $\mathcal{O}(n^3)$  elements in the queue. Whenever some constraint  $R_{ij}$  is reduced, which can happen at most  $\mathcal{O}(n^2 \cdot t)$  many times,  $\mathcal{O}(n)$  elements are added to the queue. Thus, the total number of elements added to the queue is bounded by  $\mathcal{O}(n^3 \cdot t)$ .

Each iteration of the **while** loop removes an element from the queue, so there are at most  $\mathcal{O}(n^3 \cdot t)$  iterations and hence at most  $\mathcal{O}(n^3 \cdot t)$  calls to `Revise3`, each requiring time  $\mathcal{O}(t \cdot k)$ , for a total runtime bound of  $\mathcal{O}(n^3 \cdot t^2 \cdot k)$ . □

## Proof (best case).

Similar to PC1. □

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

# Enforcing path consistency: Complexity of PC2



## Proof (worst case).

There are initially  $\mathcal{O}(n^3)$  elements in the queue. Whenever some constraint  $R_{ij}$  is reduced, which can happen at most  $\mathcal{O}(n^2 \cdot t)$  many times,  $\mathcal{O}(n)$  elements are added to the queue. Thus, the total number of elements added to the queue is bounded by  $\mathcal{O}(n^3 \cdot t)$ .

Each iteration of the **while** loop removes an element from the queue, so there are at most  $\mathcal{O}(n^3 \cdot t)$  iterations and hence at most  $\mathcal{O}(n^3 \cdot t)$  calls to `Revise3`, each requiring time  $\mathcal{O}(t \cdot k)$ , for a total runtime bound of  $\mathcal{O}(n^3 \cdot t^2 \cdot k)$ . □

## Proof (best case).

Similar to PC1. □

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

# Arc and path consistency: Overview



	Worst Case	Best Case
AC1	$\mathcal{O}(n \cdot k \cdot e \cdot t)$	$\Omega(e \cdot k)$
AC3	$\mathcal{O}(e \cdot k \cdot t)$	$\Omega(e \cdot k)$
AC4	$\mathcal{O}(e \cdot k^2)$	$\Omega(e \cdot k^2)$
PC1	$\mathcal{O}(n^5 \cdot t^2 \cdot k)$	$\Omega(n^3 \cdot t)$
PC2	$\mathcal{O}(n^3 \cdot t^2 \cdot k)$	$\Omega(n^3 \cdot t)$
PC4*	$\mathcal{O}(n^3 \cdot t \cdot k)$	$\Omega(n^3 \cdot t \cdot k)$

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Remark:  $\mathcal{O}(n^3 \cdot t \cdot k)$  is the optimal (worst-case) runtime for enforcing path consistency, i.e., there are constraint networks for which no better algorithm exists.

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Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions



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Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions



# Higher Levels of Local Consistency

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions



The local consistency notions presented so far can be roughly summarized as follows:

- **Arc consistency:** Every consistent assignment to a single variable can be consistently extended to any second variable.
- **Path consistency:** Every consistent assignment to two variables can be consistently extended to any third variable.

(Side remark: This is a bit of an oversimplification because we just considered normalized, binary networks and ignored  $k$ -ary constraints with  $k \geq 3$  so far. )

It is easy to see that the general idea of local consistency can be readily extended to larger variable sets.

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions



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*i*-Consistency

AC  
Extensions



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Arc  
Consistency

Path  
Consistency

$i$ -Consistency

AC  
Extensions



Let  $N = \langle V, D, C \rangle$  be a constraint network.

## Definition

$N$  is called  **$i$ -consistent** if any consistent instantiation of  $i - 1$  (distinct) variables  $x_1, \dots, x_{i-1}$  of the network can be extended to a *consistent* instantiation of the variables  $x_1, \dots, x_i$ , where  $x_i$  is any variable in  $V$  distinct from  $x_1, \dots, x_{i-1}$ .

Arc  
Consistency

Path  
Consistency

$i$ -Consistency

AC  
Extensions



## Definition

- A network  $N$  is **strongly  $i$ -consistent** if it is  $j$ -consistent for each  $j \leq i$ .
- A network  $N$  with  $n$  variables is **globally consistent** if it is strongly  $n$ -consistent.

Note: Solutions to globally consistent networks can be found without search. (How?)

Arc  
Consistency

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Extensions



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Arc  
Consistency

Path  
Consistency

$i$ -Consistency

AC  
Extensions



# Arc/path consistency vs. 2/3-consistency



Note that for binary, normalized networks:

- 2-consistency coincides with arc consistency.
- 3-consistency coincides with path consistency.

More generally, on binary networks,

- 2-consistency implies arc consistency.
- 3-consistency implies path consistency.

But, e.g., for networks with constraints of arity  $\geq 3$ ,  
3-consistency is (in general) **stricter** than path consistency.

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

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Consistency

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Consistency

*i*-Consistency

AC  
Extensions

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Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

# 3-Consistency: Examples



## Example

$$V = \{v_1, v_2, v_3\}$$

$$D_1 = D_2 = D_3 = \{0, 1\}$$

$$R_{123} = \{(0, 0, 0)\}$$

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

## Example

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$$D_1 = D_2 = D_3 = \{0, 1\}$$

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$$R_{12} = R_{13} = R_{23} = \{(0, 1), (1, 0), (1, 1)\}$$

# 3-Consistency: Examples



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Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

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**Revise- $i$** ( $\{x_1, \dots, x_{i-1}\}, x_i$ ):

---

*Input:* a normalized network  $\langle V, D, C \rangle$  and a constraint  $R_S$   
with scope  $S = \{x_1, \dots, x_{i-1}\}$

*Result:* a constraint  $R_S$  which is  $i$ -consistent rel. to  $v_i$

**for** each instantiation  $a_{-i} \in R_S$   
    **if** there is no  $a_i \in D_i$  such that  $(a_{-i}, a_i)$   
        is consistent  
    **then** remove  $a_{-i}$  from  $R_S$   
    **endif**  
**endfor**

- If the input network is binary, then Revise- $i$  runs in time  $\mathcal{O}(k^i)$ .
- In general, Revise- $i$  runs in time  $\mathcal{O}((2 \cdot k)^i)$ , since  $\mathcal{O}(2^i)$  constraints must be processed for each tuple.

Arc  
Consistency

Path  
Consistency

$i$ -Consistency

AC  
Extensions

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## **Enforce *i*-Consistency(*N*):**

*Input:* a normalized constraint network  $N = \langle V, D, C \rangle$ .

*Result:* a revised network equivalent to  $N$ .

**repeat**

**for** each subset  $S = \{x_1, \dots, x_{k-1}\} \subseteq V$  of size  $i - 1$  and each  $x_i \notin S$

    Revise-*i*( $\{x_1, \dots, x_{i-1}\}, x_i$ )

**endfor**

**until** no constraint is changed

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

The Revise-*i* call can equivalently be stated as follows:

Let  $\mathcal{S}$  be the set of all subsets of  $\{x_1, \dots, x_i\}$  that contain  $x_i$  and occur as scopes of some constraint in the network. Then apply

$$R_S \leftarrow R_S \cap \pi_S(\bigwedge_{S' \in \mathcal{S}} R_{S'}).$$



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Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

# *i*-Consistency: Complexity



## Lemma

Let  $N$  be a constraint network with  $n$  variables, each with a domain of size  $\leq k$ . When applied to  $N$ , the “Enforce *i*-Consistency” algorithm runs in time  $\mathcal{O}(2^i \cdot (n \cdot k)^{2i-1})$ .

## Proof.

Each call to Revise-*i* requires time  $\mathcal{O}((2 \cdot k)^i)$ . In each iteration of the outer loop,  $\mathcal{O}(n^i)$  combinations of  $S$  and  $v_i$  need to be processed. If only one tuple is removed from one constraint in each iteration up to the final one, the outer loop may need to iterate  $\mathcal{O}(n^{i-1} \cdot k^{i-1})$  times on each constraint.

This leads to an overall runtime of  $\mathcal{O}(2^i \cdot (n \cdot k)^{2i-1})$ . □

Note: Improvements similar to AC4 and PC4 exist and achieve a worst-case runtime of  $\mathcal{O}(n^i \cdot k^i)$ .

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions



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Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

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Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

# *i*-Consistency: Comparison to AC<sub>x</sub> and PC<sub>x</sub>



	Worst Case
<i>i</i> -consistency, <i>i</i> = 2	$\mathcal{O}(n^3 \cdot k^3)$
AC1	$\mathcal{O}(n \cdot k \cdot e \cdot t) = \mathcal{O}(n^3 \cdot k^3)$
AC3	$\mathcal{O}(e \cdot k \cdot t) = \mathcal{O}(n^2 \cdot k^3)$
AC4	$\mathcal{O}(n^2 \cdot k^2)$
improved <i>i</i> -consistency*, <i>i</i> = 2	$\mathcal{O}(n^2 \cdot k^2)$
<i>i</i> -consistency, <i>i</i> = 3	$\mathcal{O}(n^5 \cdot k^5)$
PC1	$\mathcal{O}(n^5 \cdot t^2 \cdot k) = \mathcal{O}(n^5 \cdot k^5)$
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\*not discussed in this lecture

Remark:  $\mathcal{O}(n^i \cdot k^i)$  is the optimal (worst-case) runtime for enforcing *i*-consistency, i.e., there are constraint networks (at any size) for which no better algorithm exists

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

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Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions



# Extensions of Arc Consistency

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions



- General  $i$ -consistency is powerful, but expensive to enforce.
- Usually, arc consistency and path consistency offer a good compromise between pruning power and computational overhead.
- However, they are of limited usefulness for constraints on more than two variables.

Arc  
Consistency

Path  
Consistency

$i$ -Consistency

AC  
Extensions

## Example

Consider a constraint network with three integer variables  $v_1, v_2, v_3 \geq 0$  and the constraints  $v_3 \geq 13$  and  $v_1 + v_2 + v_3 \leq 15$ . We should be able to infer  $v_1 \leq 2$  and  $v_2 \leq 2$ , but (binary) arc consistency is not enough!

↪ Consider generalizations of arc consistency to non-binary constraints.





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Arc  
Consistency

Path  
Consistency

$i$ -Consistency

AC  
Extensions

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↪ Consider generalizations of arc consistency to non-binary constraints.



Let  $N = \langle V, D, C \rangle$  be a normalized constraint network.

## Definition

- (a) A variable  $x_i$  is **(generalized) arc-consistent** relative to a constraint  $(s, R) \in C$  with  $x_i$  in  $s = (x_1, \dots, x_k)$  if for every value  $a_i \in D_i$  there exists a tuple  $a \in R \cap \pi_s(D_1 \times \dots \times D_n)$  with  $a[i] = a_i$ , i.e.,

$$D_i \subseteq \pi_i(R \cap \pi_s(D_1 \times \dots \times D_n)).$$

- (b) A constraint  $(x, R) \in C$  is **(generalized) arc-consistent** if all variables in its scope  $x$  are generalized arc-consistent relative to  $R$ .
- (c) A network  $N$  is **(generalized) arc-consistent** if all its constraints are generalized arc-consistent.

Arc  
Consistency

Path  
Consistency

$i$ -Consistency

AC  
Extensions

# Generalized arc consistency: Update rule



To enforce generalized arc consistency, repeatedly apply

$$D_i \leftarrow D_i \cap \pi_i(R_s \bowtie D_{S-\{v_i\}})$$

Note how this generalizes the usual arc consistency update rule:

$$D_i \leftarrow D_i \cap \pi_i(R_{ij} \bowtie D_j)$$



- Like arc consistency, generalized arc consistency propagates constraints by considering a **single constraint** at a time.
- In particular, it considers how assignments to **each individual variable** are restricted by the values allowed for the other variables participating in the constraint.
- Alternatively, we can consider how each individual variable restricts the values allowed **for the other variables** participating in the constraint:

$$R_{S-\{v_i\}} \leftarrow R_{S-\{v_i\}} \cap \pi_{S-\{v_i\}}(R_S \bowtie D_i)$$

(**relational arc consistency**)

- Note that in the case of binary constraints, these two cases are the same, so both approaches are natural generalizations of (binary) arc consistency.

Arc  
Consistency

Path  
Consistency

*i*-Consistency

AC  
Extensions

# Generalizations of arc consistency: Comparison



$$\text{AC: } D_i \leftarrow D_i \cap \pi_i(R_{ij} \bowtie D_j)$$

$$\text{generalized AC: } D_i \leftarrow D_i \cap \pi_i(R_s \bowtie D_{s-\{v_i\}})$$

$$\text{relational AC: } R_{s-\{v_i\}} \leftarrow R_{s-\{v_i\}} \cap \pi_{s-\{v_i\}}(R_s \bowtie D_i)$$

## Example

Consider a constraint network with three integer variables  $v_1, v_2, v_3 \geq 0$  and the constraints  $v_3 \geq 13$  and  $v_1 + v_2 + v_3 \leq 15$ .

- Generalized AC infers  $v_1 \leq 2, v_2 \leq 2$ .
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# Generalizations of arc consistency: Comparison



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