# Principles of AI Planning

8. Planning as search: relaxation heuristics

N N BURG

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### 1 Parallel plans



5**E** 

- Plan steps, serializations and parallel plans
- Forward states and parallel forward distances

#### Parallel plans

Plan steps Forward distan

Relaxed planning graphs

Relaxation heuristics

# Towards better relaxed plans



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Why does the greedy algorithm compute low-quality plans?

It may apply many operators which are not goal-directed.

How can this problem be fixed?

- Reaching the goal of a relaxed planning task is most easily achieved with forward search.
- Analyzing relevance of an operator for achieving a goal (or subgoal) is most easily achieved with backward search.

Idea: Use a forward-backward algorithm that first finds a path to the goal greedily, then prunes it to a relevant subplan.

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Plan steps Forward distances

Relaxed planning graphs

Relaxation heuristics

# Relaxed plan steps



How to decide which operators to apply in forward direction?

We avoid such a decision by applying all applicable operators simultaneously.

#### Definition (plan step)

A plan step is a set of operators  $\omega = \{\langle \chi_1, e_1 \rangle, \dots, \langle \chi_n, e_n \rangle\}.$ In the special case of all operators of  $\omega$  being relaxed, we further define:

- Plan step  $\omega$  is applicable in state s iff  $s \models \chi_i$  for all  $i \in \{1, ..., n\}.$
- The result of applying  $\omega$  to s, in symbols  $app_{\omega}(s)$ , is defined as the state s' with  $on(s') = on(s) \cup \bigcup_{i=1}^{n} [e_i]_s$ .

general semantics for plan steps \infty much later

Plan steps

# Applying relaxed plan steps: examples



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In all cases,  $s = \{a \mapsto 0, b \mapsto 0, c \mapsto 1, d \mapsto 0\}.$ 

$$\blacksquare$$
  $\omega = \{\langle c, a \rangle, \langle \top, b \rangle\}$ 

$$lacksquare$$
  $\omega = \{\langle c, a \rangle, \langle c, a \rhd b \rangle\}$ 

Plan steps

#### Enguard distance

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# Relaxed planning graphs

Relaxation heuristics

#### Serializations



Applying a relaxed plan step to a state is related to applying the operators in the step to a state in sequence.

#### Definition (serialization)

A serialization of plan step  $\omega = \{o_1^+, \dots, o_n^+\}$  is a sequence  $o_{\pi(1)}^+,\ldots,o_{\pi(n)}^+$  where  $\pi$  is a permutation of  $\{1,\ldots,n\}$ .

#### Lemma (conservativeness of plan step semantics)

If  $\omega$  is a plan step applicable in a state s of a relaxed planning task, then each serialization  $o_1, \ldots, o_n$  of  $\omega$  is applicable in s and app<sub>0</sub>,  $o_n(s)$  dominates app<sub> $\omega$ </sub>(s).

- Does equality hold for all/some serialization(s)?
- What if there are no conditional effects?
- What if we allowed general (unrelaxed) planning tasks?

Plan steps



#### Definition (parallel plan)

A parallel plan for a relaxed planning task  $\langle A, I, O^+, \gamma \rangle$  is a sequence of plan steps  $\omega_1, \dots, \omega_n$  of operators in  $O^+$  with:

- $s_0 := I$
- For i = 1,...,n, step  $\omega_i$  is applicable in  $s_{i-1}$  and  $s_i := app_{\omega_i}(s_{i-1})$ .
- $\blacksquare s_n \models \gamma$

Remark: By ordering the operators within each single step arbitrarily, we obtain a (regular, non-parallel) plan.

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## Forward states, plan steps and sets



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Idea: In the forward phase of the heuristic computation,

- apply plan step with all operators applicable initially,
- 2 apply plan step with all operators applicable then,
- 3 and so on.

#### Definition (forward state/plan step/set)

Let  $\Pi^+ = \langle A, I, O^+, \gamma \rangle$  be a relaxed planning task.

The *n*-th forward state, in symbols  $s_n^F$  ( $n \in \mathbb{N}_0$ ), the *n*-th forward plan step, in symbols  $\omega_n^F$  ( $n \in \mathbb{N}_1$ ), and the *n*-th forward set, in symbols  $S_n^F$  ( $n \in \mathbb{N}_0$ ), are defined as:

$$\mathbf{s}_0^\mathsf{F} := I$$

$$lacksquare \omega_n^{\mathsf{F}} := \{o \in O^+ \mid o ext{ applicable in } s_{n-1}^{\mathsf{F}} \} ext{ for all } n \in \mathbb{N}_1$$

$$lacksquare s_n^{\mathsf{F}} := app_{\omega_n^{\mathsf{F}}}(s_{n-1}^{\mathsf{F}}) ext{ for all } n \in \mathbb{N}_1$$

$$S_n^{\mathsf{F}} := on(s_n^{\mathsf{F}}) \text{ for all } n \in \mathbb{N}_0$$

Plans
Plan steps

Forward distances
Relaxed

Relaxed planning graphs

Relaxation heuristics



#### Definition (parallel forward distance)

The parallel forward distance of a relaxed planning task  $\langle A, I, O^+, \gamma \rangle$  is the lowest number  $n \in \mathbb{N}_0$  such that  $s_n^{\mathsf{F}} \models \gamma$ , or  $\infty$ if no forward state satisfies  $\gamma$ .

Remark: The parallel forward distance can be computed in polynomial time. (How?)

#### Definition (max heuristic $h_{max}$ )

Let  $\Pi = \langle A, I, O, \gamma \rangle$  be a planning task in positive normal form, and let s be a state of  $\Pi$ .

The max heuristic estimate for s,  $h_{max}(s)$ , is the parallel forward distance of the relaxed planning task  $\langle A, s, O^+, \gamma \rangle$ .

Remark:  $h_{\text{max}}$  is safe, goal-aware, admissible and consistent. (Whv?)

Forward distances

#### So far, so good...



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We have seen how systematic computation of forward states leads to an admissible heuristic estimate

- However, this estimate is very coarse.
- To improve it, we need to include backward propagation of information

For this purpose, we use so-called relaxed planning graphs.

Parallel plans Plan steps

Forward distances

Relaxed planning graphs

Relaxation heuristics

# 2 Relaxed planning graphs



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- Introduction
- Construction
- Truth values

Parallel plans

Relaxed planning graphs

Construction Truth values

Relaxation



#### Definition (AND/OR dag)

An AND/OR dag  $\langle V, A, type \rangle$  is a directed acyclic graph  $\langle V, A \rangle$  with a label function  $type: V \to \{\land, \lor\}$  partitioning nodes into AND nodes  $(type(v) = \land)$  and OR nodes  $(type(v) = \lor)$ .

Note: AND nodes drawn as squares, OR nodes as circles.

#### Definition (truth values in AND/OR dags)

Let  $G = \langle V, A, type \rangle$  be an AND/OR dag, and let  $u \in V$  be a node with successor set  $\{v_1, \dots, v_k\} \subseteq V$ .

The (truth) value of u, val(u), is inductively defined as:

- If  $type(u) = \land$ , then  $val(u) = val(v_1) \land \cdots \land val(v_k)$ .
- If  $type(u) = \vee$ , then  $val(u) = val(v_1) \vee \cdots \vee val(v_k)$ .

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Introduction Construction

Truth values

Heuristic

### Relaxed planning graphs



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Let  $\Pi^+$  be a relaxed planning task, and let  $k \in \mathbb{N}_0$ .

The relaxed planning graph of  $\Pi^+$  for depth k, in symbols  $RPG_k(\Pi^+)$ , is an AND/OR dag that encodes

- which propositions can be made true in k plan steps, and
- how they can be made true.

Its construction is a bit involved, so we present it in stages.

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Construction

Truth values

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### Running example



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As a running example, consider the relaxed planning task  $\langle A, I, \{o_1, o_2, o_3, o_4\}, \gamma \rangle$  with

$$A = \{a,b,c,d,e,f,g,h\}$$

$$I = \{a \mapsto 1,b \mapsto 0,c \mapsto 1,d \mapsto 1,$$

$$e \mapsto 0,f \mapsto 0,g \mapsto 0,h \mapsto 0\}$$

$$o_1 = \langle b \lor (c \land d),b \land ((a \land b) \rhd e) \rangle$$

$$o_2 = \langle \top,f \rangle$$

$$o_3 = \langle f,g \rangle$$

$$o_4 = \langle f,h \rangle$$

$$\gamma = e \land (a \land h)$$

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Relaxed planning graphs

Construction

Truth values

heuristics Summary

# Running example: forward sets and plan steps



$$\begin{split} I &= \{a \mapsto 1, b \mapsto 0, c \mapsto 1, d \mapsto 1, e \mapsto 0, f \mapsto 0, g \mapsto 0, h \mapsto 0\} \\ o_1 &= \langle b \lor (c \land d), b \land ((a \land b) \rhd e) \rangle \\ o_2 &= \langle \top, f \rangle, \quad o_3 = \langle f, g \rangle, \quad o_4 = \langle f, h \rangle \\ &\qquad S_0^F = \{a, c, d\} \\ &\qquad \omega_1^F = \{o_1, o_2\} \\ &\qquad S_1^F = \{a, b, c, d, f\} \\ &\qquad \omega_2^F = \{o_1, o_2, o_3, o_4\} \\ &\qquad S_2^F = \{a, b, c, d, e, f, g, h\} \\ &\qquad \omega_3^F = \omega_2^F \\ &\qquad S_2^F = S_2^F \text{ etc.} \end{split}$$

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Relaxation



A relaxed planning graph consists of four kinds of components:

- Proposition nodes represent the truth value of propositions after applying a certain number of plan steps.
- Idle arcs represent the fact that state variables, once true, remain true.
- Operator subgraphs represent the possibility and effect of applying a given operator in a given plan step.
- The goal subgraph represents the truth value of the goal condition after *k* plan steps.

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### Relaxed planning graph: proposition layers



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Let  $\Pi^+ = \langle A, I, O^+, \gamma \rangle$  be a relaxed planning task, let  $k \in \mathbb{N}_0$ .

For each  $i \in \{0,...,k\}$ ,  $RPG_k(\Pi^+)$  contains one proposition layer which consists of:

■ a proposition node  $a^i$  for each state variable  $a \in A$ .

Node  $a^i$  is an AND node if i = 0 and  $I \models a$ . Otherwise, it is an OR node.

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# Relaxed planning graph: proposition layers





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### Relaxed planning graph: idle arcs



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For each proposition node  $a^i$  with  $i \in \{1,...,k\}$ ,  $RPG_k(\Pi^+)$  contains an arc from  $a^i$  to  $a^{i-1}$  (idle arcs).

Intuition: If a state variable is true in step *i*, one of the possible reasons is that it was already previously true.

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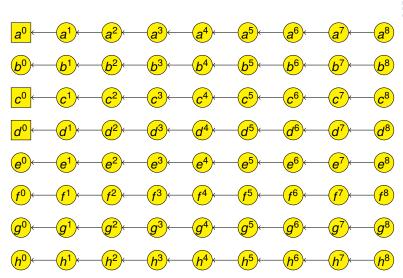
Construction
Truth values

Relaxation heuristics

# Relaxed planning graph: idle arcs







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# Relaxed planning graph: operator subgraphs



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For each  $i \in \{1, ..., k\}$  and each operator  $o^+ = \langle \chi, e^+ \rangle \in O^+$ ,  $RPG_k(\Pi^+)$  contains a subgraph called an operator subgraph with the following parts:

- one formula node  $n_{\varphi}^{i}$  for each formula  $\varphi$  which is a subformula of  $\chi$  or of some effect condition in  $e^{+}$ :
  - If  $\varphi = a$  for some atom a,  $n_{\varphi}^{i}$  is the proposition node  $a^{i-1}$ .
  - lacksquare If  $oldsymbol{arphi}= op$ ,  $n_{oldsymbol{arphi}}^{i}$  is a new AND node without outgoing arcs.
  - If  $\varphi = \bot$ ,  $n_{\varphi}^{i}$  is a new OR node without outgoing arcs.
  - If  $\varphi = (\varphi' \wedge \varphi'')$ ,  $n_{\varphi}^i$  is a new AND node with outgoing arcs to  $n_{\varphi'}^i$  and  $n_{\varphi''}^i$ .
  - If  $\varphi = (\varphi' \lor \varphi'')$ ,  $n_{\varphi}^i$  is a new OR node with outgoing arcs to  $n_{\varphi'}^i$  and  $n_{\varphi''}^i$ .

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planning graphs Introduction

Construction Truth values

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# Relaxed planning graph: operator subgraphs



For each  $i \in \{1,...,k\}$  and each operator  $o^+ = \langle \chi, e^+ \rangle \in O^+$ ,  $RPG_k(\Pi^+)$  contains a subgraph called an operator subgraph with the following parts:

- for each conditional effect  $(\chi' \triangleright a)$  in  $e^+$ , an effect node  $o^i_{\chi'}$  (an AND node) with outgoing arcs to the precondition formula node  $n^i_{\chi}$  and effect condition formula node  $n^i_{\chi'}$ , and incoming arc from proposition node  $a^i$ 
  - unconditional effects a (effects which are not part of a conditional effect) are treated the same, except that there is no arc to an effect condition formula node
  - effects with identical condition (including groups of unconditional effects) share the same effect node
  - $\blacksquare$  the effect node for unconditional effects is denoted by  $o^i$

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Construction
Truth values

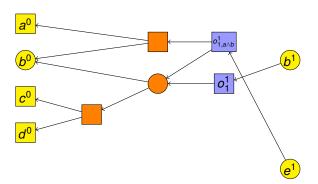
Relaxation

## Relaxed planning graph: operator subgraphs



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Operator subgraph for  $o_1 = \langle b \lor (c \land d), b \land ((a \land b) \rhd e) \rangle$  for layer i = 1.



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planning graphs Introduction

Construction Truth values

heuristics

# Relaxed planning graph: goal subgraph



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 $RPG_k(\Pi^+)$  contains a subgraph called a goal subgraph with the following parts:

- one formula node  $n_{\varphi}^k$  for each formula  $\varphi$  which is a subformula of  $\gamma$ :
  - If  $\varphi = a$  for some atom a,  $n_{\varphi}^{k}$  is the proposition node  $a^{i}$ .
  - If  $\phi = \top$ ,  $n_{\phi}^{k}$  is a new AND node without outgoing arcs.
  - lacksquare If arphi=ot,  $n_{arphi}^k$  is a new OR node without outgoing arcs.
  - If  $\varphi = (\varphi' \wedge \varphi'')$ ,  $n_{\varphi}^k$  is a new AND node with outgoing arcs to  $n_{\varphi'}^k$  and  $n_{\varphi''}^k$ .
  - If  $\varphi = (\varphi' \lor \varphi'')$ ,  $n_{\varphi}^k$  is a new OR node with outgoing arcs to  $n_{\varphi'}^k$  and  $n_{\varphi''}^k$ .

The node  $n_{\gamma}^{k}$  is called the goal node.

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planning graphs Introduction

Construction Truth values

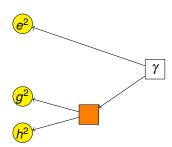
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### Relaxed planning graph: goal subgraphs



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Goal subgraph for  $\gamma = e \wedge (g \wedge h)$  and depth k = 2:



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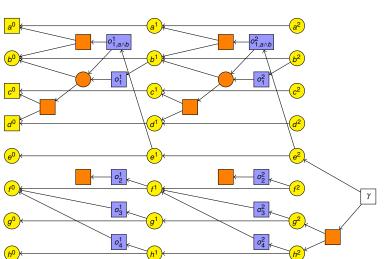
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# Relaxed planning graph: complete (depth 2)



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# Connection to forward sets and plan steps



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#### Theorem (relaxed planning graph truth values)

Let  $\Pi^+ = \langle A, I, O^+, \gamma \rangle$  be a relaxed planning task. Then the truth values of the nodes of its depth-k relaxed planning graph  $RPG_k(\Pi^+)$  relate to the forward sets and forward plan steps of  $\Pi^+$  as follows:

- Proposition nodes: For all  $a \in A$  and  $i \in \{0,...,k\}$ ,  $val(a^i) = 1$  iff  $a \in S_i^F$ .
- (Unconditional) effect nodes: For all  $o \in O^+$  and  $i \in \{1,...,k\}$ ,  $val(o^i) = 1$  iff  $o \in \omega_i^F$ .
- Goal nodes:  $val(n_{\gamma}^{k}) = 1$  iff the parallel forward distance of  $\Pi^{+}$  is at most k.

(We omit the straight-forward proof.)

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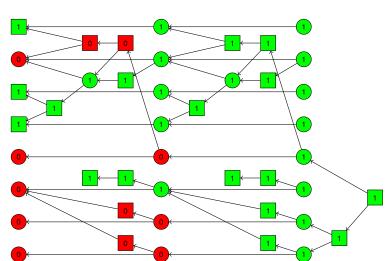
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# Computing the node truth values







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Remark: Relaxed planning graphs have historically been defined for STRIPS tasks only. In this case, we can simplify:

- Only one effect node per operator: STRIPS does not have conditional effects.
  - Because each operator has only one effect node, effect nodes are called operator nodes in relaxed planning graphs for STRIPS.
- No goal nodes: The test whether all goals are reached is done by the algorithm that evaluates the AND/OR dag.
- No formula nodes: Operator nodes are directly connected to their preconditions.
- → Relaxed planning graphs for STRIPS are layered digraphs and only have proposition and operator nodes.

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> planning graphs

Construction Truth values

neuristics

#### 3 Relaxation heuristics



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- Generic template for relaxation heuristics
- The max heuristic h<sub>max</sub>
- The additive heuristic h<sub>add</sub>
- The set-additive heuristic h<sub>sa</sub>
- Incremental computation
- The FF heuristic h<sub>FF</sub>
- Comparison & relaxation heuristics in practice

Parallel plans

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Generic template

n<sub>max</sub> h<sub>add</sub>

 $h_{\rm sa}$ 

Incremental computation

Comparison

# Computing parallel forward distances from RPGs



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So far, relaxed planning graphs offer us a way to compute parallel forward distances:

Parallel forward distances from relaxed planning graphs

```
def parallel-forward-distance(\Pi^+):

Let A be the set of state variables of \Pi^+.

for k \in \{0,1,2,\dots\}:

rpg := RPG_k(\Pi^+)

Evaluate truth values for rpg.

if goal node of rpg has value 1:

return k

else if k = |A|:

return \infty
```

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Relaxation heuristics

#### Generic template

```
h<sub>max</sub>
h<sub>add</sub>
```

n<sub>sa</sub> Incrementa

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Comparison &

# Remarks on the algorithm



- The relaxed planning graph for depth  $k \ge 1$  can be built incrementally from the one for depth k 1:
  - Add new layer k.
  - Move goal subgraph from layer k-1 to layer k.
- Similarly, all truth values up to layer k-1 can be reused.
- Thus, overall computation with maximal depth m requires time  $O(\|RPG_m(\Pi^+)\|) = O((m+1) \cdot \|\Pi^+\|)$ .
- This is not a very efficient way of computing parallel forward distances (and wouldn't be used in practice).
- However, it allows computing additional information for the relaxed planning graph nodes along the way, which can be used for heuristic estimates.

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Relaxed planning graphs

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Generic template

max

add

Incremental

h<sub>FF</sub>
Comparison 8

# Generic relaxed planning graph heuristics



#### Computing heuristics from relaxed planning graphs

```
def generic-rpg-heuristic(\langle A, I, O, \gamma \rangle, s):
```

```
\Pi^+ := \langle A, s, O^+, \gamma \rangle for k \in \{0, 1, 2, \dots\}:
```

 $rpg := RPG_k(\Pi^+)$ 

Evaluate truth values for rpg.

if goal node of rpg has value 1:

Annotate true nodes of rpg.

if termination criterion is true:

return heuristic value from annotations

else if k = |A|: return  $\infty$ 

generic template for heuristic functions

→ to get concrete heuristic: fill in highlighted parts

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heuristics Generic template

Generic template

h<sub>add</sub>

Incrementa

h<sub>FF</sub>

# Concrete examples for the generic heuristic



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#### Many planning heuristics fit the generic template:

- additive heuristic h<sub>add</sub> (Bonet, Loerincs & Geffner, 1997)
- max heuristic h<sub>max</sub> (Bonet & Geffner, 1999)
- FF heuristic *h*<sub>FF</sub> (Hoffmann & Nebel, 2001)
- cost-sharing heuristic h<sub>cs</sub> (Mirkis & Domshlak, 2007)
  - not covered in this course
- set-additive heuristic *h*<sub>sa</sub> (Keyder & Geffner, 2008)

#### Remarks:

- For all these heuristics, equivalent definitions that don't refer to relaxed planning graphs are possible.
- Historically, such equivalent definitions have mostly been used for  $h_{\text{max}}$ ,  $h_{\text{add}}$  and  $h_{\text{sa}}$ .
- For those heuristics, the most efficient implementations do not use relaxed planning graphs explicitly.

Parallel plans

Relaxed planning graphs

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#### Generic template

n<sub>max</sub> h<sub>add</sub>

h<sub>sa</sub>

Incremental computation

Comparison 8

Summan

#### Forward cost heuristics



- The simplest relaxed planning graph heuristics are forward cost heuristics.
- Examples: h<sub>max</sub>, h<sub>add</sub>
- Here, node annotations are cost values (natural numbers).
- The cost of a node estimates how expensive (in terms of required operators) it is to make this node true.

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#### Generic template

h<sub>max</sub>

h<sub>sa</sub>

Incremental computation

Comparison & practice



# Forward cost heuristics

#### Computing annotations:

- Propagate cost values bottom-up using a combination rules for OR nodes and for AND nodes.
- At effect nodes, add 1 after applying combination rule.

#### Termination criterion:

■ stability: terminate if cost for proposition node  $a^k$  equals cost for  $a^{k-1}$  for all true propositions a in layer k

#### Heuristic value:

- The heuristic value is the cost of the goal node.
- Different forward cost heuristics only differ in their choice of combination rules.

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h<sub>max</sub>

n<sub>add</sub> h<sub>sa</sub>

Incremental computation

Comparison practice

## The max heuristic $h_{\text{max}}$ (again)



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Forward cost heuristics: max heuristic  $h_{\max}$ 

Combination rule for AND nodes:

 $cost(u) = \max(\{cost(v_1), \dots, cost(v_k)\})$  (with  $\max(\emptyset) := 0$ )

Combination rule for OR nodes:

 $oldsymbol{ost}(u) = min(\{cost(v_1), \dots, cost(v_k)\})$ 

In both cases,  $\{v_1, \dots, v_k\}$  is the set of true successors of u.

#### Intuition:

- AND rule: If we have to achieve several conditions, estimate this by the most expensive cost.
- OR rule: If we have a choice how to achieve a condition, pick the cheapest possibility.

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Relaxed planning graphs

heuristics

Generic template

n<sub>max</sub> h<sub>add</sub>

Incremental

computation h<sub>FF</sub>

Comparison a

## Running example: $h_{\text{max}}$







#### Relaxed planning graphs

## Relaxation heuristics

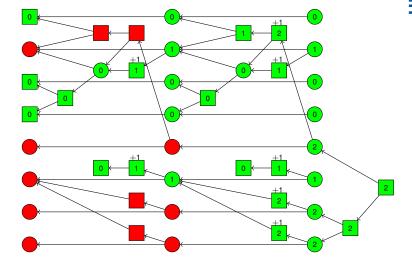
Generic template

#### h<sub>max</sub>

h<sub>add</sub>

Incrementa computatio

h<sub>FF</sub> Comparison





- The definition of  $h_{\text{max}}$  as a forward cost heuristic is equivalent to our earlier definition in this chapter.
- Unlike the earlier definition, it generalizes to an extension where every operator has an associated non-negative cost (rather than all operators having cost 1).
- In the case without costs (and only then), it is easy to prove that the goal node has the same cost in all graphs  $RPG_k(\Pi^+)$  where it is true. (Namely, the cost is equal to the lowest value of k for which the goal node is true.)
- We can thus terminate the computation as soon as the goal becomes true, without waiting for stability.
- The same is not true for other forward-propagating heuristics ( $h_{add}$ ,  $h_{cs}$ ,  $h_{sa}$ ).

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Relaxed planning graphs

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h<sub>max</sub>

h<sub>add</sub>

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Comparison & practice



## Forward cost heuristics: additive heuristic $h_{\rm add}$

Combination rule for AND nodes:

$$cost(u) = cost(v_1) + ... + cost(v_k)$$
(with  $\Sigma(\emptyset) := 0$ )

Combination rule for OR nodes:

```
oldsymbol{o} cost(u) = min({cost(v<sub>1</sub>),...,cost(v<sub>k</sub>)})
```

In both cases,  $\{v_1, \dots, v_k\}$  is the set of true successors of u.

#### Intuition:

- AND rule: If we have to achieve several conditions, estimate this by the cost of achieving each in isolation.
- OR rule: If we have a choice how to achieve a condition, pick the cheapest possibility.

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Generic template

n<sub>max</sub> h<sub>add</sub>

h<sub>sa</sub> Incremental

computation

Comparison practice

Summarv

## Running example: $h_{add}$



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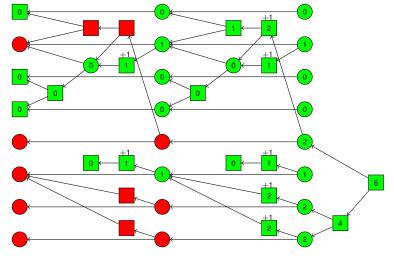
Generic template

n<sub>max</sub>

 $h_{\rm sa}$ 

Incremental computation

Comparison & practice





- It is important to test for stability in computing  $h_{add}$ ! (The reason for this is that, unlike  $h_{max}$ , cost values of true propositions can decrease from layer to layer.)
- Stability is achieved after layer |A| in the worst case.
- $\blacksquare$   $h_{\text{add}}$  is safe and goal-aware.
- Unlike  $h_{\text{max}}$ ,  $h_{\text{add}}$  is a very informative heuristic in many planning domains.
- The price for this is that it is not admissible (and hence also not consistent), so not suitable for optimal planning.
- In fact, it almost always overestimates the h<sup>+</sup> value because it does not take positive interactions into account.

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heuristics
Generic template

h

h<sub>add</sub>

n<sub>sa</sub> Incremental

Incremental computation

Comparison 8 practice



- FREIBU
- We now discuss a refinement of the additive heuristic called the set-additive heuristic h<sub>sa</sub>.
- The set-additive heuristic addresses the problem that h<sub>add</sub> does not take positive interactions into account.
- Like  $h_{\text{max}}$  and  $h_{\text{add}}$ ,  $h_{\text{sa}}$  is calculated through forward propagation of node annotations.
- However, the node annotations are not cost values, but sets of operators (kind of).
- The idea is that by taking set unions instead of adding costs, operators needed only once are counted only once.

Disclaimer: There are some quite subtle differences between the  $h_{\rm sa}$  heuristic as we describe it here and the "real" heuristic of Keyder & Geffner. We do not want to discuss this in detail, but please note that such differences exist.

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- The original h<sub>sa</sub> heuristic as described in the literature is defined for STRIPS tasks and propagates sets of operators.
- This is fine because in relaxed STRIPS tasks, each operator need only be applied once.
- The same is not true in general: in our running example, operator  $o_1$  must be applied twice in the relaxed plan.
- In general, it only makes sense to apply an operator again in a relaxed planning task if a previously unsatisfied effect condition has been made true.
- For this reason, we keep track of operator/effect condition pairs rather than just plain operators.

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## Set-additive heuristic: fitting the template



#### The set-additive heuristic $h_{sa}$

#### Computing annotations:

Annotations are sets of operator/effect condition pairs, computed bottom-up.

Combination rule for AND nodes:

■  $ann(u) = ann(v_1) \cup \cdots \cup ann(v_k)$  (with  $\bigcup (\emptyset) := \emptyset$ )

#### Combination rule for OR nodes:

■  $ann(u) = ann(v_i)$  for some  $v_i$  minimizing  $|ann(v_i)|$  In case of several minimizers, use any tie-breaking rule.

In both cases,  $\{v_1, \ldots, v_k\}$  is the set of true successors of u. At effect nodes, add the corresponding operator/effect condition pair to the set after applying combination rule.

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#### The set-additive heuristic $h_{sa}$ (ctd.)

#### Computing annotations:

...(Effect nodes for unconditional effects are represented just by the operator, without a condition.)

#### Termination criterion:

stability: terminate if set for proposition node  $a^k$  has same cardinality as for  $a^{k-1}$  for all true propositions a in layer k

#### Heuristic value:

■ The heuristic value is the set cardinality of the goal node annotation.

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h<sub>sa</sub>

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## Running example: $h_{\rm sa}$



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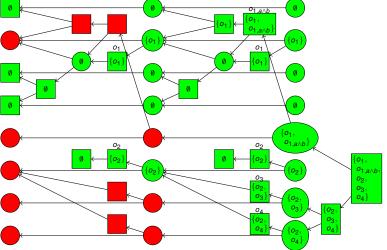
Generic template

h<sub>max</sub>

h<sub>sa</sub>

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- The same remarks for stability as for  $h_{add}$  apply.
- Like *h*<sub>add</sub>, *h*<sub>sa</sub> is safe and goal-aware, but neither admissible nor consistent
- $h_{sa}$  is generally better informed than  $h_{add}$ , but significantly more expensive to compute.
- The *h*<sub>sa</sub> value depends on the tie-breaking rule used, so *h*<sub>sa</sub> is not well-defined without specifying the tie-breaking rule
- The operators contained in the goal node annotation, suitably ordered, define a relaxed plan for the task.
  - Operators mentioned several times in the annotation must be added as many times in the relaxed plan.

# Incremental computation of forward heuristics



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One nice property of forward-propagating heuristics is that they allow incremental computation:

- when evaluating several states in sequence which only differ in a few state variables, can
  - start computation from previous results and
  - keep track only of what needs to be recomputed
- typical use case: depth-first style searches (e.g., IDA\*)
- rarely exploited in practice

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h<sub>sa</sub> Incremental

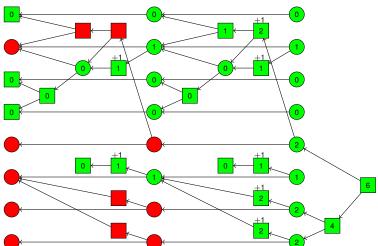
computation

Comparison 8 practice



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Result for  $\{a \mapsto 1, b \mapsto 0, c \mapsto 1, d \mapsto 1, e \mapsto 0, f \mapsto 0, g \mapsto 0, h \mapsto 0\}$ 



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## Relaxation heuristics

Generic template

h<sub>max</sub> h<sub>add</sub>

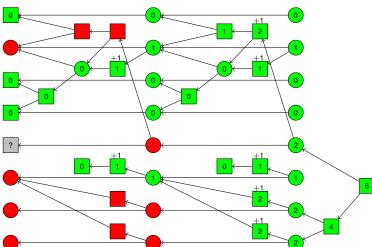
#### Incremental computation

h<sub>FF</sub> Comparison & practice



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Change value of e to 1.



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h<sub>max</sub> h<sub>add</sub>

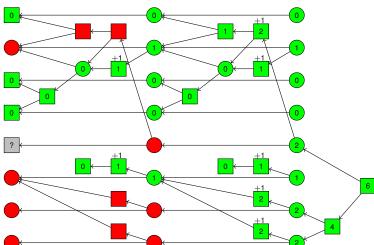
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Comparison & practice



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Recompute outdated values.



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h<sub>max</sub> h<sub>add</sub>

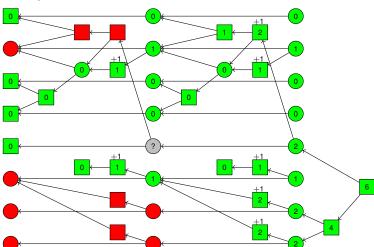
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h<sub>FF</sub>
Comparison &



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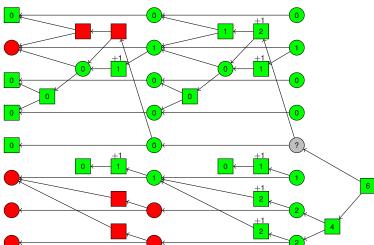
#### Incremental computation

h<sub>FF</sub>
Comparison & practice



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Recompute outdated values.



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Generic template

h<sub>max</sub> h<sub>add</sub>

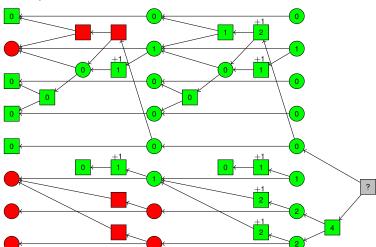
#### Incremental computation

h<sub>FF</sub>
Comparison & practice



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Recompute outdated values.



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Relaxation heuristics

Generic template

h<sub>max</sub> h<sub>add</sub>

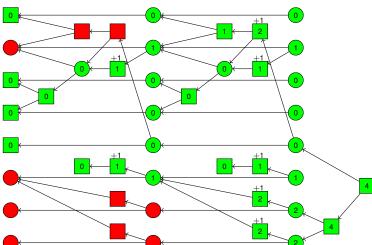
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h<sub>FF</sub>
Comparison & practice



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Recompute outdated values.



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Generic template

h<sub>max</sub> h<sub>add</sub>

#### Incremental computation

h<sub>FF</sub>
Comparison 8
practice



- $\blacksquare$   $h_{sa}$  is more expensive to compute than the other forward propagating heuristics because we must propagate sets.
- It is possible to get the same advantage over h<sub>add</sub> combined with efficient propagation.
- Key idea of h<sub>FF</sub>: perform a backward propagation that selects a sufficient subset of nodes to make the goal true (called a solution graph in AND/OR dag literature).
- The resulting heuristic is almost as informative as  $h_{sa}$ , yet computable as quickly as  $h_{add}$ .

Note: Our presentation inverts the historical order.
The set-additive heuristic was defined after the FF heuristic (sacrificing speed for even higher informativeness).

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h<sub>sa</sub>

Incremental computation

Comparison a

## FF heuristic: fitting the template



# The FF heuristic $h_{FF}$ Computing annotations:

■ Annotations are Boolean values, computed top-down.

A node is marked when its annotation is set to 1 and unmarked if it is set to 0. Initially, the goal node is marked, and all other nodes are unmarked.

We say that a true AND node is justified if all its true successors are marked, and that a true OR node is justified if at least one of its true successors is marked.

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Generic template

h<sub>add</sub>

h<sub>sa</sub> Incremental

computation

h<sub>FF</sub> Comparison 8 practice

## FF heuristic: fitting the template (ctd.)



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# The FF heuristic $h_{FF}$ (ctd.) Computing annotations:

...

Apply these rules until all marked nodes are justified:

- Mark all true successors of a marked unjustified AND node
- Mark the true successor of a marked unjustified OR node with only one true successor.
- Mark a true successor of a marked unjustified OR node connected via an idle arc.
- 4 Mark any true successor of a marked unjustified OR node.

The rules are given in priority order: earlier rules are preferred if applicable.

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h<sub>add</sub> h<sub>sa</sub>

Incremental computation

Comparison 8 practice

## FF heuristic: fitting the template (ctd.)



The FF heuristic  $h_{FF}$  (ctd.)

Termination criterion:

Always terminate at first layer where goal node is true.

Heuristic value:

The heuristic value is the number of operator/effect condition pairs for which at least one effect node is marked. Paralle plans

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## Running example: $h_{\rm FF}$



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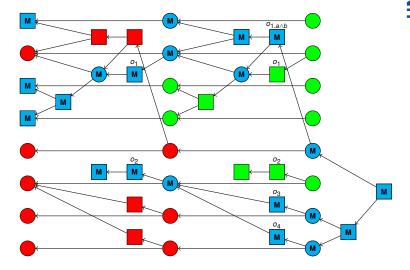
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Comparison & practice





- Like  $h_{add}$  and  $h_{sa}$ ,  $h_{FF}$  is safe and goal-aware, but neither admissible nor consistent.
- Its informativeness can be expected to be slightly worse than for  $h_{sa}$ , but is usually not far off.
- Unlike  $h_{sa}$ ,  $h_{FF}$  can be computed in linear time.
- Similar to  $h_{sa}$ , the operators corresponding to the marked operator/effect condition pairs define a relaxed plan.
- Similar to h<sub>sa</sub>, the h<sub>FF</sub> value depends on tie-breaking when the marking rules allow several possible choices, so h<sub>FF</sub> is not well-defined without specifying the tie-breaking rule.
  - The implementation in FF uses additional rules of thumb to try to reduce the size of the generated relaxed plan.

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Comparison &

## Comparison of relaxation heuristics



# FREIBU

#### Theorem (relationship between relaxation heuristics)

Let s be a state of planning task  $\langle A, I, O, \gamma \rangle$ . Then:

- $h_{max}(s) \le h^+(s) \le h^*(s)$
- $\blacksquare h_{max}(s) \leq h^+(s) \leq h_{sa}(s) \leq h_{add}(s)$
- $\blacksquare h_{max}(s) \le h^+(s) \le h_{FF}(s) \le h_{add}(s)$
- $\blacksquare$   $h^*$ ,  $h_{FF}$  and  $h_{sa}$  are pairwise incomparable
- $\blacksquare$   $h^*$  and  $h_{add}$  are incomparable

Moreover,  $h^+$ ,  $h_{max}$ ,  $h_{add}$ ,  $h_{sa}$  and  $h_{FF}$  assign  $\infty$  to the same set of states.

Note: For inadmissible heuristics, dominance is in general neither desirable nor undesirable. For relaxation heuristics, the objective is usually to get as close to  $h^+$  as possible.

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h<sub>sa</sub>

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## Relaxation heuristics in practice: HSP



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#### Example (HSP)

HSP (Bonet & Geffner) was one of the four top performers at the 1st International Planning Competition (IPC-1998).

#### Key ideas:

- hill climbing search using hadd
- on plateaus, keep going for a number of iterations, then restart
- use a closed list during exploration of plateaus

Literature: Bonet, Loerincs & Geffner (1997), Bonet & Geffner (2001)

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7<sub>max</sub>

h<sub>sa</sub>

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## Relaxation heuristics in practice: FF



#### Example (FF)

FF (Hoffmann & Nebel) won the 2nd International Planning Competition (IPC-2000).

#### Key ideas:

- enforced hill-climbing search using h<sub>FF</sub>
- helpful action pruning: in each search node, only consider successors from operators that add one of the atoms marked in proposition layer 1
- goal ordering: in certain cases, FF recognizes and exploits that certain subgoals should be solved one after the other

If main search fails, FF performs greedy best-first search using  $h_{\text{FF}}$  without helpful action pruning or goal ordering.

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Incremental computation

Comparison & practice

# Relaxation heuristics in practice: Fast Downward



#### Example (Fast Downward)

Fast Downward (Helmert & Richter) won the satisficing track of the 4th International Planning Competition (IPC-2004).

#### Key ideas:

- greedy best-first search using hear and causal graph heuristic (not relaxation-based)
- search enhancements:
  - multi-heuristic best-first search
  - deferred evaluation of heuristic estimates
  - preferred operators (similar to FF's helpful actions)

Literature: Helmert (2006)

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h<sub>sa</sub>

Incremental

Comparison 8

#### Relaxation heuristics in practice: SGPlan



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#### Example (SGPlan)

SGPlan (Wah, Hsu, Chen & Huang) won the satisficing track of the 5th International Planning Competition (IPC-2006). Key ideas:

- FF
- problem decomposition techniques
- domain-specific techniques

Literature: Chen, Wah & Hsu (2006)

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h<sub>sa</sub>

Incremental

computation

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## Relaxation heuristics in practice: LAMA



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#### Example (LAMA)

LAMA (Richter & Westphal) won the satisficing track of the 6th International Planning Competition (IPC-2008).

#### Key ideas:

- Fast Downward
- landmark pseudo-heuristic instead of causal graph heuristic ("somewhat" relaxation-based)
- anytime variant of Weighted A\* instead of greedy best-first search

Literature: Richter, Helmert & Westphal (2008), Richter & Westphal (2010)

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- FKE

- Relaxed planning graphs are AND/OR dags. They encode which propositions can be made true in  $\Pi^+$  and how.
  - Closely related to forward sets and forward plan steps, based on the notion of parallel relaxed plans.
  - They can be constructed and evaluated efficiently, in time  $O((m+1)||\Pi^+||)$  for planning task  $\Pi$  and depth m.
- By annotating RPG nodes with appropriate information, we can compute many useful heuristics.
- Examples: max heuristic h<sub>max</sub>, additive heuristic h<sub>add</sub>, set-additive heuristic h<sub>sa</sub> and FF heuristic h<sub>FF</sub>
  - $\blacksquare$  Of these, only  $h_{\text{max}}$  admissible (but not very accurate).
  - The others are much more informative. The set-additive heuristic is the most sophisticated one.
  - The FF heuristic is often similarly informative. It offers a good trade-off between accuracy and computation time.

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