

# Principles of AI Planning

## 15. Strong cyclic planning

Bernhard Nebel and Robert Mattmüller

Albert-Ludwigs-Universität Freiburg

January 31st, 2012

# Strong cyclic plans

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

Maintenance

Summary

# Planning objectives

## Strong plans

- The simplest objective for nondeterministic planning is the one we have considered in the previous lecture: reach a goal state with certainty.
- With this objective the nondeterminism can also be understood as **an opponent** like in 2-player games. The plan guarantees reaching a goal state no matter what the opponent does: plans are **winning strategies**.

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

Maintenance

Summary

# Planning objectives

## Limitations of strong plans

- In strong plans, goal states can be reached without visiting any state twice.
- This property guarantees that the length of executions is bounded by some constant (which is smaller than the number of states.)
- Some solvable problems are not solvable this way.
  - ① Action may fail to have any effect.  
Hit a coconut to break it.
  - ② Action may fail and take us away from the goals.  
Build a house of cards.

### Consequences:

- ① It is impossible to avoid visiting some states several times.
- ② There is no finite upper bound on execution length.

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation

Algorithm idea

Algorithm

Maintenance

Summary

# Planning objectives

When strong cyclic plans make sense

## Fairness assumption

For any nondeterministic operator  $\langle \chi, \{e_1, \dots, e_n\} \rangle$ , the “probability” of every effect  $e_i$ ,  $i = 1, \dots, n$ , is greater than 0.

Alternatively: For each  $s' \in \text{img}_o(s)$  the “probability” of reaching  $s'$  from  $s$  by  $o$  is greater than 0.

This assumption guarantees that a strong cyclic plan reaches the goal **almost certainly** (with probability 1).

This is **not compatible** with viewing nondeterminism as an opponent in a 2-player game: the opponent's strategy might rule out some of the choices  $e_1, \dots, e_n$ .

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

Maintenance

Summary

# Need for strong cyclic plans

## Example

### Example (Breaking a coconut)

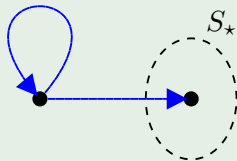
- Initial state: coconut is intact.
- Goal state: coconut is broken.
- On every hit the coconut may or may not break.
- There is no finite upper bound on the number of hits.

This is equivalent to coin tossing.

distance to  $S_*$

$\infty$

0

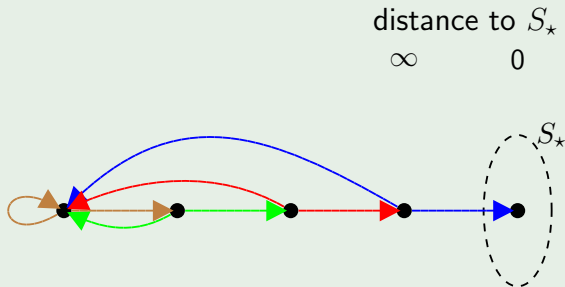


# Need for strong cyclic plans

## Example

### Example (Build a house of cards)

- Initial state: all cards lie on the table.
- Goal state: house of cards is complete.
- At every construction step the house may collapse.



# Strong cyclic planning algorithm

## Idea

- We now present an algorithm that finds plans that may loop (strong cyclic plans).
- The algorithm is rather tricky in comparison to the algorithm for strong plans.
- Every state covered by a plan satisfies two properties:
  - ① The state is **good**: there is at least one execution (= path in the graph defined by the plan) leading to a goal state.
  - ② Every successor state is either a goal state or good.
- The algorithm repeatedly eliminates states that are not good.

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation

Algorithm idea  
Algorithm

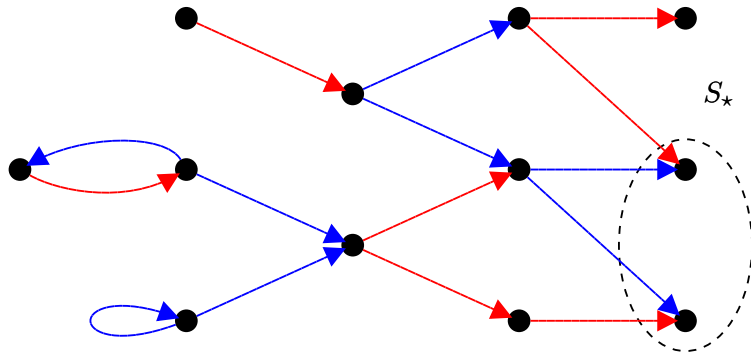
Maintenance

Summary



# Strong cyclic planning algorithm

## Example



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

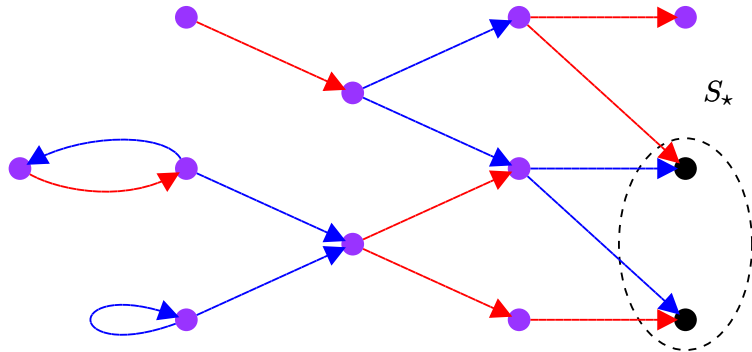
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

All states are candidates for being **good**.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation

Algorithm idea

Algorithm

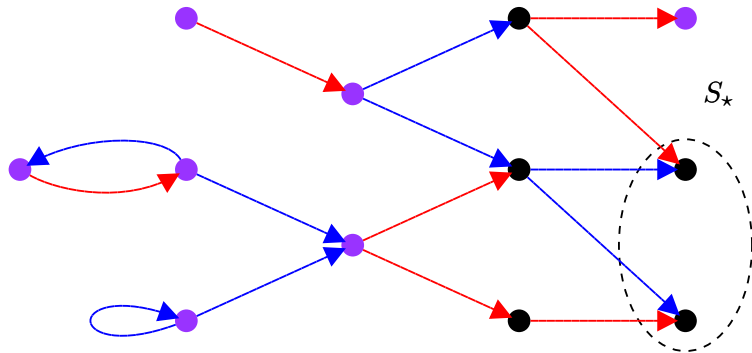
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

States from which goals are reachable in  $\leq 1$  steps so that all immediate successors are possibly good.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

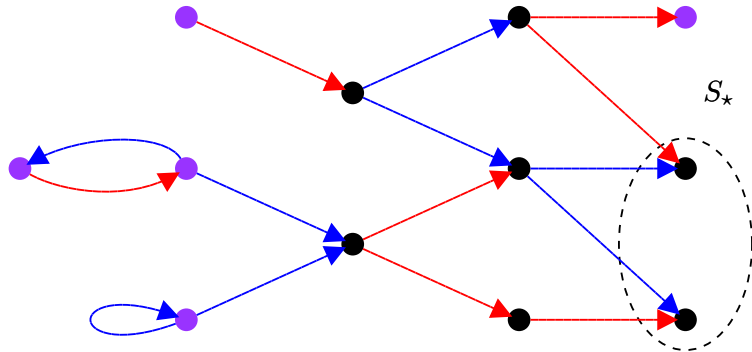
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

States from which goals are reachable in  $\leq 2$  steps so that all immediate successors are possibly good.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation

Algorithm idea

Algorithm

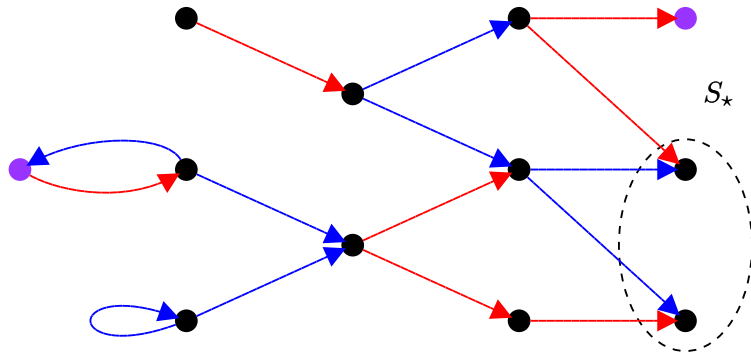
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

States from which goals are reachable in  $\leq 3$  steps so that all immediate successors are possibly good.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

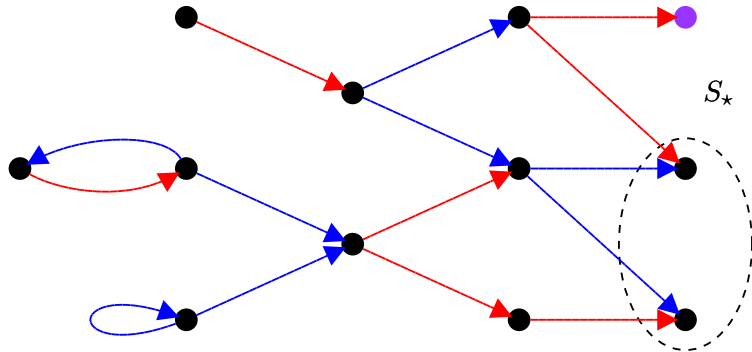
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

States from which goals are reachable in  $\leq 4$  steps so that all immediate successors are possibly good.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

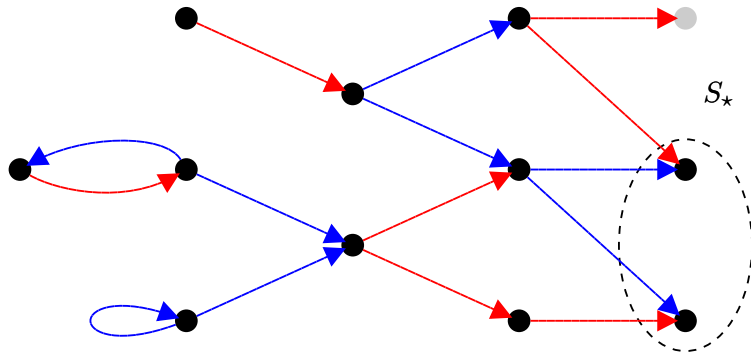
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

Eliminate states that turned out not to be good.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

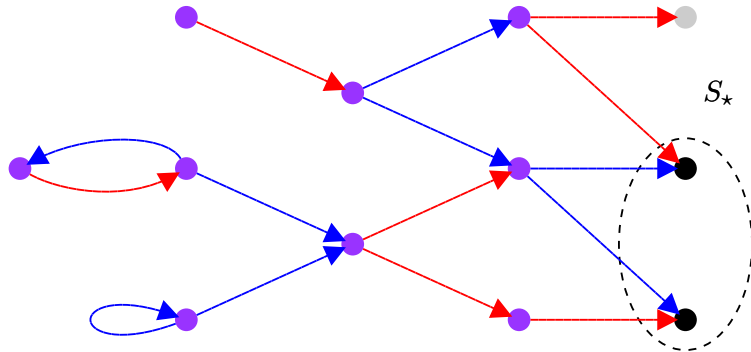
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

The set of possibly good states is now smaller.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

Maintenance

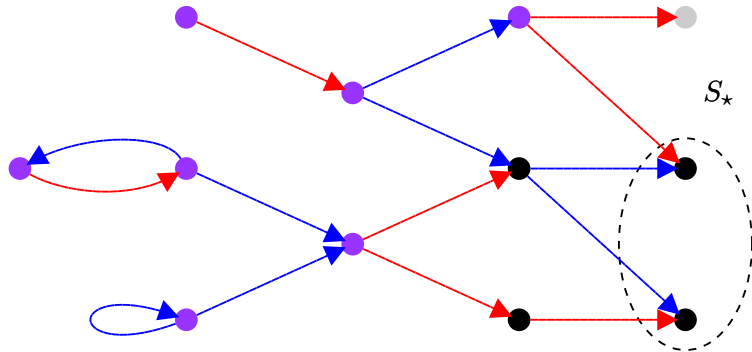
Summary



# Strong cyclic planning algorithm

## Example

States from which goals are reachable in  $\leq 1$  steps so that all immediate successors are possibly good.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation

Algorithm idea

Algorithm

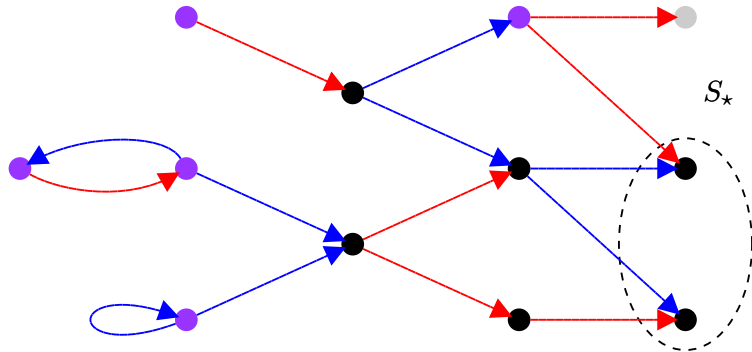
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

States from which goals are reachable in  $\leq 2$  steps so that all immediate successors are possibly good.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

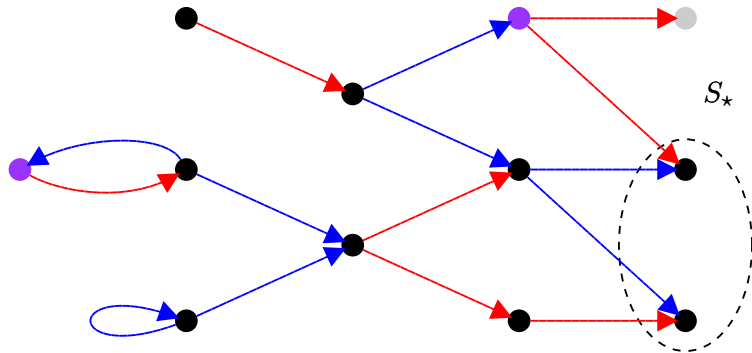
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

States from which goals are reachable in  $\leq 3$  steps so that all immediate successors are possibly good.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

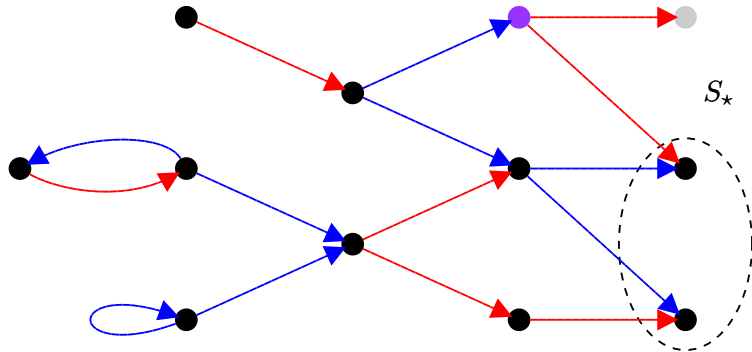
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

States from which goals are reachable in  $\leq 4$  steps so that all immediate successors are possibly good.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

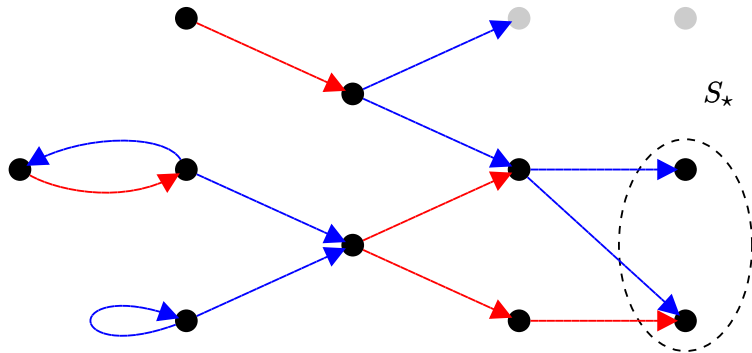
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

Eliminate states that turned out not to be good.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

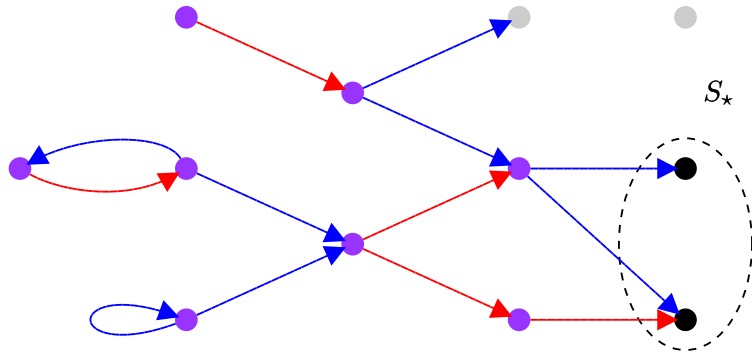
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

The set of possibly good states is now smaller.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

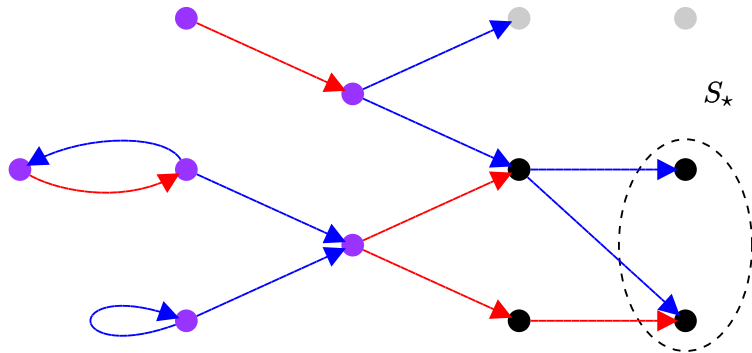
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

States from which goals are reachable in  $\leq 1$  steps so that all immediate successors are possibly good.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

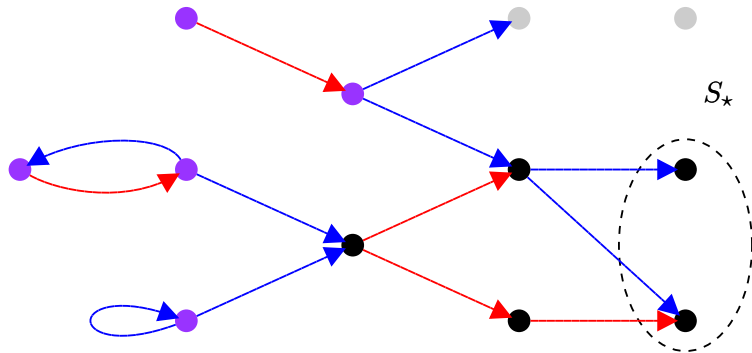
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

States from which goals are reachable in  $\leq 2$  steps so that all immediate successors are possibly good.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

Maintenance

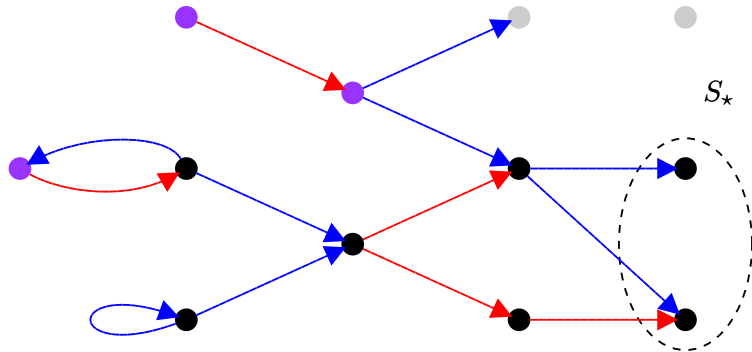
Summary



# Strong cyclic planning algorithm

## Example

States from which goals are reachable in  $\leq 3$  steps so that all immediate successors are possibly good.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

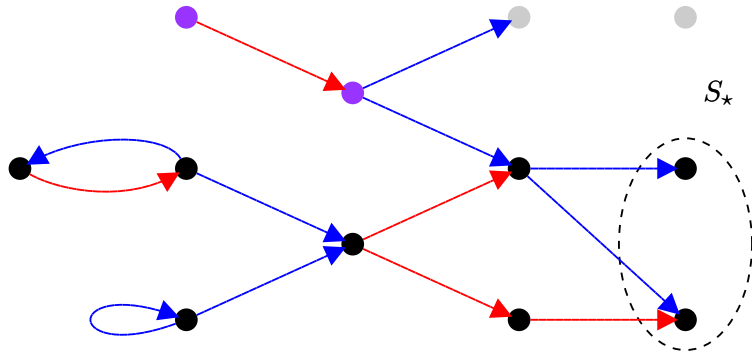
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

States from which goals are reachable in  $\leq 4$  steps so that all immediate successors are possibly good.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

Maintenance

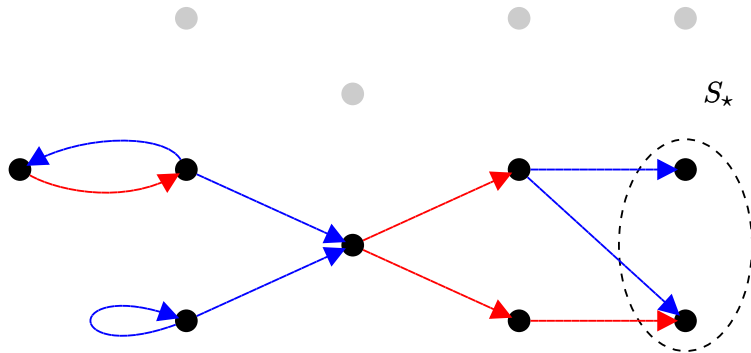
Summary

# Strong cyclic planning algorithm

## Example

Remaining states are all good.

A further iteration would not eliminate more states.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation

Algorithm idea

Algorithm

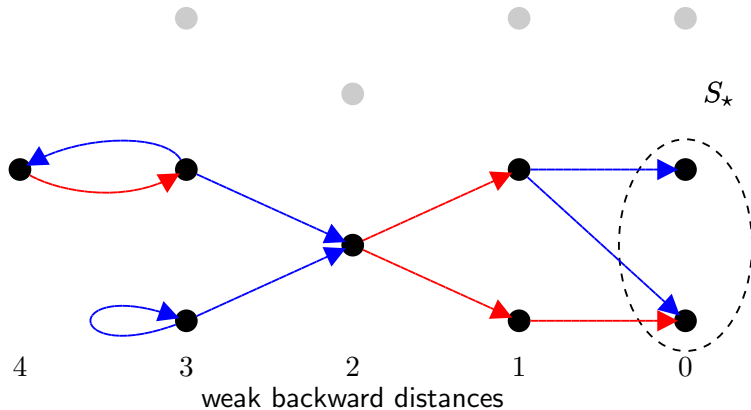
Maintenance

Summary

# Strong cyclic planning algorithm

## Example

Assign each state an operator so that the successor states are goal states or good, and some of them are closer to goal states. Use **weak distances** computed with **weak preimages**. For this example this is trivial.



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation

Algorithm idea  
Algorithm

Maintenance

Summary

# Strong cyclic plans

Recall the definition of cyclic strong plans:

## Definition (strong cyclic plan)

Let  $S$  be the set of states of a planning task  $\Pi$ . Then a **strong cyclic plan** for  $\Pi$  is a function  $\pi : S_\pi \rightarrow O$  for some subset  $S_\pi \subseteq S$  such that

- $\pi(s)$  is applicable in  $s$  for all  $s \in S_\pi$ ,
- $S_\pi(s_0) \subseteq S_\pi \cup S_\star$  ( $\pi$  is closed), and
- $S_\pi(s') \cap S_\star \neq \emptyset$  for all  $s' \in S_\pi(s_0)$  ( $\pi$  is proper).

# Procedure *prune*

- The procedure **prune** finds a maximal set of states for which reaching goals with looping is possible.
- It consists of two nested loops:
  - ① The outer loop iterates through  $i = 0, 1, 2, \dots$  and produces a **shrinking** sequence of candidate good state sets  $C_0, C_1, \dots, C_n$  until  $C_i = C_{i+1}$ .
  - ② The inner loop identifies **growing** sets  $W_j$  of states from which a goal state can be reached with  $j$  steps without leaving the current set of candidate good states  $C_i$ . The union of all  $W_0, W_1, \dots$  will be  $C_{i+1}$ .

# Procedure *prune*

## Definition

### Procedure *prune*

**def** *prune*( $S, O, S_*$ ):

$C_0 := S$

**for each**  $i \in \mathbb{N}_1$ :

$W_0 := S_*$

**for each**  $j \in \mathbb{N}_1$ :

$W_j := W_{j-1} \cup \bigcup_{o \in O} (wpreimg_o(W_{j-1}) \cap spreimg_o(C_{i-1}))$

**if**  $W_j = W_{j-1}$ :

**break**

$C_i := W_j$

**if**  $C_i = C_{i-1}$ :

**return**  $\langle C_i, \langle W_0, \dots, W_{j-1} \rangle \rangle$

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
**Algorithm**

Maintenance

Summary

# Procedure *prune*

Correctness

## Lemma (Procedure *prune*)

*Let  $S$  and  $S_\star \subseteq S$  be sets of states and  $O$  a set of operators. Then  $\text{prune}(S, O, S_\star)$  terminates after a finite number of steps and returns  $C \subseteq S$  such that there is a strategy  $\pi : C \setminus S_\star \rightarrow O$  that is a strong cyclic plan (for the states for which it is defined) and maximal in the sense that there is no set  $C' \supsetneq C$  and a strong cyclic plan  $\pi' : C' \setminus S_\star \rightarrow O$ .*

- The sets  $W_j$  also returned by *prune* encode weak distances and can be used to define the strong cyclic plan  $\pi$ .

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

Maintenance

Summary



# Strong cyclic planning algorithm

## Main algorithm

### The planning algorithm

```
def strong-cyclic-plan( $\langle V, I, O, \gamma \rangle$ ):  
     $S :=$  set of states over  $V$   
     $S_\star := \{s \in S \mid s \models \gamma\}$   
     $\langle C, (W_j)_{j=0,1,2,\dots} \rangle = \text{prune}(S, O, S_\star)$   
    if  $I \notin C$ :  
        return no solution  
    for each  $s \in C$ :  
         $\delta(s) := \min\{j \in \mathbb{N}_0 \mid s \in W_j\}$   
    for each  $s \in C \setminus S_\star$ :  
         $\pi(s) :=$  some operator  $o \in O$  with  $\text{img}_o(s) \subseteq C$   
            and  $\min\{\delta(s') \mid s' \in \text{img}_o(s)\} < \delta(s)$   
    return  $\pi$ 
```

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
**Algorithm**

Maintenance

Summary

# Strong cyclic planning algorithm

## Complexity

- The procedure *prune* runs in polynomial time in the number of states because the number of iterations of each loop is at most  $n$  – hence there are  $O(n^2)$  iterations – and computation on each iteration takes polynomial time in the number of states.
- Finding strong cyclic plans for full observability is in the complexity class EXPTIME.
- The problem is also EXPTIME-hard.
- Similar to strong planning, we can speed up the algorithm in many practical cases by using a symbolic implementation (e. g. with BDDs).

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Motivation  
Algorithm idea  
Algorithm

Maintenance

Summary

# Maintenance goals

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

**Maintenance**

Definition  
Example  
Algorithm

Summary

# Maintenance goals

- In this lecture, we usually limit ourselves to the problem of finding plans that **reach a goal state**.
- In practice, planning is often about more general goals, where execution cannot be terminated.
  - ① An animal: find food, eat, sleep, find food, eat, sleep, . . .
  - ② Cleaning robot: keep the building clean.
- These problems cannot be directly formalized in terms of reachability because infinite (unbounded) plan execution is needed.
- We do not discuss this topic in full detail. However, to give at least a little impression of **planning for temporally extended goals**, we will discuss the simplest objective with infinite plan executions: **maintenance**.

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Maintenance

Definition  
Example  
Algorithm

Summary

# Plan objectives

## Maintenance

### Definition

Let  $\mathcal{T} = \langle V, I, O, \gamma \rangle$  be a planning task with state set  $S$  and set of goal states  $S_\star = \{s \in S \mid s \models \gamma\}$ .

A strategy  $\pi$  for  $\mathcal{T}$  is called a **plan for maintenance** for  $\mathcal{T}$  iff

- $\pi(s)$  is applicable in  $s$  for all  $s \in S_\pi$ ,
- $S_\pi(s_0) \subseteq S_\pi$ , and
- $S_\pi(s_0) \subseteq S_\star$ .

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Maintenance

Definition

Example

Algorithm

Summary

# Maintenance goals

## Example

- The state of an animal is determined by three state values: hunger (0, 1, 2), thirst (0, 1, 2) and location (river, pasture, desert). There is also a special state called **death**.
- Thirst grows when not at river; at river it is 0.
- Hunger grows when not on pasture; on pasture it is 0.
- If hunger or thirst exceeds 2, the animal dies.
- The goal of the animal is to avoid death.

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Maintenance

Definition

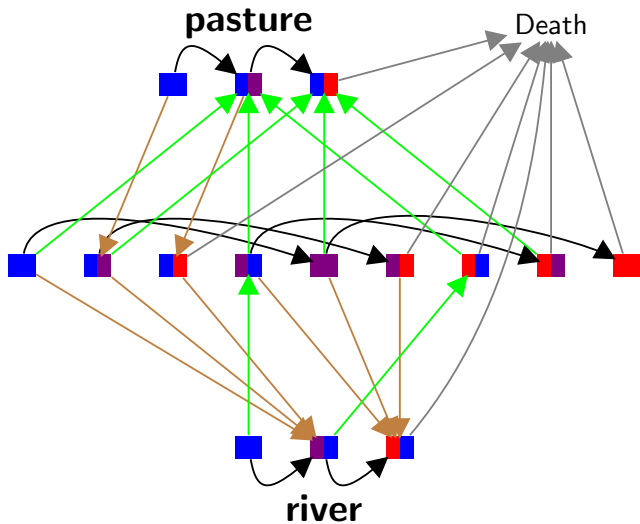
Example

Algorithm

Summary

# Maintenance goals

Transition system for the example



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Maintenance

Definition

Example

Algorithm

Summary

# Maintenance goals

Plan for the example

We can infer rules backwards starting from the death condition.

- 1 If in desert and **thirst = 2**, must go to river.
- 2 If in desert and **hunger = 2**, must go to pasture.
- 3 If on pasture and **thirst = 1**, must go to desert.
- 4 If at river and **hunger = 1**, must go to desert.

If the above rules conflict, the animal will die.

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Maintenance

Definition

Example

Algorithm

Summary



# Algorithm for maintenance goals

Idea

## Summary of the algorithm idea

Repeatedly eliminate from consideration those states that in one or more steps unavoidably lead to a non-goal state.

- A state is *i*-safe iff there is a plan that guarantees “survival” for the next *i* actions.
- A state is safe (or  $\infty$ -safe) iff it is *i*-safe for all  $i \in \mathbb{N}_0$ .
- The 0-safe states are exactly the goal states: maintenance objective is satisfied for the current state.
- Given all *i*-safe states, compute all *i* + 1-safe states by using strong preimages.
- For some  $i \in \mathbb{N}_0$ , *i*-safe states equal *i* + 1-safe states because there are only finitely many states and at each step and *i* + 1-safe states are a subset of *i*-safe states. Then *i*-safe states are also  $\infty$ -safe.

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Maintenance

Definition

Example

Algorithm

Summary

# Algorithm for maintenance goals

## Algorithm

### Planning for maintenance goals

**def** maintenance-plan( $\langle V, I, O, \gamma \rangle$ ):

$S :=$  set of states over  $V$

$Safe_0 := \{s \in S \mid s \models \gamma\}$

**for each**  $i \in \mathbb{N}_1$ :

$Safe_i := Safe_{i-1} \cap \bigcup_{o \in O} spreimg_o(Safe_{i-1})$

**if**  $Safe_i = Safe_{i-1}$ :

**break**

**if**  $I \notin Safe_i$ :

**return** no solution

**for each**  $s \in Safe_i$ :

$\pi(s) :=$  some operator  $o \in O$  with  $img_o(s) \subseteq Safe_i$

**return**  $\pi$

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Maintenance

Definition

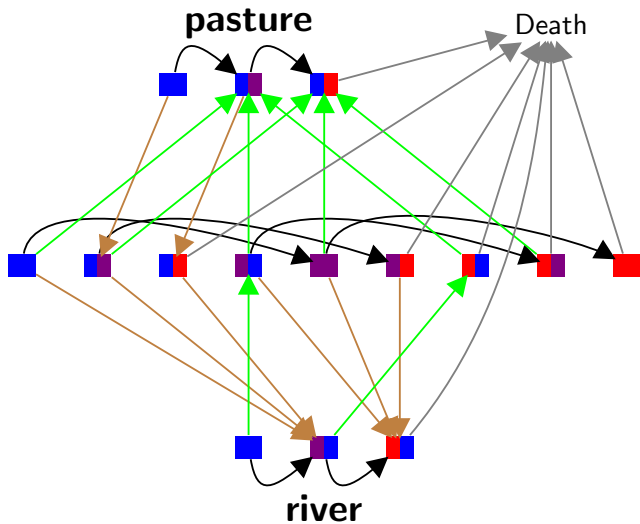
Example

Algorithm

Summary

# Maintenance goals

Transition system for the example



AI Planning

B. Nebel,  
R. Mattmüller

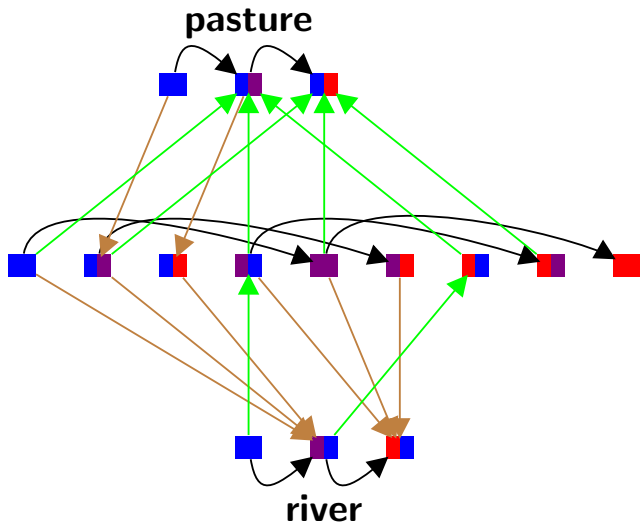
Strong cyclic  
plans

Maintenance  
Definition  
Example  
Algorithm

Summary

# Maintenance goals

0-safe states



AI Planning

B. Nebel,  
R. Mattmüller

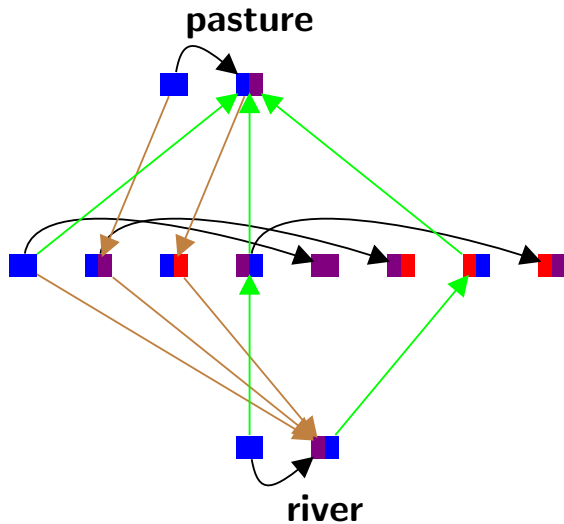
Strong cyclic  
plans

Maintenance  
Definition  
Example  
Algorithm

Summary

# Maintenance goals

1-safe states



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Maintenance

Definition

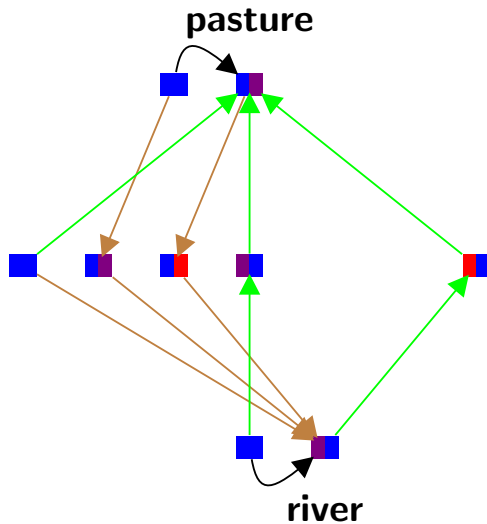
Example

Algorithm

Summary

# Maintenance goals

$i$ -safe states for all  $i \geq 2$



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Maintenance

Definition

Example

Algorithm

Summary

# Summary

AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Maintenance

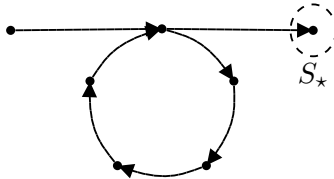
Summary

# Different planning objectives

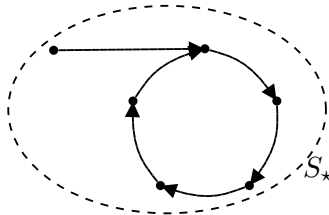
Strong planning



Strong cyclic planning



Maintenance



AI Planning

B. Nebel,  
R. Mattmüller

Strong cyclic  
plans

Maintenance

Summary



# Outlook: Computational tree logic

- We have considered different classes of solutions for planning tasks by defining **different planning problems**.
  - strong planning problem: find a strong plan
  - strong cyclic planning problem: find a strong cyclic plan
  - ...
- Alternatively, we could allow specifying goals in a **modal logic** like **computational tree logic** to directly express the type of plan we are interested in using **modalities** such as A (all), E (exists), G (globally), and F (finally).
  - Weak planning:  $EF\varphi$
  - Strong planning:  $AF\varphi$
  - Strong cyclic planning:  $AGEF\varphi$
  - Maintenance:  $AG\varphi$

# Summary

- We have extended our earlier planning algorithm from **strong** plans to **strong cyclic** plans.
- The story does not end there: When considering infinitely executing plans, many more types of goals are feasible.
- We considered **maintenance** as a simple example of a **temporally extended goal**.
- In general, temporally extended goals be expressed in **modal logics** such as computational tree logic (CTL).
- We presented dynamic programming (backward search) algorithms for strong cyclic and maintenance planning.
- In practice, one might implement both algorithms by using binary decision diagrams (BDDs) as a data structure for state sets.