

# Grammars

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# Overview

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- Sipser: the automata/machine approach
- Schoening: the grammar approach
- State links among the two approaches
- See more types of grammars:
  - ★ Regular grammars
  - ★ Context-free
  - ★ Context-sensitive
  - ★ Grammar
- Based on: Uwe Schoening's "Theoretische Informatik – Kurzgefasst", Spektrum.

# Grammars

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## DEFINITION:

A **grammar** is a 4-tuple  $(V, \Sigma, R, S)$ , where

1.  $V$  is a finite set called the **variables**,
2.  $\Sigma$  is a finite set, disjoint from  $V$ , called the **terminals**
3.  $R$  is a finite set of **rules**, with each rule  $u \rightarrow v$  having  $u \in (V \cup \Sigma)^+$  and  $v \in (V \cup \Sigma)^*$
4.  $S \in V$  is the **start symbol**.

Most concepts carry over from CFGs, i.e. derivation, language accepted by grammar, ambiguity, leftmost derivation, ...

# Natural language example:

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<SENTENCE> → <NOUN-PHRASE><VERB-PHRASE>  
<NOUN-PHRASE> → <CMPLX-NOUN>|<CMPLX-NOUN><PREP-PHRASE>  
<VERB-PHRASE> → <CMPLX-VERB>|<CMPLX-VERB><PREP-PHRASE>  
<PREP-PHRASE> → <PREP><CMPLX-NOUN>  
<CMPLX-NOUN> → <ARTICLE><NOUN>  
<CMPLX-VERB> → <VERB>|<VERB><NOUN-PHRASE>  
<ARTICLE> → a | the  
<NOUN> → boy | girl | flower  
<VERB> → touches | likes | sees  
<PREP> → with

a boy sees

the boy sees a flower

a girl with a flower likes the boy

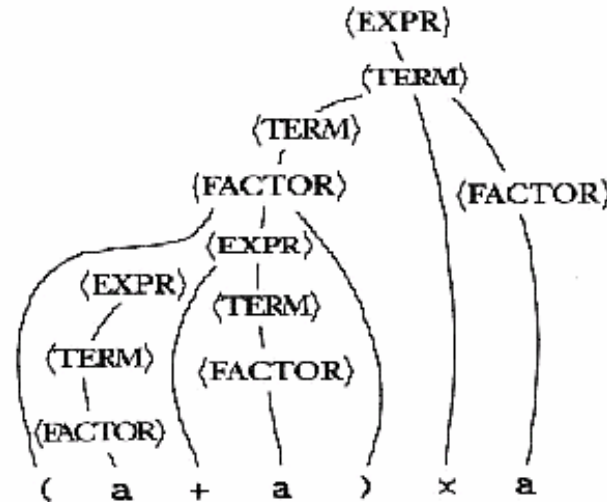
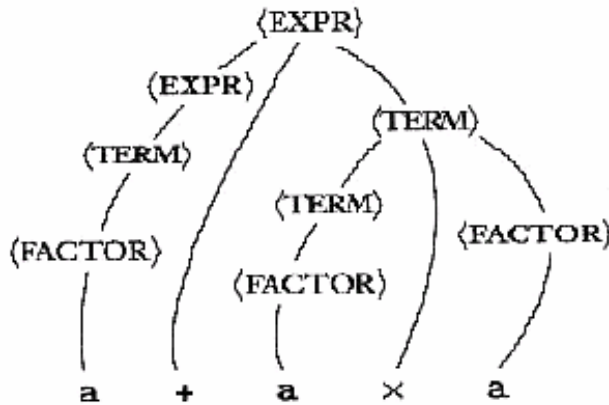
**<SENTENCE> → <NOUN-PHRASE><VERB-PHRASE>**  
**<NOUN-PHRASE> → <CMPLX-NOUN>|<CMPLX-NOUN><PREP-PHRASE>**  
**<VERB-PHRASE> → <CMPLX-VERB>|<CMPLX-VERB><PREP-PHRASE>**  
**<PREP-PHRASE> → <PREP><CMPLX-NOUN>**  
**<CMPLX-NOUN> → <ARTICLE><NOUN>**  
**<CMPLX-VERB> → <VERB>|<VERB><NOUN-PHRASE>**  
**<ARTICLE> → a | the**  
**<NOUN> → boy | girl | flower**  
**<VERB> → touches | likes | sees**  
**<PREP> → with**

**<SENTENCE> ⇒ <NOUN-PHRASE><VERB-PHRASE>**  
**⇒ <CMPLX-NOUN><VERB-PHRASE>**  
**⇒ <ARTICLE><NOUN><VERB-PHRASE>**  
**⇒ a <NOUN><VERB-PHRASE>**  
**⇒ a boy <VERB-PHRASE>**  
**⇒ a boy <CMPLX-VERB>**  
**⇒ a boy <VERB>**  
**⇒ a boy sees**

# Parsing

$G_3 = (V, \Sigma, R, \langle Expr \rangle)$   
 $V = \{\langle Expr \rangle, \langle Term \rangle, \langle Factor \rangle\}$   
 $\Sigma = \{a, +, \times, (, )\}$   
 $R$  is  
 $\langle Expr \rangle \rightarrow \langle Expr \rangle + \langle Term \rangle \mid \langle Term \rangle$   
 $\langle Term \rangle \rightarrow \langle Term \rangle \times \langle Factor \rangle \mid \langle Factor \rangle$   
 $\langle Factor \rangle \rightarrow (\langle Expr \rangle) \mid a$

- ★ Construct meaning (parse tree)



- ★ Parse trees for the strings **a + a x a** and **(a + a) x a**

$$S \rightarrow aSBC$$

$$S \rightarrow aBC$$

$$CB \rightarrow BC$$

$$aB \rightarrow ab$$

$$bB \rightarrow bb$$

$$bC \rightarrow bc$$

$$cC \rightarrow cc$$

$$L(G) = \{a^n b^n c^n \mid n \geq 1\}$$

$$S \Rightarrow aSBC$$

$$\Rightarrow aaSBCBC$$

$$\Rightarrow aaaBCBCBC$$

$$\Rightarrow aaaBBCCBC$$

$$\Rightarrow aaaBBCBCC$$

$$\Rightarrow aaaBBBCCC$$

$$\Rightarrow aaabBBCCC$$

$$\Rightarrow aaabbBCCC$$

$$\Rightarrow aaabbbCCC$$

$$\Rightarrow aaabbbcCC$$

$$\Rightarrow aaabbbccC$$

$$\Rightarrow aaabbbccc$$

# Chomsky Hierarchy

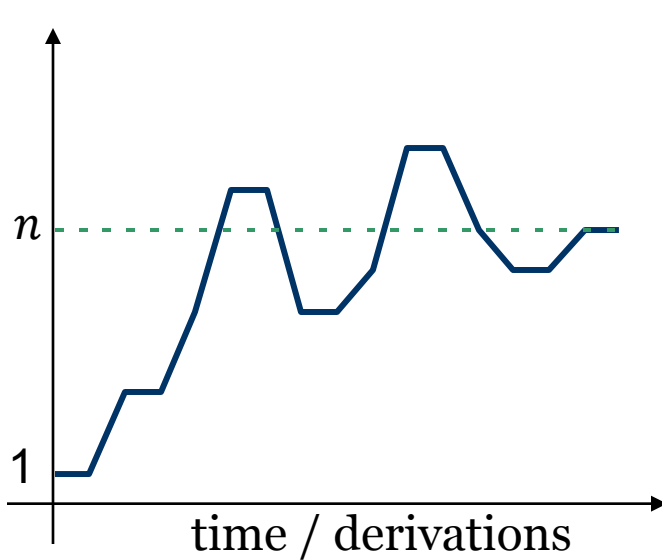
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- Type 0 : every grammar; Turing recognisable language
- Type 1 : context-sensitive
  - for all rules  $u \rightarrow v$  holds :  $|u| \leq |v|$
- Type 2 : context free
  - for all rules  $u \rightarrow v$  holds :  $u$  is a single variable
- Type 3 : regular
  - for all rules  $u \rightarrow v$  holds :  $u$  is a single variable
  - and  $v$  is either a terminal or a terminal followed by a variable
- Exception for
  - $\varepsilon$  : the empty string
  - Type 1 :  $S \rightarrow \varepsilon$  and  $S$  start symbol that does not appear at the right hand side
  - Type 2 and 3 :  $A \rightarrow \varepsilon$  is allowed

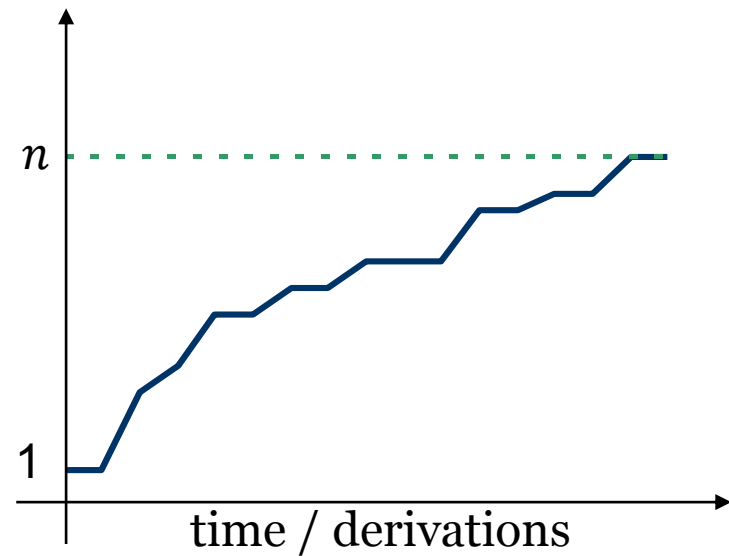


# Difference Type 0 and Type 1

- Type 0 : every grammar; Turing recognisable language
  - Type 1 : context-sensitive; for all rules  $u \rightarrow v$  holds:  $|u| \leq |v|$
- $n$  is the length of your string, then:



Type 0



Type 1

# Acceptance Problem for Grammars of Type 1, 2, or 3

$$A_{L(G)} = \{(G, w) \mid w \in L(G)\}$$

## Theorem

$A_{L(G)}$  is decidable if  $G$  of Type 1,2 or 3

## Proof

For  $m, n \in \mathbb{N}$ , define

$$T_m^n = \{w \in (V \cup \Sigma)^* \mid |w| \leq n \text{ and } w \text{ can be derived from } S \text{ in at most } m \text{ derivation steps}\}$$

$T_m^n, n \geq 1$  can be defined inductively

$$T_0^n = \{S\}$$

$$T_{m+1}^n = Der_n(T_m^n) \text{ where } Der_n(X) = X \cup \{w \in (V \cup \Sigma)^* \mid |w| \leq n \text{ and } w' \Rightarrow w \text{ for some } w' \in X\}$$

As there are only a finite number of words

of length  $n$ , it must be that for some  $m$

$$T_m^n = T_{m+1}^n = T_{m+2}^n = \dots$$

and this  $T_m^n$  must contain  $w$  if  $|w| = n$  and  $w \in L(G)$

# Acceptance Problem for Grammars of Type 1, 2, or 3 (ctd.)

## Algorithm

Input  $(G, w); |w| = n$

$T := \{S\}$

Repeat

$T_1 := T;$

$T := Der_n(T_1);$

Until  $w \in T$  or  $T = T_1$

If  $w \in T$  then output *yes*; otherwise output *no*

Compute e.g.  $T_4^4$

for the following grammar:

$S \rightarrow AB$

$A \rightarrow AB \mid a$

$B \rightarrow b$

$T_0^4 = \{S\}$

$T_1^4 = \{S, AB\}$

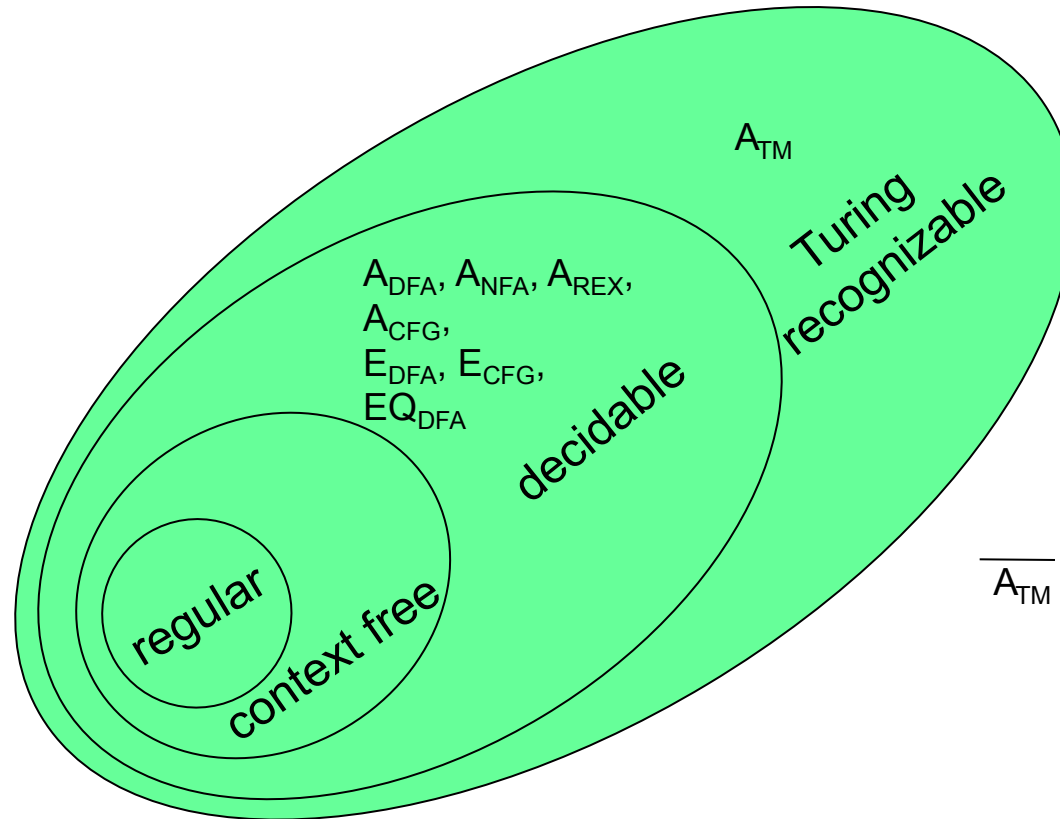
$T_2^4 = \{S, AB, ABB, aB, Ab\}$

$T_3^4 = \{S, AB, ABB, aB, Ab, AB BB, aBB, ab, ABb, AbB\}$

$T_4^4 = \{S, AB, ABB, aB, Ab, AB BB, aBB, ab, ABb, AbB, aBBB, AbBB, ABbB, AB Bb, Abb\}$

$Type3 \subset Type2 \subset Type1 \subset Decidable \subset Type0 \subset Languages$

# Earlier



The relationship among languages

# Machines corresponding to languages

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- Type 3, regular languages :
  - ★ Regular grammar, DFA, NFA, regular expression
- Type 2, context-free languages :
  - ★ Context free grammar, PDA
- Type 1, context-sensitive language
  - ★ Context sensitive grammar, LBA
- Type 0, Turing recognizable
  - ★ Grammar, Turing machine

# Deterministic versus non-deterministic machines

- NFA and DFA are equivalent
- PDA and DPA are not equivalent
  - ★ DPA : deterministic subset of PDA
- The LBA-problem: Are LBA and DLBA equivalent?
  - ★ In short: LBA = DLBA?
  - ★ Still an open question!
- NTM and DTM are equivalent

Non-determ. automata	Deterministic automata	Equivalent?
NFA	DFA	Yes
PDA	DPDA	No
LBA	DLBA	?
TM	DTM	Yes

# Closure properties

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closed under ?	$\cap$	$\cup$	$\bar{\phantom{x}}$	$\times$	$*$
Regular	yes	yes	yes	yes	yes
Context free	no	yes	no	yes	yes
Context sensitive	yes	yes	yes	yes	yes
Type 0	yes	yes	no	yes	yes

# Decidability

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<i>decidable ?</i>	<i>A</i>	<i>E</i>	<i>EQ</i>
regular	yes	yes	yes
context free	yes	yes	no
context sensitive	yes	no	no
turing recognizable	no	no	no