

# Theoretical Computer Science II (ACS II)

## 3. First-order logic

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Introduction

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# Motivation

Propositional logic does not allow talking about **structured objects**.

## A famous syllogism

- ▶ All men are mortal.
- ▶ Socrates is a man.
- ▶ Therefore, Socrates is mortal.

It is impossible to formulate this in propositional logic.  
↪ **first-order** logic (**predicate** logic)

## Elements of logic (recap)

The same questions as before:

- ▶ Which elements are well-formed?  $\rightsquigarrow$  **syntax**
- ▶ What does it mean for a formula to be true?  $\rightsquigarrow$  **semantics**
- ▶ When does one formula follow from another?  $\rightsquigarrow$  **inference**

We will now discuss these questions for **first-order logic**  
(but only touching the topic of inference briefly).

## Building blocks of first-order logic

In propositional logic, we can only talk about **formulae** (propositions). An **interpretation** tells us which formulae are true (or false).

In first-order logic, there are **two different kinds** of elements under discussion:

- ▶ **terms** identify the object under discussion
  - ▶ “Socrates”
  - ▶ “the square root of 5”
- ▶ **formulae** state properties of the objects under discussion
  - ▶ “All men are mortal.”
  - ▶ “The square root of 5 is greater than 2.”

An **interpretation** tells us which object is denoted by a term, and which formulae are true (or false).

# Syntax of first-order logic: signatures

## Definition (signature)

A (first-order) **signature** is a 4-tuple  $\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{R} \rangle$  consisting of the following four (disjoint) parts:

- ▶ a finite or countable set  $\mathcal{V}$  of **variable symbols**,
- ▶ a finite or countable set  $\mathcal{C}$  of **constant symbols**,
- ▶ a finite or countable set  $\mathcal{F}$  of **function symbols**,
- ▶ a finite or countable set  $\mathcal{R}$  of **relation symbols**  
(also called **predicate symbols**)

Each function symbol  $f \in \mathcal{F}$  and relation symbol  $R \in \mathcal{R}$  has an associated **arity** (number of arguments)  $arity(f), arity(R) \in \mathbb{N}_1$ .

**Terminology:** A  **$k$ -ary** (function or relation) symbol is a symbol  $s$  with  $arity(s) = k$ .

**Also:** **unary**, **binary**, **ternary**

## Signatures: examples

### Example: arithmetic

- ▶  $\mathcal{V} = \{x, y, z, x_1, x_2, x_3, \dots\}$
- ▶  $\mathcal{C} = \{\text{zero}, \text{one}\}$
- ▶  $\mathcal{F} = \{\text{sum}, \text{product}\}$
- ▶  $\mathcal{R} = \{\text{Positive}, \text{PerfectSquare}\}$

$\text{arity}(\text{sum}) = \text{arity}(\text{product}) = 2$ ,  $\text{arity}(\text{Positive}) = \text{arity}(\text{PerfectSquare}) = 1$

### Conventions:

- ▶ variable symbols are typeset in *italics*, other symbols in an upright typeface
- ▶ relation symbols begin with upper-case letters, other symbols with lower-case letters

## Signatures: examples

### Example: genealogy

- ▶  $\mathcal{V} = \{x, y, z, x_1, x_2, x_3, \dots\}$
- ▶  $\mathcal{C} = \{\text{queen-elizabeth, donald-duck}\}$
- ▶  $\mathcal{F} = \emptyset$
- ▶  $\mathcal{R} = \{\text{Female, Male, Parent}\}$

$arity(\text{Female}) = arity(\text{Male}) = 1$ ,  $arity(\text{Parent}) = 2$

### Conventions:

- ▶ variable symbols are typeset in *italics*, other symbols in an upright typeface
- ▶ relation symbols begin with upper-case letters, other symbols with lower-case letters



# Syntax of first-order logic: terms

## Definition (term)

Let  $\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{R} \rangle$  be a signature.

A **term** (over  $\mathcal{S}$ ) is inductively constructed according to the following rules:

- ▶ Each variable symbol  $v \in \mathcal{V}$  is a term.
- ▶ Each constant symbol  $c \in \mathcal{C}$  is a term.
- ▶ If  $t_1, \dots, t_k$  are terms and  $f \in \mathcal{F}$  is a function symbol with arity  $k$ , then  $f(t_1, \dots, t_k)$  is a term.

## Examples:

- ▶  $x_4$
- ▶ donald-duck
- ▶  $\text{sum}(x_3, \text{product}(\text{one}, x_5))$

# Syntax of first-order logic: formulae

## Definition (formula)

Let  $\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{R} \rangle$  be a signature.

A **formula** (over  $\mathcal{S}$ ) is inductively constructed as follows:

- ▶  $R(t_1, \dots, t_k)$  (atomic formula; atom)  
where  $R \in \mathcal{R}$  is a  $k$ -ary relation symbol  
and  $t_1, \dots, t_k$  are terms (over  $\mathcal{S}$ )
- ▶  $t_1 = t_2$  (equality; also an atomic formula)  
where  $t_1$  and  $t_2$  are terms (over  $\mathcal{S}$ )
- ▶  $\forall x \varphi$  (universal quantification)
- ▶  $\exists x \varphi$  (existential quantification)  
where  $x \in \mathcal{V}$  is a variable symbol and  $\varphi$  is a formula over  $\mathcal{S}$
- ▶ ...

# Syntax of first-order logic: formulae

## Definition (formula)

- ▶ ...
- ▶  $\top$  (truth)
- ▶  $\perp$  (falseness)
- ▶  $\neg\varphi$  (negation)  
where  $\varphi$  is a formula over  $\mathcal{S}$
- ▶  $(\varphi \wedge \psi)$  (conjunction)
- ▶  $(\varphi \vee \psi)$  (disjunction)
- ▶  $(\varphi \rightarrow \psi)$  (material conditional)
- ▶  $(\varphi \leftrightarrow \psi)$  (biconditional)  
where  $\varphi$  and  $\psi$  are formulae over  $\mathcal{S}$

# Syntax: examples

## Example: arithmetic and genealogy

- ▶  $\text{Positive}(x_2)$
- ▶  $\forall x \text{ PerfectSquare}(x) \rightarrow \text{Positive}(x)$
- ▶  $\exists x_3 \text{ PerfectSquare}(x_3) \wedge \neg \text{Positive}(x_3)$
- ▶  $\forall x (x = y)$
- ▶  $\forall x (\text{sum}(x, x) = \text{product}(x, \text{one}))$
- ▶  $\forall x \exists y (\text{sum}(x, y) = \text{zero})$
- ▶  $\forall x \exists y \text{ Parent}(y, x) \wedge \text{Female}(y)$

**Conventions:** When we omit parentheses,  $\forall$  and  $\exists$  bind less tightly than anything else.

$\rightsquigarrow \forall x P(x) \rightarrow Q(x)$  is read as  $\forall x (P(x) \rightarrow Q(x))$ ,  
not as  $(\forall x P(x)) \rightarrow Q(x)$ .

## Terminology and notation

- ▶ **ground term**: term that contains no variable symbol  
**examples**: zero, sum(one, one), donald-duck  
**counterexamples**:  $x_4$ , product( $x$ , zero)
- ▶ similarly: **ground atom**, **ground formula**  
**example**: PerfectSquare(zero)  $\vee$  one = zero  
**counterexample**:  $\exists x$  one =  $x$

### Abbreviation:

sequences of quantifiers of the same kind can be collapsed

- ▶  $\forall x \forall y \forall z \varphi \rightsquigarrow \forall xyz \varphi$
- ▶  $\forall x_3 \forall x_1 \exists x_2 \exists x_5 \varphi \rightsquigarrow \forall x_3 x_1 \exists x_2 x_5 \varphi$

Sometimes commas and/or colons are used:

- ▶  $\forall x, y, z: \varphi$
- ▶  $\forall x_3, x_1 \exists x_2, x_5 \varphi$

## Semantics of first-order logic: motivation

- ▶ In propositional logic, an interpretation was given by assigning to the **atomic propositions**.
- ▶ In first-order logic, there are no proposition variables; instead we need to interpret the meaning of **constant**, **function** and **relation symbols**.
- ▶ **Variable symbols** also need to be given meaning.
- ▶ However, this is not done through the interpretation itself, but through a separate **variable assignment**.

## Interpretations and variable assignments

Let  $\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{R} \rangle$  be a signature.

### Definition (interpretation, variable assignment)

An **interpretation** (for  $\mathcal{S}$ ) is a pair  $\mathcal{I} = \langle D, \cdot^{\mathcal{I}} \rangle$  consisting of

- ▶ a nonempty set  $D$  called the **domain** (or **universe**) and
- ▶ a function  $\cdot^{\mathcal{I}}$  that assigns a meaning to constant, function and relation symbols:
  - ▶  $c^{\mathcal{I}} \in D$  for constant symbols  $c \in \mathcal{C}$
  - ▶  $f^{\mathcal{I}} : D^k \rightarrow D$  for  $k$ -ary function symbols  $f \in \mathcal{F}$
  - ▶  $R^{\mathcal{I}} \subseteq D^k$  for  $k$ -ary relation symbols  $R \in \mathcal{R}$

A **variable assignment** (for  $\mathcal{S}$  and domain  $D$ )

is a function  $\alpha : \mathcal{V} \rightarrow D$ .

**Idea:** extend  $\mathcal{I}$  and  $\alpha$  to general terms, then to atoms, then to arbitrary formulae

## Semantics of first-order logic: informally

**Example:**  $(\forall x \text{Block}(x) \rightarrow \text{Red}(x)) \wedge \text{Block}(a)$

“For all objects  $x$ : if  $x$  is a block, then  $x$  is red.

Also, the object denoted by  $a$  is a block.”

- ▶ **Terms** are interpreted as **objects**.
- ▶ **Unary predicates** denote properties of objects (being a block, being red, . . .)
- ▶ **General predicates** denote relations between objects (being the child of someone, having a common multiple, . . .)
- ▶ **Universally** quantified formulae (“ $\forall$ ”) are true if they hold for **all** objects in the domain.
- ▶ **Existentially** quantified formulae (“ $\exists$ ”) are true if they hold for **at least one** object in the domain.



## Interpreting terms in first-order logic

Let  $\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{R} \rangle$  be a signature.

### Definition (interpretation of a term)

Let  $\mathcal{I} = \langle D, \cdot^{\mathcal{I}} \rangle$  be an interpretation for  $\mathcal{S}$ ,  
and let  $\alpha$  be a variable assignment for  $\mathcal{S}$  and domain  $D$ .

Let  $t$  be a term over  $\mathcal{S}$ .

The **interpretation of  $t$**  under  $\mathcal{I}$  and  $\alpha$ , in symbols  $t^{\mathcal{I},\alpha}$  is an element of the domain  $D$  defined as follows:

- ▶ If  $t = x$  with  $x \in \mathcal{V}$  ( $t$  is a **variable term**):  
 $x^{\mathcal{I},\alpha} = \alpha(x)$
- ▶ If  $t = c$  with  $c \in \mathcal{C}$  ( $t$  is a **constant term**):  
 $c^{\mathcal{I},\alpha} = c^{\mathcal{I}}$
- ▶ If  $t = f(t_1, \dots, t_k)$  ( $t$  is a **function term**):  
 $(f(t_1, \dots, t_k))^{\mathcal{I},\alpha} = f^{\mathcal{I}}(t_1^{\mathcal{I},\alpha}, \dots, t_k^{\mathcal{I},\alpha})$

# Interpreting terms: example

## Example

Signature:  $\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{R} \rangle$

with  $\mathcal{V} = \{x, y, z\}$ ,  $\mathcal{C} = \{\text{zero}, \text{one}\}$ ,  $\mathcal{F} = \{\text{sum}, \text{product}\}$ ,  
 $\text{arity}(\text{sum}) = \text{arity}(\text{product}) = 2$

$\mathcal{I} = \langle D, \cdot^{\mathcal{I}} \rangle$  with

- ▶  $D = \{d_0, d_1, d_2, d_3, d_4, d_5, d_6\}$
- ▶  $\text{zero}^{\mathcal{I}} = d_0$
- ▶  $\text{one}^{\mathcal{I}} = d_1$
- ▶  $\text{sum}^{\mathcal{I}}(d_i, d_j) = d_{(i+j) \bmod 7}$  for all  $i, j \in \{0, \dots, 6\}$
- ▶  $\text{product}^{\mathcal{I}}(d_i, d_j) = d_{(i \cdot j) \bmod 7}$  for all  $i, j \in \{0, \dots, 6\}$

$\alpha = \{x \mapsto d_5, y \mapsto d_5, z \mapsto d_0\}$

## Interpreting terms: example (ctd.)

### Example (ctd.)

▶  $\text{zero}^{\mathcal{I},\alpha} =$

▶  $y^{\mathcal{I},\alpha} =$

▶  $\text{sum}(x, y)^{\mathcal{I},\alpha} =$

▶  $\text{product}(\text{one}, \text{sum}(x, \text{zero}))^{\mathcal{I},\alpha} =$

## Satisfaction/truth in first-order logic

Let  $\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{R} \rangle$  be a signature.

### Definition (satisfaction/truth of a formula)

Let  $\mathcal{I} = \langle D, \cdot^{\mathcal{I}} \rangle$  be an interpretation for  $\mathcal{S}$ ,

and let  $\alpha$  be a variable assignment for  $\mathcal{S}$  and domain  $D$ .

We say that  $\mathcal{I}$  and  $\alpha$  **satisfy** a first-order logic formula  $\varphi$

(also:  $\varphi$  is **true** under  $\mathcal{I}$  and  $\alpha$ ), in symbols:  $\mathcal{I}, \alpha \models \varphi$ ,

according to the following inductive rules:

$$\mathcal{I}, \alpha \models R(t_1, \dots, t_k) \quad \text{iff } \langle t_1^{\mathcal{I}, \alpha}, \dots, t_k^{\mathcal{I}, \alpha} \rangle \in R^{\mathcal{I}}$$

$$\mathcal{I}, \alpha \models t_1 = t_2 \quad \text{iff } t_1^{\mathcal{I}, \alpha} = t_2^{\mathcal{I}, \alpha}$$

...

# Satisfaction/truth in first-order logic

Let  $\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{R} \rangle$  be a signature.

Definition (satisfaction/truth of a formula)

...

$$\mathcal{I}, \alpha \models \forall x \varphi \quad \text{iff } \mathcal{I}, \alpha[x := d] \models \varphi \text{ for all } d \in D$$

$$\mathcal{I}, \alpha \models \exists x \varphi \quad \text{iff } \mathcal{I}, \alpha[x := d] \models \varphi \text{ for at least one } d \in D$$

where  $\alpha[x := d]$  is the variable assignment

which is the same as  $\alpha$  except for  $x$ , where it assigns  $d$ . Formally:

$$(\alpha[x := d])(z) = \begin{cases} d & \text{if } z = x \\ \alpha(z) & \text{if } z \neq x \end{cases}$$

...

## Satisfaction/truth in first-order logic

Let  $\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{R} \rangle$  be a signature.

### Definition (satisfaction/truth of a formula)

...

$\mathcal{I}, \alpha \models \top$  always (i. e., for all  $\mathcal{I}, \alpha$ )

$\mathcal{I}, \alpha \models \perp$  never (i. e., for no  $\mathcal{I}, \alpha$ )

$\mathcal{I}, \alpha \models \neg \varphi$  iff  $\mathcal{I}, \alpha \not\models \varphi$

$\mathcal{I}, \alpha \models \varphi \wedge \psi$  iff  $\mathcal{I}, \alpha \models \varphi$  and  $\mathcal{I}, \alpha \models \psi$

$\mathcal{I}, \alpha \models \varphi \vee \psi$  iff  $\mathcal{I}, \alpha \models \varphi$  or  $\mathcal{I}, \alpha \models \psi$

$\mathcal{I}, \alpha \models \varphi \rightarrow \psi$  iff  $\mathcal{I}, \alpha \not\models \varphi$  or  $\mathcal{I}, \alpha \models \psi$

$\mathcal{I}, \alpha \models \varphi \leftrightarrow \psi$  iff  $(\mathcal{I}, \alpha \models \varphi$  and  $\mathcal{I}, \alpha \models \psi)$  or  
 $(\mathcal{I}, \alpha \not\models \varphi$  and  $\mathcal{I}, \alpha \not\models \psi)$

# Semantics of first-order logic: example

## Example

Signature:  $\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{R} \rangle$

with  $\mathcal{V} = \{x, y, z\}$ ,  $\mathcal{C} = \{a, b\}$ ,  $\mathcal{F} = \emptyset$ ,  $\mathcal{R} = \{\text{Block}, \text{Red}\}$ ,  
 $\text{arity}(\text{Block}) = \text{arity}(\text{Red}) = 1$ .

$\mathcal{I} = \langle D, \cdot^{\mathcal{I}} \rangle$  with

- ▶  $D = \{d_1, d_2, d_3, d_4, d_5\}$
- ▶  $a^{\mathcal{I}} = d_1$
- ▶  $b^{\mathcal{I}} = d_3$
- ▶  $\text{Block}^{\mathcal{I}} = \{d_1, d_2\}$
- ▶  $\text{Red}^{\mathcal{I}} = \{d_1, d_2, d_3, d_5\}$

$\alpha = \{x \mapsto d_1, y \mapsto d_2, z \mapsto d_1\}$

# Semantics of first-order logic: example (ctd.)

## Example (ctd.)

### Questions:

- ▶  $\mathcal{I}, \alpha \models \text{Block}(b) \vee \neg \text{Block}(b)$ ?
- ▶  $\mathcal{I}, \alpha \models \text{Block}(x) \rightarrow (\text{Block}(x) \vee \neg \text{Block}(y))$ ?
- ▶  $\mathcal{I}, \alpha \models \text{Block}(a) \wedge \text{Block}(b)$ ?
- ▶  $\mathcal{I}, \alpha \models \forall x(\text{Block}(x) \rightarrow \text{Red}(x))$ ?



# Satisfaction/truth of sets of formulae

## Definition (satisfaction/truth of a set of formulae)

Consider a signature  $\mathcal{S}$ , a set of formulae  $\Phi$  over  $\mathcal{S}$ , an interpretation  $\mathcal{I}$  for  $\mathcal{S}$ , and a variable assignment  $\alpha$  for  $\mathcal{S}$  and the domain of  $\mathcal{I}$ .

We say that  $\mathcal{I}$  and  $\alpha$  **satisfy**  $\Phi$  (also:  $\Phi$  is **true** under  $\mathcal{I}$  and  $\alpha$ ), in symbols:  $\mathcal{I}, \alpha \models \Phi$ , if  $\mathcal{I}, \alpha \models \varphi$  for all  $\varphi \in \Phi$ .

## Free and bound variables: motivation

### Question:

- ▶ Consider a signature with variable symbols  $\{x_1, x_2, x_3, \dots\}$ , and consider any interpretation  $\mathcal{I}$ .
- ▶ To decide if  $\mathcal{I}, \alpha \models (\forall x_4 (R(x_4, x_2) \vee f(x_3) = x_4)) \vee \exists x_3 S(x_3, x_2)$ , **which parts of the definition of  $\alpha$  matter?**
- ▶  $\alpha(x_1), \alpha(x_5), \alpha(x_6), \alpha(x_7), \dots$  **do not matter** because these variable symbols do not occur in the formula
- ▶  $\alpha(x_4)$  does not matter either: it occurs in the formula, but all its occurrences are **bound** by a surrounding quantifier
- ▶  $\rightsquigarrow$  only the assignments to the **free variables**  $x_2$  and  $x_3$  matter

## Variables of a term

### Definition (variables of a term)

Let  $t$  be a term. The set of **variables** occurring in  $t$ , written  $\mathit{vars}(t)$ , is defined as follows:

- ▶  $\mathit{vars}(x) = \{x\}$  for variable symbols  $x$
- ▶  $\mathit{vars}(c) = \emptyset$  for constant symbols  $c$
- ▶  $\mathit{vars}(f(t_1, \dots, t_k)) = \mathit{vars}(t_1) \cup \dots \cup \mathit{vars}(t_k)$   
for function terms

**Example:**  $\mathit{vars}(\mathit{product}(x, \mathit{sum}(c, y))) =$

# Free and bound variables of a formula

## Definition (free variables)

Let  $\varphi$  be a logical formula. The set of **free variables** of  $\varphi$ , written  $free(\alpha)$ , is defined as follows:

- ▶  $free(R(t_1, \dots, t_k)) = vars(t_1) \cup \dots \cup vars(t_k)$
- ▶  $free(t_1 = t_2) = vars(t_1) \cup vars(t_2)$
- ▶  $free(\top) = free(\perp) = \emptyset$
- ▶  $free(\neg\varphi) = free(\varphi)$
- ▶  $free(\varphi \wedge \psi) = free(\varphi \vee \psi) = free(\varphi \rightarrow \psi)$   
 $= free(\varphi \leftrightarrow \psi) = free(\varphi) \cup free(\psi)$
- ▶  $free(\forall x \varphi) = free(\exists x \varphi) = free(\varphi) \setminus \{x\}$

**Example:**  $free((\forall x_4(R(x_4, x_2) \vee f(x_3) = x_4)) \vee \exists x_3 S(x_3, x_2))$

=

## Closed formulae/sentences

**Remark:** Let  $\varphi$  be a formula, and let  $\alpha$  and  $\beta$  be variable assignments such that  $\alpha(x) = \beta(x)$  **for all free variables of  $\varphi$ .**

Then  $\mathcal{I}, \alpha \models \varphi$  iff  $\mathcal{I}, \beta \models \varphi$ .

In particular, if  $free(\varphi) = \emptyset$ , then  $\alpha$  **does not matter at all.**

### Definition (closed formulae/sentences)

A formula  $\varphi$  with no free variables (i. e.,  $free(\varphi) = \emptyset$ ) is called a **closed formula** or **sentence**.

If  $\varphi$  is a sentence, we often use the notation  $\mathcal{I} \models \varphi$  instead of  $\mathcal{I}, \alpha \models \varphi$  because the definition of  $\alpha$  does not affect whether or not  $\varphi$  is true under  $\mathcal{I}$  and  $\alpha$ .

Formulae with at least one free variable are called **open**.

## Closed formulae: examples

**Question:** Which of the following formulae are sentences?

- ▶  $\text{Block}(b) \vee \neg \text{Block}(b)$
- ▶  $\text{Block}(x) \rightarrow (\text{Block}(x) \vee \neg \text{Block}(y))$
- ▶  $\text{Block}(a) \wedge \text{Block}(b)$
- ▶  $\forall x(\text{Block}(x) \rightarrow \text{Red}(x))$

## Omitting signatures and domains

For convenience, from now on we implicitly assume that we use matching signatures and that variable assignments are defined for the correct domain.

**Example:** Instead of

*Consider a signature  $\mathcal{S}$ , a set of formulae  $\Phi$  over  $\mathcal{S}$ , an interpretation  $\mathcal{I}$  for  $\mathcal{S}$ , and a variable assignment  $\alpha$  for  $\mathcal{S}$  and the domain of  $\mathcal{I}$ .*

we write:

*Consider a set of formulae  $\Phi$ , an interpretation  $\mathcal{I}$  and a variable assignment  $\alpha$ .*

## More logic terminology

The terminology we introduced for propositional logic can be reused for first-order logic:

- ▶ interpretation  $\mathcal{I}$  and variable assignment  $\alpha$  form a **model** of formula  $\varphi$  if  $\mathcal{I}, \alpha \models \varphi$ .
- ▶ formula  $\varphi$  is **satisfiable** if  $\mathcal{I}, \alpha \models \varphi$  for at least one  $\mathcal{I}, \alpha$  (i. e., if it has a model)
- ▶ formula  $\varphi$  is **falsifiable** if  $\mathcal{I}, \alpha \not\models \varphi$  for at least one  $\mathcal{I}, \alpha$
- ▶ formula  $\varphi$  is **valid** if  $\mathcal{I}, \alpha \models \varphi$  for all  $\mathcal{I}, \alpha$
- ▶ formula  $\varphi$  is **unsatisfiable** if  $\mathcal{I}, \alpha \not\models \varphi$  for all  $\mathcal{I}, \alpha$
- ▶ formula  $\varphi$  **entails** (also: **implies**) formula  $\psi$ , written  $\varphi \models \psi$ , if all models of  $\varphi$  are models of  $\psi$
- ▶ formulae  $\varphi$  and  $\psi$  are **logically equivalent**, written  $\varphi \equiv \psi$ , if they have the same models (equivalently: if  $\varphi \models \psi$  and  $\psi \models \varphi$ )



## Terminology for formula sets and sentences

- ▶ All concepts from the previous slide also apply to **sets of formulae** instead of single formulae.

### Examples:

- ▶ formula set  $\Phi$  is satisfiable if  $\mathcal{I}, \alpha \models \Phi$  for at least one  $\mathcal{I}, \alpha$
- ▶ formula set  $\Phi$  entails formula  $\psi$ , written  $\Phi \models \psi$ , if all models of  $\Phi$  are models of  $\psi$
- ▶ formula set  $\Phi$  entails formula set  $\Psi$ , written  $\Phi \models \Psi$ , if all models of  $\Phi$  are models of  $\Psi$
- ▶ All concepts apply to **sentences** (or sets of sentences) as a special case. In this case, we usually omit  $\alpha$ .

### Examples:

- ▶ interpretation  $\mathcal{I}$  is a **model** of a sentence  $\varphi$  if  $\mathcal{I} \models \varphi$
- ▶ sentence  $\varphi$  is **unsatisfiable** if  $\mathcal{I} \not\models \varphi$  for all  $\mathcal{I}$

## Going further

Using these definitions, we could discuss the same topics as for propositional logic, such as:

- ▶ important **logical equivalences**
- ▶ **normal forms**
- ▶ **entailment** theorems (deduction theorem etc.)
- ▶ **proof calculi**
- ▶ (first-order) **resolution**

We will mention a few basic results on these topics, but we do not cover them in detail.

## Logical equivalences

- ▶ All **propositional logic equivalences** also apply to first-order logic (e. g.,  $\varphi \vee \psi \equiv \psi \vee \varphi$ ).
- ▶ Additionally, here are some equivalences and entailments involving quantifiers:

$$(\forall x\varphi) \wedge (\forall x\psi) \equiv \forall x(\varphi \wedge \psi)$$

$$(\forall x\varphi) \vee (\forall x\psi) \models \forall x(\varphi \vee \psi)$$

$$(\forall x\varphi) \wedge \psi \equiv \forall x(\varphi \wedge \psi)$$

$$(\forall x\varphi) \vee \psi \equiv \forall x(\varphi \vee \psi)$$

$$\neg\forall x\varphi \equiv \exists x\neg\varphi$$

$$\exists x(\varphi \vee \psi) \equiv (\exists x\varphi) \vee (\exists x\psi)$$

$$\exists x(\varphi \wedge \psi) \models (\exists x\varphi) \wedge (\exists x\psi)$$

$$(\exists x\varphi) \vee \psi \equiv \exists x(\varphi \vee \psi)$$

$$(\exists x\varphi) \wedge \psi \equiv \exists x(\varphi \wedge \psi)$$

$$\neg\exists x\varphi \equiv \forall x\neg\varphi$$

but not vice versa

if  $x \notin \text{free}(\psi)$

if  $x \notin \text{free}(\psi)$

but not vice versa

if  $x \notin \text{free}(\psi)$

if  $x \notin \text{free}(\psi)$

## Normal forms

Similar to DNF and CNF for propositional logic, there are some important normal forms for first-order logic, such as:

- ▶ **negation normal form (NNF):**  
negation symbols may only occur in front of atoms
- ▶ **prenex normal form:**  
quantifiers must be the outermost parts of the formula
- ▶ **Skolem normal form:**  
prenex normal form with no existential quantifiers

Polynomial-time procedures transform formula  $\varphi$

- ▶ into an **equivalent** formula in **negation normal form**,
- ▶ into an **equivalent** formula in **prenex normal form**, or
- ▶ into an **equisatisfiable** formula in **Skolem normal form**.

# Entailment, proof systems, resolution...

- ▶ The **deduction theorem**, **contraposition theorem** and **contradiction theorem** also hold for first-order logic.  
(The same proofs can be used.)
- ▶ Sound and complete **proof systems** (**calculi**) exist for first-order logic (just like for propositional logic).
- ▶ **Resolution** can be generalized to first-order logic by using the concept of **unification**.
- ▶ This first-order resolution is **refutation-complete**, and hence with the contradiction theorem gives a general reasoning algorithm for first-order logic.
- ▶ However, the algorithm **does not terminate on all inputs**.

# Summary

- ▶ **First-order logic** is a richer logic than propositional logic and allows us to reason about **objects** and their **properties**.
- ▶ Objects are denoted by **terms** built from variables, constants and function symbols.
- ▶ Properties are denoted by **formulae** built from predicates, quantification, and the usual logical operators such as negation, disjunction and conjunction.
- ▶ As with all logics, we analyze
  - ▶ **syntax**: what is a formula?
  - ▶ **semantics**: how do we interpret a formula?
  - ▶ **reasoning methods**: how can we prove logical consequences of a knowledge base?

We only scratched the surface. Further topics are discussed in the courses mentioned at the end of the previous chapter.