

# Theoretical Computer Science II (ACS II)

## 2. Propositional logic

Malte Helmert    Andreas Karwath

Albert-Ludwigs-Universität Freiburg

October 22th, 2009

# Theoretical Computer Science II (ACS II)

October 22th, 2009 — 2. Propositional logic

## Informal introduction

### Basic concepts

- Syntax
- Semantics
- Equivalences
- Normal forms
- Entailment

### Inference

- Calculi
- Properties: soundness, completeness, refutation-completeness
- Resolution

### Wrap-up

Introduction

## Why logic?

- ▶ formalizing **valid reasoning**
- ▶ used throughout mathematics, computer science
- ▶ the basis of many tools in computer science

Introduction

## Examples of reasoning

### Which are valid?

- ▶ If it is Sunday, then I don't need to work.  
It is Sunday.  
Therefore I don't need to work.
- ▶ It will rain or snow.  
It is too warm for snow.  
Therefore it will rain.
- ▶ The butler is guilty or the maid is guilty.  
The maid is guilty or the cook is guilty.  
Therefore either the butler is guilty or the cook is guilty.

## Elements of logic

- ▶ Which elements are well-formed?  $\rightsquigarrow$  **syntax**
- ▶ What does it mean for a formula to be true?  $\rightsquigarrow$  **semantics**
- ▶ When does one formula follow from another?  $\rightsquigarrow$  **inference**

Two logics:

- ▶ **propositional** logic
- ▶ **first-order** logic (aka **predicate** logic)

## Building blocks of propositional logic

Building blocks of propositional logic:

- ▶ atomic propositions (atoms)
- ▶ connectives

### Atomic propositions

**indivisible** statements

Examples:

- ▶ “The cook is guilty.”
- ▶ “It rains.”
- ▶ “The girl has red hair.”

### Connectives

operators to build composite **formulae** out of atoms

Examples:

- ▶ “and”, “or”, “not”, ...

## Logic: basic questions

We are interested in knowing the following:

- ▶ When is a formula **true**?
- ▶ When does one formula **logically follow** from (= is **logically entailed** by) a knowledge base (a set of formulae)?
  - ▶ symbolically:  $KB \models \varphi$  if KB entails  $\varphi$
- ▶ How can we define an **inference mechanism** ( $\approx$  proof procedure) that allows us to systematically derive consequences of a knowledge base?
  - ▶ symbolically:  $KB \vdash \varphi$  if  $\varphi$  can be derived from KB
- ▶ Can we find an inference mechanism in such a way that  $KB \models \varphi$  iff  $KB \vdash \varphi$ ?

## Syntax of propositional logic

Given: finite or countable set  $\Sigma$  of **atoms**  $p, q, r, \dots$

Propositional formulae: inductively defined as

$p \in \Sigma$	<b>atomic formulae</b>
$\top$	<b>truth</b>
$\perp$	<b>falsehood</b>
$\neg \varphi$	<b>negation</b>
$(\varphi \wedge \psi)$	<b>conjunction</b>
$(\varphi \vee \psi)$	<b>disjunction</b>
$(\varphi \rightarrow \psi)$	<b>material conditional</b>
$(\varphi \leftrightarrow \psi)$	<b>biconditional</b>

where  $\varphi$  and  $\psi$  are constructed in the same way

## Logic terminology and notations

- ▶ **atom/atomic formula** ( $p$ )
- ▶ **literal**: atom or negated atom ( $p, \neg p$ )
- ▶ **clause**: disjunction of literals ( $p \vee \neg q, p \vee q \vee r, p$ )

Parentheses may be omitted according to the following rules:

- ▶  $\neg$  binds more tightly than  $\wedge$
- ▶  $\wedge$  binds more tightly than  $\vee$
- ▶  $\vee$  binds more tightly than  $\rightarrow$  and  $\leftrightarrow$
- ▶  $p \wedge q \wedge r \wedge s \dots$  is read as  $(\dots(((p \wedge q) \wedge r) \wedge s) \wedge \dots)$
- ▶  $p \vee q \vee r \vee s \dots$  is read as  $(\dots(((p \vee q) \vee r) \vee s) \vee \dots)$
- ▶ outermost parentheses can always be omitted

## Alternative notations

our notation	alternative notations
$\neg \varphi$	$\sim \varphi$ $\bar{\varphi}$
$\varphi \wedge \psi$	$\varphi \& \psi$ $\varphi, \psi$ $\varphi \cdot \psi$
$\varphi \vee \psi$	$\varphi   \psi$ $\varphi; \psi$ $\varphi + \psi$
$\varphi \rightarrow \psi$	$\varphi \Rightarrow \psi$ $\varphi \supset \psi$
$\varphi \leftrightarrow \psi$	$\varphi \Leftrightarrow \psi$ $\varphi \equiv \psi$

## Semantics of propositional logic

### Definition (truth assignment)

A **truth assignment** of the atoms in  $\Sigma$ , or **interpretation** over  $\Sigma$ , is a function  $I : \Sigma \rightarrow \{\mathbf{T}, \mathbf{F}\}$

**Idea**: extend from atoms to arbitrary formulae

## Semantics of propositional logic (ctd.)

### Definition (satisfaction/truth)

$I$  **satisfies**  $\varphi$  (alternatively:  $\varphi$  **is true** under  $I$ ), in symbols  $I \models \varphi$ , according to the following inductive rules:

$I \models p$	iff $I(p) = \mathbf{T}$	for $p \in \Sigma$
$I \models \top$	always (i. e., for all $I$ )	
$I \models \perp$	never (i. e., for no $I$ )	
$I \models \neg \varphi$	iff $I \not\models \varphi$	
$I \models \varphi \wedge \psi$	iff $I \models \varphi$ and $I \models \psi$	
$I \models \varphi \vee \psi$	iff $I \models \varphi$ or $I \models \psi$	
$I \models \varphi \rightarrow \psi$	iff $I \not\models \varphi$ or $I \models \psi$	
$I \models \varphi \leftrightarrow \psi$	iff $(I \models \varphi$ and $I \models \psi)$ or $(I \not\models \varphi$ and $I \not\models \psi)$	

## Semantics of propositional logic: example

## Example

$$\Sigma = \{p, q, r, s\}$$

$$I = \{p \mapsto \mathbf{T}, q \mapsto \mathbf{F}, r \mapsto \mathbf{F}, s \mapsto \mathbf{T}\}$$

$$\varphi = ((p \vee q) \leftrightarrow (r \vee s)) \wedge (\neg(p \wedge q) \vee (r \wedge \neg s))$$

Question:  $I \models \varphi$ ?

## More logic terminology

## Definition (model)

An interpretation  $I$  is called a **model** of a formula  $\varphi$  if  $I \models \varphi$ .

An interpretation  $I$  is called a **model** of a set of formula KB if it is a model of all formulae  $\varphi \in \text{KB}$ .

## Definition (properties of formulae)

A formula  $\varphi$  is called

- ▶ **satisfiable** if there exists a model of  $\varphi$
- ▶ **unsatisfiable** if it is not satisfiable
- ▶ **valid/a tautology** if all interpretations are models of  $\varphi$
- ▶ **falsifiable** if it is not a tautology

**Note:** All valid formulae are satisfiable.

All unsatisfiable formulae are falsifiable.

## More logic terminology (ctd.)

## Definition (logical equivalence)

Two formulae  $\varphi$  and  $\psi$  are **logically equivalent**, written  $\varphi \equiv \psi$ , if they have the same set of models.

In other words,  $\varphi \equiv \psi$  holds if for all interpretations  $I$ , we have that  $I \models \varphi$  iff  $I \models \psi$ .

## The truth table method

How can we decide if a formula is satisfiable, valid, etc.?

$\rightsquigarrow$  one simple idea: generate a **truth table**

## The characteristic truth table

$p$	$q$	$\neg p$	$p \wedge q$	$p \vee q$	$p \rightarrow q$	$p \leftrightarrow q$
F	F	T	F	F	T	T
F	T	T	F	T	T	F
T	F	F	F	T	F	F
T	T	F	T	T	T	T

## Truth table method: example

Question: Is  $((p \vee q) \wedge \neg q) \rightarrow p$  valid?

$p$	$q$	$p \vee q$	$(p \vee q) \wedge \neg q$	$((p \vee q) \wedge \neg q) \rightarrow p$
F	F	F	F	T
F	T	T	F	T
T	F	T	T	T
T	T	T	F	T

- ▶  $\varphi$  is true for all possible combinations of truth values
- ↔ all interpretations are models
- ↔  $\varphi$  is valid
- ▶ satisfiability, unsatisfiability, falsifiability likewise
- ▶ logical equivalence likewise

## Some well known equivalences

Idempotence	$\varphi \wedge \varphi \equiv \varphi$ $\varphi \vee \varphi \equiv \varphi$
Commutativity	$\varphi \wedge \psi \equiv \psi \wedge \varphi$ $\varphi \vee \psi \equiv \psi \vee \varphi$
Associativity	$(\varphi \wedge \psi) \wedge \chi \equiv \varphi \wedge (\psi \wedge \chi)$ $(\varphi \vee \psi) \vee \chi \equiv \varphi \vee (\psi \vee \chi)$
Absorption	$\varphi \wedge (\varphi \vee \psi) \equiv \varphi$ $\varphi \vee (\varphi \wedge \psi) \equiv \varphi$
Distributivity	$\varphi \wedge (\psi \vee \chi) \equiv (\varphi \wedge \psi) \vee (\varphi \wedge \chi)$ $\varphi \vee (\psi \wedge \chi) \equiv (\varphi \vee \psi) \wedge (\varphi \vee \chi)$
De Morgan	$\neg(\varphi \wedge \psi) \equiv \neg\varphi \vee \neg\psi$ $\neg(\varphi \vee \psi) \equiv \neg\varphi \wedge \neg\psi$
Double negation	$\neg\neg\varphi \equiv \varphi$
( $\rightarrow$ )-Elimination	$\varphi \rightarrow \psi \equiv \neg\varphi \vee \psi$
( $\leftrightarrow$ )-Elimination	$\varphi \leftrightarrow \psi \equiv (\varphi \rightarrow \psi) \wedge (\psi \rightarrow \varphi)$

## Substitutability

### Theorem (Substitutability)

Let  $\varphi$  and  $\psi$  be two equivalent formulae, i. e.,  $\varphi \equiv \psi$ .

Let  $\chi$  be a formula in which  $\varphi$  occurs as a subformula, and let  $\chi'$  be the formula obtained from  $\chi$  by substituting  $\psi$  for  $\varphi$ .

Then  $\chi \equiv \chi'$ .

**Example:**  $p \vee \neg(q \vee r) \equiv p \vee (\neg q \wedge \neg r)$   
by De Morgan's law and substitutability.

## Applying equivalences: examples (1)

$$\begin{aligned}
 & p \wedge (\neg q \vee p) \\
 \equiv & (p \wedge \neg q) \vee (p \wedge p) && \text{(Distributivity)} \\
 \equiv & (p \wedge \neg q) \vee p && \text{(Idempotence)} \\
 \equiv & p \vee (p \wedge \neg q) && \text{(Commutativity)} \\
 \equiv & p && \text{(Absorption)}
 \end{aligned}$$

## Applying equivalences: examples (2)

$$\begin{aligned}
& p \leftrightarrow q \\
\equiv & (p \rightarrow q) \wedge (q \rightarrow p) && ((\leftrightarrow)\text{-Elimination}) \\
\equiv & (\neg p \vee q) \wedge (\neg q \vee p) && ((\rightarrow)\text{-Elimination}) \\
\equiv & ((\neg p \vee q) \wedge \neg q) \vee ((\neg p \vee q) \wedge p) && (\text{Distributivity}) \\
\equiv & (\neg q \wedge (\neg p \vee q)) \vee (p \wedge (\neg p \vee q)) && (\text{Commutativity}) \\
\equiv & ((\neg q \wedge \neg p) \vee (\neg q \wedge q)) \vee \\
& ((p \wedge \neg p) \vee (p \wedge q)) && (\text{Distributivity}) \\
\equiv & ((\neg q \wedge \neg p) \vee \perp) \vee (\perp \vee (p \wedge q)) && (\varphi \wedge \neg\varphi \equiv \perp) \\
\equiv & (\neg q \wedge \neg p) \vee (p \wedge q) && (\varphi \vee \perp \equiv \varphi \equiv \perp \vee \varphi)
\end{aligned}$$

## Conjunctive normal form

## Definition (conjunctive normal form)

A formula is in **conjunctive normal form (CNF)** if it consists of a conjunction of clauses, i. e., if it has the form

$$\bigwedge_{i=1}^n \left( \bigvee_{j=1}^{m_i} l_{ij} \right),$$

where the  $l_{ij}$  are literals.

**Theorem:** For each formula  $\varphi$ , there exists a logically equivalent formula in CNF.

**Note:** A CNF formula is valid iff every clause is valid.

## Disjunctive normal form

## Definition (disjunctive normal form)

A formula is in **disjunctive normal form (DNF)** if it consists of a disjunction of conjunctions of literals, i. e., if it has the form

$$\bigvee_{i=1}^n \left( \bigwedge_{j=1}^{m_i} l_{ij} \right),$$

where the  $l_{ij}$  are literals.

**Theorem:** For each formula  $\varphi$ , there exists a logically equivalent formula in DNF.

**Note:** A DNF formula is satisfiable iff at least one disjunct is satisfiable.

## CNF and DNF examples

## Examples

- ▶  $(p \vee \neg q) \wedge p$  is in CNF
- ▶  $(r \vee q) \wedge p \wedge (r \vee s)$  is in CNF
- ▶  $p \vee (\neg q \wedge r)$  is in DNF
- ▶  $p \vee \neg q \rightarrow p$  is neither in CNF nor in DNF
- ▶  $p$  is in CNF and in DNF

## Producing CNF

### Algorithm for producing CNF

1. Get rid of  $\rightarrow$  and  $\leftrightarrow$  with ( $\rightarrow$ )-Elimination and ( $\leftrightarrow$ )-Elimination.  
 $\rightsquigarrow$  formula structure: only  $\vee$ ,  $\wedge$ ,  $\neg$
2. Move negations inwards with De Morgan and Double negation.  
 $\rightsquigarrow$  formula structure: only  $\vee$ ,  $\wedge$ , literals
3. Distribute  $\vee$  over  $\wedge$  with Distributivity (strictly speaking, also Commutativity).  
 $\rightsquigarrow$  formula structure: CNF
4. Optionally, simplify (e. g., using Idempotence) at the end or at any previous point.

**Note:** For DNF, just distribute  $\wedge$  over  $\vee$  instead.

**Question:** runtime?

## Producing CNF: example

### Producing CNF

Given:  $\varphi = ((p \vee r) \wedge \neg q) \rightarrow p$

$$\varphi \equiv \neg((p \vee r) \wedge \neg q) \vee p \quad \text{Step 1}$$

$$\equiv (\neg(p \vee r) \vee \neg\neg q) \vee p \quad \text{Step 2}$$

$$\equiv ((\neg p \wedge \neg r) \vee q) \vee p \quad \text{Step 2}$$

$$\equiv ((\neg p \vee q) \wedge (\neg r \vee q)) \vee p \quad \text{Step 3}$$

$$\equiv (\neg p \vee q \vee p) \wedge (\neg r \vee q \vee p) \quad \text{Step 3}$$

$$\equiv \top \wedge (\neg r \vee q \vee p) \quad \text{Step 4}$$

$$\equiv \neg r \vee q \vee p \quad \text{Step 4}$$

## Logical entailment

A set of formulae (a knowledge base) usually provides an **incomplete** description of the world, i. e., it leaves the truth values of some propositions open.

**Example:**  $\text{KB} = \{p \vee q, r \vee \neg p, s\}$  is definitive w.r.t.  $s$ , but leaves  $p$ ,  $q$ ,  $r$  open (though not completely!)

### Models of the KB

$p$	$q$	$r$	$s$
F	T	F	T
F	T	F	T
T	F	T	T
T	T	T	T

In all models,  $q \vee r$  is true. Hence,  $q \vee r$  is **logically entailed** by KB (a **logical consequence** of KB).

## Logical entailment: formally

### Definition (entailment)

Let KB be a set of formulae and  $\varphi$  be a formula.

We say that KB **entails**  $\varphi$  (also:  $\varphi$  **follows logically** from KB;  $\varphi$  is a **logical consequence** of KB), in symbols  $\text{KB} \models \varphi$ , if all models of KB are models of  $\varphi$ .

## Properties of entailment

Some properties of logical entailment:

- ▶ **Deduction theorem:**  
 $\text{KB} \cup \{\varphi\} \models \psi$  iff  $\text{KB} \models \varphi \rightarrow \psi$
- ▶ **Contraposition theorem:**  
 $\text{KB} \cup \{\varphi\} \models \neg\psi$  iff  $\text{KB} \cup \{\psi\} \models \neg\varphi$
- ▶ **Contradiction theorem:**  
 $\text{KB} \cup \{\varphi\}$  is unsatisfiable iff  $\text{KB} \models \neg\varphi$

## Proof of the deduction theorem

**Deduction theorem:**  $\text{KB} \cup \{\varphi\} \models \psi$  iff  $\text{KB} \models \varphi \rightarrow \psi$

**Proof.**

“ $\Rightarrow$ ”: The premise is that  $\text{KB} \cup \{\varphi\} \models \psi$ .

We must show that  $\text{KB} \models \varphi \rightarrow \psi$ , i. e., that all models of KB satisfy  $\varphi \rightarrow \psi$ . Consider any such model  $I$ .

We distinguish two cases:

- ▶ **Case 1:**  $I \models \varphi$ .  
 Then  $I$  is a model of  $\text{KB} \cup \{\varphi\}$ , and by the premise,  $I \models \psi$ , from which we conclude that  $I \models \varphi \rightarrow \psi$ .
- ▶ **Case 2:**  $I \not\models \varphi$ .  
 Then we can directly conclude that  $I \models \varphi \rightarrow \psi$ .

...

## Proof of the deduction theorem

**Deduction theorem:**  $\text{KB} \cup \{\varphi\} \models \psi$  iff  $\text{KB} \models \varphi \rightarrow \psi$

**Proof (ctd.)**

“ $\Leftarrow$ ”: The premise is that  $\text{KB} \models \varphi \rightarrow \psi$ .

We must show that  $\text{KB} \cup \{\varphi\} \models \psi$ , i. e., that all models of  $\text{KB} \cup \{\varphi\}$  satisfy  $\psi$ . Consider any such model  $I$ .

By definition,  $I \models \varphi$ . Moreover, as  $I$  is a model of KB, we have  $I \models \varphi \rightarrow \psi$  by the premise.

Putting this together, we get  $I \models \varphi \wedge (\varphi \rightarrow \psi) \equiv \varphi \wedge \psi$ , which implies that  $I \models \psi$ . □

## Proof of the contraposition theorem

**Contraposition theorem:**  $\text{KB} \cup \{\varphi\} \models \neg\psi$  iff  $\text{KB} \cup \{\psi\} \models \neg\varphi$

**Proof.**

By the deduction theorem,  $\text{KB} \cup \{\varphi\} \models \neg\psi$  iff  $\text{KB} \models \varphi \rightarrow \neg\psi$ .

For the same reason,  $\text{KB} \cup \{\psi\} \models \neg\varphi$  iff  $\text{KB} \models \psi \rightarrow \neg\varphi$ .

We have  $\varphi \rightarrow \neg\psi \equiv \neg\varphi \vee \neg\psi \equiv \neg\psi \vee \neg\varphi \equiv \psi \rightarrow \neg\varphi$ .

Putting this together, we get

$$\begin{aligned} & \text{KB} \cup \{\varphi\} \models \neg\psi \\ \text{iff} & \text{KB} \models \neg\varphi \vee \neg\psi \\ \text{iff} & \text{KB} \cup \{\psi\} \models \neg\varphi \end{aligned}$$

as required. □



## Inference rules, calculi and proofs

**Question:** Can we determine whether  $\text{KB} \models \varphi$  without considering all interpretations (the truth table method)?

- ▶ **Yes!** There are various ways of doing this.
- ▶ One is to use **inference rules** that produce formulae that follow logically from a given set of formulae.
- ▶ Inference rules are written in the form

$$\frac{\varphi_1, \dots, \varphi_k}{\psi},$$

meaning “if  $\varphi_1, \dots, \varphi_k$  are true, then  $\psi$  is also true.”

- ▶  $k = 0$  is allowed; such inference rules are called **axioms**.
- ▶ A set of inference rules is called a **calculus** or **proof system**.

## Some inference rules for propositional logic

Modus ponens	$\frac{\varphi, \varphi \rightarrow \psi}{\psi}$
Modus tollens	$\frac{\neg\psi, \varphi \rightarrow \psi}{\neg\varphi}$
And elimination	$\frac{\varphi \wedge \psi}{\varphi} \quad \frac{\varphi \wedge \psi}{\psi}$
And introduction	$\frac{\varphi, \psi}{\varphi \wedge \psi}$
Or introduction	$\frac{\varphi}{\varphi \vee \psi}$
( $\perp$ ) elimination	$\frac{\perp}{\varphi}$
( $\leftrightarrow$ ) elimination	$\frac{\varphi \leftrightarrow \psi}{\varphi \rightarrow \psi} \quad \frac{\varphi \leftrightarrow \psi}{\psi \rightarrow \varphi}$

## Derivations

### Definition (derivation)

A **derivation** or **proof** of a formula  $\varphi$  from a knowledge base KB is a sequence of formulae  $\psi_1, \dots, \psi_k$  such that

- ▶  $\psi_k = \varphi$  and
- ▶ for all  $i \in \{1, \dots, k\}$ :
  - ▶  $\psi_i \in \text{KB}$ , or
  - ▶  $\psi_i$  is the result of applying an inference rule to some elements of  $\{\psi_1, \dots, \psi_{i-1}\}$ .

## Derivation example

### Example

**Given:**  $\text{KB} = \{p, p \rightarrow q, p \rightarrow r, q \wedge r \rightarrow s\}$

**Objective:** Give a derivation of  $s \wedge r$  from KB.

1.  $p$  (KB)
2.  $p \rightarrow q$  (KB)
3.  $q$  (1, 2, modus ponens)
4.  $p \rightarrow r$  (KB)
5.  $r$  (1, 4, modus ponens)
6.  $q \wedge r$  (3, 5, and introduction)
7.  $q \wedge r \rightarrow s$  (KB)
8.  $s$  (6, 7, modus ponens)
9.  $s \wedge r$  (8, 5, and introduction)

## Soundness and completeness

### Definition ( $KB \vdash_C \varphi$ , soundness, completeness)

We write  $KB \vdash_C \varphi$  if there is a derivation of  $\varphi$  from  $KB$  in calculus  $C$ .  
(We often omit  $C$  when it is clear from context.)

A calculus  $C$  is **sound** or **correct** if for all  $KB$  and  $\varphi$ ,  
we have that  $KB \vdash_C \varphi$  implies  $KB \models \varphi$ .

A calculus  $C$  is **complete** if for all  $KB$  and  $\varphi$ ,  
we have that  $KB \models \varphi$  implies  $KB \vdash_C \varphi$ .

Consider the calculus  $C$  given by the derivation rules shown previously.

**Question:** Is  $C$  sound?

**Question:** Is  $C$  complete?

## Refutation-completeness

- ▶ Clearly we want **sound** calculi.
- ▶ Do we also need **complete** calculi?
- ▶ Recall the **contradiction theorem**:  
 $KB \cup \{\varphi\}$  is unsatisfiable iff  $KB \models \neg\varphi$
- ▶ This implies that  $KB \models \varphi$  iff  $KB \cup \{\neg\varphi\}$  is unsatisfiable,  
i. e.,  $KB \models \varphi$  iff  $KB \cup \{\neg\varphi\} \models \perp$ .
- ▶ Hence, we can reduce the **general** entailment problem to testing  
**entailment of  $\perp$** .

### Definition (refutation-complete)

A calculus  $C$  is **refutation-complete** if for all  $KB$ ,  
we have that  $KB \models \perp$  implies  $KB \vdash_C \perp$ .

**Question:** What is the relationship between completeness  
and refutation-completeness?

## Resolution: idea

- ▶ **Resolution** is a refutation-complete calculus for knowledge bases in **CNF**.
- ▶ For knowledge bases that are not in CNF, we can convert them to equivalent formulae in CNF.
  - ▶ However, this conversion can take exponential time.
  - ▶ Alternatively, we can convert to a **satisfiability-equivalent** (but not logically equivalent) knowledge base in polynomial time.
- ▶ To test if  $KB \models \varphi$ , we test if  $KB \cup \{\neg\varphi\} \vdash_R \perp$ ,  
where  $R$  is the **resolution calculus**.  
(In the following, we simply write  $\vdash$  instead of  $\vdash_R$ .)
- ▶ In the worst case, resolution takes exponential time.
- ▶ However, this is probably true for **all** refutation complete proof methods, as we will see in the computational complexity part of the course.

## Knowledge bases as clause sets

- ▶ Resolution requires that knowledge bases are given in CNF.
- ▶ In this case, we can simplify notation:
  - ▶ A **formula** in CNF can be equivalently seen as a **set of clauses** (due to commutativity, idempotence and associativity of  $(\vee)$ ).
  - ▶ A **set of formulae** can then also be seen as a set of clauses.
  - ▶ A **clause** can be seen as a **set of literals** (due to commutativity, idempotence and associativity of  $(\wedge)$ ).
  - ▶ So a knowledge base can be represented as a **set of sets of literals**.
- ▶ **Example:**
  - ▶  $KB = \{(p \vee p), (\neg p \vee q) \wedge (\neg p \vee r) \wedge (\neg p \vee q) \wedge r, (\neg q \vee \neg r \vee s) \wedge p\}$
  - ▶ as clause set:  $\{\{p\}, \{\neg p, q\}, \{\neg p, r\}, \{r\}, \{\neg q, \neg r, s\}\}$

## Resolution: notation, empty clauses

- ▶ In the following, we use common logical notation for sets of literals (treating them as clauses) and sets of sets of literals (treating them as CNF formulae).
- ▶ Example:
  - ▶ Let  $I = \{p \mapsto 1, q \mapsto 1, r \mapsto 1, s \mapsto 1\}$ .
  - ▶ Let  $\Delta = \{\{p\}, \{-p, q\}, \{-p, r\}, \{r\}, \{-q, \neg r, s\}\}$ .
  - ▶ We can write  $I \models \Delta$ .
- ▶ One notation ambiguity:
  - ▶ Does the empty set mean an **empty clause** (equivalent to  $\perp$ ) or an **empty set of clauses** (equivalent to  $\top$ )?
  - ▶ To resolve this ambiguity, the **empty clause** is written as  $\square$ , while the empty set of clauses is written as  $\emptyset$ .

## The resolution rule

The **resolution calculus** consists of a single rule, called the **resolution rule**:

$$\frac{C_1 \cup \{I\}, C_2 \cup \{\neg I\}}{C_1 \cup C_2},$$

where  $C_1$  and  $C_2$  are (possibly empty) clauses, and  $I$  is an atom (and hence  $I$  and  $\neg I$  are complementary literals).

In the rule above,

- ▶  $I$  and  $\neg I$  are called the **resolution literals**,
- ▶  $C_1 \cup \{I\}$  and  $C_2 \cup \{\neg I\}$  are called the **parent clauses**, and
- ▶  $C_1 \cup C_2$  is called the **resolvent**.

## Resolution proofs

### Definition (resolution proof)

Let  $\Delta$  be a set of clauses. We define the **resolvents** of  $\Delta$  as  $\mathbf{R}(\Delta) := \Delta \cup \{C \mid C \text{ is a resolvent of two clauses from } \Delta\}$ .

A **resolution proof** of a clause  $D$  from  $\Delta$ , is a sequence of clauses  $C_1, \dots, C_n$  with

- ▶  $C_n = D$  and
- ▶  $C_i \in \mathbf{R}(\Delta \cup \{C_1, \dots, C_{i-1}\})$  for all  $i \in \{1, \dots, n\}$ .

We say that  $D$  can be **derived from  $\Delta$  by resolution**, written  $\Delta \vdash_{\mathbf{R}} D$ , if there exists a resolution proof of  $D$  from  $\Delta$ .

**Remarks:** Resolution is a **sound** and **refutation-complete**, but **incomplete** proof system.

## Resolution proofs: example

### Using resolution for testing entailment: example

Let  $\text{KB} = \{p, p \rightarrow (q \wedge r)\}$ .

We want to use resolution to show that  $\text{KB} \models r \vee s$ .

Three steps:

1. Reduce entailment to unsatisfiability.
2. Convert resulting knowledge base to clause form (CNF).
3. Derive empty clause by resolution.

**Step 1:** Reduce entailment to unsatisfiability.

$\text{KB} \models r \vee s$  iff  $\text{KB} \cup \{\neg(r \vee s)\}$  is unsatisfiable.

Hence, consider  $\text{KB}' = \text{KB} \cup \{\neg(r \vee s)\} = \{p, p \rightarrow (q \wedge r), \neg(r \vee s)\}$ .

...

## Resolution proofs: example (ctd.)

## Using resolution for testing entailment: example (ctd.)

$KB' = KB \cup \{\neg(r \vee s)\} = \{p, p \rightarrow (q \wedge r), \neg(r \vee s)\}$ .

Step 2: Convert resulting knowledge base to clause form (CNF).

$p$

$\rightsquigarrow$  clauses:  $\{p\}$

$p \rightarrow (q \wedge r) \equiv \neg p \vee (q \wedge r) \equiv (\neg p \vee q) \wedge (\neg p \vee r)$

$\rightsquigarrow$  clauses:  $\{\neg p, q\}, \{\neg p, r\}$

$\neg(r \vee s) \equiv \neg r \wedge \neg s$

$\rightsquigarrow$  clauses:  $\{\neg r\}, \{\neg s\}$

$\Delta = \{\{p\}, \{\neg p, q\}, \{\neg p, r\}, \{\neg r\}, \{\neg s\}\}$

...

## Resolution proofs: example (ctd.)

## Using resolution for testing entailment: example (ctd.)

$\Delta = \{\{p\}, \{\neg p, q\}, \{\neg p, r\}, \{\neg r\}, \{\neg s\}\}$

Step 3: Derive empty clause by resolution.

- ▶  $C_1 = \{p\}$  (from  $\Delta$ )
- ▶  $C_2 = \{\neg p, q\}$  (from  $\Delta$ )
- ▶  $C_3 = \{\neg p, r\}$  (from  $\Delta$ )
- ▶  $C_4 = \{\neg r\}$  (from  $\Delta$ )
- ▶  $C_5 = \{\neg s\}$  (from  $\Delta$ )
- ▶  $C_6 = \{q\}$  (from  $C_1$  and  $C_2$ )
- ▶  $C_7 = \{\neg p\}$  (from  $C_3$  and  $C_4$ )
- ▶  $C_8 = \square$  (from  $C_1$  and  $C_7$ )

Note: Much shorter proofs exist. (For example?)

## Another example

## Another resolution example

We want to prove  $\{p \rightarrow q, q \rightarrow r\} \models p \rightarrow r$ .

## Larger example: blood types

We know the following:

- ▶ If test T is positive, the person has blood type A or AB.
- ▶ If test S is positive, the person has blood type B or AB.
- ▶ If a person has blood type A, then test T will be positive.
- ▶ If a person has blood type B, then test S will be positive.
- ▶ If a person has blood type AB, both tests will be positive.
- ▶ A person has exactly one of the blood types A, B, AB, 0.
- ▶ Suppose T is true and S is false for a given person.

Prove that the person must have blood type A or 0.

## Summary

- ▶ **Logics** are mathematical approaches for formalizing reasoning.
- ▶ **Propositional logic** is one logic which is of particular relevance to computer science.
- ▶ Three important components of all forms of logic include:
  - ▶ **Syntax** formalizes what statements can be expressed.  
 ↪ atoms, connectives, formulae, ...
  - ▶ **Semantics** formalizes what these statements mean.  
 ↪ interpretations, models, satisfiable, valid, ...
  - ▶ **Calculi** (proof systems) provide formal rules for deriving conclusions from a set of given statements.  
 ↪ inference rules, derivations, sound, complete, refutation-complete, ...
- ▶ We had a closer look at the **resolution** calculus, which is a sound and refutation-complete proof system.

## Further topics

There are many further topics we did not discuss:

- ▶ **resolution strategies** to make resolution as efficient as possible in practice
- ▶ other proof systems, for example **tableaux proofs**
- ▶ algorithms for **model construction**, for example the Davis-Putnam-Logemann-Loveland (DPLL) procedure

These topics are discussed in advanced courses, such as:

- ▶ **Foundations of Artificial Intelligence**  
(every summer semester)
- ▶ **Principles of Knowledge Representation and Reasoning**  
(no fixed schedule; roughly once in two years)
- ▶ **Modal Logic** (no fixed schedule; infrequently)