

# Principles of AI Planning

## 8. State-space search: relaxation heuristics

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## Towards better relaxed plans

Why does the greedy algorithm compute low-quality plans?

- ▶ It may apply many operators which are not **goal-directed**.

How can this problem be fixed?

- ▶ **Reaching the goal** of a relaxed planning task is most easily achieved with **forward search**.
- ▶ Analyzing **relevance** of an operator for achieving a goal (or subgoal) is most easily achieved with **backward search**.

**Idea:** Use a **forward-backward** algorithm that first finds a path to the goal greedily, then prunes it to a relevant subplan.

## Relaxed plan steps

How to decide which operators to apply in forward direction?

- ▶ We **avoid** such a decision by applying all applicable operators **simultaneously**.

### Definition (plan step)

A **plan step** is a set of operators  $\omega = \{\langle c_1, e_1 \rangle, \dots, \langle c_n, e_n \rangle\}$ .

In the **special case of all operators of  $\omega$  being relaxed**, we further define:

- ▶ Plan step  $\omega$  is **applicable** in state  $s$  iff  $s \models c_i$  for all  $i \in \{1, \dots, n\}$ .
- ▶ The **result** of applying  $\omega$  to  $s$ , in symbols  $app_\omega(s)$ , is defined as the state  $s'$  with  $on(s') = on(s) \cup \bigcup_{i=1}^n [e_i]_s$ .

**general semantics for plan steps**  $\rightsquigarrow$  much later

## Applying relaxed plan steps: examples

In all cases,  $s = \{a \mapsto 0, b \mapsto 0, c \mapsto 1, d \mapsto 0\}$ .

- ▶  $\omega = \{\langle c, a \rangle, \langle \top, b \rangle\}$
- ▶  $\omega = \{\langle c, a \rangle, \langle c, a \triangleright b \rangle\}$
- ▶  $\omega = \{\langle c, a \wedge b \rangle, \langle a, b \triangleright d \rangle\}$
- ▶  $\omega = \{\langle c, a \wedge (b \triangleright d) \rangle, \langle c, b \wedge (a \triangleright d) \rangle\}$

## Serializations

Applying a relaxed plan step to a state is related to applying the operators in the step to a state in sequence.

### Definition (serialization)

A **serialization** of plan step  $\omega = \{o_1^+, \dots, o_n^+\}$  is a sequence  $o_{\pi(1)}^+, \dots, o_{\pi(n)}^+$  where  $\pi$  is a permutation of  $\{1, \dots, n\}$ .

### Lemma (conservativeness of plan step semantics)

If  $\omega$  is a plan step applicable in a state  $s$  of a relaxed planning task, then each serialization  $o_1, \dots, o_n$  of  $\omega$  is applicable in  $s$  and  $app_{o_1, \dots, o_n}(s)$  dominates  $app_\omega(s)$ .

- ▶ Does equality hold for all serializations/some serialization?
- ▶ What if there are no conditional effects?
- ▶ What if the planning task is not relaxed?

## Parallel plans

### Definition (parallel plan)

A **parallel plan** for a relaxed planning task  $\langle A, I, O^+, G \rangle$  is a sequence of plan steps  $\omega_1, \dots, \omega_n$  of operators in  $O^+$  with:

- ▶  $s_0 := I$
- ▶ For  $i = 1, \dots, n$ , step  $\omega_i$  is applicable in  $s_{i-1}$  and  $s_i := app_{\omega_i}(s_{i-1})$ .
- ▶  $s_n \models G$

**Remark:** By ordering the operators within each single step arbitrarily, we obtain a (regular, non-parallel) plan.

## Forward states, plan steps and sets

**Idea:** In the forward phase of the heuristic computation,

- ▶ first apply plan step with **all operators applicable initially**,
- ▶ then apply plan step with **all operators applicable then**,
- ▶ and so on.

### Definition (forward state, forward plan step, forward set)

Let  $\Pi^+ = \langle A, I, O^+, G \rangle$  be a relaxed planning task.

The  **$n$ -th forward state**, in symbols  $s_n^F$  ( $n \in \mathbb{N}_0$ ), the  **$n$ -th forward plan step**, in symbols  $\omega_n^F$  ( $n \in \mathbb{N}_1$ ), and the  **$n$ -th forward set**, in symbols  $S_n^F$  ( $n \in \mathbb{N}_0$ ), are defined as:

- ▶  $s_0^F := I$
- ▶  $\omega_n^F := \{o \in O^+ \mid o \text{ applicable in } s_{n-1}^F\}$  for all  $n \in \mathbb{N}_1$
- ▶  $s_n^F := app_{\omega_n^F}(s_{n-1}^F)$  for all  $n \in \mathbb{N}_1$
- ▶  $S_n^F := on(s_n^F)$  for all  $n \in \mathbb{N}_0$

## The max heuristic $h_{\max}$

### Definition (parallel forward distance)

The **parallel forward distance** of a relaxed planning task  $\langle A, I, O^+, G \rangle$  is the lowest number  $n \in \mathbb{N}_0$  such that  $s_n^F \models G$ , or  $\infty$  if no forward state satisfies  $G$ .

**Remark:** The parallel forward distance can be computed in polynomial time. (How?)

### Definition (max heuristic $h_{\max}$ )

Let  $\Pi = \langle A, I, O, G \rangle$  be a planning task in positive normal form, and let  $s$  be a state of  $\Pi$ .

The **max heuristic** estimate for  $s$ ,  $h_{\max}(s)$ , is the parallel forward distance of the relaxed planning task  $\langle A, s, O^+, G \rangle$ .

**Remark:**  $h_{\max}$  is safe, goal-aware, admissible and consistent. (Why?)

## So far, so good...

- ▶ We have seen how systematic computation of forward states leads to an admissible heuristic estimate.
- ▶ However, this estimate is **very coarse**.
- ▶ To improve it, we need to include **backward propagation** of information.

For this purpose, we use so-called **relaxed planning graphs**.

## AND/OR graphs

### Definition (AND/OR graph)

An **AND/OR graph**  $\langle V, A, type \rangle$  is an acyclic digraph  $\langle V, A \rangle$  with a label function  $type: V \rightarrow \{\wedge, \vee\}$  partitioning nodes into **AND nodes** ( $type(v) = \wedge$ ) and **OR nodes** ( $type(v) = \vee$ ).

**Note:** We draw AND nodes as squares and OR nodes as circles.

### Definition (truth values in AND/OR graphs)

Let  $G = \langle V, A, type \rangle$  be an AND/OR graph, and let  $u \in V$  be a node with successor set  $\{v_1, \dots, v_k\} \subseteq V$ .

The (truth) **value** of  $u$ ,  $val(u)$ , is inductively defined as:

- ▶ If  $type(u) = \wedge$ , then  $val(u) = val(v_1) \wedge \dots \wedge val(v_k)$ .
- ▶ If  $type(u) = \vee$ , then  $val(u) = val(v_1) \vee \dots \vee val(v_k)$ .

**Note:** No separate base case is needed. (Why not?)

## Relaxed planning graphs

Let  $\Pi^+$  be a relaxed planning task, and let  $k \in \mathbb{N}_0$ .

The **relaxed planning graph** of  $\Pi^+$  for depth  $k$ , in symbols  $RPG_k(\Pi^+)$ , is an AND/OR graph that encodes

- ▶ **which propositions** can be made true in  $k$  plan steps, and
- ▶ **how** they can be made true.

Its construction is a bit involved, so we present it in stages.

## Running example

As a running example, consider the relaxed planning task  $\langle A, I, \{o_1, o_2, o_3, o_4\}, G \rangle$  with

$$\begin{aligned} A &= \{a, b, c, d, e, f, g, h\} \\ I &= \{a \mapsto 1, b \mapsto 0, c \mapsto 1, d \mapsto 1, \\ &\quad e \mapsto 0, f \mapsto 0, g \mapsto 0, h \mapsto 0\} \\ o_1 &= \langle b \vee (c \wedge d), b \wedge ((a \wedge b) \triangleright e) \rangle \\ o_2 &= \langle \top, f \rangle \\ o_3 &= \langle f, g \rangle \\ o_4 &= \langle f, h \rangle \\ G &= e \wedge (g \wedge h) \end{aligned}$$

## Running example: forward sets and plan steps

$$\begin{aligned} I &= \{a \mapsto 1, b \mapsto 0, c \mapsto 1, d \mapsto 1, e \mapsto 0, f \mapsto 0, g \mapsto 0, h \mapsto 0\} \\ o_1 &= \langle b \vee (c \wedge d), b \wedge ((a \wedge b) \triangleright e) \rangle \\ o_2 &= \langle \top, f \rangle, \quad o_3 = \langle f, g \rangle, \quad o_4 = \langle f, h \rangle \end{aligned}$$

$$\begin{aligned} S_0^F &= \{a, c, d\} \\ \omega_1^F &= \{o_1, o_2\} \\ S_1^F &= \{a, b, c, d, f\} \\ \omega_2^F &= \{o_1, o_2, o_3, o_4\} \\ S_2^F &= \{a, b, c, d, e, f, g, h\} \\ \omega_3^F &= \omega_2^F \\ S_3^F &= S_2^F \text{ etc.} \end{aligned}$$

## Components of relaxed planning graphs

A relaxed planning graph consists of four kinds of components:

- ▶ **Proposition nodes** represent the truth value of propositions after applying a certain number of plan steps.
- ▶ **Idle arcs** represent the fact that state variables, once true, remain true.
- ▶ **Operator subgraphs** represent the possibility and effect of applying a given operator in a given plan step.
- ▶ The **goal subgraph** represents the truth value of the goal condition after  $k$  plan steps.

## Relaxed planning graph: proposition layers

Let  $\Pi^+ = \langle A, I, O^+, G \rangle$  be a relaxed planning task, let  $k \in \mathbb{N}_0$ .

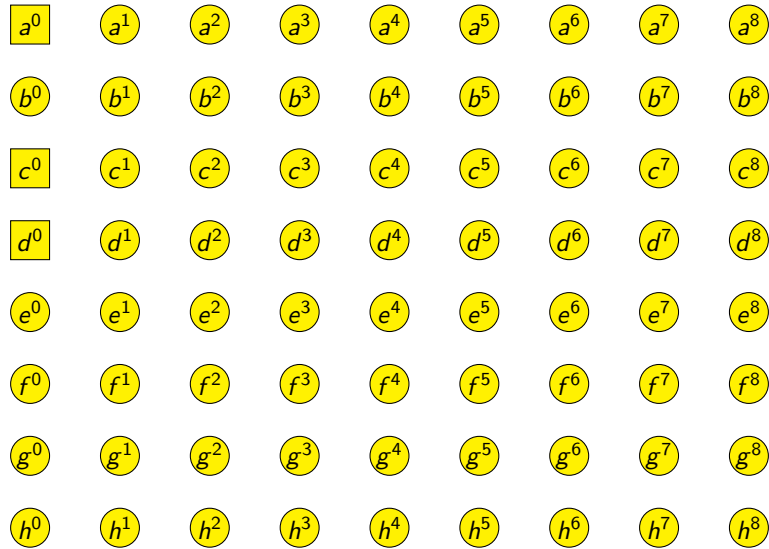
For each  $i \in \{0, \dots, k\}$ ,  $RPG_k(\Pi^+)$  contains one **proposition layer** which consists of:

- ▶ a **proposition node**  $a^i$  for each state variable  $a \in A$ .

Node  $a^i$  is an AND node if  $i = 0$  and  $I \models a$ .

Otherwise, it is an OR node.

## Relaxed planning graph: proposition layers

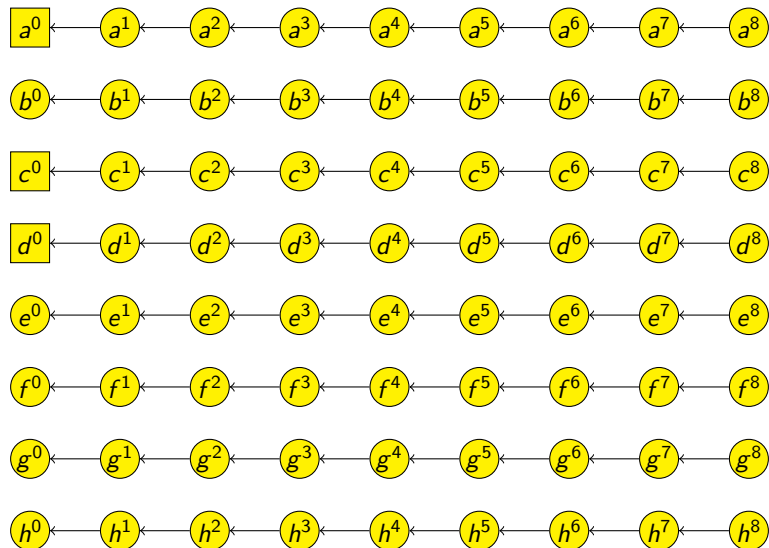


## Relaxed planning graph: idle arcs

For each proposition node  $a^i$  with  $i \in \{1, \dots, k\}$ ,  $RPG_k(\Pi^+)$  contains an arc from  $a^i$  to  $a^{i-1}$  (**idle arcs**).

**Intuition:** If a state variable is true in step  $i$ , one of the possible reasons is that it **was already previously true**.

## Relaxed planning graph: idle arcs



## Relaxed planning graph: operator subgraphs

For each  $i \in \{1, \dots, k\}$  and each operator  $o^+ = \langle c, e^+ \rangle \in O^+$ ,  $RPG_k(\Pi^+)$  contains a subgraph called an **operator subgraph** with the following parts:

- ▶ one **formula node**  $n_\chi^i$  for each formula  $\chi$  which is a subformula of  $c$  or of some effect condition in  $e^+$ :
  - ▶ If  $\chi = a$  for some atom  $a$ ,  $n_\chi^i$  is the proposition node  $a^{i-1}$ .
  - ▶ If  $\chi = \top$ ,  $n_\chi^i$  is a new AND node without outgoing arcs.
  - ▶ If  $\chi = \perp$ ,  $n_\chi^i$  is a new OR node without outgoing arcs.
  - ▶ If  $\chi = (\varphi \wedge \psi)$ ,  $n_\chi^i$  is a new AND node with outgoing arcs to  $n_\varphi^i$  and  $n_\psi^i$ .
  - ▶ If  $\chi = (\varphi \vee \psi)$ ,  $n_\chi^i$  is a new OR node with outgoing arcs to  $n_\varphi^i$  and  $n_\psi^i$ .

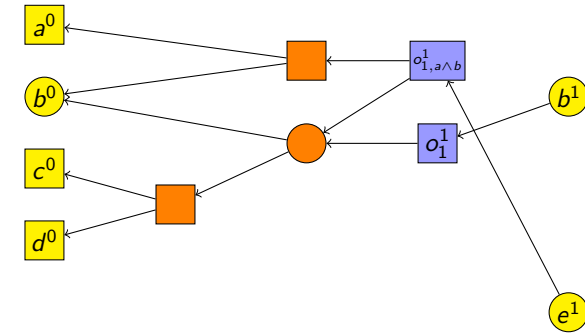
## Relaxed planning graph: operator subgraphs

For each  $i \in \{1, \dots, k\}$  and each operator  $o^+ = \langle c, e^+ \rangle \in O^+$ ,  $RPG_k(\Pi^+)$  contains a subgraph called an **operator subgraph** with the following parts:

- ▶ for each conditional effect  $\langle c' \triangleright a \rangle$  in  $e^+$ , an **effect node**  $o_{c'}^i$  (an AND node) with outgoing arcs to the precondition formula node  $n_c^i$  and effect condition formula node  $n_{c'}^i$ , and incoming arc from proposition node  $a^i$ 
  - ▶ unconditional effects  $a$  (effects which are not part of a conditional effect) are treated the same, except that there is no arc to an effect condition formula node
  - ▶ effects with identical condition (including groups of unconditional effects) share the same effect node
  - ▶ the effect node for unconditional effects is denoted by  $o^i$

## Relaxed planning graph: operator subgraphs

Operator subgraph for  $o_1 = \langle b \vee (c \wedge d), b \wedge ((a \wedge b) \triangleright e) \rangle$  for layer  $i = 0$ .



## Relaxed planning graph: goal subgraph

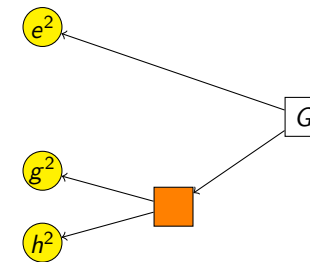
$RPG_k(\Pi^+)$  contains a subgraph called a **goal subgraph** with the following parts:

- ▶ one **formula node**  $n_\chi^k$  for each formula  $\chi$  which is a subformula of  $G$ :
  - ▶ If  $\chi = a$  for some atom  $a$ ,  $n_\chi^k$  is the proposition node  $a^i$ .
  - ▶ If  $\chi = \top$ ,  $n_\chi^k$  is a new AND node without outgoing arcs.
  - ▶ If  $\chi = \perp$ ,  $n_\chi^k$  is a new OR node without outgoing arcs.
  - ▶ If  $\chi = (\varphi \wedge \psi)$ ,  $n_\chi^k$  is a new AND node with outgoing arcs to  $n_\varphi^k$  and  $n_\psi^k$ .
  - ▶ If  $\chi = (\varphi \vee \psi)$ ,  $n_\chi^k$  is a new OR node with outgoing arcs to  $n_\varphi^k$  and  $n_\psi^k$ .

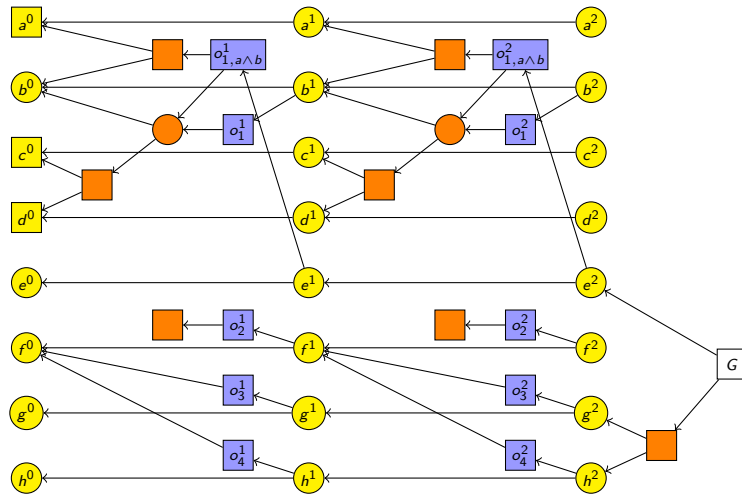
The node  $n_G^k$  is called the **goal node**.

## Relaxed planning graph: goal subgraphs

Goal subgraph for  $G = e \wedge (g \wedge h)$  and depth  $k = 2$ :



## Relaxed planning graph: complete (depth 2)



## Connection to forward sets and plan steps

### Theorem (relaxed planning graph truth values)

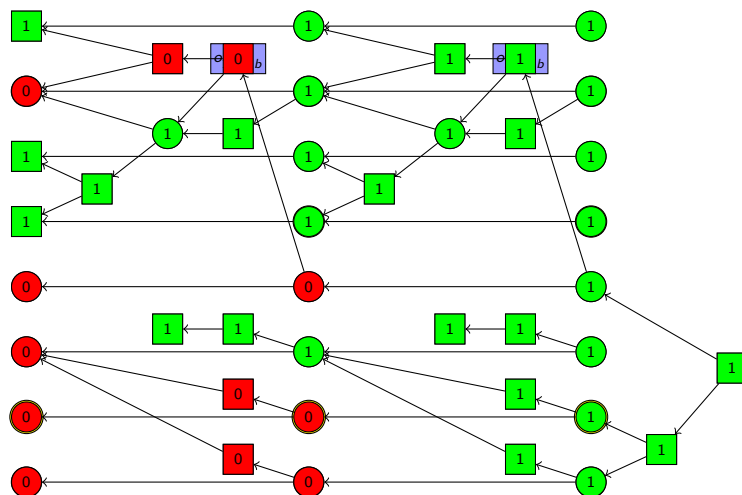
Let  $\Pi^+ = \langle A, I, O^+, G \rangle$  be a relaxed planning task.

Then the truth values of the nodes of its depth- $k$  relaxed planning graph  $RPG_k(\Pi^+)$  relate to the forward sets and forward plan steps of  $\Pi^+$  as follows:

- ▶ **Proposition nodes:**  
For all  $a \in A$  and  $i \in \{0, \dots, k\}$ ,  $val(a^i) = 1$  iff  $a \in S_i^F$ .
- ▶ **(Unconditional) effect nodes:**  
For all  $o \in O^+$  and  $i \in \{1, \dots, k\}$ ,  $val(o^i) = 1$  iff  $o \in \omega_i^F$ .
- ▶ **Goal nodes:**  
 $val(n_G^k) = 1$  iff the parallel forward distance of  $\Pi^+$  is at most  $k$ .

(We omit the straight-forward proof.)

## Computing the node truth values



## Relaxed planning graphs for STRIPS

**Remark:** Relaxed planning graphs have historically been defined for STRIPS tasks only. In this case, we can simplify:

- ▶ **Only one effect node per operator:** STRIPS does not have conditional effects.
  - ▶ Because each operator has only one effect node, effect nodes are called **operator nodes** in relaxed planning graphs for STRIPS.
- ▶ **No goal nodes:** The test whether all goals are reached is done by the algorithm that evaluates the AND/OR graph.
- ▶ **No formula nodes:** Operator nodes are directly connected to their preconditions.

↪ Relaxed planning graphs for STRIPS are **layered** digraphs and only have **proposition and operator nodes**.

## Computing parallel forward distances from RPGs

So far, relaxed planning graphs offer us a way to compute parallel forward distances:

### Parallel forward distances from relaxed planning graphs

**def** *parallel-forward-distance*( $\Pi^+$ ):

Let  $A$  be the set of state variables of  $\Pi^+$ .

**for**  $k \in \{0, 1, 2, \dots\}$ :

$rpg := RPG_k(\Pi^+)$

Evaluate truth values for  $rpg$ .

**if** goal node of  $rpg$  has value 1:

**return**  $k$

**else if**  $k = |A|$ :

**return**  $\infty$

## Remarks on the algorithm

- ▶ The relaxed planning graph for depth  $k \geq 1$  can be built **incrementally** from the one for depth  $k - 1$ :
  - ▶ Add new layer  $k$ .
  - ▶ Move goal subgraph from layer  $k - 1$  to layer  $k$ .
- ▶ Similarly, all truth values up to layer  $k - 1$  can be reused.
- ▶ Thus, overall computation with maximal depth  $m$  requires time  $O(\|RPG_m(\Pi^+)\|) = O((m + 1) \cdot \|\Pi^+\|)$ .
- ▶ This is not a very efficient way of computing parallel forward distances (and wouldn't be used in practice).
- ▶ However, it allows computing **additional information** for the relaxed planning graph nodes along the way, which can be used for heuristic estimates.

## Generic relaxed planning graph heuristics

### Computing heuristics from relaxed planning graphs

**def** *generic-rpg-heuristic*( $\langle A, I, O, G \rangle, s$ ):

$\Pi^+ := \langle A, s, O^+, G \rangle$

**for**  $k \in \{0, 1, 2, \dots\}$ :

$rpg := RPG_k(\Pi^+)$

Evaluate truth values for  $rpg$ .

**if** goal node of  $rpg$  has value 1:

Annotate true nodes of  $rpg$ .

**if** termination criterion is true:

**return** heuristic value from annotations

**else if**  $k = |A|$ :

**return**  $\infty$

↪ **generic template** for heuristic functions

↪ to get **concrete heuristic**: fill in highlighted parts

## Concrete examples for the generic heuristic

Many planning heuristics fit the generic template:

- ▶ **additive heuristic**  $h_{add}$  (Bonet, Loerincs & Geffner, 1997)
- ▶ **max heuristic**  $h_{max}$  (Bonet & Geffner, 1999)
- ▶ **FF heuristic**  $h_{FF}$  (Hoffmann & Nebel, 2001)
- ▶ **cost-sharing heuristic**  $h_{cs}$  (Mirkis & Domshlak, 2007)
  - ▶ not covered in this course
- ▶ **set-additive heuristic**  $h_{sa}$  (Keyder & Geffner, 2008)

Remarks:

- ▶ For all these heuristics, equivalent definitions that don't refer to relaxed planning graphs are possible.
- ▶ Historically, such equivalent definitions have mostly been used for  $h_{max}$ ,  $h_{add}$  and  $h_{sa}$ .
- ▶ For those heuristics, the most efficient implementations do not use relaxed planning graphs explicitly.



## Forward cost heuristics

- ▶ The simplest relaxed planning graph heuristics are **forward cost heuristics**.
- ▶ Examples:  $h_{\max}$ ,  $h_{\text{add}}$
- ▶ Here, node annotations are **cost values** (natural numbers).
- ▶ The cost of a node estimates how expensive (in terms of required operators) it is to make this node true.

## Forward cost heuristics: fitting the template

### Forward cost heuristics

#### Computing annotations:

- ▶ Propagate cost values bottom-up using a **combination rule** for OR nodes and a **combination rule** for AND nodes.
- ▶ At **effect nodes**, add 1 after applying combination rule.

#### Termination criterion:

- ▶ **stability**: terminate if cost for proposition node  $a^k$  equals cost for  $a^{k-1}$  for all true propositions  $a$  in layer  $k$

#### Heuristic value:

- ▶ The heuristic value is the cost of the goal node.
- ▶ Different forward cost heuristics only differ in their choice of combination rules.

## The max heuristic $h_{\max}$ (again)

### Forward cost heuristics: max heuristic $h_{\max}$

#### Combination rule for AND nodes:

- ▶  $cost(u) = \max(\{cost(v_1), \dots, cost(v_k)\})$   
(with  $\max(\emptyset) := 0$ )

#### Combination rule for OR nodes:

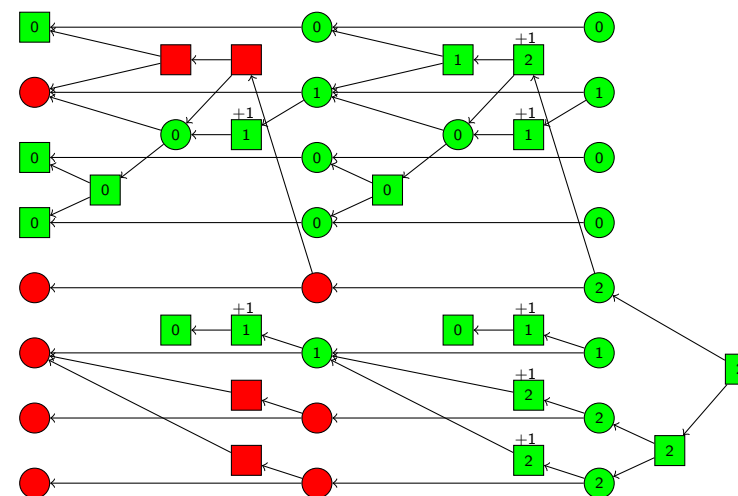
- ▶  $cost(u) = \min(\{cost(v_1), \dots, cost(v_k)\})$

In both cases,  $\{v_1, \dots, v_k\}$  is the set of true successors of  $u$ .

#### Intuition:

- ▶ **AND rule**: If we have to achieve several conditions, estimate this by the **most expensive** cost.
- ▶ **OR rule**: If we have a choice how to achieve a condition, pick the **cheapest** possibility.

## Running example: $h_{\max}$



Remarks on  $h_{\max}$ 

- ▶ The definition of  $h_{\max}$  as a forward cost heuristic is equivalent to our earlier definition in this chapter.
- ▶ Unlike the earlier definition, it generalizes to an extension where every operator has an associated non-negative **cost** (rather than all operators having cost 1).
- ▶ In the case without costs (and only then), it is easy to prove that the goal node has the same cost in all graphs  $RPG_k(\Pi^+)$  where it is true. (Namely, the cost is equal to the lowest value of  $k$  for which the goal node is true.)
- ▶ We can thus terminate the computation as soon as the goal becomes true, without waiting for stability.
- ▶ The same is **not true** for other forward-propagating heuristics ( $h_{\text{add}}$ ,  $h_{\text{cs}}$ ,  $h_{\text{sa}}$ ).

## The additive heuristic

Forward cost heuristics: additive heuristic  $h_{\text{add}}$

Combination rule for AND nodes:

$$\text{cost}(u) = \text{cost}(v_1) + \dots + \text{cost}(v_k)$$

(with  $\sum(\emptyset) := 0$ )

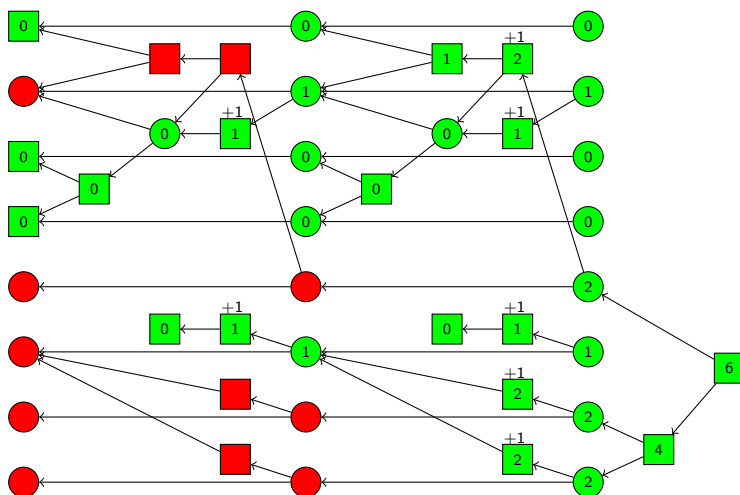
Combination rule for OR nodes:

$$\text{cost}(u) = \min(\{\text{cost}(v_1), \dots, \text{cost}(v_k)\})$$

In both cases,  $\{v_1, \dots, v_k\}$  is the set of true successors of  $u$ .

Intuition:

- ▶ **AND rule:** If we have to achieve several conditions, estimate this by the cost of achieving **each in isolation**.
- ▶ **OR rule:** If we have a choice how to achieve a condition, pick the **cheapest** possibility.

Running example:  $h_{\text{add}}$ Remarks on  $h_{\text{add}}$ 

- ▶ It is important to test for stability in computing  $h_{\text{add}}$ ! (The reason for this is that, unlike  $h_{\max}$ , cost values of true propositions can **decrease** from layer to layer.)
- ▶ Stability is achieved after layer  $|A|$  in the worst case.
- ▶  $h_{\text{add}}$  is **safe** and **goal-aware**.
- ▶ Unlike  $h_{\max}$ ,  $h_{\text{add}}$  is a **very informative** heuristic in many planning domains.
- ▶ The price for this is that it is **not admissible** (and hence also **not consistent**), so not suitable for optimal planning.
- ▶ In fact, it **almost always** overestimates the  $h^+$  value because it does not take **positive interactions** into account.

## The set-additive heuristic

- ▶ We now discuss a refinement of the additive heuristic called the **set-additive heuristic**  $h_{sa}$ .
- ▶ The set-additive heuristic addresses the problem that  $h_{add}$  does not take positive interactions into account.
- ▶ Like  $h_{max}$  and  $h_{add}$ ,  $h_{sa}$  is calculated through **forward propagation** of node annotations.
- ▶ However, the node annotations are not cost values, but **sets of operators** (kind of).
- ▶ The idea is that by taking **set unions** instead of **adding costs**, operators needed only once are **counted only once**.

**Disclaimer:** There are some quite subtle differences between the  $h_{sa}$  heuristic as we describe it here and the “real” heuristic of Keyder & Geffner. We do not want to discuss this in detail, but please note that such differences exist.

## Operators needed several times

- ▶ The original  $h_{sa}$  heuristic as described in the literature is defined for STRIPS tasks and propagates **sets of operators**.
- ▶ This is fine because in relaxed STRIPS tasks, each operator **need only be applied once**.
- ▶ The same is **not true in general**: in our running example, operator  $o_1$  must be applied twice in the relaxed plan.
- ▶ In general, it only makes sense to apply an operator again in a relaxed planning task if a **previously unsatisfied effect condition** has been made true.
- ▶ For this reason, we keep track of **operator/effect condition pairs** rather than just plain operators.

## Set-additive heuristic: fitting the template

### The set-additive heuristic $h_{sa}$

#### Computing annotations:

- ▶ Annotations are **sets of operator/effect condition pairs**, computed bottom-up.

#### Combination rule for AND nodes:

- ▶  $ann(u) = ann(v_1) \cup \dots \cup ann(v_k)$  (with  $\cup(\emptyset) := \emptyset$ )

#### Combination rule for OR nodes:

- ▶  $ann(u) = ann(v_i)$  for some  $v_i$  minimizing  $|ann(v_i)|$   
In case of several minimizers, use any tie-breaking rule.

In both cases,  $\{v_1, \dots, v_k\}$  is the set of true successors of  $u$ .

...

## Set-additive heuristic: fitting the template (ctd.)

### The set-additive heuristic $h_{sa}$ (ctd.)

#### Computing annotations:

- ▶ ...

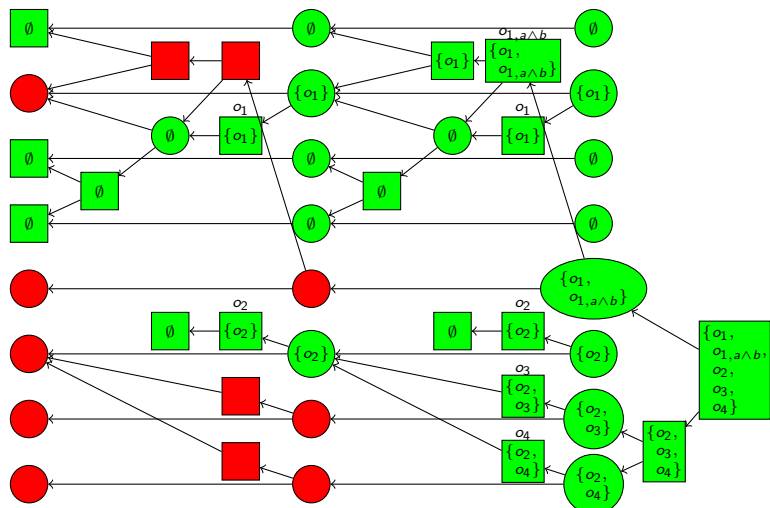
At **effect nodes**, add the corresponding operator/effect condition pair to the set after applying combination rule. (Effect nodes for unconditional effects are represented just by the operator, without a condition.)

#### Termination criterion:

- ▶ **stability**: terminate if set for proposition node  $a^k$  has same cardinality as for  $a^{k-1}$  for all true propositions  $a$  in layer  $k$

#### Heuristic value:

- ▶ The heuristic value is the **set cardinality** of the goal node annotation.

Running example:  $h_{sa}$ Remarks on  $h_{sa}$ 

- ▶ The same remarks for stability as for  $h_{add}$  apply.
- ▶ Like  $h_{add}$ ,  $h_{sa}$  is **safe** and **goal-aware**, but neither **admissible** nor **consistent**.
- ▶  $h_{sa}$  is generally **better informed** than  $h_{add}$ , but significantly more expensive to compute.
- ▶ The  $h_{sa}$  value depends on the tie-breaking rule used, so  $h_{sa}$  is **not well-defined** without specifying the tie-breaking rule.
- ▶ The operators contained in the goal node annotation, suitably ordered, define a **relaxed plan** for the task.
  - ▶ Operators mentioned several times in the annotation must be added as many times in the relaxed plan.

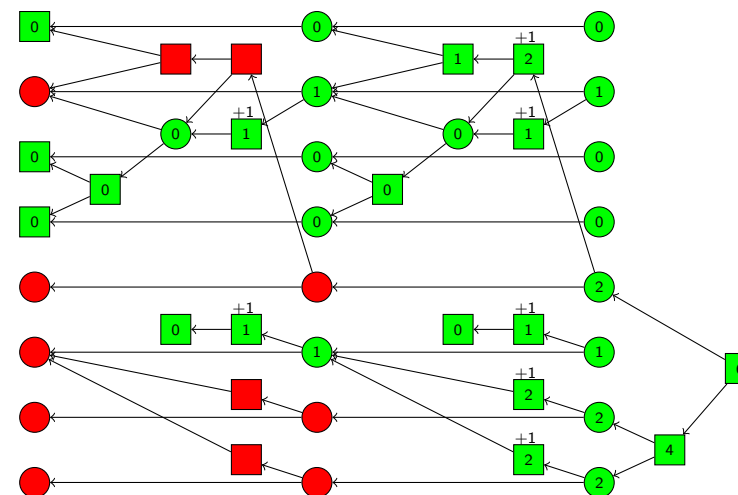
## Incremental computation of forward heuristics

One nice property of forward-propagating heuristics is that they allow **incremental computation**:

- ▶ when evaluating several states in sequence which only differ in a few state variables, can
  - ▶ **start computation from previous results** and
  - ▶ keep track only of **what needs to be recomputed**
- ▶ typical use case: **depth-first** style searches (e. g., IDA\*)
- ▶ rarely exploited in practice

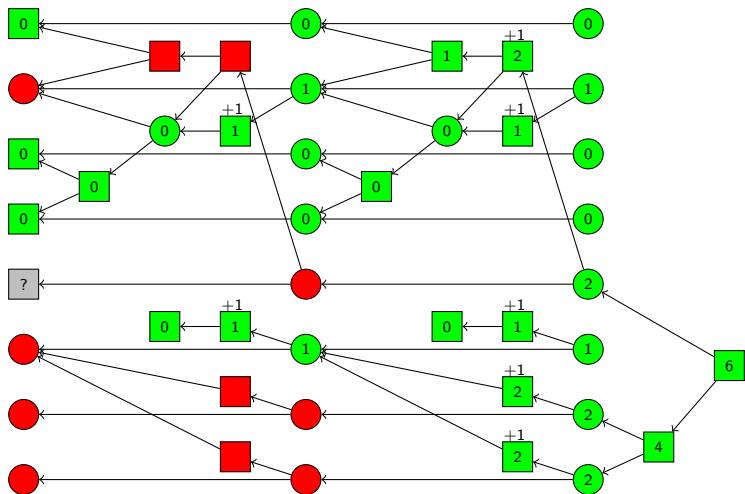
Incremental computation example:  $h_{add}$ 

Result for  $\{a \mapsto 1, b \mapsto 0, c \mapsto 1, d \mapsto 1, e \mapsto 0, f \mapsto 0, g \mapsto 0, h \mapsto 0\}$



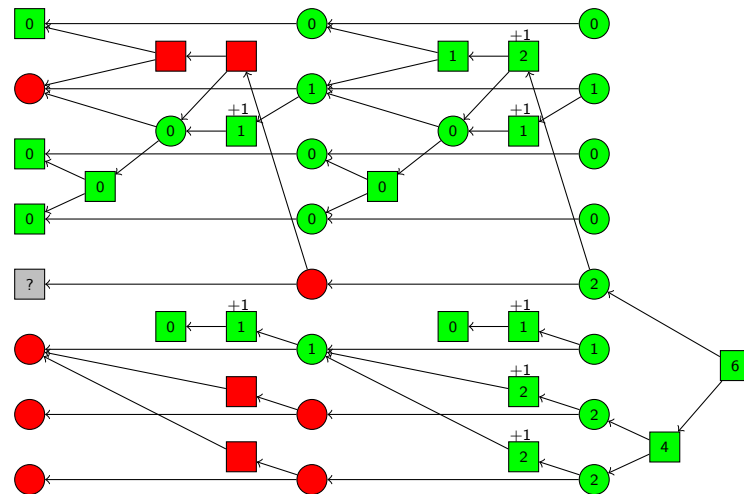
### Incremental computation example: $h_{add}$

Change value of  $e$  to 1.



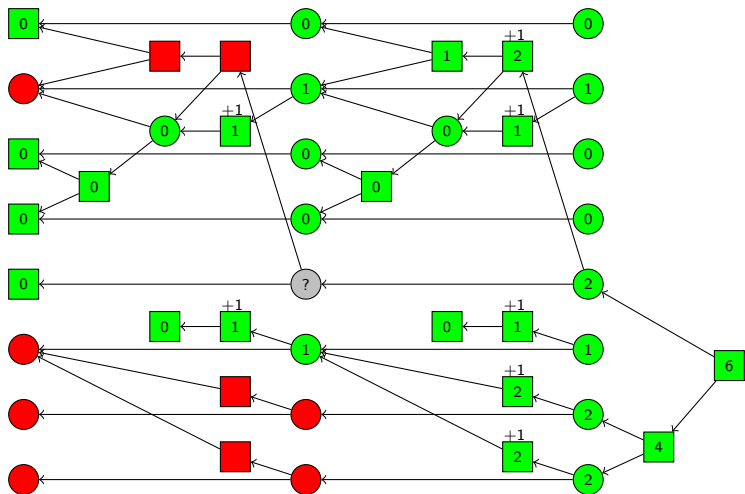
### Incremental computation example: $h_{add}$

Recompute outdated values.



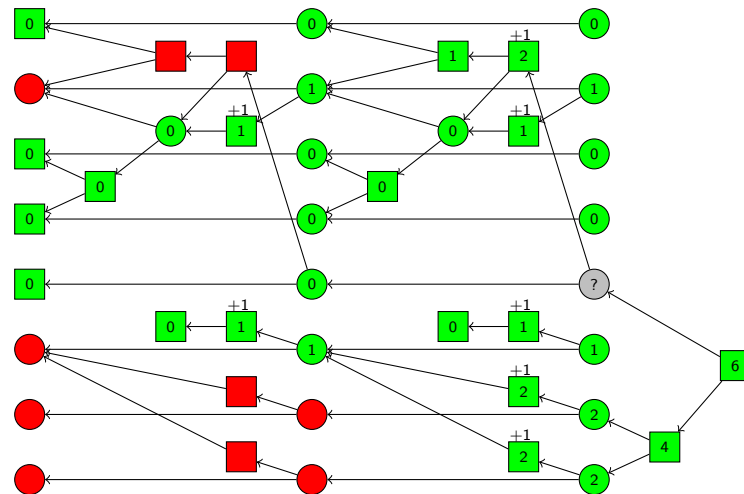
### Incremental computation example: $h_{add}$

Recompute outdated values.



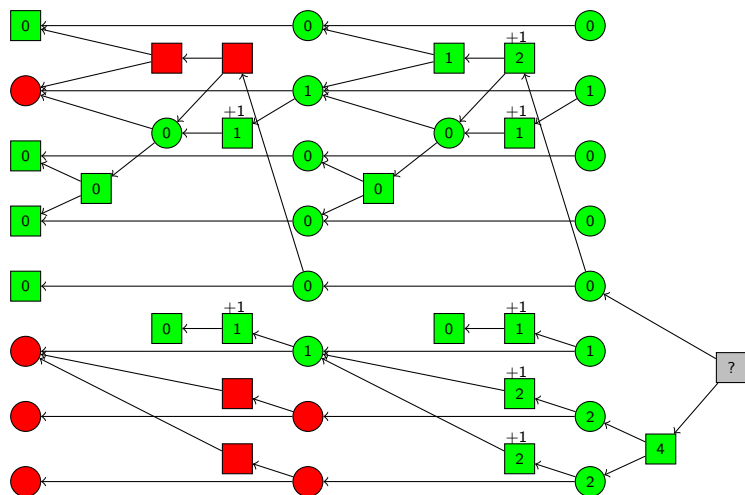
### Incremental computation example: $h_{add}$

Recompute outdated values.

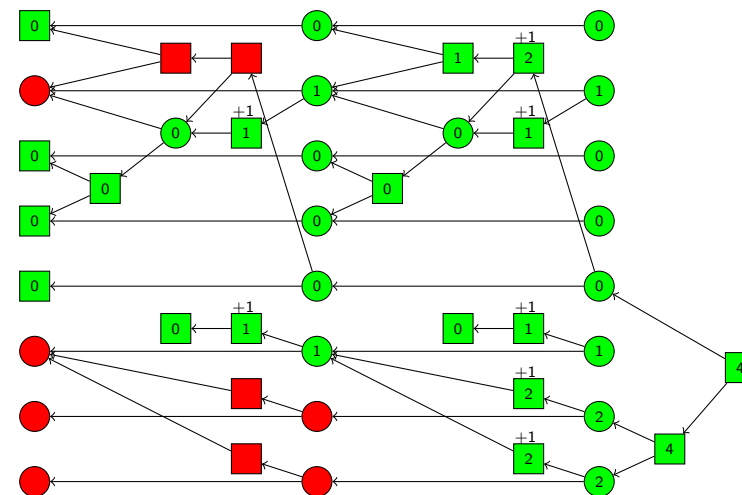


Incremental computation example:  $h_{add}$ 

Recompute outdated values.

Incremental computation example:  $h_{add}$ 

Recompute outdated values.

Heuristic estimate  $h_{FF}$ 

- ▶  $h_{sa}$  is more expensive to compute than the other forward propagating heuristics because we must propagate **sets**.
- ▶ It is possible to get the same advantage over  $h_{add}$  combined with efficient propagation.
- ▶ Key idea of  $h_{FF}$ : perform a **backward propagation** that selects a sufficient subset of nodes to make the goal true (called a **solution graph** in AND/OR graph literature).
- ▶ The resulting heuristic is almost as informative as  $h_{sa}$ , yet computable as quickly as  $h_{add}$ .

**Note:** Our presentation inverts the historical order. The set-additive heuristic was defined **after** the FF heuristic (sacrificing speed for even higher informativeness).

## FF heuristic: fitting the template

The FF heuristic  $h_{FF}$ 

## Computing annotations:

- ▶ Annotations are **Boolean values**, computed top-down.

A node is **marked** when its annotation is set to 1 and **unmarked** if it is set to 0. Initially, the goal node is marked, and all other nodes are unmarked.

We say that a true AND node is **justified** if all its true successors are marked, and that a true OR node is **justified** if at least one of its true successors is marked.

...

## FF heuristic: fitting the template (ctd.)

The FF heuristic  $h_{FF}$  (ctd.)

## Computing annotations:

▶ ...

Apply these rules until **all marked nodes are justified**:

1. Mark all true successors of a marked unjustified AND node.
2. Mark the true successor of a marked unjustified OR node with only one true successor.
3. Mark a true successor of a marked unjustified OR node connected via an idle arc.
4. Mark any true successor of a marked unjustified OR node.

The rules are given in priority order: earlier rules are preferred if applicable.

## FF heuristic: fitting the template (ctd.)

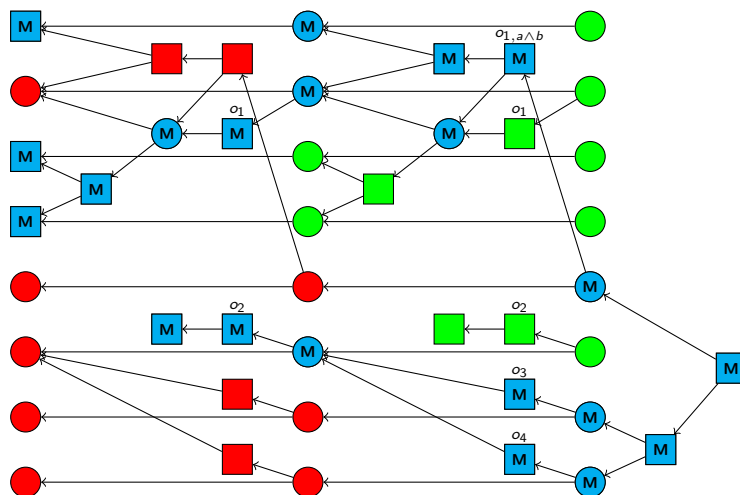
The FF heuristic  $h_{FF}$  (ctd.)

## Termination criterion:

- ▶ **Always terminate** at first layer where goal node is true.

## Heuristic value:

- ▶ The heuristic value is the **number of operator/effect condition pairs** for which **at least one** effect node is marked.

Running example:  $h_{FF}$ Remarks on  $h_{FF}$ 

- ▶ Like  $h_{add}$  and  $h_{sa}$ ,  $h_{FF}$  is **safe** and **goal-aware**, but neither **admissible** nor **consistent**.
- ▶ Its informativeness can be expected to be slightly worse than for  $h_{sa}$ , but is usually not far off.
- ▶ Unlike  $h_{sa}$ ,  $h_{FF}$  can be computed in **linear time**.
- ▶ Similar to  $h_{sa}$ , the operators corresponding to the marked operator/effect condition pairs define a **relaxed plan**.
- ▶ Similar to  $h_{sa}$ , the  $h_{FF}$  value depends on tie-breaking when the marking rules allow several possible choices, so  $h_{FF}$  is **not well-defined** without specifying the tie-breaking rule.
  - ▶ The implementation in FF uses additional rules of thumb to try to reduce the size of the generated relaxed plan.

## Comparison of relaxation heuristics

### Theorem (relationship between relaxation heuristics)

Let  $s$  be a state of planning task  $\langle A, I, O, G \rangle$ . Then:

- ▶  $h_{max}(s) \leq h^+(s) \leq h^*(s)$
- ▶  $h_{max}(s) \leq h^+(s) \leq h_{sa}(s) \leq h_{add}(s)$
- ▶  $h_{max}(s) \leq h^+(s) \leq h_{FF}(s) \leq h_{add}(s)$
- ▶  $h^*$ ,  $h_{FF}$  and  $h_{sa}$  are pairwise incomparable
- ▶  $h^*$  and  $h_{add}$  are incomparable

Moreover,  $h^+$ ,  $h_{max}$ ,  $h_{add}$ ,  $h_{sa}$  and  $h_{FF}$  assign  $\infty$  to the same set of states.

**Note:** For **inadmissible** heuristics, dominance is in general neither desirable nor undesirable. For relaxation heuristics, the objective is usually to get as close to  $h^+$  as possible.

## Relaxation heuristics in practice: HSP

### Example (HSP)

**HSP** (Bonet & Geffner) was one of the four top performers at the 1st International Planning Competition (IPC-1998).

Key ideas:

- ▶ **hill climbing** search using  $h_{add}$
- ▶ on **plateaus**, keep going for a number of iterations, then restart
- ▶ use a closed list during exploration of plateaus

**Literature:** Bonet, Loerincs & Geffner (1997), Bonet & Geffner (2001)

## Relaxation heuristics in practice: FF

### Example (FF)

**FF** (Hoffmann & Nebel) won the 2nd International Planning Competition (IPC-2000).

Key ideas:

- ▶ **enforced hill-climbing** search using  $h_{FF}$
- ▶ **helpful action pruning:** in each search node, only consider successors from operators that add one of the atoms marked in proposition layer 1
- ▶ **goal ordering:** in certain cases, FF recognizes and exploits that certain subgoals should be solved one after the other

If the main search fails, FF performs a greedy best-first search using  $h_{FF}$  without helpful action pruning or goal ordering.

**Literature:** Hoffmann & Nebel (2001), Hoffmann (2005)

## Relaxation heuristics in practice: Fast Downward

### Example (Fast Downward)

**Fast Downward** (Helmert & Richter) won the satisficing track of the 4th International Planning Competition (IPC-2004).

Key ideas:

- ▶ **greedy best-first search** using  $h_{FF}$  and **causal graph heuristic** (not relaxation-based)
- ▶ search enhancements:
  - ▶ multi-heuristic best-first search
  - ▶ deferred evaluation of heuristic estimates
  - ▶ preferred operators (similar to FF's helpful actions)

**Literature:** Helmert (2006)



## Relaxation heuristics in practice: SGPlan

### Example (SGPlan)

**SGPlan** (Wah, Hsu, Chen & Huang) won the satisficing track of the 5th International Planning Competition (IPC-2006).

Key ideas:

- ▶ **FF**
- ▶ **problem decomposition** techniques
- ▶ **domain-specific techniques**

**Literature:** Chen, Wah & Hsu (2006)

## Relaxation heuristics in practice: LAMA

### Example (LAMA)

**LAMA** (Richter & Westphal) won the satisficing track of the 6th International Planning Competition (IPC-2008).

Key ideas:

- ▶ **Fast Downward**
- ▶ **landmark pseudo-heuristic** instead of causal graph heuristic (“somewhat” relaxation-based)
- ▶ anytime variant of **Weighted A\*** instead of greedy best-first search

**Literature:** Richter, Helmert & Westphal (2008)