

# Principles of AI Planning

## 5. State-space search: progression and regression

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## Planning by state-space search

Introduction

Classification of state-space search algorithms

## Progression

Overview

Example

## Regression

Overview

Example

Regression for STRIPS tasks

Regression for general planning tasks

Practical issues

## State-space search

- ▶ **state-space search**: one of the big success stories of AI
- ▶ many planning algorithms based on state-space search (we'll see some other algorithms later, though)
- ▶ will be the focus of this and the following topics
- ▶ we **assume prior knowledge** of basic search algorithms
  - ▶ uninformed vs. informed
  - ▶ systematic vs. local
- ▶ background on search: Russell & Norvig, Artificial Intelligence – A Modern Approach, chapters 3 and 4

## Satisficing or optimal planning?

Must carefully distinguish two different problems:

- ▶ **satisficing planning**: any solution is OK (although shorter solutions typically preferred)
- ▶ **optimal planning**: plans must have shortest possible length

Both are often solved by search, but:

- ▶ details are **very different**
- ▶ almost **no overlap** between good techniques for satisficing planning and good techniques for optimal planning
- ▶ many problems that are trivial for satisficing planners are impossibly hard for optimal planners

## Planning by state-space search

How to apply search to planning?  $\rightsquigarrow$  many choices to make!

### Choice 1: Search direction

- ▶ **progression**: forward from initial state to goal
- ▶ **regression**: backward from goal states to initial state
- ▶ **bidirectional search**

## Planning by state-space search

How to apply search to planning?  $\rightsquigarrow$  many choices to make!

### Choice 2: Search space representation

- ▶ search nodes are associated with **states**
- ▶ search nodes are associated with **sets of states**

## Planning by state-space search

How to apply search to planning?  $\rightsquigarrow$  many choices to make!

### Choice 3: Search algorithm

- ▶ **uninformed search**:  
depth-first, breadth-first, iterative depth-first, ...
- ▶ **heuristic search (systematic)**:  
greedy best-first, A\*, Weighted A\*, IDA\*, ...
- ▶ **heuristic search (local)**:  
hill-climbing, simulated annealing, beam search, ...

## Planning by state-space search

How to apply search to planning?  $\rightsquigarrow$  many choices to make!

### Choice 4: Search control

- ▶ **heuristics** for informed search algorithms
- ▶ **pruning techniques**: invariants, symmetry elimination, helpful actions pruning, ...

## Search-based satisficing planners

### FF (Hoffmann & Nebel, 2001)

- ▶ search direction: forward search
- ▶ search space representation: single states
- ▶ search algorithm: enforced hill-climbing (informed local)
- ▶ heuristic: FF heuristic (inadmissible)
- ▶ pruning technique: helpful actions (incomplete)

↪ one of the best satisficing planners

## Search-based optimal planners

### Fast Downward + $h^{HHH}$ (Helmert, Haslum & Hoffmann, 2007)

- ▶ search direction: forward search
- ▶ search space representation: single states
- ▶ search algorithm: A\* (informed systematic)
- ▶ heuristic: merge-and-shrink abstractions (admissible)
- ▶ pruning technique: none

↪ one of the best optimal planners

## Our plan for the next lectures

Choices to make:

1. search direction: progression/regression/both  
↪ this chapter
2. search space representation: states/sets of states  
↪ this chapter
3. search algorithm: uninformed/heuristic; systematic/local  
↪ next chapter
4. search control: heuristics, pruning techniques  
↪ following chapters

## Planning by forward search: progression

**Progression:** Computing the successor state  $app_o(s)$  of a state  $s$  with respect to an operator  $o$ .

**Progression planners** find solutions by forward search:

- ▶ start from initial state
- ▶ iteratively pick a previously generated state and **progress it** through an operator, generating a new state
- ▶ solution found when a goal state generated

**pro:** very easy and efficient to implement

## Search space representation in progression planners

Two alternative search spaces for progression planners:

1. **search nodes correspond to states**

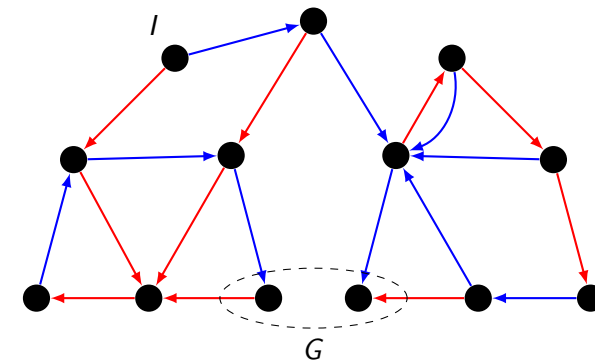
- ▶ when the same state is generated along different paths, it is not considered again (**duplicate detection**)
- ▶ **pro**: fast
- ▶ **con**: memory intensive (must maintain **closed list**)

2. **search nodes correspond to operator sequences**

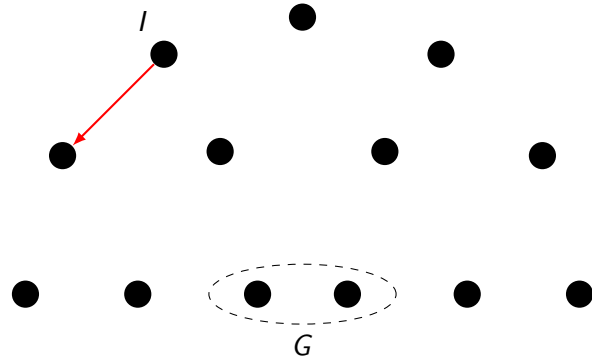
- ▶ different operator sequences may lead to identical states (**transpositions**)
- ▶ **pro**: can be very memory-efficient
- ▶ **con**: much wasted work (often exponentially slower)

↪ first alternative usually preferable

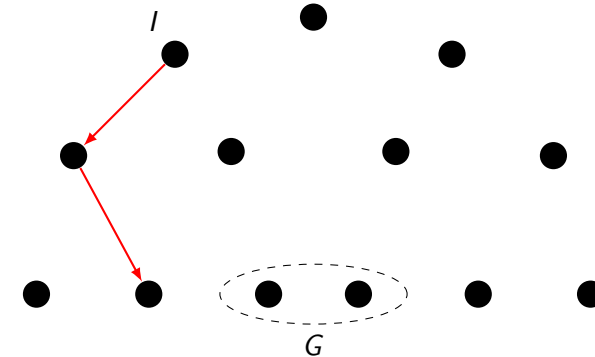
## Progression planning example (depth-first search)



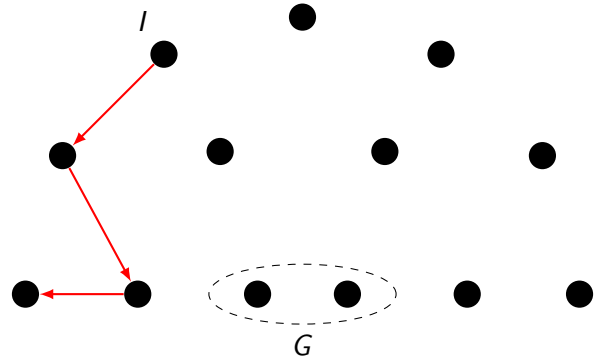
## Progression planning example (depth-first search)



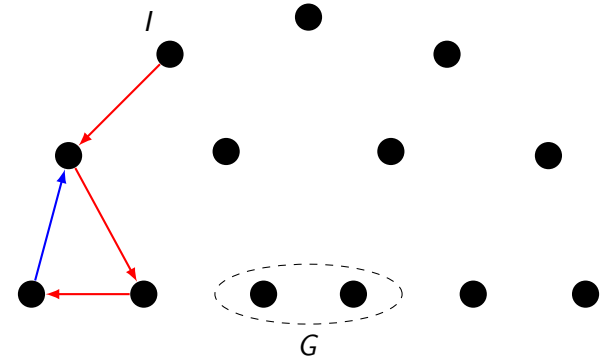
## Progression planning example (depth-first search)



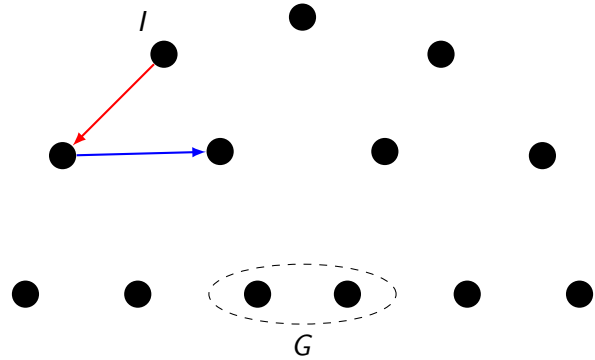
### Progression planning example (depth-first search)



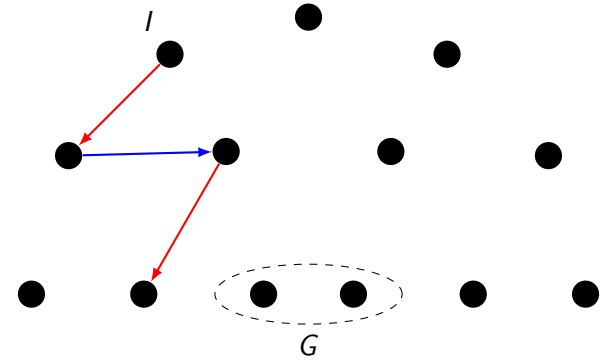
### Progression planning example (depth-first search)



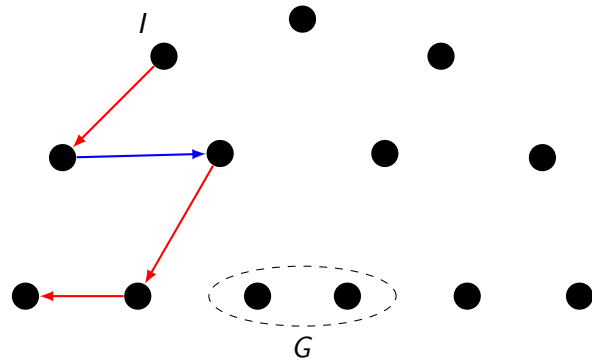
### Progression planning example (depth-first search)



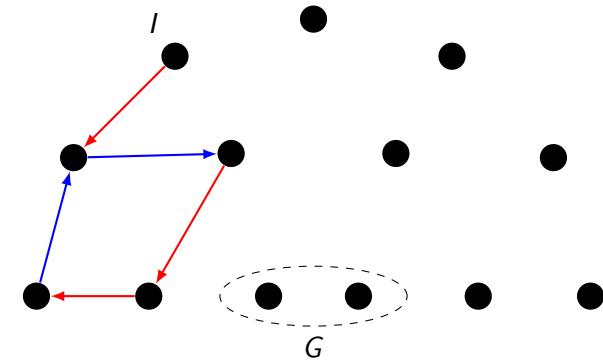
### Progression planning example (depth-first search)



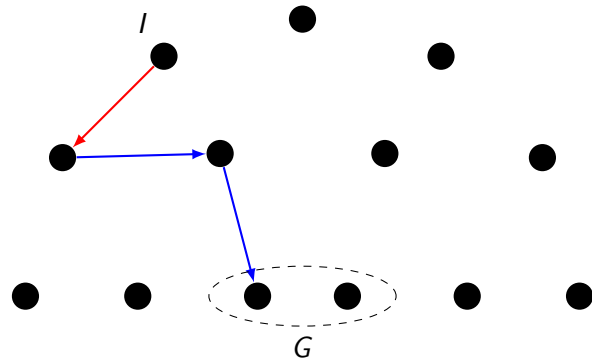
## Progression planning example (depth-first search)



## Progression planning example (depth-first search)



## Progression planning example (depth-first search)



## Forward search vs. backward search

Going through a transition graph in forward and backward directions is **not symmetric**:

- ▶ forward search starts from a **single** initial state;  
backward search starts from a **set** of goal states
- ▶ when applying an operator  $o$  in a state  $s$  in forward direction, there is a **unique successor state**  $s'$ ;  
if we applied operator  $o$  to end up in state  $s'$ ,  
there can be **several possible predecessor states**  $s$

⇒ most natural representation for backward search in planning associates **sets of states** with search nodes

## Planning by backward search: regression

**Regression:** Computing the possible predecessor states  $regr_o(S)$  of a set of states  $S$  with respect to the last operator  $o$  that was applied.

**Regression planners** find solutions by backward search:

- ▶ start from set of goal states
- ▶ iteratively pick a previously generated state set and **regress it** through an operator, generating a new state set
- ▶ solution found when a generated state set includes the initial state

**Pro:** can handle many states simultaneously

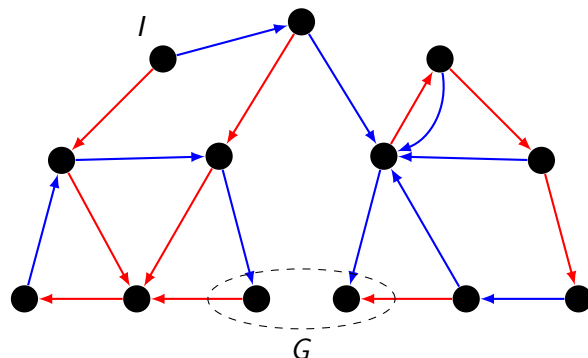
**Con:** basic operations complicated and expensive

## Search space representation in regression planners

identify state sets with **logical formulae**:

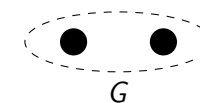
- ▶ **search nodes correspond to state sets**
- ▶ each state set is represented by a **logical formula**:  
 $\phi$  represents  $\{s \in S \mid s \models \phi\}$
- ▶ many basic search operations like detecting duplicates are NP-hard or coNP-hard

## Regression planning example (depth-first search)



## Regression planning example (depth-first search)

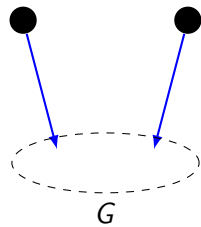
$G$



## Regression planning example (depth-first search)

$$\phi_1 = \text{regr}_{\rightarrow}(G)$$

$$\phi_1 \rightarrow G$$

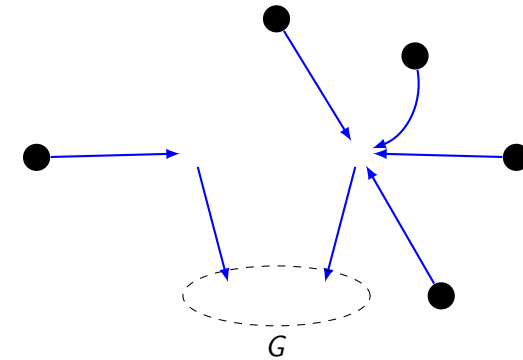


## Regression planning example (depth-first search)

$$\phi_1 = \text{regr}_{\rightarrow}(G)$$

$$\phi_2 = \text{regr}_{\rightarrow}(\phi_1)$$

$$\phi_2 \rightarrow \phi_1 \rightarrow G$$



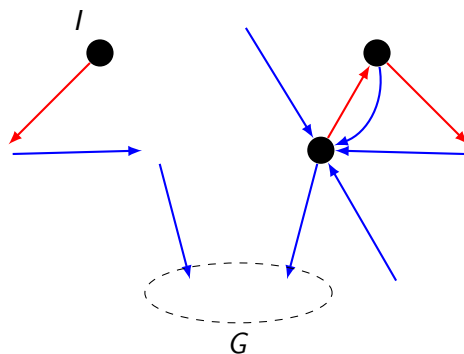
## Regression planning example (depth-first search)

$$\phi_1 = \text{regr}_{\rightarrow}(G)$$

$$\phi_2 = \text{regr}_{\rightarrow}(\phi_1)$$

$$\phi_3 = \text{regr}_{\rightarrow}(\phi_2), I \models \phi_3$$

$$\phi_3 \rightarrow \phi_2 \rightarrow \phi_1 \rightarrow G$$



## Regression for STRIPS planning tasks

## Definition (STRIPS planning task)

A planning task is a **STRIPS planning task** if all operators are STRIPS operators and the goal is a conjunction of literals.

Regression for **STRIPS planning tasks** is very simple:

- ▶ Goals are conjunctions of literals  $l_1 \wedge \dots \wedge l_n$ .
- ▶ **First step:** Choose an operator that makes some of  $l_1, \dots, l_n$  true and makes none of them false.
- ▶ **Second step:** Remove goal literals achieved by the operator and add its preconditions.
- ▶  $\rightsquigarrow$  Outcome of regression is again conjunction of literals.



## STRIPS regression

## Definition

Let  $\phi = \phi_1 \wedge \dots \wedge \phi_k$ ,  $\gamma = \gamma_1 \wedge \dots \wedge \gamma_n$  and  $\eta = \eta_1 \wedge \dots \wedge \eta_m$  be non-contradictory conjunctions of literals.

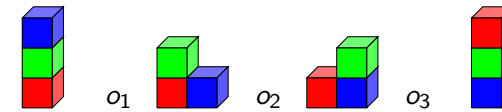
The **STRIPS regression** of  $\phi$  with respect to  $o = \langle \gamma, \eta \rangle$  is

$$\text{sregr}_o(\phi) := \bigwedge ((\{\phi_1, \dots, \phi_k\} \setminus \{\eta_1, \dots, \eta_m\}) \cup \{\gamma_1, \dots, \gamma_n\})$$

provided that this conjunction is non-contradictory and that  $\neg\phi_i \neq \eta_j$  for all  $i \in \{1, \dots, k\}$ ,  $j \in \{1, \dots, m\}$ . (Otherwise,  $\text{sregr}_o(\phi)$  is undefined.)

(A conjunction of literals is contradictory iff it contains two complementary literals.)

## STRIPS regression example



**NOTE:** Predecessor states are in general not unique.

This picture is just for illustration purposes.

$$o_1 = \langle \text{blue on green} \wedge \text{blue clr}, \neg \text{blue on green} \wedge \text{blue on T} \wedge \text{green clr} \rangle$$

$$o_2 = \langle \text{green on red} \wedge \text{green clr} \wedge \text{blue clr}, \neg \text{blue clr} \wedge \neg \text{green on red} \wedge \text{green on blue} \wedge \text{red clr} \rangle$$

$$o_3 = \langle \text{red on T} \wedge \text{red clr} \wedge \text{green clr}, \neg \text{green clr} \wedge \neg \text{red on T} \wedge \text{red on green} \rangle$$

$$G = \text{red on green} \wedge \text{green on blue}$$

$$\phi_1 = \text{sregr}_{o_3}(G) = \text{green on blue} \wedge \text{red on T} \wedge \text{red clr} \wedge \text{green clr}$$

$$\phi_2 = \text{sregr}_{o_2}(\phi_1) = \text{red on T} \wedge \text{green clr} \wedge \text{green on red} \wedge \text{blue clr}$$

$$\phi_3 = \text{sregr}_{o_1}(\phi_2) = \text{red on T} \wedge \text{green on red} \wedge \text{blue clr} \wedge \text{blue on green}$$

## Regression for general planning tasks

- ▶ With disjunctions and conditional effects, things become more tricky. How to regress  $A \vee (B \wedge C)$  with respect to  $\langle Q, D \triangleright B \rangle$ ?
- ▶ The story about goals and subgoals and fulfilling subgoals, as in the STRIPS case, is no longer useful.
- ▶ We present a general method for doing regression for any formula and any operator.
- ▶ Now we extensively use the idea of representing sets of states as formulae.

## Effect preconditions

## Definition (effect precondition)

The **effect precondition**  $EPC_I(e)$  for literal  $I$  and effect  $e$  is defined as follows:

$$\begin{aligned} EPC_I(I) &= \top \\ EPC_I(I') &= \perp \text{ if } I \neq I' \text{ (for literals } I') \\ EPC_I(e_1 \wedge \dots \wedge e_n) &= EPC_I(e_1) \vee \dots \vee EPC_I(e_n) \\ EPC_I(c \triangleright e) &= EPC_I(e) \wedge c \end{aligned}$$

**Intuition:**  $EPC_I(e)$  describes the situations in which effect  $e$  causes literal  $I$  to become true.

## Effect precondition examples

## Example

$$\begin{aligned}
 EPC_a(b \wedge c) &= \perp \vee \perp \equiv \perp \\
 EPC_a(a \wedge (b \triangleright a)) &= \top \vee (\top \wedge b) \equiv \top \\
 EPC_a((c \triangleright a) \wedge (b \triangleright a)) &= (\top \wedge c) \vee (\top \wedge b) \equiv c \vee b
 \end{aligned}$$

## Effect preconditions: connection to change sets

## Lemma (A)

Let  $s$  be a state,  $l$  a literal and  $e$  an effect. Then  $l \in [e]_s$  if and only if  $s \models EPC_l(e)$ .

## Proof.

Induction on the structure of the effect  $e$ .

Base case 1,  $e = l$ :  $l \in [l]_s = \{l\}$  by definition, and  $s \models EPC_l(l) = \top$  by definition. Both sides of the equivalence are true.

Base case 2,  $e = l'$  for some literal  $l' \neq l$ :  $l \notin [l']_s = \{l'\}$  by definition, and  $s \not\models EPC_l(l') = \perp$  by definition. Both sides are false.

## Effect preconditions: connection to change sets

## Proof (ctd.)

Inductive case 1,  $e = e_1 \wedge \dots \wedge e_n$ :

$$l \in [e]_s \text{ iff } l \in [e_1]_s \cup \dots \cup [e_n]_s \quad (\text{Def } [e_1 \wedge \dots \wedge e_n]_s)$$

$$\text{iff } l \in [e']_s \text{ for some } e' \in \{e_1, \dots, e_n\}$$

$$\text{iff } s \models EPC_l(e') \text{ for some } e' \in \{e_1, \dots, e_n\} \quad (\text{IH})$$

$$\text{iff } s \models EPC_l(e_1) \vee \dots \vee EPC_l(e_n)$$

$$\text{iff } s \models EPC_l(e_1 \wedge \dots \wedge e_n). \quad (\text{Def } EPC)$$

Inductive case 2,  $e = c \triangleright e'$ :

$$l \in [c \triangleright e']_s \text{ iff } l \in [e']_s \text{ and } s \models c \quad (\text{Def } [c \triangleright e']_s)$$

$$\text{iff } s \models EPC_l(e') \text{ and } s \models c \quad (\text{IH})$$

$$\text{iff } s \models EPC_l(e') \wedge c$$

$$\text{iff } s \models EPC_l(c \triangleright e'). \quad (\text{Def } EPC)$$

□

## Effect preconditions: connection to normal form

## Remark

Notice that in terms of  $EPC_a(e)$ , any operator  $\langle c, e \rangle$  can be expressed in normal form as

$$\left\langle c, \bigwedge_{a \in A} ((EPC_a(e) \triangleright a) \wedge (EPC_{\neg a}(e) \triangleright \neg a)) \right\rangle.$$

## Regressing state variables

The formula  $EPC_a(e) \vee (a \wedge \neg EPC_{\neg a}(e))$  expresses the **value of state variable  $a \in A$  after applying  $o$**  in terms of **values of state variables before applying  $o$** .

Either:

- ▶  $a$  became true, or
- ▶  $a$  was true before and it did not become false.

## Regressing state variables: examples

### Example

Let  $e = (b \triangleright a) \wedge (c \triangleright \neg a) \wedge b \wedge \neg d$ .

variable	$EPC_{\dots}(e) \vee (\dots \wedge \neg EPC_{\neg \dots}(e))$
$a$	$b \vee (a \wedge \neg c)$
$b$	$\top \vee (b \wedge \neg \perp) \equiv \top$
$c$	$\perp \vee (c \wedge \neg \perp) \equiv c$
$d$	$\perp \vee (d \wedge \neg \top) \equiv \perp$

## Regressing state variables: correctness

### Lemma (B)

Let  $a$  be a state variable,  $o = \langle c, e \rangle$  an operator,  $s$  a state, and  $s' = \text{app}_o(s)$ .

Then  $s \models EPC_a(e) \vee (a \wedge \neg EPC_{\neg a}(e))$  if and only if  $s' \models a$ .

### Proof.

( $\Rightarrow$ ): Assume  $s \models EPC_a(e) \vee (a \wedge \neg EPC_{\neg a}(e))$ .

Do a case analysis on the two disjuncts.

1. Assume that  $s \models EPC_a(e)$ . By Lemma A, we have  $a \in [e]_s$  and hence  $s' \models a$ .
2. Assume that  $s \models a \wedge \neg EPC_{\neg a}(e)$ . By Lemma, we have  $\neg a \notin [e]_s$ . Hence  $a$  remains true in  $s'$ .

## Regressing state variables: correctness

### Proof (ctd.)

( $\Leftarrow$ ): We showed that if the formula is **true** in  $s$ , then  $a$  is **true** in  $s'$ . For the second part, we show that if the formula is **false** in  $s$ , then  $a$  is **false** in  $s'$ .

- ▶ So assume  $s \not\models EPC_a(e) \vee (a \wedge \neg EPC_{\neg a}(e))$ .
- ▶ Then  $s \models \neg EPC_a(e) \wedge (\neg a \vee EPC_{\neg a}(e))$  (de Morgan).
- ▶ Analyze the two cases:  $a$  is true or it is false in  $s$ .
  1. Assume that  $s \models a$ . Now  $s \models EPC_{\neg a}(e)$  because  $s \models \neg a \vee EPC_{\neg a}(e)$ . Hence by Lemma A  $\neg a \in [e]_s$  and we get  $s' \not\models a$ .
  2. Assume that  $s \not\models a$ . Because  $s \models \neg EPC_a(e)$ , by Lemma A we get  $a \notin [e]_s$  and hence  $s' \not\models a$ .

Therefore in both cases  $s' \not\models a$ .

□

## Regression: general definition

We base the definition of regression on formulae  $EPC_I(e)$ .

### Definition (general regression)

Let  $\phi$  be a propositional formula and  $o = \langle c, e \rangle$  an operator.

The **regression of  $\phi$  with respect to  $o$**  is

$$\text{regr}_o(\phi) = c \wedge \phi_r \wedge f$$

where

- $\phi_r$  is obtained from  $\phi$  by replacing each  $a \in A$  by  $EPC_a(e) \vee (a \wedge \neg EPC_{\neg a}(e))$ , and
- $f = \bigwedge_{a \in A} \neg(EPC_a(e) \wedge EPC_{\neg a}(e))$ .

The formula  $f$  says that no state variable may become simultaneously true and false.

## Regression examples

- ▶  $\text{regr}_{\langle a, b \rangle}(b) \equiv a \wedge (\top \vee (b \wedge \neg \perp)) \wedge \top \equiv a$
- ▶  $\text{regr}_{\langle a, b \rangle}(b \wedge c \wedge d)$   
 $\equiv a \wedge (\top \vee (b \wedge \neg \perp)) \wedge (\perp \vee (c \wedge \neg \perp)) \wedge (\perp \vee (d \wedge \neg \perp)) \wedge \top$   
 $\equiv a \wedge c \wedge d$
- ▶  $\text{regr}_{\langle a, c \triangleright b \rangle}(b) \equiv a \wedge (c \vee (b \wedge \neg \perp)) \wedge \top \equiv a \wedge (c \vee b)$
- ▶  $\text{regr}_{\langle a, (c \triangleright b) \wedge (b \triangleright \neg b) \rangle}(b) \equiv a \wedge (c \vee (b \wedge \neg b)) \wedge \neg(c \wedge b)$   
 $\equiv a \wedge c \wedge \neg b$
- ▶  $\text{regr}_{\langle a, (c \triangleright b) \wedge (d \triangleright \neg b) \rangle}(b) \equiv a \wedge (c \vee (b \wedge \neg d)) \wedge \neg(c \wedge d)$   
 $\equiv a \wedge (c \vee b) \wedge (c \vee \neg d) \wedge (\neg c \vee \neg d)$

## Regression example: blocks world

Consider blocks world operators to move blocks  $A$  and  $B$  onto the table from the other block if they are clear:

$$o_1 = \langle \top, (A\text{-on-}B \wedge A\text{-clear}) \triangleright (A\text{-on-}T \wedge B\text{-clear} \wedge \neg A\text{-on-}B) \rangle$$

$$o_2 = \langle \top, (B\text{-on-}A \wedge B\text{-clear}) \triangleright (B\text{-on-}T \wedge A\text{-clear} \wedge \neg B\text{-on-}A) \rangle$$

Proof by regression that  $o_2, o_1$  puts both blocks onto the table **from any blocks world state**:

$$G = A\text{-on-}T \wedge B\text{-on-}T$$

$$\phi_1 = \text{regr}_{o_1}(G) \equiv ((A\text{-on-}B \wedge A\text{-clear}) \vee A\text{-on-}T) \wedge B\text{-on-}T$$

$$\begin{aligned} \phi_2 &= \text{regr}_{o_2}(\phi_1) \\ &\equiv ((A\text{-on-}B \wedge ((B\text{-on-}A \wedge B\text{-clear}) \vee A\text{-clear})) \vee A\text{-on-}T) \\ &\quad \wedge ((B\text{-on-}A \wedge B\text{-clear}) \vee B\text{-on-}T) \end{aligned}$$

All three legal 2-block states satisfy  $\phi_2$ .

Similar plans exist for any number of blocks.

## Regression example: binary counter

$$\begin{aligned} &(\neg b_0 \triangleright b_0) \wedge \\ &((\neg b_1 \wedge b_0) \triangleright (b_1 \wedge \neg b_0)) \wedge \\ &((\neg b_2 \wedge b_1 \wedge b_0) \triangleright (b_2 \wedge \neg b_1 \wedge \neg b_0)) \end{aligned}$$

$$EPC_{b_2}(e) = \neg b_2 \wedge b_1 \wedge b_0$$

$$EPC_{b_1}(e) = \neg b_1 \wedge b_0$$

$$EPC_{b_0}(e) = \neg b_0$$

$$EPC_{\neg b_2}(e) = \perp$$

$$EPC_{\neg b_1}(e) = \neg b_2 \wedge b_1 \wedge b_0$$

$$EPC_{\neg b_0}(e) = (\neg b_1 \wedge b_0) \vee (\neg b_2 \wedge b_1 \wedge b_0) \equiv (\neg b_1 \vee \neg b_2) \wedge b_0$$

Regression replaces state variables as follows:

$$b_2 \text{ by } (\neg b_2 \wedge b_1 \wedge b_0) \vee (b_2 \wedge \neg \perp) \equiv (b_1 \wedge b_0) \vee b_2$$

$$\begin{aligned} b_1 \text{ by } &(\neg b_1 \wedge b_0) \vee (b_1 \wedge \neg(\neg b_2 \wedge b_1 \wedge b_0)) \\ &\equiv (\neg b_1 \wedge b_0) \vee (b_1 \wedge (b_2 \vee \neg b_0)) \end{aligned}$$

$$b_0 \text{ by } \neg b_0 \vee (b_0 \wedge \neg((\neg b_1 \vee \neg b_2) \wedge b_0)) \equiv \neg b_0 \vee (b_1 \wedge b_2)$$

## General regression: correctness

### Theorem (correctness of $\text{regr}_o(\phi)$ )

Let  $\phi$  be a formula,  $o$  an operator,  $s$  any state and  $s' = \text{app}_o(s)$ . Then  $s \models \text{regr}_o(\phi)$  if and only if  $s' \models \phi$ .

### Proof.

Let  $e$  be the effect of  $o$ . We show by structural induction over subformulae  $\phi'$  of  $\phi$  that  $s \models \phi'_r$  iff  $s' \models \phi'$ , where  $\phi'_r$  is  $\phi'$  with every  $a \in A$  replaced by  $\text{EPC}_a(e) \vee (a \wedge \neg \text{EPC}_{\neg a}(e))$ .

The rest of  $\text{regr}_o(\phi)$  just states that  $o$  is applicable in  $s$ .

**Induction hypothesis**  $s \models \phi'_r$  if and only if  $s' \models \phi'$ .

**Base cases 1 & 2**  $\phi' = \top$  or  $\phi' = \perp$ : trivial, as  $\phi'_r = \phi'$ .

**Base case 3**  $\phi' = a$  for some  $a \in A$ :

Then  $\phi'_r = \text{EPC}_a(e) \vee (a \wedge \neg \text{EPC}_{\neg a}(e))$ .

By Lemma B,  $s \models \phi'_r$  iff  $s' \models \phi'$ .

## General regression: correctness

### Proof (ctd.)

**Inductive case 1**  $\phi' = \neg\psi$ : By the induction hypothesis  $s \models \psi_r$  iff  $s' \models \psi$ . Hence  $s \models \phi'_r$  iff  $s' \models \phi'$  by the logical semantics of  $\neg$ .

**Inductive case 2**  $\phi' = \psi \vee \psi'$ : By the induction hypothesis  $s \models \psi_r$  iff  $s' \models \psi$ , and  $s \models \psi'_r$  iff  $s' \models \psi'$ . Hence  $s \models \phi'_r$  iff  $s' \models \phi'$  by the logical semantics of  $\vee$ .

**Inductive case 3**  $\phi' = \psi \wedge \psi'$ : By the induction hypothesis  $s \models \psi_r$  iff  $s' \models \psi$ , and  $s \models \psi'_r$  iff  $s' \models \psi'$ . Hence  $s \models \phi'_r$  iff  $s' \models \phi'$  by the logical semantics of  $\wedge$ . □

## Emptiness and subsumption testing

The following two tests are useful when performing regression searches, to avoid exploring unpromising branches:

- ▶ Testing that a formula  $\text{regr}_o(\phi)$  does not represent the empty set (= search is in a dead end).  
For example,  $\text{regr}_{\langle a, \neg p \rangle}(p) \equiv a \wedge \perp \equiv \perp$ .
- ▶ Testing that a regression step does not make the set of states smaller (= more difficult to reach).  
For example,  $\text{regr}_{\langle b, c \rangle}(a) \equiv a \wedge b$ .

Both of these problems are **NP-hard**.

## Formula growth

The formula  $\text{regr}_{o_1}(\text{regr}_{o_2}(\dots \text{regr}_{o_{n-1}}(\text{regr}_{o_n}(\phi))))$  may have size  $O(|\phi| |o_1| |o_2| \dots |o_{n-1}| |o_n|)$ , i.e., the product of the sizes of  $\phi$  and the operators.

$\rightsquigarrow$  worst-case **exponential** size  $O(m^n)$

### Logical simplifications

- ▶  $\perp \wedge \phi \equiv \perp$ ,  $\top \wedge \phi \equiv \phi$ ,  $\perp \vee \phi \equiv \phi$ ,  $\top \vee \phi \equiv \top$
- ▶  $a \vee \phi \equiv a \vee \phi[\perp/a]$ ,  $\neg a \vee \phi \equiv \neg a \vee \phi[\top/a]$ ,  $a \wedge \phi \equiv a \wedge \phi[\top/a]$ ,  
 $\neg a \wedge \phi \equiv \neg a \wedge \phi[\perp/a]$
- ▶ idempotency, absorption, commutativity, associativity, ...

## Restricting formula growth in search trees

**Problem** very big formulae obtained by regression

**Cause** **disjunctivity** in the formulae: formulae **without disjunctions** easily convertible to small formulae  $l_1 \wedge \dots \wedge l_n$  where  $l_i$  are literals and  $n$  is at most the number of state variables.

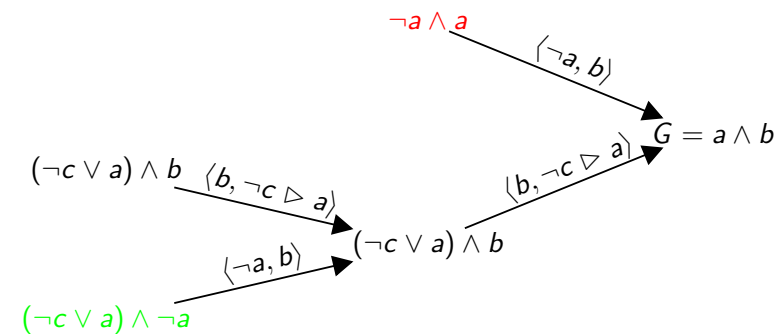
**Idea** handle disjunctivity when generating search trees

Alternatives:

1. Do nothing. (May lead to very big formulae!)
2. Always eliminate all disjunctivity.
3. Reduce disjunctivity if formula becomes too big.

## Unrestricted regression: search tree example

Reach goal  $a \wedge b$  from state  $I = \{a \mapsto 0, b \mapsto 0, c \mapsto 0\}$ .



## Full splitting

► Planners for STRIPS operators only need to use formulae  $l_1 \wedge \dots \wedge l_n$  where  $l_i$  are literals.

► Some general planners also restrict to this class of formulae. This is done as follows:

1. Transform  $regr_o(\phi)$  to **disjunctive normal form (DNF)**:  $(l_1^1 \wedge \dots \wedge l_{n_1}^1) \vee \dots \vee (l_1^m \wedge \dots \wedge l_{n_m}^m)$ .
2. Generate **one subtree** of the search tree for **each disjunct**  $l_1^i \wedge \dots \wedge l_{n_i}^i$ .

► The DNF formulae need not exist in its entirety explicitly: can generate one disjunct at a time.

⇒ **branching** is both on the **choice of operator** and on the **choice of the disjunct** of the DNF formula

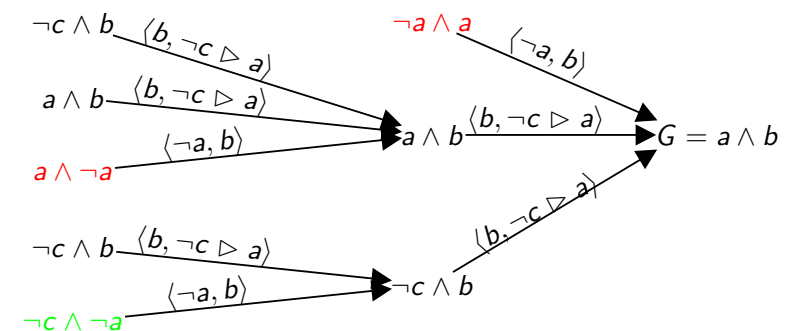
⇒ **increased branching factor** and bigger search trees, but **avoids big formulae**

## Full splitting: search tree example

Reach goal  $a \wedge b$  from state  $I = \{a \mapsto 0, b \mapsto 0, c \mapsto 0\}$ .

$(\neg c \vee a) \wedge b$  in DNF:  $(\neg c \wedge b) \vee (a \wedge b)$

⇒ split into  $\neg c \wedge b$  and  $a \wedge b$



## General splitting strategies

- ▶ **With full splitting** search tree can be **exponentially bigger** than without splitting. (But it is not necessary to construct the DNF formulae explicitly!)
- ▶ **Without splitting** the formulae may have **size that is exponential** in the number of state variables.
- ▶ A compromise is to split formulae only when necessary: combine benefits of the two extremes.
- ▶ There are several ways to split a formula  $\phi$  to  $\phi_1, \dots, \phi_n$  such that  $\phi \equiv \phi_1 \vee \dots \vee \phi_n$ . For example:
  - ▶ Transform  $\phi$  to  $\phi_1 \vee \dots \vee \phi_n$  by equivalences like distributivity:  
 $(\phi \vee \phi') \wedge \psi \equiv (\phi \wedge \psi) \vee (\phi' \wedge \psi)$ .
  - ▶ Choose state variable  $a$ , set  $\phi_1 = a \wedge \phi$  and  $\phi_2 = \neg a \wedge \phi$ , and simplify with equivalences like  $a \wedge \psi \equiv a \wedge \psi[\top/a]$ .