

# Principles of AI Planning

January 10th, 2007 — Expressive power

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- STRIPS Variants

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## Summary

# Principles of AI Planning

Expressive power

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# Motivation: Why Analyzing the Expressive Power?

- ▶ **Expressive power** is the motivation for designing new planning languages
- ▶ Often there is the question: *Syntactic sugar* or *essential feature*?
- ~> *Compiling away* or change planning algorithm?
- If a feature can be compiled away, then it is apparently only *syntactic sugar*.
- ▶ Sometimes, however, a compilation can lead to **much larger planning domain descriptions** or to **much longer plans**.
- ~> This means the planning algorithm will probably choke, i.e., it cannot be considered as a **compilation**

## Example: DNF Preconditions

- ▶ Assume we have **DNF preconditions** in STRIPS operators
- ▶ This can be **compiled away** as follows
- ▶ **Split** each operator with a DNF precondition  $c_1 \vee \dots \vee c_n$  into  $n$  operators with the same effects and  $c_i$  as preconditions
- ↪ If there exists a plan for the original planning task there is one for the new planning task and *vice versa*
- The **planning task** has almost the **same size**
- The **shortest plans** have the **same size**

## Example: Conditional effects

- ▶ Can we compile away **conditional effects** to STRIPS?
  - ▶ Example operator:  $\langle a, b \triangleright d \wedge \neg c \triangleright e \rangle$
  - ▶ Can be translated into four operators:  
 $\langle a \wedge b \wedge c, d \rangle, \langle a \wedge b \wedge \neg c, d \wedge e \rangle, \dots$
  - ▶ Plan **existence** and plan **size** are identical
  - ▶ **Exponential blowup** of domain description!
- Can this be avoided?

# Propositional STRIPS and Variants

- ▶ In the following we will only consider **propositional STRIPS** and some variants of it.

- ▶ **Planning task:**

$$\mathcal{T} = \langle A, I, O, G \rangle.$$

- ▶ Often we refer to **domain structures**  $\mathcal{D} = \langle A, O \rangle$ .

# Disjunctive Preconditions: Trivial or Essential?

- ▶ Kambhampati et al [ECP 97] and Gazen & Knoblock [ECP 97]: Disjunctive preconditions are trivial – since they can be translated to basic STRIPS (**DNF**-preconditions)
- ▶ Bäckström [AIJ 95]: Disjunctive preconditions are probably essential – since they can not easily be translated to basic STRIPS (**CNF**-preconditions)
- ▶ Anderson et al [AIPS 98]: “[D]isjunctive preconditions ... are ... essential prerequisites for handling conditional effects”  $\rightsquigarrow$  conditional effects imply disjunctive preconditions (?) (**General Boolean** preconditions)

## More “Expressive Power”

**STRIPS<sub>N</sub>** : plain strips with negative literals

**STRIPS<sub>Bd</sub>** : precondition in disjunctive normal form

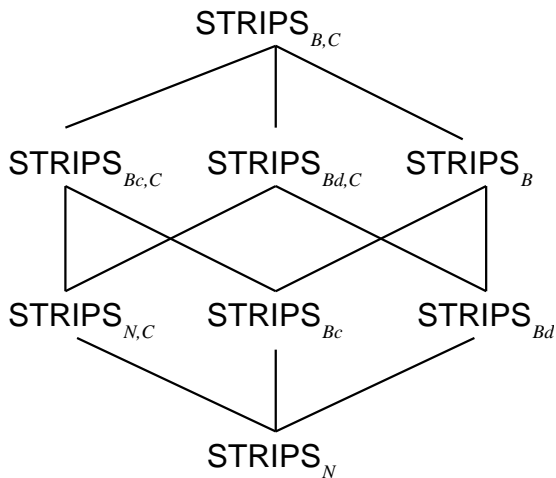
**STRIPS<sub>Bc</sub>** : precondition in conjunctive normal form

**STRIPS<sub>B</sub>** : Boolean expressions as preconditions

**STRIPS<sub>C</sub>** : conditional effects

**STRIPS<sub>C,N</sub>** : conditional effects & negative literals

# Ordering Planning Formalisms Partially



...

# Computational Complexity ...

## Theorem

*PLANEX is PSPACE-complete for  $STRIPS_N$ ,  $STRIPS_{C,B}$ , and for all formalisms “between” the two.*

## Proof.

Follows from theorems proved in the previous lecture.



# Measuring Expressive Power

Consider **mappings** between planning problems in different formalisms

- ▶ that **preserve**
  - ▶ solution existence
  - ▶ plan size linearly or polynomially etc.
  - ▶ the exact plan size
  - ▶ the plan “structure”
  - ▶ the solutions/plans themselves
- ▶ that **are limited**
  - ▶ in the *size* of the result (poly. size)
  - ▶ in the *computational resources* (poly. time)
- ▶ that **transform**
  - ▶ entire planning instances
  - ▶ domain structure and states in isolation

# Method 1: Polynomial Transformation

## ► preserving

- solution existence
- plan size linearly or polynomially etc.
- the exact plan size
- the plan “structure”
- the solutions/plans themselves

## ► limiting

- in the *size* of the result (poly. size)
- in the *computational resources* (poly. time)

## ► transforming

- entire planning instances
- domain structure and states in isolation

~> all formalisms have the **same expressiveness** (?)

## Method 2: Bäckström's ESP-reductions

### ► preserving

- solution existence
- plan size linearly or polynomially etc.
- the exact plan size
- the plan “structure”
- the solutions/plans themselves

### ► limiting

- in the *size* of the result (poly. size)
- in the *computational resources* (poly. time)

### ► transforming

- entire planning instances
- domain structure and states in isolation

⇒ However, **expressiveness** is independent of the **computational resources** needed to compute the mapping

## Method 3: Polysize Mappings

### ► preserving

- solution existence
- plan size linearly or polynomially etc.
- the exact plan size
- the plan “structure”
- the solutions/plans themselves

### ► limiting

- in the *size* of the result (poly. size)
- in the *computational resources* (poly. time)

### ► transforming

- entire planning instances
- domain structure and states in isolation

⇒ All formalisms are **trivially equivalent** (because planning is PSPACE-complete for all propositional STRIPS formalisms)

## Method 4: Modular & Polysize Mappings

### ► preserving

- solution existence
- plan size linearly or polynomially etc.
- the exact plan size
- the plan “structure”
- the solutions/plans themselves

### ► limiting

- in the *size* of the result (poly. size)
- in the *computational resources* (poly. time)

### ► transforming

- entire planning instances
- domain structure and states in isolation

⇒ When measuring the expressiveness of **planning formalisms**, domain structures should be considered independently from states

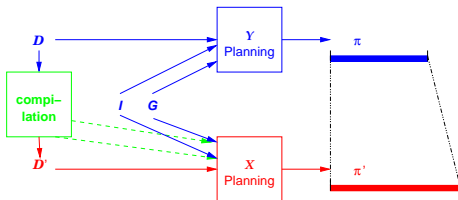
# The Right Method: Compilation Schemes (Simplified)

- Transform **domain structure**

$\mathcal{D} = \langle A, O \rangle$  (with polynomial blowup) to  $\mathcal{D}'$  preserving solution existence

- Only trivial changes to **states** (independent of operator set)
- Resulting **plans**  $\pi'$  should not grow too much (additive constant, linear growth, polynomial growth)

~> Similar to **knowledge compilation**, with operators as the *fixed part* and initial states & goals as the *varying part*



# Compilability

$\mathcal{Y} \preceq \mathcal{X}$  ( $\mathcal{Y}$  is compilable to  $\mathcal{X}$ )

iff

there exists a compilation scheme from  $\mathcal{Y}$  to  $\mathcal{X}$ .

$\mathcal{Y} \preceq^1 \mathcal{X}$ : preserving plan size **exactly** (modulo additive constants)

$\mathcal{Y} \preceq^c \mathcal{X}$ : preserving plan size **linearly** (in  $|\pi|$ )

$\mathcal{Y} \preceq^p \mathcal{X}$ : preserving plan size **polynomially** (in  $|\pi|$  and  $|\mathcal{D}|$ )

$\mathcal{Y} \preceq_p^x \mathcal{X}$ : **polynomial-time** compilability

## Theorem

*For all  $x, y$ , the relations  $\preceq_y^x$  are transitive and reflexive.*

# Back-Translatability

- ▶ Shouldn't we also require that plans in the compiled instance can be *translated back* to the original formalism?
- ▶ Yes, if we want to use this technique, one should require that!
- ▶ In all *positive cases*, there was never any problem to translate the plan back
- ▶ For the *negative case*, it is easier to prove **non-existence**
- ▶ So, in order to prove negative results, we do not need it, for positive it never had been a problem
- ~> So, similarly to the concentration on *decision problems* when determining complexity, we simplify things here

# A (Trivial) Positive Result: $\text{STRIPS}_{Bd} \preceq_p^1 \text{STRIPS}_N$

DNF preconditions can be **“compiled away.”**

Assume operator  $o = \langle c, e \rangle$  and

$$c = L_1 \vee \dots \vee L_k$$

with  $L_i$  being a conjunction of literals. Create  $k$  operators  $o_i = \langle L_i, e \rangle$

1. compilation is solution-preserving,
2.  $\mathcal{D}'$  is only polynomially larger than  $\mathcal{D}$ ,
3. compilation can be computed in polynomial time,
4. resulting plans do not grow at all.

$$\rightsquigarrow \text{STRIPS}_{Bd} \preceq_p^1 \text{STRIPS}_N$$

## Another Positive Result: $\text{STRIPS}_{C,Bc} \preceq_p^c \text{STRIPS}_{C,N}$

CNF preconditions can be **“compiled away”** – provided we have already conditional effects.

- ▶ Evaluate the truth value of all disjunctions appearing in operators by using a **special evaluation operator** with conditional effects that make new “clause atoms” true
- ▶ Alternate between executing original operators (clauses replaced by new atoms) and evaluation operators
- ↪ Operator sets grow only **polynomially**
- ↪ Plans are **double as long** as the original plans
- ↪ **Anderson et al’s conjecture** holds in a weak version

# A First Negative Result: Conditional Effects Cannot be Compiled into Boolean Preconditions

Consider domain  $\mathcal{D}$  with only one ( $\text{STRIPS}_{C,B}$ ) operator  $o$ :

$$\langle \top, (p_1 \triangleright \neg p_1) \wedge (\neg p_1 \triangleright p_1) \wedge \dots \wedge (p_k \triangleright \neg p_k) \wedge (\neg p_k \triangleright p_k) \rangle,$$

which “inverts” a given state. For all  $(I, G)$  with

$$G = \bigwedge \{ \neg v \mid v \in A, I \models v \} \wedge \bigwedge \{ v \mid v \in A, I \not\models v \},$$

there exists a  $\text{STRIPS}_{C,B}$  **one-step** plan.

Assume there exists a compilation preserving plan size linearly leading to a  $\text{STRIPS}_B$  domain structure  $\mathcal{D}'$ . There are exponentially many possible initial states, but only polynomially many different  $c$ -step plans for  $\mathcal{D}'$ . Some  $\text{STRIPS}_B$  plan  $\pi$  is used for different initial states  $I_1, I_2$  (for large enough  $k$ ). Let  $v$  be a variable with  $I_1(v) \neq I_2(v)$ .

$\rightsquigarrow$  In one case,  $v$  must be set by  $\pi$ , in the other case, it must be cleared.

$\rightsquigarrow$  This is not possible in an **unconditional** plan.

$\rightsquigarrow$  The transformation is **not solution preserving**!

$\rightsquigarrow$  **Conditional effects** cannot be compiled away (if plan size can grow only linearly)

## Another Negative Result: $\text{STRIPS}_{Bc} \not\leq^c \text{STRIPS}_N$

**$k$ -FISEX**: Planning problem with fixed plan length  $k$  and varying initial state. Does there exist an initial state leading to a successful  $k$ -step plan?

1-FISEX is NP-complete for  $\text{STRIPS}_{Bc}$  (= SAT).

$k$ -FISEX is polynomial for  $\text{STRIPS}_N$  (regression analysis)

$$\rightsquigarrow \text{STRIPS}_{Bc} \not\leq_p^c \text{STRIPS}_N \text{ (if } P \neq NP\text{)}$$

Using a technique first used by Kautz & Selman, one can show that even arbitrary compilations can be ruled out – provided the polynomial hierarchy does not collapse. The proof method uses **non-uniform complexity classes** such as  $P/poly$ .

$\rightsquigarrow$  **Bäckström's conjecture holds** in the compilation framework.

# A Final Negative Result: Boolean Preconditions Cannot be Compiled Away Even in the Presence of Conditional Effects

- ▶ Boolean preconditions have the power of **families of Boolean circuits with logarithmic depth** (because Boolean formula have this power) ( $= NC^1$ )
  - ▶ Conditional effects can simulate only **families of circuits with fixed depth** ( $= AC^0$ ).
  - ▶ The parity function can be expressed in the first framework ( $NC^1$ ) while it cannot be expressed in the second ( $AC^0$ ).
- ~> The negative result follows **unconditionally**!

# Boolean Circuits

- ▶ We know what **Boolean circuits** are (directed, acyclic graphs with different types of nodes: *and*, *or*, *not*, *input*, *output*)
- ▶ **Size of circuit** = number of gates
- ▶ **Depth of circuit** = length of longest path from input gate to output gate
- ▶ When we want to *recognize formal languages* with circuits, we need a *sequence of circuits* with an increasing number of input gates  $\rightsquigarrow$  **family of circuits**
- ▶ Families with polynomial size and poly-log ( $\log^k n$ ) depth
- ▶ complexity classes **NC<sup>k</sup>** (Nick's class)
- ▶  $NC = \bigcup_k NC^k \subseteq P$ , the class of problems that can be solved efficiently in parallel
- ▶ The class of languages that can be characterized by polynomially sized Boolean formulae is identical to  $NC^1$

# The classes $AC^k$

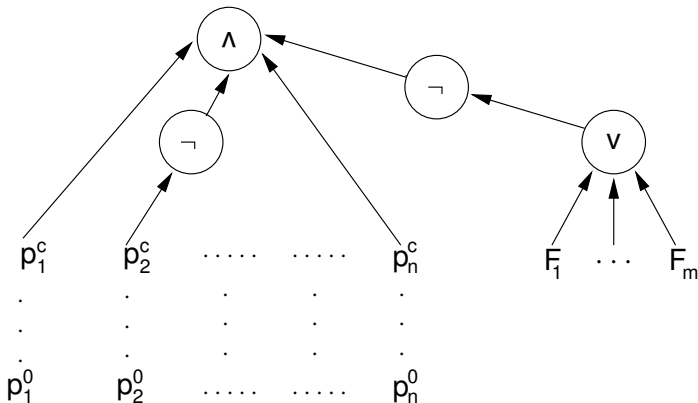
- ▶ The classes  $NC^k$  are defined with a fixed fan-in
- ▶ If we have *unbounded fan-in*, we get the classes  $AC^k$ 
  - ▶ gate types: NOT,  $n$ -ary AND,  $n$ -ary OR for all  $n \geq 2$
- ▶ Obviously:  $NC^k \subseteq AC^k$
- ▶ Possible to show:  $AC^{k-1} \subseteq NC^k$
- ▶ The *parity language* is in  $NC^1$ , but not in  $AC^0$ !

# Accepting languages with families of domain structures with fixed goals

- ▶ We will view *families of domain structures* with fixed goals and fixed size plans as “machines” that accept languages
  - ▶ Consider families of poly-sized domain structures in  $\text{STRIPS}_B$  and use one-step plans for acceptance.
  - ▶ Obviously, this is the same as using Boolean formulae
- ↪ All languages in  $\text{NC}^1$  can be accepted in this way

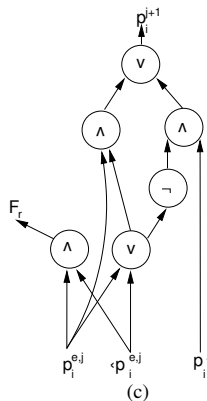
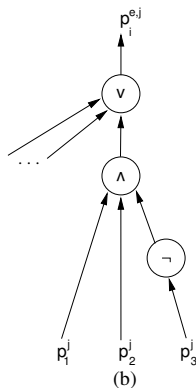
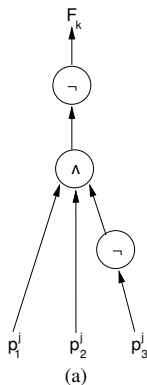
# Simulating $\text{STRIPS}_{C,N}$ $c$ -Step Plans with $\text{AC}^0$ circuits (1)

- Represent each operator and then chain the actions together ( $O(|O|^c)$  different plans):



# Simulating $\text{STRIPS}_{C,N}$ $c$ -Step Plans with $\text{AC}^0$ circuits (2)

- For each single action (precondition testing (a), conditional effects (b), and the computation of effects (c))



$$\text{STRIPS}_B \not\preceq^c \text{STRIPS}_{C,N}$$

### Theorem

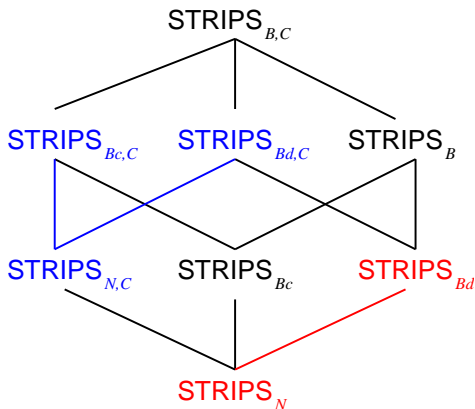
$$\text{STRIPS}_B \not\preceq^c \text{STRIPS}_{C,N}.$$

### Proof.

Assuming  $\text{STRIPS}_B \preceq^c \text{STRIPS}_{C,N}$  has the consequence that the underlying compilation scheme could be used to compile a  $\text{NC}^1$  circuit family into an  $\text{AC}^0$  circuit family, which is impossible in the general case. □

# General Results for Compilability

## Preserving Plan Size Linearly



All other potential positive results have been ruled out by our 3 negative results and transitivity.

# Summary

- ▶ Compilation schemes seem to be the right method to measure the *relative expressive power* of planning formalisms
- ▶ Either we get a positive result preserving plan size **linearly** with a **polynomial-time compilation**
- ▶ or we get an **impossibility result**
- **Results are relevant for building planning systems**
- ↪ **CNF preconditions** do not add much when we have already conditional effects
- ▶ Note: In all cases we can get a positive result if we allow for a polynomial blow-up of the plans.