# Advanced Artificial Intelligence 

## Part II. Statistical NLP

Markov Models and $\mathbf{N}$-gramms

## Wolfram Burgard, Luc De Raedt, Bernhard

 Nebel, Lars Schmidt-ThiemeSome slides taken from Helmut Schmid, Rada Mihalcea, Bonnie Dorr, Leila Kosseim, Peter Flach and others

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- Markov Models and N-gramms
- Based on
- Jurafsky and Martin, Speech and Language Processing, Ch. 6.
- Variants with Hidden States
- Hidden Markov Models
- Based on
- Manning \& Schuetze, Statistical NLP, Ch. 9
- Rabiner, A tutorial on HMMs.


## Shannon game Word Prediction

- Predicting the next word in the sequence
- Statistical natural language ....
- The cat is thrown out of the ...
- The large green ...
- Sue swallowed the large green ... - ...


## Claim

- A useful part of the knowledge needed to allow Word Prediction can be captured using simple statistical techniques.
- Compute:
- probability of a sequence
- likelihood of words co-occurring
- Why would we want to do this?
- Rank the likelihood of sequences containing various alternative alternative hypotheses
- Assess the likelihood of a hypothesis


## Probabilistic Language Model

- Definition:
- Language model is a model that enables one to compute the probability, or likelihood, of a sentence $s, P(s)$.
- Let's look at different ways of computing $P(s)$ in the context of Word Prediction


## Language Models

## How to assign probabilities to word sequences?

The probability of a word sequence $\mathrm{w}_{1, \mathrm{n}}$ is decomposed into a product of conditional probabilities.

$$
\begin{aligned}
P\left(w_{1, n}\right) & =P\left(w_{1}\right) P\left(w_{2} \mid w_{1}\right) P\left(w_{3} \mid w_{1}, w_{2}\right) \ldots P\left(w_{n} \mid w_{1, n-1}\right) \\
& =\prod_{i=1 . . n} P\left(w_{i} \mid w_{1, i-1}\right)
\end{aligned}
$$

Problems ?

## What is a (Visible) Markov Model?



- Graphical Model (Can be interpreted as Bayesian Net)
- Circles indicate states
- Arrows indicate probabilistic dependencies between states
- State depends only on the previous state
- "The past is independent of the future given the present." (d-separation)


## Markov Model Formalization



- $\quad\{S, \Pi, A\}$
- $S:\left\{\mathrm{w}_{1} \ldots \mathrm{w}_{\mathrm{N}}\right\}$ are the values for the states
- Here : the words

Limited Horizon (Markov Assumption)

$$
P\left(X_{t+1}=w_{k} \mid X_{1}, \ldots, X_{t}\right)=P\left(X_{t+1}=w_{k} \mid X_{t}\right)
$$

Time Invariant (Stationary) $\quad=P\left(X_{2}=w_{k} \mid X_{1}\right)$
Transition Matrix $A$

$$
a_{i j}=P\left(X_{t+1}=w_{j} \mid X_{t}=w_{i}\right)
$$

## Markov Model Formalization



- $\{S, \Pi, A\}$
$-S:\left\{s_{1} \ldots s_{N}\right\}$ are the values for the states
$-\Pi=\left\{\pi_{1}\right\}$ are the initial state probabilities

$$
\pi_{i}=P\left(X_{1}=w_{i}\right)
$$

- $A=\left\{\mathrm{a}_{i j}\right\}$ are the state transition probabilities


## Language Model

Each word only depends on the preceeding word

$$
P\left(w_{i} \mid w_{1, i-1}\right)=P\left(w_{i} \mid w_{i-1}\right)
$$

-1st order Markov model, bigram

Final formula: $P\left(w_{1, n}\right)=\prod_{i=1 . . n} P\left(w_{i} \mid w_{i-1}\right)$

## Markov Models

- Probabilistic Finite State Automaton


Figure 9.1 A Markov model.

## What is the probability of a sequence of states?

$$
\begin{aligned}
& P\left(X_{1}, \ldots, X_{T}\right) \\
= & P\left(X_{1}\right) P\left(X_{2} \mid X_{1}\right) P\left(X_{3} \mid X_{1}, X_{2}\right) \ldots P\left(X_{T} \mid X_{1} \ldots, X_{T-1}\right) \\
= & P\left(X_{1}\right) P\left(X_{2} \mid X_{1}\right) P\left(X_{3} \mid X_{2}\right) \ldots P\left(X_{T} \mid X_{T-1}\right) \\
= & \pi_{X_{1}} \prod_{t=1}^{T-1} a_{X_{t} X_{t+1}}
\end{aligned}
$$



## Example

Figure 9.1 A Markov model.

$$
\begin{aligned}
& P(t, i, p) \\
= & P\left(X_{1}=t\right) P\left(X_{2}=i \mid X_{1}=t\right) P\left(X_{3}=p \mid X_{2}=i\right) \\
= & 1.0 \times 0.3 \times 0.6 \\
= & 0.18
\end{aligned}
$$

## Trigrams

Now assume that

- each word only depends on the 2 preceeding words

$$
P\left(w_{i} \mid w_{1, i-1}\right)=P\left(w_{i} \mid w_{i-2}, w_{i-1}\right)
$$

- 2nd order Markov model, trigram

Final formula: $\mathrm{P}\left(\mathrm{w}_{1, n}\right)=\prod_{\mathrm{i}=1 . . n} \mathrm{P}\left(\mathrm{w}_{\mathrm{i}} \mid \mathrm{w}_{\mathrm{i}-2}, \mathrm{w}_{\mathrm{i}-1}\right)$


## Simple N-Grams

- An N-gram model uses the previous N-1 words to predict the next one:
- $P\left(w_{n} \mid w_{n-N+1} w_{n-N+2 \ldots} w_{n-1}\right)$
- unigrams: $\mathrm{P}(\mathrm{dog})$
- bigrams: $\mathrm{P}(\mathrm{dog} \mid \mathrm{big})$
- trigrams: P (dog | the big)
- quadrigrams: P (dog | chasing the big)


## A Bigram Grammar Fragment

| Eat on | .16 | Eat Thai | .03 |
| :--- | :--- | :--- | :--- |
| Eat some | .06 | Eat breakfast | .03 |
| Eat lunch | .06 | Eat in | .02 |
| Eat dinner | .05 | Eat Chinese | .02 |
| Eat at | .04 | Eat Mexican | .02 |
| Eat a | .04 | Eat tomorrow | .01 |
| Eat Indian | .04 | Eat dessert | .007 |
| Eat today | .03 | Eat British | .001 |

## Additional Grammar

| <start> I | .25 | Want some | .04 |
| :--- | :--- | :--- | :--- |
| <start> I'd | .06 | Want Thai | .01 |
| <start> Tell | .04 | To eat | .26 |
| <start> I'm | .02 | To have | .14 |
| I want | .32 | To spend | .09 |
| I would | .29 | To be | .02 |
| I don't | .08 | British food | .60 |
| I have | .04 | British restaurant | .15 |
| Want to | .65 | British cuisine | .01 |
| Want a | .05 | British lunch | .01 |

## Computing Sentence Probability

- $P(I$ want to eat British food $)=P(I \mid<s t a r t>) P($ want $\mid I)$ $P$ (to|want) $P($ eat $\mid$ to $) P($ British|eat) $P($ food $\mid$ British $)=$ $.25 x .32 x .65 x .26 x .001 x .60=.000080$
- VS.
- $\mathrm{P}($ I want to eat Chinese food $)=.00015$
- Probabilities seem to capture "syntactic" facts, "world knowledge"
- eat is often followed by a NP
- British food is not too popular
- N-gram models can be trained by counting and normalization


## Some adjustments

- product of probabilities... numerical underflow for long sentences
- so instead of multiplying the probs, we add the log of the probs

```
P(I want to eat British food)
Computed using
log(P(||<s>)) + log(P(want|I)) + log(P(to|want)) + log(P(eat|to)) +
    log(P(British|eat))+\operatorname{log}(P(food|British))
= log(.25)+\operatorname{log}(.32)+\operatorname{log}(.65)+\operatorname{log}(.26) + log(.001) + log(.6)
= -11.722
```


## Why use only bi- or tri-grams?

- Markov approximation is still costly
with a 20000 word vocabulary:
- bigram needs to store 400 million parameters
- trigram needs to store 8 trillion parameters
- using a language model > trigram is impractical
- to reduce the number of parameters, we can:
- do stemming (use stems instead of word types)
- group words into semantic classes
- seen once --> same as unseen
- Shakespeare
- 884647 tokens (words) 29066 types (wordforms)


## unigram

(a) To him swallowed confess hear both. Which. Of save on trail for are ay device and rote life have
(b) Every enter now severally so, let
(c) Hill he late speaks; or! a more to leg less first you enter
(d) Will rash been and by I the me loves gentle me not slavish page, the and hour; ill let
(e) Are where exeunt and sighs have rise excellency took of.. Sleep knave we. near; vile like
2. Bigram approximation to Shakespeare
(a) What means, sir. I confess she? then all sorts, he is trim, captain.
(b) Why dost stand forth thy canopy, forsooth; he is this palpable hit the King Henry. Live king. Follow.
(c) What we, hath got so she that I rest and sent to scold and nature bankrupt, nor the first gentleman?
(d) Enter Menenius, if it so many good direction found'st thou art a strong upon command of fear not a liberal largess given away, Falstaff! Exeunt
(e) Thou whoreson chops. Consumption catch your dearest friend, well, and I know where many mouths upon my undoing all but be, how soon, then; we'll execute upon my love's bonds and we do you will?
(f) The world shall- my lord!
3. Trigram approximation to Shakespeare
(a) Sweet prince, Falstaff shall die. Harry of Monmouth's grave.
(b) This shall forbid it should be branded, if renown made it empty.
(c) What is't that cried?
(d) Indeed the duke; and had a very good friend.
(e) Fly, and will rid me these news of price. Therefore the sadness of parting, as they say, 'tis done.
(f) The sweet! How many then shall posthumus end his miseries.
4. Quadrigram approximation to Shakespeare
(a) King Henry. What! I will go seek the traitor Gloucester. Exeunt some of the watch. A great banquet serv'd in;
(b) Will you not tell me who I am?
(c) It cannot be but so.
(d) Indeed the short and the long. Marry, 'tis a noble Lepidus.
(e) They say all lovers swear more performance than they are wont to keep obliged faith unforfeited!
(f) Enter Leonato's brother Antonio, and the rest, but seek the weary beds of people sick.

## Building n-gram Models

- Data preparation:
- Decide training corpus
- Clean and tokenize
- How do we deal with sentence boundaries?
- I eat. I sleep.
- (I eat) (eat I) (I sleep)
- <s>| eat <s> | sleep <s>
- (<s> I) (I eat) (eat <s>) (<s> I) (I sleep) (sleep <s>)
- Use statistical estimators:
- to derive a good probability estimates based on training data.


## Maximum Likelihood Estimation

- Choose the parameter values which gives the highest probability on the training corpus
- Let $\mathrm{C}\left(\mathrm{w}_{1}, . ., \mathrm{w}_{\mathrm{n}}\right)$ be the frequency of n -gram $\mathrm{w}_{1}, . ., \mathrm{w}_{\mathrm{n}}$

$$
\mathrm{P}_{\mathrm{MLE}}\left(\mathrm{w}_{\mathrm{n}} \mid \mathrm{w}_{1}, \ldots, \mathrm{w}_{\mathrm{n}-1}\right)=\frac{\mathrm{C}\left(\mathrm{w}_{1}, . ., \mathrm{w}_{\mathrm{n}}\right)}{\mathrm{C}\left(\mathrm{w}_{1}, . ., \mathrm{w}_{\mathrm{n}-1}\right)}
$$

## Example 1: P(event)

- in a training corpus, we have 10 instances of "come across"
- 8 times, followed by "as"
- 1 time, followed by "more"
- 1 time, followed by "a"
- with MLE, we have:
- $\mathrm{P}($ as $\mid$ come across $)=0.8$
- P (more | come across) $=0.1$
- $\mathrm{P}(\mathrm{a} \mid$ come across $)=0.1$
- $\mathrm{P}(\mathrm{X} \mid$ come across $)=0$ where $\mathrm{X} \neq$ "as", "more", "a"
- if a sequence never appears in training corpus? $P(X)=0$
- MLE assigns a probability of zero to unseen events ...
- probability of an n-gram involving unseen words will be zero!


## Maybe with a larger corpus?

- Some words or word combinations are unlikely to appear !!!
- Recall:
- Zipf's law
- $f$ ~ $1 / r$



## Problem with MLE: data sparseness (con't)

- in (Balh et al 83)
- training with 1.5 million words
- $23 \%$ of the trigrams from another part of the same corpus were previously unseen.
- So MLE alone is not good enough estimator


## Discounting or Smoothing

- MLE is usually unsuitable for NLP because of the sparseness of the data
- We need to allow for possibility of seeing events not seen in training
- Must use a Discounting or Smoothing technique
- Decrease the probability of previously seen events to leave a little bit of probability for previously unseen events


## Statistical Estimators

- Maximum Likelihood Estimation (MLE)
- Smoothing
- Add one
- Add delta
- Witten-Bell smoothing
- Combining Estimators
- Katz's Backoff


## Add-one Smoothing (Laplace's law)

- Pretend we have seen every n-gram at least once
- Intuitively:
- new_count(n-gram) = old_count(n-gram) +1
- The idea is to give a little bit of the probability space to unseen events


## Add-one: Example

| unsmoothed bigram counts: |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $I$ | want | to | eat | Chinese | food | lunch | ... | Total (N) |
| $\left\{\begin{array}{l}  \\ \{ \end{array}\right.$ | $I$ | 8 | 1087 | 0 | 13 | 0 | 0 | 0 |  | 3437 |
|  | want | 3 | 0 | 786 | 0 | 6 | 8 | 6 |  | 1215 |
|  | to | 3 | 0 | 10 | 860 | 3 | 0 | 12 |  | 3256 |
|  | eat | 0 | 0 | 2 | 0 | 19 | 2 | 52 |  | 938 |
|  | Chinese | 2 | 0 | 0 | 0 | 0 | 120 | 1 |  | 213 |
|  | food | 19 | 0 | 17 | 0 | 0 | 0 | 0 |  | 1506 |
|  | lunch | 4 | 0 | 0 | 0 | 0 | 1 | 0 |  | 459 |
|  |  |  |  |  |  |  |  |  |  |  |

unsmoothed normalized bigram probabilities:

|  | $I$ | want | to | eat | Chinese | food | lunch | ... | Total |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $I$ | $\begin{array}{\|l} .0023 \\ (8 / 3437) \\ \hline \end{array}$ | . 32 | 0 | $\begin{aligned} & \hline .0038 \\ & (13 / 3437) \end{aligned}$ | 0 | 0 | 0 |  | 1 |
| want | . 0025 | 0 | . 65 | 0 | . 0049 | . 0066 | . 0049 |  | 1 |
| to | . 00092 | 0 | . 0031 | . 26 | . 00092 | 0 | . 0037 |  | 1 |
| eat | 0 | 0 | . 0021 | 0 | . 020 | . 0021 | . 055 |  | 1 |
| Chinese | . 0094 | 0 | 0 | 0 | 0 | . 56 | . 0047 |  | 1 |
| food | . 013 | 0 | . 011 | 0 | 0 | 0 | 0 |  | 1 |
| lunch | . 0087 | 0 | 0 | 0 | 0 | . 0022 | 0 |  | 1 |
| ... |  |  |  |  |  |  |  |  |  |

## Add-one: Example (con't)

add-one smoothed bigram counts:

|  | $I$ | want | to | eat | Chinese | food | lunch | ... | Total (N+V) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $I$ | 8 9 | $\begin{aligned} & 1087 \\ & 1088 \end{aligned}$ | 1 | 14 | 1 | 1 | 1 |  | $\begin{aligned} & 3437 \\ & 5053 \end{aligned}$ |
| want | 34 | 1 | 787 | 1 | 7 | 9 | 7 |  | 2831 |
| to | 4 | 1 | 11 | 861 | 4 | 1 | 13 |  | 4872 |
| eat | 1 | 1 | 23 | 1 | 20 | 3 | 53 |  | 2554 |
| Chinese | 3 | 1 | 1 | 1 | 1 | 121 | 2 |  | 1829 |
| food | 20 | 1 | 18 | 1 | 1 | 1 | 1 |  | 3122 |
| lunch | 5 | 1 | 1 | 1 | 1 | 2 | 1 |  | 2075 |

add-one normalized bigram probabilities:

|  | $I$ | want | to | eat | Chinese | food | lunch | $\ldots$ | Total |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :---: |
| I | .0018 <br> $(9 / 5053)$ | .22 | .0002 | .0028 <br> $(14 / 5053)$ | .0002 | .0002 | .0002 |  | 1 |
| want | .0014 | .00035 | .28 | .00035 | .0025 | .0032 | .0025 |  | 1 |
| to | .00082 | .00021 | .0023 | .18 | .00082 | .00021 | .0027 |  | 1 |
| eat | .00039 | .00039 | .0012 | .00039 | .0078 | .0012 | .021 |  | 1 |
| Chinese | .0016 | .00055 | .00055 | .00055 | .00055 | .066 | .0011 |  | 1 |
| food | .0064 | .00032 | .0058 | .00032 | .00032 | .00032 | .00032 |  | 1 |
| lunch | .0024 | .00048 | .00048 | .00048 | .00048 | .0022 | .00048 |  | 1 |

## Add-one, more formally

$$
\mathrm{P}_{\operatorname{Add} 1}\left(\mathrm{~W}_{1} \mathrm{~W}_{2} \ldots \mathrm{~W}_{\mathrm{n}}\right)=\frac{\mathrm{C}\left(\mathrm{~W}_{1} \mathrm{~W}_{2} \ldots \mathrm{~W}_{\mathrm{n}}\right)+1}{\mathrm{~N}+\mathrm{B}}
$$

N : nb of n-grams in training corpus .
$B$ : nb of bins (of possible n-grams)
$B=V^{\wedge} 2$ for bigrams
$B=V^{\wedge} 3$ for trigrams etc.
where V is size of vocabulary

## Problem with add-one smoothing

- bigrams starting with Chinese are boosted by a factor of $8!(1829 / 213)$
unsmoothed bigram counts:

|  |  |  | want | to | eat | Chinese | food | lunch | ... | Total (N) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $I$ | 8 | 1087 | 0 | 13 | 0 | 0 | 0 |  | 3437 |
| 0 | want | 3 | 0 | 786 | 0 | 6 | 8 | 6 |  | 1215 |
| ¢ | to | 3 | 0 | 10 | 860 | 3 | 0 | 12 |  | 3256 |
|  | eat | 0 | 0 | 2 | 0 | 19 | 2 | 52 |  | 938 |
| $\stackrel{+}{n}$ | Chinese | 2 | 0 | 0 | 0 | 0 | 120 | 1 |  | 213 |
|  | food | 19 | 0 | 17 | 0 | 0 | 0 | 0 |  | 1506 |
|  | lunch | 4 | 0 | 0 | 0 | 0 | 1 | 0 |  | 459 |

add-one smoothed bigram counts:

|  |  | $I$ | want | to | eat | Chinese | food | lunch | ... | Total (N+V) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| ( | $I$ | 9 | 1088 | 1 | 14 | 1 | 1 | 1 |  | 5053 |
|  | want | 4 | 1 | 787 | 1 | 7 | 9 | 7 |  | 2831 |
| 0 | to | 4 | 1 | 11 | 861 | 4 | 1 | 13 |  | 4872 |
| $\bigcirc$ | eat | 1 | 1 | 23 | 1 | 20 | 3 | 53 |  | 2554 |
| 去 | Chinese | 3 | 1 | 1 | 1 | 1 | 121 | 2 |  | 1829 |
|  | food | 20 | 1 | 18 | 1 | 1 | 1 | 1 |  | 3122 |
|  | lunch | 5 | 1 | 1 | 1 | 1 | 2 | 1 |  | 2075 |

## Problem with add-one smoothing (con't)

- Data from the AP from (Church and Gale, 1991)
- Corpus of 22,000,000 word tokens
- Vocabulary of 273,266 words (i.e. $74,674,306,760$ possible bigrams - or bins)
- 74,671,100,000 bigrams were unseen

- Total probability mass given to unseen bigrams = $(74,671,100,000 \times 0.000295) / 22,000,000 \sim 0.9996$ !!!!


## Problem with add-one smoothing

- every previously unseen n-gram is given a low probability, but there are so many of them that too much probability mass is given to unseen events
- adding 1 to frequent bigram, does not change much, but adding 1 to low bigrams (including unseen ones) boosts them too much !
- In NLP applications that are very sparse, Laplace's Law actually gives far too much of the probability space to unseen events.


## Add-delta smoothing (Lidstone's law)

- instead of adding 1, add some other (smaller) positive value $\lambda$

$$
\mathrm{P}_{\operatorname{AddD}}\left(\mathrm{W}_{1} \mathrm{~W}_{2} \ldots \mathrm{~W}_{\mathrm{n}}\right)=\frac{\mathrm{C}\left(\mathrm{~W}_{1} \mathrm{~W}_{2} \ldots \mathrm{~W}_{\mathrm{n}}\right)+\lambda}{\mathrm{N}+\lambda \mathrm{B}}
$$

- Expected Likelihood Estimation (ELE) $\lambda=0.5$
- Maximum Likelihood Estimation $\lambda=0$
- Add one (Laplace) $\lambda=1$
- better than add-one, but still...


## Witten-Bell smoothing

- intuition:
- An unseen n-gram is one that just did not occur yet
- When it does happen, it will be its first occurrence
- So give to unseen n-grams the probability of seeing a new n-gram
- Two cases discussed
- Unigram
- Bigram (more interesting)


## Witten-Bell: unigram case

- N : number of tokens (word occurrences in this case)
- T: number of types (diff. observed words) - can be different than V (number of words in dictionary
- Total probability mass assigned to zero-frequency N -grams:
- Z: number of unseen N -gramms

$$
Z=\sum_{i: c_{i}=0} 1
$$

- Prob. unseen

$$
p_{i}^{*}=\frac{T}{Z(T+N)}
$$

- Prob. seen

$$
p_{i}^{*}=\frac{c_{i}}{N+T}
$$

## Witten-Bell: bigram case condition type counts on word

- $\mathrm{N}(\mathrm{w})$ : \# of bigrams tokens starting with w
- T(w): \# of different observed bigrams starting with w
- Total probability mass assigned to zero-frequency N -grams:

$$
\sum_{i: c\left(w_{i}, w_{x}\right)=0} p^{*}\left(w_{i} \mid w_{x}\right)=\frac{T\left(w_{x}\right)}{N\left(w_{x}\right)+T\left(w_{x}\right)}
$$

- Z: number of unseen N -gramms

$$
Z\left(w_{x}\right)=\sum_{i: c\left(w_{i}, w_{x}\right)=0} 1
$$

## Witten-Bell: bigram case condition type counts on word

- Prob. unseen

$$
p^{*}\left(w_{i} \mid w_{x}\right)=\frac{T\left(w_{x}\right)}{Z\left(w_{x}\right)\left(N\left(w_{x}\right)+T\left(w_{x}\right)\right)}
$$

- Prob. seen

$$
p^{*}\left(w_{i} \mid w_{x}\right)=\frac{c\left(w_{x}, w_{i}\right)}{N\left(w_{x}\right)+T\left(w_{x}\right)}
$$

## The restaurant example

- The original counts were:

|  | I | want | to | eat | Chine <br> se | food | lunch | $\ldots$ <br> $N(w)$ <br> seen bigram <br> tokens | $T(w)$ <br> seen bigram <br> types | $Z(w)$ <br> unseen <br> bigram types |  |
| :--- | ---: | ---: | ---: | ---: | :--- | ---: | ---: | ---: | ---: | ---: | ---: |
| $I$ | 8 | 1087 | 0 | 13 | 0 | 0 | 0 | 3437 | 95 | 1521 |  |
| want | 3 | 0 | 786 | 0 | 6 | 8 | 6 | 1215 | 76 | 1540 |  |
| to | 3 | 0 | 10 | 860 | 3 | 0 | 12 | 3256 | 130 | 1486 |  |
| eat | 0 | 0 | 2 | 0 | 19 | 2 | 52 | 938 | 124 | 1492 |  |
| Chinese | 2 | 0 | 0 | 0 | 0 | 120 | 1 | 213 | 20 | 1592 |  |
| food | 19 | 0 | 17 | 0 | 0 | 0 | 0 | 1506 | 82 | 534 |  |
| lunch | 4 | 0 | 0 | 0 | 0 | 1 | 0 |  | 459 | 45 | 1571 |

- $T(w)=$ number of different seen bigrams types starting with $w$
- we have a vocabulary of 1616 words, so we can compute
- $Z(w)=$ number of unseen bigrams types starting with $w$

$$
Z(w)=1616-T(w)
$$

- $\mathrm{N}(\mathrm{w})=$ number of bigrams tokens starting with w


## Witten-Bell smoothed probabilities

## Witten-Bell normalized bigram probabilities:

|  | $I$ | want | to | eat | Chinese | food | lunch | $\ldots$ | Total |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :---: |
| $I$ | .0022 <br> $(7.78 / 3437)$ | .3078 | .000002 | .0037 | .000002 | .000002 | .000002 |  | 1 |
| want | .00230 | .00004 | .6088 | .00004 | .0047 | .0062 | .0047 |  | 1 |
| to | .00009 | .00003 | .0030 | .2540 | .00009 | .00003 | .0038 |  | 1 |
| eat | .00008 | .00008 | .0021 | .00008 | .0179 | .0019 | .0490 |  | 1 |
| Chinese | .00812 | .00005 | .00005 | .00005 | .00005 | .5150 | .0042 |  | 1 |
| food | .0120 | .00004 | .0107 | .00004 | .00004 | .00004 | .00004 |  | 1 |
| lunch | .0079 | .00006 | .00006 | .00006 | .00006 | .0020 | .00006 |  | 1 |

## Witten-Bell smoothed count

- the count of the unseen bigram "I lunch"

$$
\frac{\mathrm{T}(\mathrm{I})}{\mathrm{Z}(\mathrm{I})} \mathrm{x} \frac{\mathrm{~N}(\mathrm{I})}{\mathrm{N}(\mathrm{I})+\mathrm{T}(\mathrm{I})}=\frac{95}{1521} \times \frac{3437}{3437+95}=0.06
$$

- the count of the seen bigram "want to"

$$
\operatorname{count}(\text { want to }) \mathrm{x} \frac{\mathrm{~N}(\text { want })}{\mathrm{N}(\text { want })+\mathrm{T}(\text { want })}=786 x \frac{1215}{1215+76}=739.73
$$

Witten-Bell smoothed bigram counts:

|  | I | want | to | eat | Chinese | food | lunch | ... | Total |
| :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| I | 7.78 | 1057.76 | .061 | 12.65 | .06 | .06 | .06 |  | 3437 |
| want | 2.82 | .05 | 739.73 | .05 | 5.65 | 7.53 | 5.65 | 1215 |  |
| to | 2.88 | .08 | 9.62 | 826.98 | 2.88 | .08 | 12.50 | 3256 |  |
| eat | .07 | .07 | 19.43 | .07 | 16.78 | 1.77 | 45.93 | 938 |  |
| Chinese | 1.74 | .01 | .01 | .01 | .01 | 109.70 | .91 | 213 |  |
| food | 18.02 | .05 | 16.12 | .05 | .05 | .05 | .05 | 1506 |  |
| lunch | 3.64 | .03 | .03 | .03 | .03 | 0.91 | .03 | 459 |  |

## Combining Estimators

- so far, we gave the same probability to all unseen ngrams
- we have never seen the bigrams
- journal of $\quad P_{\text {unsmoothed }}($ of |journal) $=0$
- journal from $\quad P_{\text {unsmoothed }}(f r o m$ journal) $=0$
- journal never $\quad P_{\text {unsmoothed }}($ never journal) $=0$
- all models so far will give the same probability to all 3 bigrams
- but intuitively, "journal of" is more probable because...
- "of" is more frequent than "from" \& "never"
- unigram probability $\mathrm{P}($ of $)>\mathrm{P}($ from $)>\mathrm{P}($ never $)$


## Combining Estimators (con't)

- observation:
- unigram model suffers less from data sparseness than bigram model
- bigram model suffers less from data sparseness than trigram model
- so use a lower model estimate, to estimate probability of unseen n-grams
- if we have several models of how the history predicts what comes next, we can combine them in the hope of producing an even better model


## Simple Linear Interpolation

- Solve the sparseness in a trigram model by mixing with bigram and unigram models
- Also called:
- linear interpolation,
- finite mixture models
- deleted interpolation
- Combine linearly

$$
P_{\mathrm{li}}\left(\mathrm{w}_{\mathrm{n}} \mid \mathrm{w}_{\mathrm{n}-2}, \mathrm{w}_{\mathrm{n}-1}\right)=\lambda_{1} \mathrm{P}\left(\mathrm{w}_{\mathrm{n}}\right)+\lambda_{2} P\left(\mathrm{w}_{\mathrm{n}} \mid \mathrm{w}_{\mathrm{n}-1}\right)+\lambda_{3} P\left(\mathrm{w}_{\mathrm{n}} \mid \mathrm{w}_{\mathrm{n}-2}, \mathrm{w}_{\mathrm{n}-1}\right)
$$

- where $0 \leq \lambda_{i} \leq 1$ and $\Sigma_{i} \lambda_{i}=1$


## Backoff Smoothing

Smoothing of Conditional Probabilities

```
p(Angeles I to, Los)
```

If „to Los Angeles" is not in the training corpus, the smoothed probability p(Angeles I to, Los) is identical to p(York I to, Los).
However, the actual probability is probably close to the bigram probability p(Angeles I Los).

## Backoff Smoothing

(Wrong) Back-off Smoothing of trigram probabilities
if $C\left(w^{\prime}, w^{\prime \prime}, w\right)>0$
$P^{*}\left(w \mid w^{\prime}, w^{\prime \prime}\right)=P\left(w \mid w^{\prime}, w^{\prime \prime}\right)$
else if $C\left(w^{\prime \prime}, w\right)>0$
$P^{*}\left(w \mid w ", w^{\prime \prime}\right)=P(w \mid w ")$
else if $C(w)>0$

$$
P^{*}\left(w \mid w^{\prime}, w^{\prime \prime}\right)=P(w)
$$

else

$$
P^{*}\left(w \mid w^{\prime}, w^{\prime \prime}\right)=1 / \# \text { words }
$$

## Backoff Smoothing

Problem: not a probability distribution
Solution:
Combination of Back-off and frequency discounting
$P\left(w \mid w_{1}, \ldots, w_{k}\right)=C^{*}\left(w_{1}, \ldots, w_{k}, w\right) / N$ if $C\left(w_{1}, \ldots, w_{k}, w\right)>0$ else

$$
P\left(w \mid w_{1}, \ldots, w_{k}\right)=\alpha\left(w_{1}, \ldots, w_{k}\right) P\left(w \mid w_{2}, \ldots, w_{k}\right)
$$

## Backoff Smoothing

The backoff factor is defined s.th. the probability mass assigned to unobserved trigrams

$$
\left.\sum_{\mathrm{w}: \mathrm{C}\left(\mathrm{w}_{1}, \ldots, \mathrm{w}_{\mathrm{k}}, \mathrm{w}\right)=0} \alpha\left(\mathrm{w}_{1}, \ldots, \mathrm{w}_{\mathrm{k}}\right) \mathrm{P}\left(\mathrm{w} \mid \mathrm{w}_{2}, \ldots, \mathrm{w}_{\mathrm{k}}\right)\right)
$$

is identical to the probability mass discounted from the observed trigrams.

$$
\left.1-{ }_{w: C\left(w_{1}, \ldots, w_{k}, w\right)>0} P\left(w \mid w_{1}, \ldots, w_{k}\right)\right)
$$

Therefore, we get:

$$
\alpha\left(w_{1}, \ldots, w_{k}\right)=\left(\underset{w: C\left(w_{1}, \ldots, w_{k}, w\right)>0}{ } P\left(w \mid w_{1}, \ldots, w_{k}\right)\right) /\left(\underset{w: C\left(w_{1}, \ldots, w_{k}, w\right)>0}{1-\sum_{k}} P\left(w \mid w_{2}, \ldots, w_{k}\right)\right)
$$

## Spelling Correction

- They are leaving in about fifteen minuets to go to her house.
- The study was conducted mainly be John Black.
- Hopefully, all with continue smoothly in my absence.
- Can they lave him my messages?
- I need to notified the bank of....
- He is trying to fine out.


## Spelling Correction

- One possible method using N-gramms
- Sentence $\mathrm{w}_{1}, \ldots, \mathrm{w}_{\mathrm{n}}$
- Alternatives $\left\{\mathrm{v}_{1}, \ldots \mathrm{v}_{\mathrm{m}}\right\}$ may exist for $\mathrm{w}_{\mathrm{k}}$
- Words sounding similar
- Words close (edit-distance)
- For all such alternatives compute
- $P\left(w_{1}, \ldots, w_{k-1}, v_{i}, w_{k+1}, \ldots, w_{n}\right)$ and choose best one


## Other applications of LM

- Author / Language identification
- hypothesis: texts that resemble each other (same author, same language) share similar characteristics
- In English character sequence "ing" is more probable than in French
- Training phase:
- construction of the language model
- with pre-classified documents (known language/author)
- Testing phase:
- evaluation of unknown text (comparison with language model)


## Example: Language identification

- bigram of characters
- characters = 26 letters (case insensitive)
- possible variations: case sensitivity, punctuation, beginning/end of sentence marker, ...

|  | A | B | $\boldsymbol{C}$ | $\mathbf{D}$ | $\ldots$ | $\mathbf{y}$ | $\mathbf{Z}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| A | 0.0014 | 0.0014 | 0.0014 | 0.0014 | $\ldots$ | 0.0014 | 0.0014 |
| B | 0.0014 | 0.0014 | 0.0014 | 0.0014 | $\ldots$ | 0.0014 | 0.0014 |
| C | 0.0014 | 0.0014 | 0.0014 | 0.0014 | $\ldots$ | 0.0014 | 0.0014 |
| D | 0.0042 | 0.0014 | 0.0014 | 0.0014 | $\ldots$ | 0.0014 | 0.0014 |
| E | 0.0097 | 0.0014 | 0.0014 | 0.0014 | $\ldots$ | 0.0014 | 0.0014 |
| $\ldots$ | $\ldots$ | $\ldots$ | $\ldots$ | $\ldots$ | $\ldots$ | $\ldots$ | 0.0014 |
| $\mathbf{Y}$ | 0.0014 | 0.0014 | 0.0014 | 0.0014 | $\ldots$ | 0.0014 | 0.0014 |
| $\mathbf{Z}$ | 0.0014 | 0.0014 | 0.0014 | 0.0014 | 0.0014 | 0.0014 | 0.0014 |

1. Train a language model for English:
2. Train a language model for French
3. Evaluate probability of a sentence with LM-English \& LM-French
4. Highest probability -->language of sentence

## Claim

- A useful part of the knowledge needed to allow Word Prediction can be captured using simple statistical techniques.
- Compute:
- probability of a sequence
- likelihood of words co-occurring
- It can be useful to do this.

