

# Dynamic Epistemic Logic

## 5. Epistemic Planning

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June 19th, 2019



Prelude: Two Extensions

Multipointed Models

Ontic Effects

Classical Planning

Epistemic Planning

Summary

# Prelude: Two Extensions

June 19th, 2019

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# Epistemic Planning



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Multipointed Models

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Summary

**Remark:** Epistemic planning can also be based on formalisms other than DEL. We only focus on DEL here, though.

**Before we begin:** We first want to introduce to extensions to our DEL models:

- Multipointed models
- Action models with ontic effects

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# Multipointed Models



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Summary

**So far:** State and action models only had a **unique** designated world/event.

- The **actual** world
- The event that **actually** takes place

**Now:** We also allow state and action models with more than one designated world/event.

- The set of worlds that **may** be the actual world (from some agent's perspective)
- The set of events that **may** actually take place (nondeterministically)

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## Definition (closure under indistinguishability)

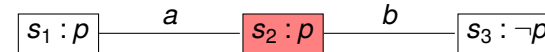
Let  $\mathcal{M} = (S, \sim, V)$  be an epistemic model,  $S' \subseteq S$ , and  $a \in A$ . Then  $S'$  is **closed under indistinguishability** of agent  $a$  if  $s \in S'$  and  $s \sim_a s'$  implies  $s' \in S'$  for all  $s, s' \in S$ .

## Definition (multipointed epistemic model)

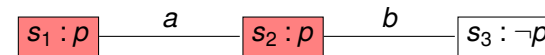
Let  $\mathcal{M} = (S, \sim, V)$  be an epistemic model, and  $\emptyset \neq S_d \subseteq S$ . Then  $(\mathcal{M}, S_d)$  is a **multipointed model**. If  $S_d = \{s\}$ , then  $(\mathcal{M}, S_d)$  is a **global state**. If  $S_d$  is closed under indistinguishability for some agent  $a \in A$ , then  $(\mathcal{M}, S_d)$  is **local** for agent  $a$ . Given a global state  $(\mathcal{M}, \{s\})$ , the **associated local state** for agent  $a$  is the model  $(\mathcal{M}, \{s\})^a = (\mathcal{M}, \{s' \in S \mid s' \sim_a s\})$ . Similarly,  $(\mathcal{M}, S_d)^a = (\mathcal{M}, S'_d)$ , for  $S'_d = \{s' \in S \mid s' \sim_a s \text{ for some } s \in S_d\}$ .

## Example

Global state  $(\mathcal{M}, \{s_2\})$ :



Associated local state  $(\mathcal{M}, \{s_1, s_2\})$  for agent  $a$ :



**Note:** Definition of bisimulation has to be adapted to relate designated worlds in one model to designated worlds in the other model. (Homework.)

## Definition (truth condition in multipointed models)

Given a formula  $\varphi$  (from any of the logics defined earlier) and a multipointed model  $(\mathcal{M}, S_d)$ , we define:

$$\mathcal{M}, S_d \models \varphi \quad \text{iff} \quad \mathcal{M}, s \models \varphi \text{ for all } s \in S_d.$$

**Note:** If  $(\mathcal{M}, S_d)$  is local for some agent  $a$ , then  $\mathcal{M}, S_d \models K_a \varphi$  iff  $\mathcal{M}, S_d \models \varphi$ .

## Definition (multipointed action model)

Let  $M = (E, \sim, pre)$  be an action model and  $\emptyset \neq E_d \subseteq E$ . Then we call  $(M, E_d)$  a **multipointed action model**.

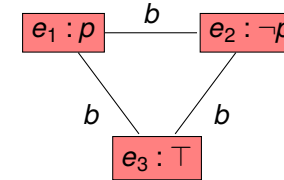
**Note:** Definitions of closure under indistinguishability, local/global/associated local (action) models similar to those for multipointed epistemic models. Adaptation of definition of bisimulations/emulations also similar.

**Remark:** Multipointed action models show up if

- an action **is actually** nondeterministic, or
- an action **appears** nondeterministic from some agent's perspective.

## Example (Nondeterministic action)

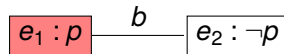
Action model (Mayread,  $\{e_1, e_2, e_3\}$ ):



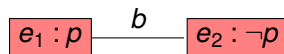
Alice may or may not read the letter, nondeterministically.

## Example (Seemingly nondeterministic action)

Action model (Read,  $e_1$ ):



Associated action model (Read,  $\{e_1, e_2\}$ ) for agent  $b$ :



Although the Read action is deterministic (in every state, only one of the events can possibly take place), it **appears** nondeterministic to agent  $b$ , since he does not know which event occurs.

## Further remarks:

- Action  $(M, E_d)$  behaves like nondeterministic action  $(M, e_1) \cup \dots \cup (M, e_n)$  for  $E_d = \{e_1, \dots, e_n\}$ .
- Better examples of nondeterministic actions, like coin tossing, possible once we have ontic effects (see below).

**So far:** Actions only affect knowledge (via announcements, other forms of communication, sensing, ...).

**Now:** We also want actions to change ontic facts (opening a door, tossing a coin, toggling a switch, moving from A to B, ...).

## Definition (action model with ontic effects)

An action model with ontic effects  $M = (E, \sim, pre, eff)$  is an action model  $(E, \sim, pre)$  together with a function  $eff : E \rightarrow \mathcal{L}_K$ , where for all  $e \in E$ ,  $eff(e)$  is a conjunction of atoms and negated atoms from  $P$ .

## Example

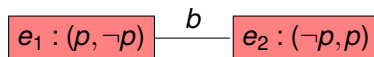
$eff(e) = p \wedge q \wedge \neg r \wedge \neg x$  means that event  $e$  makes  $p$  and  $q$  true and  $r$  and  $x$  false.

**Note:** This corresponds to add and delete lists in STRIPS planning.

**Graphical notation:** Label  $e : (\varphi, \psi)$  means that the event is named  $e$ , and that  $pre(e) = \varphi$  and  $eff(e) = \psi$ .

## Example (Toggling a switch)

The truth value of  $p$  is complemented. Agent  $a$  sees  $p$ , agent  $b$  does not.



## Example (Tossing a coin)

A coin is tossed ( $p$  means heads,  $\neg p$  means tails). The coin toss happens in public.



In order to correctly reflect ontic effects in our semantics, we need to make the product update take them into account.

## Definition (Product update)

Let  $\mathcal{M} = (S, \sim, V)$  be an epistemic state with designated worlds  $S_d \subseteq S$ , and let  $M = (E, \sim, pre, eff)$  be an action model with designated events  $E_d \subseteq E$ . Then the **product update**  $(\mathcal{M}, S_d) \otimes (M, E_d)$  is the epistemic state  $\mathcal{M}' = (S', \sim', V')$  with with designated worlds  $S'_d \subseteq S'$ , where:

- $S' = \{(s, e) \in S \times E \mid \mathcal{M}, s \models pre(e)\}$ ,
- $(s, e) \sim'_a (t, \varepsilon)$  iff  $s \sim_a t$  and  $e \sim_a \varepsilon$ , for  $a \in A$ ,
- $(s, e) \in V'_p$  iff  $(s \in V_p \text{ and } eff(e) \not\models \neg p) \text{ or } eff(e) \models p$ , for all  $p \in P$ , and
- $(s, e) \in S'_d$  iff  $s \in S_d$  and  $e \in E_d$ .

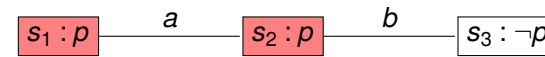
## Definition (applicability)

Action  $(M, E_d)$  is **applicable** in local state  $(\mathcal{M}, S_d)$  iff, for all  $s \in S_d$ , there is at least one  $e \in E$  with  $\mathcal{M}, s \models pre(e)$ .

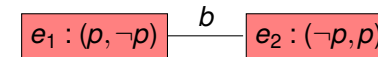
Everything else stays more or less the same (well, except for action bisimulations and emulations, ...).

## Example

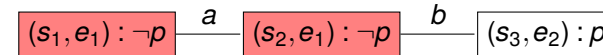
Initially,  $a$  knows  $p$  and considers it possible that  $b$  does not know  $p$ .



We then apply the toggling action.



Resulting epistemic state: like initially, but with  $p$  toggled.



Recall the funniest joke in the world:

*Two hunters are out in the woods when one of them collapses. He doesn't seem to be breathing and his eyes are glazed. The other guy whips out his phone and calls the emergency services. He gasps, "My friend is dead! What can I do?" The operator says, "Calm down. I can help. First, let's make sure he's really dead." There is a silence; then a gun shot is heard. Back on the phone, the guy says, "OK, now what?"*

## Homework:

- DEL action model for the "epistemic reading" of making sure he's really dead?
- DEL action model for the "ontic reading" of making sure he's really dead?

# Classical Planning

## Roadmap:

- Review of **classical** and **nondeterministic** planning **without** epistemic aspects (this section)
- Extension towards **epistemic** planning (next section)

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**Note:** Rest of this section based on slides of AI Planning course, WS 2018/2019, chapters 1, 2, and 14 (<http://gki.informatik.uni-freiburg.de/teaching/ws1819/aip/aip01.pdf>, <http://gki.informatik.uni-freiburg.de/teaching/ws1819/aip/aip02.pdf>, <http://gki.informatik.uni-freiburg.de/teaching/ws1819/aip/aip14.pdf>). Extended slides can be found there.

## Planning

“Planning is the art and practice of thinking before acting.”  
 — Patrik Haslum

- intelligent decision making: What actions to take?
- general-purpose problem representation
- algorithms for solving any problem expressible in the representation

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## Definition (transition system)

A **transition system** is a 5-tuple  $\mathcal{T} = (S, L, T, s_0, S_*)$  where

- $S$  is a finite set of **states**,
- $L$  is a finite set of (transition) **labels**,
- $T \subseteq S \times L \times S$  is the **transition relation**,
- $s_0 \in S$  is the **initial state**, and
- $S_* \subseteq S$  is the set of **goal states**.

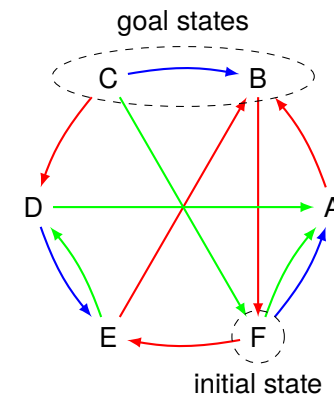
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We say that  $\mathcal{T}$  **has the transition**  $(s, l, s')$  if  $(s, l, s') \in T$ .

We also write this  $s \xrightarrow{l} s'$ , or  $s \rightarrow s'$  when not interested in  $l$ .

$\mathcal{T}$  is called **deterministic** if for all states  $s$  and labels  $l$ , there is **at most one** state  $s'$  with  $s \xrightarrow{l} s'$ .

Transition systems are often depicted as **directed arc-labeled graphs** with marks to indicate the initial state and goal states.



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We use common graph theory terms for transition systems:

- $s'$  **successor** of  $s$  if  $s \rightarrow s'$
- $s$  **predecessor** of  $s'$  if  $s \rightarrow s'$
- $s'$  **reachable** from  $s$  if there exists a sequence of transitions from  $s$  to  $s'$ .

- Classical (i. e., deterministic) planning is in essence the problem of finding solutions in **huge** transition systems.
- The transition systems we are usually interested in are too large to explicitly enumerate all states or transitions.
- Hence, the input to a planning algorithm must be given in a more **concise** form.

How to represent huge state sets without enumerating them?

- represent different aspects of the world in terms of different **state variables**
- ↔ a state is a **valuation of state variables**
- $n$  state variables with  $m$  possible values each induce  $m^n$  different states
- ↔ **exponentially more compact** than “flat” representations

**Problem:**

- How to **succinctly** represent **transitions** and **goal states**?

**Idea:** Use **propositional logic**

- **state variables:** propositional variables (0 or 1)
- **goal states:** defined by a propositional formula
- **transitions:** defined by **actions** given by
  - **precondition:** when is the action applicable?
  - **effect:** how does it change the valuation?

**Note:** general finite-domain state variables can be compactly encoded as Boolean variables

Transitions for state sets described by propositions  $P$  can be concisely represented as **operators** or **actions**  $o = (pre, eff)$  where

- the **precondition**  $pre$  is a propositional formula over  $P$  describing the set of states in which the transition can be taken (states in which a transition starts), and
- the **effect**  $eff$  describes how the resulting successor states are obtained from the state where the transitions is taken (where the transition goes).

## Definition (effects)

(Deterministic) **effects** are recursively defined as follows:

- If  $p \in P$  is a state variable, then  $p$  and  $\neg p$  are effects (**atomic effect**).
- If  $eff_1, \dots, eff_n$  are effects, then  $eff_1 \wedge \dots \wedge eff_n$  is an effect (**conjunctive effect**).  
 The special case with  $n = 0$  is the empty effect  $\top$ .
- If  $pre$  is a propositional formula and  $eff$  is an effect, then  $pre \triangleright eff$  is an effect (**conditional effect**).

Atomic effects  $p$  and  $\neg p$  are best understood as assignments  $p := 1$  and  $p := 0$ , respectively.

## Definition (changes caused by an operator)

For each effect  $eff$  and state  $s$ , we define the **change set** of  $eff$  in  $s$ , written  $[eff]_s$ , as the following set of literals:

- $[p]_s = \{p\}$  and  $[\neg p]_s = \{\neg p\}$  for atomic effects  $p, \neg p$
- $[eff_1 \wedge \dots \wedge eff_n]_s = [eff_1]_s \cup \dots \cup [eff_n]_s$
- $[pre \triangleright eff]_s = [eff]_s$  if  $s \models pre$  and  $[pre \triangleright eff]_s = \emptyset$  otherwise

## Definition (applicable operators)

Operator  $(pre, eff)$  is **applicable in a state**  $s$  iff  $s \models pre$  and  $[eff]_s$  is consistent (i. e., does not contain two complementary literals).

## Definition (successor state)

The **successor state**  $app_o(s)$  of  $s$  with respect to operator  $o = (pre, eff)$  is the state  $s'$  with  $s' \models [eff]_s$  and  $s'(p) = s(p)$  for all state variables  $p$  not mentioned in  $[eff]_s$ .  
 This is defined only if  $o$  is applicable in  $s$ .



## Definition (deterministic planning task)

A **deterministic planning task** is a 4-tuple  $\Pi = (P, I, \text{Act}, \gamma)$  where

- $P$  is a finite set of **state variables** (propositions),
- $I$  is a valuation over  $P$  called the **initial state**,
- $\text{Act}$  is a finite set of **operators** over  $P$ , and
- $\gamma$  is a formula over  $P$  called the **goal**.

## Definition (induced transition system of a planning task)

Every planning task  $\Pi = (P, I, \text{Act}, \gamma)$  induces a corresponding deterministic transition system  $\mathcal{T}(\Pi) = (S, L, T, s_0, S_*)$ :

- $S$  is the set of all valuations of  $P$ ,
- $L$  is the set of operators  $\text{Act}$ ,
- $T = \{(s, o, s') \mid s \in S, o \text{ applicable in } s, s' = \text{app}_o(s)\}$ ,
- $s_0 = I$ , and
- $S_* = \{s \in S \mid s \models \gamma\}$

- Terminology for transitions systems is also applied to the planning tasks that induce them.
- A sequence of operators that forms a goal path of  $\mathcal{T}(\Pi)$  is called a **plan** of  $\Pi$ .

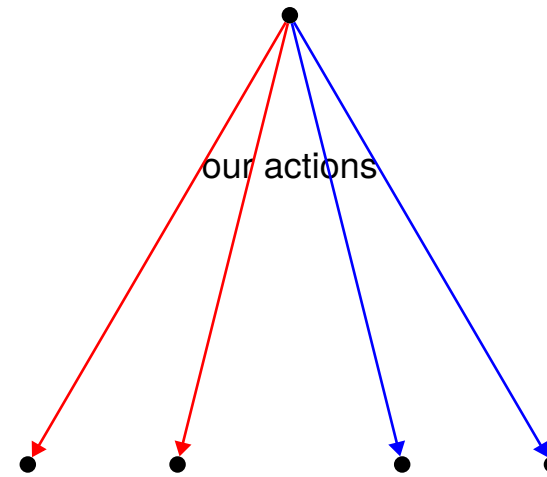
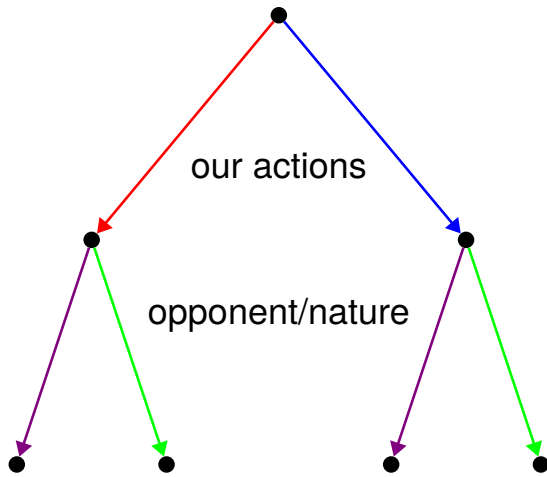
By **planning**, we mean the following two algorithmic problems:

## Definition (satisficing planning)

**Given:** a planning task  $\Pi$   
**Output:** a plan for  $\Pi$ , or **unsolvable** if no plan for  $\Pi$  exists

## Definition (optimal planning)

**Given:** a planning task  $\Pi$   
**Output:** a plan for  $\Pi$  with minimal length among all plans for  $\Pi$ , or **unsolvable** if no plan for  $\Pi$  exists



## Definition (nondeterministic operator)

A **nondeterministic operator** is a pair  $o = (pre, Eff)$ , where

- $pre$  is a conjunction of atoms (the **precondition**), and
- $Eff = \{eff_1, \dots, eff_n\}$  is a finite set of possible **effects** of  $o$ , each  $eff_i$  being a conjunction of atomic finite-domain effects.

## Definition (nondeterministic operator application)

Let  $o = (pre, Eff)$  be a nondeterministic operator and  $s$  a state.

Applicability of  $o$  in  $s$  is defined as in the deterministic case, i.e.,  $o$  is **applicable** in  $s$  iff  $s \models pre$  and the change set of each effect  $eff \in Eff$  is consistent.

If  $o$  is applicable in  $s$ , then the **application** of  $o$  in  $s$  leads to one of the states in the set  $app_o(s) := \{app_{(pre, eff)}(s) \mid eff \in Eff\}$  nondeterministically.

# Nondeterministic planning tasks and transition systems



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**Nondeterministic planning task:** Like a deterministic planning task, but now possibly with nondeterministic actions.

**Induced transition system:** Like before, but now possibly with nondeterministic transitions.

# What is a plan?



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In nondeterministic planning, plans are more complicated objects than in the deterministic case:

The best action to take may **depend on nondeterministic effects** of previous operators.

Nondeterministic plans thus often require **branching**. Sometimes, they even require **looping**.

Here: Only consider branching, no looping.

# Nondeterministic plans: formal definition



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## Definition (strategy)

Let  $\Pi = (P, I, \text{Act}, \gamma)$  be a nondeterministic planning task with state set  $S$  and goal states  $S_*$ .

A **strategy** for  $\Pi$  is a function  $\pi : S_\pi \rightarrow \text{Act}$  for some subset  $S_\pi \subseteq S$  such that for all states  $s \in S_\pi$  the action  $\pi(s)$  is applicable in  $s$ .

The set of states reachable in  $\mathcal{T}(\Pi)$  starting in state  $s$  and following  $\pi$  is denoted by  $S_\pi(s)$ .

# Nondeterministic plans: formal definition



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## Definition (weak, closed, proper, and acyclic strategies)

Let  $\Pi = (P, I, \text{Act}, \gamma)$  be a nondeterministic planning task with state set  $S$  and goal states  $S_*$ , and let  $\pi$  be a strategy for  $\Pi$ .

Then  $\pi$  is called

- **proper** iff  $S_\pi(s') \cap S_* \neq \emptyset$  for all  $s' \in S_\pi(s_0)$ , and
- **acyclic** iff there is no state  $s' \in S_\pi(s_0)$  such that  $s'$  is reachable from  $s'$  following  $\pi$  in a strictly positive number of steps.

## Definition

Let  $\Pi = (P, I, \text{Act}, \gamma)$  be a nondeterministic planning task with state set  $S$  and goal states  $S_*$ .

A strategy for  $\Pi$  is called a **strong plan** if it is proper and acyclic.

## Definition (strong planning)

**Given:** a nondeterministic planning task  $\Pi$   
**Output:** a strong plan for  $\Pi$ , or **unsolvable** if no strong plan for  $\Pi$  exists

## Summary: Classical planning on one slide:

- **Given:**
  - Initial world **state**
  - **Goal** description
  - Available **actions**
- **Wanted:**
  - **Plan** leading from initial state to goal state
- **Assumptions:**
  - Single agent
  - Full observability
  - Deterministic actions
  - Static and discrete environment
  - Reachability goal
  - ...

**Algorithmic techniques** successful in (satisficing) classical planning:

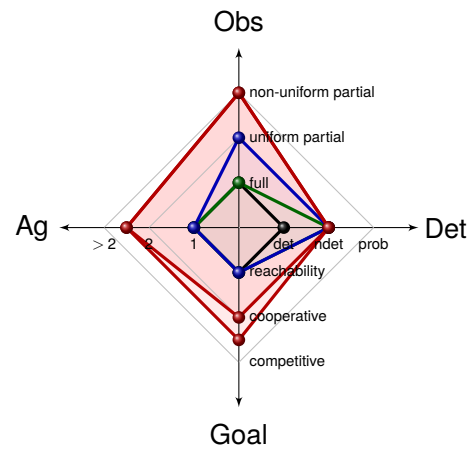
Mainly **state-space search**

- **guided by goal-distance heuristics**
- **based on delete relaxation,**
- **abstractions, and**
- **landmarks,**
- **enhanced with pruning techniques** (helpful actions, commutativity, symmetry),
- **as well as invariants, causal relationships, decoupling techniques, ...**

# Epistemic Planning

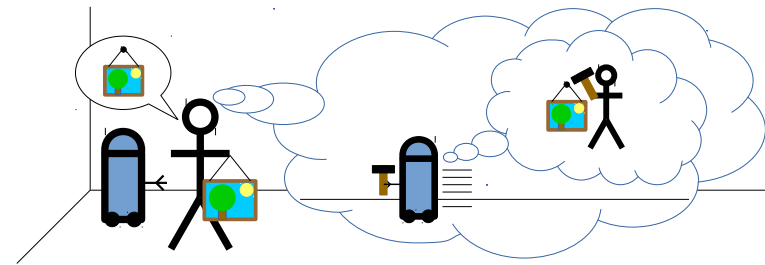
# From Classical to Epistemic Planning

Classical, **FOND**, **POND**, **epistemic** planning, ...



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# Example: Robot Collaborating with Human



- **Epistemic planning** useful if we want the agents to coordinate implicitly

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# Cooperative Epistemic Planning

## Cooperative epistemic planning:

- **Task:** Collaboratively reach joint goal
- **Challenge:** Required **knowledge and capabilities distributed** among agents
- **Idea:** Communication / coordination as part of the plan

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# Epistemic Planning

## Roadmap of the remainder of this lecture:

- Definition of epistemic planning tasks and objectives
- Centralized vs. implicitly coordinated plans
- Linear vs. branching plans
- Hardness of epistemic planning (?)
- Algorithms for epistemic planning, tractable fragments, heuristics (?)
- Execution of (profiles of) epistemic plans (?)

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Good and relatively recent overview of the state of the art in epistemic planning:

Baral et al., Epistemic Planning (Dagstuhl Seminar 17231), Dagstuhl Reports, Vol. 7, Issue 6 (2017), <http://drops.dagstuhl.de/opus/volltexte/2017/8285/>

Intro to DEL-based epistemic planning:

Bolander, A Gentle Introduction to Epistemic Planning: The DEL Approach (2017), <https://arxiv.org/abs/1703.02192>

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From now on: Multi-pointed models, ontic effects.  
Fix a finite set of agents  $A$ .

## Definition

A **cooperative epistemic planning task**  $\Pi = (P, I, \text{Act}, \gamma, \omega)$  consists of

- a finite set of **state variables** (atomic propositions)  $P$ ,
- an **initial global epistemic state**  $I = (\mathcal{M}_0, s_0)$  over  $P$ ,
- a finite set  $\text{Act}$  of **epistemic actions** over  $P$ ,
- a **goal formula**  $\gamma \in \mathcal{L}_{KC}$  over  $P$ , and
- an **owner** function  $\omega : \text{Act} \rightarrow A$ , such that each action  $\alpha \in \text{Act}$  is local for  $\omega(\alpha)$ .

**Assumption:**  $\text{Act}$  is common knowledge among all agents.

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Summary

An epistemic model  $(\mathcal{M}, S_d)$  is a goal state iff  $(\mathcal{M}, S_d) \models \gamma$  iff  $(\mathcal{M}, s) \models \gamma$  for all  $s \in S_d$ .

**Terminology:** In the following, we abbreviate “cooperative epistemic planning task” as “planning task”.

## Definition (Centralized sequential epistemic plan)

A **centralized sequential (or linear) epistemic plan** for a planning task  $\Pi = (P, I, \text{Act}, \gamma, \omega)$  is a sequence of actions from  $\text{Act}$ ,  $\pi = \alpha_1, \dots, \alpha_n$  such that

- for each  $i = 1, \dots, n$ , action  $\alpha_i$  is applicable in  $I \otimes \alpha_1 \otimes \dots \otimes \alpha_{i-1}$ , and
- $I \otimes \alpha_1 \otimes \dots \otimes \alpha_n \models \gamma$ .

Prelude: Two Extensions

Classical Planning

Epistemic Planning

Summary

In order to simplify some future proofs and to highlight the simplicity to the definition of implicitly coordinated sequential plans (see below), we give an equivalent definition of centralized sequential epistemic plans:

## Proposition

Let  $\Pi = (P, I, \text{Act}, \gamma, \omega)$  be a planning task and  $\pi = \alpha_1, \dots, \alpha_n$  be a sequence of actions from  $\text{Act}$ . Then  $\pi$  is a centralized sequential epistemic plan for  $\Pi$  iff

- $n = 0$  and  $I \models \gamma$ , or
- $n > 0$  and  $\alpha_1$  is applicable in  $I$  and  $\alpha_2, \dots, \alpha_n$  is a centralized sequential epistemic plan for  $\Pi' = (P, I \otimes \alpha_1, \text{Act}, \gamma, \omega)$ . □

Prelude: Two Extensions

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Summary

For convenience, we add a new modality as an abbreviation to  $\mathcal{L}_{KC[]}$ :

## Definition

Modality  $\langle \alpha \rangle$  is defined such that, for all formulas  $\varphi \in \mathcal{L}_{KC[]}$ , we have

$$\langle \alpha \rangle \varphi \equiv \langle \alpha \rangle \top \wedge [\alpha] \varphi$$

## Truth condition:

$\mathcal{M}, s \models \langle \alpha \rangle \varphi$  iff  $\alpha$  is applicable in  $\mathcal{M}, s$  and  $(\mathcal{M}, s) \otimes \alpha \models \varphi$

Prelude: Two Extensions

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Summary

## Proposition

Let  $\Pi = (P, I, Act, \gamma, \omega)$  be a planning task and  $\pi = \alpha_1, \dots, \alpha_n$  a sequence of actions from  $Act$ . Then  $\pi$  is a centralized sequential epistemic plan for  $\Pi$  if and only if  $I \models \langle \alpha_1 \rangle \langle \alpha_2 \rangle \dots \langle \alpha_n \rangle \gamma$ .

## Proof.

Induction on plan length  $n$ .

- **Base case ( $n = 0$ ):** Then  $\pi$  is a centralized sequential epistemic plan for  $\Pi$  iff  $I \models \gamma$ .
- **Inductive case ( $n > 0$ ):** [...]

Prelude: Two Extensions

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Summary

## Proof (ctd.)

- **Inductive case ( $n > 0$ ):** Let  $\pi = \alpha_1, \dots, \alpha_n$ .

Then  $\pi$  is a centralized sequential epistemic plan for  $\Pi$  iff (previous proposition)

$\alpha_1$  is applicable in  $I$  and  $\alpha_2, \dots, \alpha_n$  is a centralized sequential epistemic plan for  $\Pi' = (P, I \otimes \alpha_1, Act, \gamma, \omega)$  iff (induction hypothesis!)

$\alpha_1$  is applicable in  $I$  and  $I \otimes \alpha_1 \models \langle \alpha_2 \rangle \dots \langle \alpha_n \rangle \gamma$  iff (truth condition of  $\langle \cdot \rangle$ )

$I \models \langle \alpha_1 \rangle \langle \alpha_2 \rangle \dots \langle \alpha_n \rangle \gamma$ . □

Prelude: Two Extensions

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## Definition (plantime vs. runtime indistinguishability)

Let  $M = (E, \sim, pre, eff)$ ,  $E_d \subseteq E$ , and assume that  $(M, E_d)$  is local to some agent  $a \in A$ . Let  $e_1, e_2 \in E_d$ . Then  $e_1$  and  $e_2$  are called **runtime indistinguishable** for agent  $a$  if  $e_1 \sim_a e_2$ .

Otherwise (if  $e_1 \not\sim_a e_2$ ), they are runtime distinguishable for  $a$ , but **plantime indistinguishable** for  $a$ .

Above, we defined plantime and runtime indistinguishability of **events**. Plantime and runtime indistinguishability of **worlds** in epistemic states can be defined similarly.

Prelude: Two Extensions

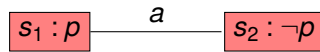
Classical Planning

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Summary

## Example (for $A = \{a\}$ )

Model (Before,  $\{s_1, s_2\}$ ):



Worlds  $s_1$  and  $s_2$  both plantime and runtime indistinguishable to agent  $a$ .

Action model ( $\text{Read}_a, \{e_1, e_2\}$ ):



Events  $e_1$  and  $e_2$  plantime indistinguishable, but runtime distinguishable to agent  $a$ .

## Example (ctd.)

Model (After,  $S_d$ ) = (Before,  $\{s_1, s_2\}$ )  $\otimes$  ( $\text{Read}_a, \{e_1, e_2\}$ ):



Worlds  $(s_1, e_1)$  and  $(s_2, e_2)$  plantime indistinguishable, but runtime distinguishable to agent  $a$ .

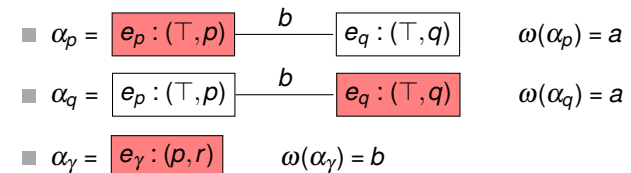
We assume that, for a given planning task  $\Pi$ , the set of actions Act is common knowledge among the agents. This does **not** imply that agents can always correctly identify the actions executed by other agents.

This can lead to problematic situations: Assume that the only way to achieve the goal is (1) agent  $a$  performs action  $\alpha_p$ , followed by (2) agent  $b$  performing action  $\alpha_\gamma$ . Now, if agent  $b$  cannot distinguish between agent  $a$  performing action  $\alpha_p$ , and agent  $a$  performing a different, useless action  $\alpha_q$ , then even after agent  $a$  has performed the good action  $\alpha_p$ , agent  $b$  does not know that his action  $\alpha_\gamma$  is applicable and leads to the goal!

## Example

Let  $\Pi = (P, I, \text{Act}, \gamma, \omega)$  be the following planning task:

- Atomic propositions:  $P = \{p, q, r\}$
- Initial state:  $I = s_0 : \neg p, \neg q, \neg r$
- Goal:  $\gamma = r$
- Actions and owners:



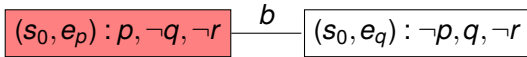
Act =  $\{\alpha_p, \alpha_q, \alpha_\gamma\}$ .

Agent  $b$  cannot distinguish between  $\alpha_p$  and  $\alpha_q$  at runtime. Both are initially applicable.



## Example (ctd.)

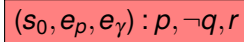
$I \otimes \alpha_p =$



Then  $I \otimes \alpha_p \models p \wedge \neg K_b p$ .

Note connection to earlier discussion of **action and knowledge** axiom:  $I \models K_b[\alpha_p]p$ , but  $I \not\models [\alpha_p]K_b p$ .

$I \otimes \alpha_p \otimes \alpha_\gamma =$



Then  $I \otimes \alpha_p \otimes \alpha_\gamma \models \gamma$ .

I. e.,  $(\alpha_p, \alpha_\gamma)$  is a centralized sequential epistemic plan.

## Example (ctd.)

This is the case **although**, in  $I \otimes \alpha_p$ , agent  $b = \omega(\alpha_\gamma)$  **does not event know** that  $\alpha_\gamma$  is applicable.

- Is this reasonable?
- Agents can always observe **that** an action occurs. But not necessarily **which** action!
- Problem here arises because agent  $b$  can mistake action  $\alpha_p$  for  $\alpha_q$ . This, however, can only happen if  $\alpha_p, \alpha_q \in \text{Act}$ .

If  $\alpha_q \notin \text{Act}$ , agent  $b$  could use this knowledge to infer that the action applied by agent  $a$  must have been action  $\alpha_p$ , and hence infer that  $p$  holds in  $I \otimes \alpha_p$ .

To avoid a “side-channel attack” of agent  $b$ , we define:

### Definition

An action set **Act** is **closed** if, for all  $(M, E_d) \in \text{Act}$  and  $e \in E$  (of  $M$ ), there is an  $E'_d \subseteq E$  with  $e \in E'_d$  and  $(M, E'_d) \in \text{Act}$ .

In the example, we had  $(M, E_d) = \alpha_p$ ,  $e = e_q$ , and  $(M, E'_d) = \alpha_q$ .

From now on, we assume that all action sets **Act** are closed.

## Example (ctd.)

Back to the plan  $\pi = (\alpha_p, \alpha_\gamma)$ .

- If  $\pi$  is computed by a centralized instance and then distributed among the agents for execution:
  - ↪ okay
- If agents need to coordinate themselves:
  - ↪ not okay

### Remedy:

- Add an action  $\text{announce}_p = e_p : (p, T)$  with  $\omega(\text{announce}_p) = a$  to the planning task and
- change the definition of epistemic plans to enforce agent  $b$  to know that  $\alpha_\gamma$  is applicable before applying it.

# Implicitly Coordinated Sequential Plans

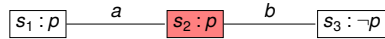


## Recall (local perspective of an agent):

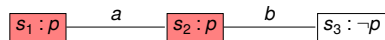
If  $(\mathcal{M}, S_d)$  is an epistemic state and  $a$  is an agent, then  $(\mathcal{M}, S_d)^a = (\mathcal{M}, S'_d)$  is agent  $a$ 's associated local state, where  $S'_d = \{s' \in S \mid s' \sim_a s \text{ for some } s \in S_d\}$ .

## Example

Global state  $(\mathcal{M}, \{s_2\})$ :



Associated local state  $(\mathcal{M}, \{s_2\})^a$  for agent  $a$ :



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# Implicitly Coordinated Sequential Plans



In an implicitly coordinated plan, an agent **knows** that their supposed action is applicable and makes progress towards the goal.

## Definition (Implicitly coordinated sequential plan)

Let  $\Pi = (P, I, \text{Act}, \gamma, \omega)$  be a planning task and  $\pi = \alpha_1, \dots, \alpha_n$  a sequence of actions from Act. Then  $\pi$  is an **implicitly coordinated sequential epistemic plan (ICSEP)** for  $\Pi$  iff either

- $n = 0$  and  $I \models \gamma$ , or
- $n > 0$  and  $\alpha_1$  is applicable in  $I^{\omega(\alpha_1)}$  and  $\alpha_2, \dots, \alpha_n$  is a ICSEP for  $\Pi' = (P, I^{\omega(\alpha_1)} \otimes \alpha_1, \text{Act}, \gamma, \omega)$ .

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# Implicitly Coordinated Sequential Plans



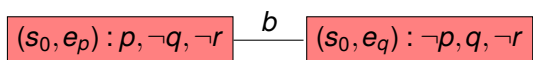
## Recall the previous example:

- 1  $(\alpha_p, \alpha_\gamma)$  is **not** an ICSEP.
- 2  $(\alpha_p, \text{announce}_p, \alpha_\gamma)$  is an ICSEP.

Ad (1):  $I = \boxed{s_0 : \neg p, \neg q, \neg r} = I^{\omega(\alpha_p)}$ .

$I^{\omega(\alpha_p)} \otimes \alpha_p = \boxed{(s_0, e_p) : p, \neg q, \neg r} \xrightarrow{b} \boxed{(s_0, e_q) : \neg p, q, \neg r} =: (\mathcal{M}^1, S_d^1)$ .

Now, is  $\alpha_\gamma$  an ICSEP starting in  $(\mathcal{M}^1, S_d^1)$ ? No, since  $\alpha_\gamma$  with precondition  $p$  is not applicable in  $(\mathcal{M}^1, S_d^1)^{\omega(\alpha_\gamma)} = (\mathcal{M}^1, S_d^1)^b =$



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# Implicitly Coordinated Sequential Plans



Ad (2): Is  $\text{announce}_p$  applicable in  $(\mathcal{M}^1, S_d^1)^{\omega(\text{announce}_p)} = (\mathcal{M}^1, S_d^1)^a$ ? YES!

$(\mathcal{M}^1, S_d^1)^a \otimes \text{announce}_p = \boxed{(s_0, e_p, e_p) : p, \neg q, \neg r} =: (\mathcal{M}^2, S_d^2)$ .

Still to show:  $\alpha_\gamma$  is an ICSEP starting in  $(\mathcal{M}^2, S_d^2)$ . This is clear, since  $(\mathcal{M}^2, S_d^2)^{\omega(\alpha_\gamma)} = (\mathcal{M}^2, S_d^2)^b = (\mathcal{M}^2, S_d^2) \models p$ .

Then  $(\mathcal{M}^2, S_d^2)^b \otimes \alpha_\gamma = \boxed{(s_0, e_p, e_p, e_\gamma) : p, \neg q, r} =: (\mathcal{M}^3, S_d^3)$ .

Now, the empty plan is an ICSEP for  $(\mathcal{M}^3, S_d^3)$ , since  $(\mathcal{M}^3, S_d^3) \models \gamma$ .

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A simple lemma we will need in a moment.

## Proposition (knowledge and associated local states)

$$(\mathcal{M}, S_d)^a \models \varphi \quad \text{iff} \quad \mathcal{M}, S_d \models K_a \varphi.$$

### Proof.

$$\begin{aligned} (\mathcal{M}, S_d)^a \models \varphi & \text{ iff } (\mathcal{M}, \{s' \mid s' \sim_a s \text{ for some } s \in S_d\}) \models \varphi \\ & \text{ iff } \mathcal{M}, s' \models \varphi \text{ f.a. } s' \text{ s.t. ex. } s \in S_d \text{ s.t. } s' \sim_a s \\ & \text{ iff } \mathcal{M}, s \models K_a \varphi \text{ for all } s \in S_d \\ & \text{ iff } \mathcal{M}, S_d \models K_a \varphi \quad \square \end{aligned}$$

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## Proposition

Let  $\Pi = (P, I, \text{Act}, \gamma, \omega)$  be a planning task and  $\pi = \alpha_1, \dots, \alpha_n$  a sequence of actions from Act. Then  $\pi$  is an implicitly coordinated sequential epistemic plan for  $\Pi$  if and only if  $I \models K_{\omega(\alpha_1)}(\alpha_1)K_{\omega(\alpha_2)}(\alpha_2) \dots K_{\omega(\alpha_n)}(\alpha_n)\gamma$ .

### Proof.

Induction on plan length  $n$ .

- **Base case ( $n = 0$ ):** Then  $\pi$  is an implicitly coordinated sequential epistemic plan iff  $I \models \gamma$ .
- **Inductive case ( $n > 0$ ):** [...]

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## Proof (ctd.)

- **Inductive case ( $n > 0$ ):** Let  $\pi = \alpha_1, \dots, \alpha_n$ .

Then  $\pi$  is an implicitly coordinated epistemic plan for  $\Pi$  iff (definition)

$\alpha_1$  is applicable in  $I^{\omega(\alpha_1)}$  and  $\alpha_2, \dots, \alpha_n$  is an implicitly coordinated epistemic plan for

$\Pi' = (P, I^{\omega(\alpha_1)} \otimes \alpha_1, \text{Act}, \gamma, \omega)$  iff (induction hypothesis!)

$\alpha_1$  is applicable in  $I^{\omega(\alpha_1)}$  and

$I^{\omega(\alpha_1)} \otimes \alpha_1 \models K_{\omega(\alpha_2)}(\alpha_2) \dots K_{\omega(\alpha_n)}(\alpha_n)\gamma$  iff (truth condition of  $(\cdot)$ )

$I^{\omega(\alpha_1)} \models (\alpha_1)K_{\omega(\alpha_2)}(\alpha_2) \dots K_{\omega(\alpha_n)}(\alpha_n)\gamma$  iff (knowledge and associated local states)

$I \models K_{\omega(\alpha_1)}(\alpha_1)K_{\omega(\alpha_2)}(\alpha_2) \dots K_{\omega(\alpha_n)}(\alpha_n)\gamma$ . □

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So, are we now happy with the definition of implicitly coordinated sequential epistemic plans?

## Example

$\Pi = (P, I, \text{Act}, \gamma, \omega)$  with:

- $P = \{p, q, r, t\}$

- $I = s_0 : p, \neg q, \neg r, \neg t$

- $\gamma = t$

- Act =  $\{\alpha_1, \alpha_2, \alpha_3\}$  with

- $\alpha_1 = e_{11} : (p, \neg p \wedge q) \xrightarrow{a} e_{12} : (p, \neg p \wedge r) \quad \omega(\alpha_1) = a$

- $\alpha_2 = e_2 : (q, t) \quad \omega(\alpha_2) = b$

- $\alpha_3 = e_3 : (r, t) \quad \omega(\alpha_3) = b$

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# Implicitly Coordinated Sequential Plans



## Example (ctd.)

There is no ICSEP for this planning task. Reason: if there were one, it would have to start with  $\alpha_1$  (nothing else is applicable).

Then,  $I^{\omega(\alpha_1)} \otimes \alpha_1 =$

$$(s_0, e_{11}) : \neg p, q, \neg r, \neg t \xrightarrow{a} (s_0, e_{12}) : \neg p, \neg q, r, \neg t =: (\mathcal{M}^1, S_d^1)$$

In  $(\mathcal{M}^1, S_d^1)$ , none of the available actions is applicable, and it is not a goal state.

This task would be solvable with a **branching** or **conditional** plan: start with  $\alpha_1$ , and depending on the outcome, continue with  $\alpha_2$  or  $\alpha_3$ . This would even be implicitly coordinated in the sense that at each point in the plan, the agent to move knows that it can move and that this leads to progress.

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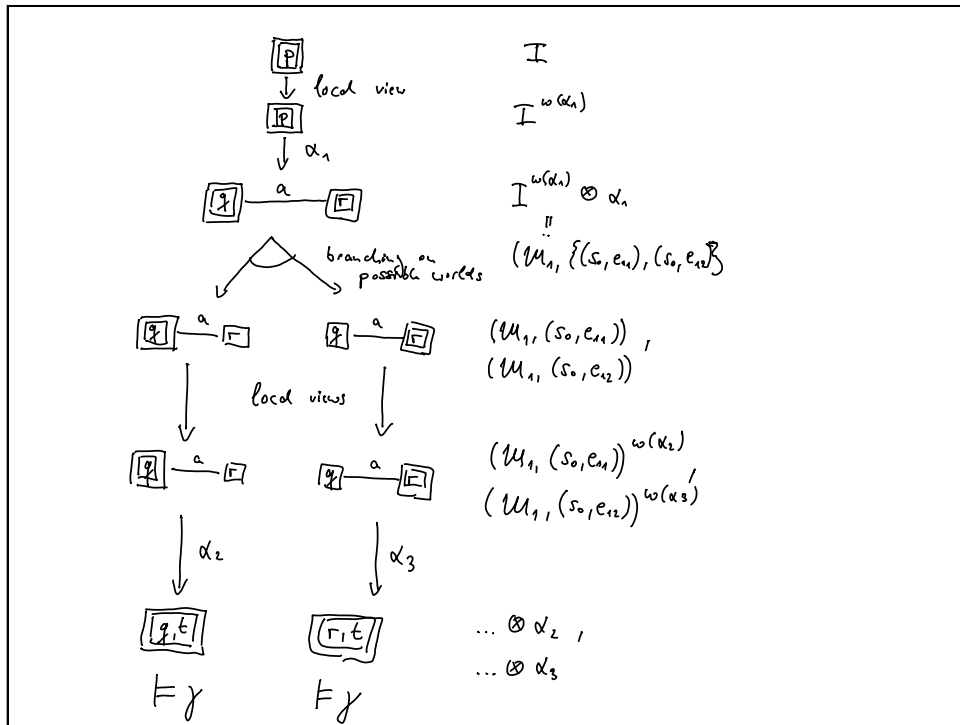
# Branching Plans



We can again define **centralized** and **implicitly coordinated branching** plans.

Next slide: Example

- Prelude: Two Extensions
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# Branching Plans



## Definition

A **global epistemic state** is a single-pointed epistemic state.

Idea for formal definition of **branching plans**: Formalize them as **policies** mapping global states to sets of actions.

**Side note**: The obvious thing to do would be to use policies mapping global state to actions, not to **sets** of actions. So, why do we need sets here? See following example.

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# Branching Plans



## Example

Assume propositions  $p$  and  $q$  and worlds  $s_1, s_2, s_3$  such that  $V_p = \{s_1, s_2\}$  and  $V_q = \{s_2, s_3\}$ .

Assume actions  $\alpha_1$  with single event  $e_1 : (p, \gamma)$  and owner  $\omega(\alpha_1) = a$ , and  $\alpha_2$  with single event  $e_2 : (q, \gamma)$  and owner  $\omega(\alpha_2) = b$ .

I. e.,  $\alpha_1$  is applicable in  $s_1$  and  $s_2$ , and  $\alpha_2$  is applicable in  $s_2$  and  $s_3$ .

Further, assume that we want to find a plan for the multi-pointed model at the top of the following figure. [...]

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**AND-OR Search**

- **AND:** Solve an arbitrary state  $(\mathcal{M}, S_d)$  by solving all global states  $(\mathcal{M}, s)$  with  $s \in S_d$ .
- **OR:** Solve a global state  $(\mathcal{M}, s)$  by finding an agent  $a$  and an action  $\alpha$  with  $\omega(\alpha) = a$ , and solving  $(\mathcal{M}, s)^a \otimes \alpha$ .

# Branching Plans



## Example (ctd.)

We have to assign  $\{\alpha_1, \alpha_2\}$  to  $s_2$ . Both  $\alpha_1$  or  $\alpha_2$  alone would achieve the goal.

But since agent  $a$  cannot distinguish between  $s_1$  and  $s_2$ , also her policy should not be able to distinguish between  $s_1$  and  $s_2$ . Since her policy prescribes  $\alpha_1$  in  $s_1$ , it should also prescribe  $\alpha_1$  in  $s_2$  (**uniformity!**).

Similarly, since agent  $b$  cannot distinguish between  $s_2$  and  $s_3$ , also his policy should not be able to distinguish between  $s_2$  and  $s_3$ . Since his policy prescribes  $\alpha_2$  in  $s_3$ , it should also prescribe  $\alpha_2$  in  $s_2$  (**uniformity!**).

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# Branching Plans



**Notation:** In the following, we use  $s$  not only to refer to worlds in epistemic models, but also to (single-pointed) epistemic models themselves. Will be clear from the context.

## Definition (Policy)

Let  $\Pi = (P, I, Act, \gamma, \omega)$  be an epistemic planning task and let  $S^{gl}$  be the set of global epistemic states of  $\Pi$ . Then a **policy** is a mapping  $\pi : S^{gl} \rightarrow 2^{Act}$  such that:

- **Applicability (APP):** for all  $s \in S^{gl}$  and all  $\alpha \in \pi(s)$ ,  $\alpha$  is applicable in  $s$ .
- **Determinism (DET):** for all  $s \in S^{gl}$  and all  $\alpha, \alpha' \in \pi(s)$  with  $\omega(\alpha) = \omega(\alpha')$ , we have  $\alpha = \alpha'$ .
- **Uniformity (UNIF):** for all  $s, t \in S^{gl}$  and all  $\alpha \in \pi(s)$  with  $s^{\omega(\alpha)} = t^{\omega(\alpha)}$ , we have  $\alpha \in \pi(t)$ .

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## Note:

APP and UNIF together imply **knowledge of preconditions (KOP)**: for all  $s \in S^{gl}$  and all  $\alpha \in \pi(s)$ ,  $\alpha$  is applicable in  $s^{\omega(\alpha)}$ , i. e., agents supposed to act **know** that their action is applicable.

This definition does not require yet that the goal be reached.  
For that, we need some preliminaries first:

## Definition (Globals)

For any state  $(\mathcal{M}, S_d)$ , we let  
 $\text{Globals}(\mathcal{M}, S_d) = \{(\mathcal{M}, s) \mid s \in S_d\}$ .

Usually, a policy  $\pi$  is only considered to be a solution to a planning task if it is closed in the sense that  $\pi$  is defined for all non-goal states reachable following  $\pi$ .

**Here:** distinguish between closedness referring to all states reachable from a centralized perspective, and closedness referring to all states considered reachable when tracking perspective shifts.

To that end, distinguish between centralized and perspective-sensitive successor functions.

## Definition (Successor function)

- A **successor function** is a function  $\sigma : S^{gl} \times \text{Act} \rightarrow 2^{S^{gl}}$ .
- The **centralized** successor function is the function  $\sigma_{\text{cen}}(s, \alpha) = \text{Globals}(s \otimes \alpha)$ .
- The **perspective-sensitive** (or **implicitly coordinated**) successor function is the function  $\sigma_{\text{ps}}(s, \alpha) = \text{Globals}(s^{\omega(\alpha)} \otimes \alpha)$ .

## Intuition:

- $\sigma_{\text{cen}}$  only generates the successors that are objectively possible.
- $\sigma_{\text{ps}}$  also generates successors that are only subjectively considered possible by the respective agent.

## Definition (Strong policy)

Let  $\Pi = (P, I, \text{Act}, \gamma, \omega)$  be an epistemic planning task and  $\sigma$  a successor function. Then a policy  $\pi$  is called a **strong policy** for  $\Pi$  with respect to  $\sigma$  if

- **Finiteness:**  $\pi$  is finite.
- **Foundedness:** for all  $s \in \text{Globals}(I)$ ,  $s \models \gamma$  or  $\pi(s) \neq \emptyset$ .
- **Closedness:** for all  $(s, \text{Act}') \in \pi$ ,  $\alpha \in \text{Act}'$ ,  $s' \in \sigma(s, \alpha)$ , we have  $s' \models \gamma$  or  $\pi(s') \neq \emptyset$ .

**Note:** No need to explicitly require acyclicity, since transition system is already acyclic (as long as we do not view bisimilar states as identical).

## Definition (Centralized and implicitly coordinated branching epistemic plans)

A strong plan with respect to  $\sigma_{\text{cen}}$  is called a **centralized branching epistemic plan**, and a strong plan with respect to  $\sigma_{\text{ps}}$  is called an **implicitly coordinated branching epistemic plan**.

## Proposition

Let  $\pi$  be an implicitly coordinated branching epistemic plan for a planning task  $\Pi = (P, I, \text{Act}, \gamma, \omega)$  and let  $s$  be a non-goal successor state of  $I$  by following  $\pi$ . Then there is an agent  $a \in A$  such that  $\pi(s)$  contains at least one of agent  $a$ 's actions and  $\pi$  is an implicitly coordinated branching epistemic plan for  $\Pi' = (P, s^a, \text{Act}, \gamma, \omega)$ .  $\square$

## Example

The policy represented by the plan tree above is an implicitly coordinated branching epistemic plan.

# Summary

# Summary

- Multipointed models and ontic effects
- Review of classical planning
- Centralied vs. implicitly coordinated plans
- Sequential vs. branching plans