Dynamic Epistemic Logic

4. Action Models

Albert-Ludwigs-Universität Freiburg

Bernhard Nebel and Robert Mattmüller May 27th, 2019

Action Models

So far: Only public announcements.

Now: How to model other ways of knowledge changes, such as private announcements, sensing, or ontic (world-changing) actions that affect knowledge along the way?

Idea: Action models similar to epistemic models.

Introduction

Action models

Syntax of Action Model Logic

Semantics of Action Mode Logic

Bisimilarity and Action Emulation

Validities and Axiomatisati-

Introduction



Introduction

Action models

Action Mode Logic

Semantics of Action Model Logic

Bisimilarity and Action

Axiomatisati-

Summary

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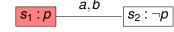
2/69

Action Models

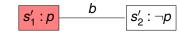
Example

Agents a and b both don't know the value of proposition p. This is common knowledge among them. In fact, *p* is true. Then agent a receives a letter containing the value of p and reads it. Agent b observes a reading the letter and knows that it is about p, but b does not learn the value of p.

Model Before:



Model After:



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Introduction

UNI FREIBURG

Action models

Action Model Logic

Semantics of Action Model Logic

Bisimilarity and Action Emulation

Axiomatisati-

May 27th, 2019

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3 / 69

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Question: How to get from Before to After?

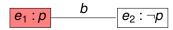
Answer: Action models.

Remark: After, $s_1' \models$

 $K_a p \wedge (\neg K_b p \wedge \neg K_b \neg p) \wedge K_b (K_a p \vee K_a \neg p) \wedge K_a (\neg K_b p \wedge \neg K_b \neg p)$

→ action model needs to achieve exactly that!

Action model Read:



With this action model, After = Before ⊗ Read, for an appropriate definition of \otimes .

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Introduction

Action

NE SE

Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action

Validities and Axiomatisati

May 27th, 2019

5/69

UNI FREIBURG

Action models

Syntax of Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action

Validities and Axiomatisati-

Action Models

PRE E

Introduction

Action Mode

Action Mode

Bisimilarity

and Action

Validities and

Axiomatisati Summary

Logic

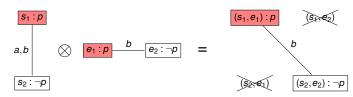
Action

models

Definition (Product update, informally)

The product update ⊗ denotes a restricted modal update with component worlds (s,e) only present if $(\mathcal{M},s) \models pre(e)$.

Model Before ⊗ Read:



- \blacksquare $(s_1, e_1) \sim_b (s_2, e_2)$ because $s_1 \sim_b s_2$ and $e_1 \sim_b e_2$.
- \blacksquare (s_1, e_2) and (s_2, e_1) were eliminated because e_2 cannot be applied in s_1 and e_1 cannot be applied in s_2 .

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6 / 69

Action Models



Definition (Action model)

Let \mathcal{L} be any logical language for a set of agents A and a set of atoms P. Then an S5 action model M is a structure (E, \sim, pre) such that:

- E is the domain of events.
- \sim_a is an equivalence relation on E for all $a \in A$, the indistinguishability relation for agent a, and
- \blacksquare pre : $E \to \mathcal{L}$ is the precondition function that assigns a precondition $pre(e) \in \mathcal{L}$ to all $e \in E$.

A pointed action model is such a structure (M, e) with $e \in E$.

Action models

> Action Mode Logic

Semantics of Action Mode Logic

Bisimilarity and Action Emulation

Axiomatisati-

May 27th, 2019

Action models

B. Nebel, R. Mattmüller - DEL

7 / 69

May 27th, 2019

B. Nebel, R. Mattmüller - DEL



Syntax of Action Model Logic

Syntax of Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action

Validities and Axiomatisati

May 27th, 2019

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9 / 69

Action Models

Definition (Language $\mathcal{L}_{KC\infty}$)

 $\alpha \in \mathcal{L}_{KC}^{act}$ defined by the following BNF:

 $\alpha ::= (M, e) \mid \alpha \cup \alpha$

model with a finite domain E, and

step of the induction.



10 / 69

Action models

Syntax of Action Mode

Action Mode Logic

and Action

Validities and Axiomatisati

Summary

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■ for all events $e' \in E$, the precondition pre(e') is a \mathcal{L}_{KC}^{stat} formula that has already been constructed in a previous

Let *P* be a countable set of atomic propositions and *A* a finite

logic is the union of the formulas $\varphi \in \mathcal{L}^{\mathsf{stat}}_{\mathcal{KC} \otimes}$ and the actions

where $p \in P$, $a \in A$, $B \subseteq A$, and (M, e) is a pointed action

set of agent symbols. Then the language $\mathcal{L}_{\mathit{KC} \otimes}$ of action model

 $\varphi := p \mid \neg \varphi \mid (\varphi \land \varphi) \mid K_{\alpha} \varphi \mid C_{\beta} \varphi \mid [\alpha] \varphi$

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Action Models



Intuition:

 \blacksquare [α] φ : After (every) application of action α , φ is true.

Abbreviations:

- After (some) application of action α , φ is true.
- $\blacksquare M := \bigcup_{e \in F} (M, e)$

Syntax of Action Mode

Semantics of Action Mode

Bisimilarity and Action

Validities and Axiomatisati

Action Models

Deterministic vs. nondeterministic actions:

- $\alpha = (M, e)$: Deterministic action α with unique pointed event e. Example: $\alpha = (\text{Read}, e_1)$.
- \blacksquare $\alpha = \alpha_1 \cup \alpha_2$: Nondeterministic choice, i. e., either α_1 or α_2 happens. Example: $\alpha = (\text{Read}, e_1) \cup (\text{Read}, e_2) = \text{Read}$.
 - Remark 1a: α = Read not properly nondeterministic, since preconditions of e₁ and e₂ are mutually exclusive.
 - Remark 1b: We will see a properly nondeterministic action later (action Mayread).
 - Remark 2a: If, for $\alpha = (M_1, e_1) \cup (M_2, e_2)$, we have $M_1 = M_2$, then we can depict α as a multi-pointed model, like (Read, e_1) \cup (Read, e_2):

Remark 2b: Formal introduction of multi-pointed models: later.

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Action models

Syntax of Action Model Logic

Semantics of Action Mode Logic

Bisimilarity and Action Emulation

Axiomatisati-

May 27th, 2019

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Example (Action model Read, formally)

Read is the action model ($\{e_1, e_2\}, \sim, pre$) with

$$\sim_a = \{(e_1, e_1), (e_2, e_2)\}$$
 $pre(e_1) = p$
 $\sim_b = \{(e_1, e_1), (e_1, e_2), (e_2, e_1), (e_2, e_2)\}$ $pre(e_2) = \neg p$.

(and with pointed event e_1).

Remark: Public announcements are a special case of action models.

Example (Public announcements)

Action model for the public announcement of φ :

e: φ

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13 / 69

Action Models



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Fix agents A and atomic propositions P.

Example (Skip)

Action skip (or 1) is the pointed action model (($\{e\}, \sim, pre\}, e$) with $pre(e) = \top$ and $\sim_a = \{(e, e)\}$ for all $a \in A$.

Example (Crash)

Action crash (or **0**) is the pointed action model $((\{e\}, \sim, pre), e)$ with $pre(e) = \bot$ and $\sim_a = \{(e, e)\}$ for all $a \in A$.

Introductio

Action

Syntax of Action Model

Semantics of Action Model Logic

Bisimilarity and Action

Validities and Axiomatisati-

Summary

May 27th, 2019

B. Nebel, R. Mattmüller - DEL

14 / 69

Action Models

Question: Can we "chain" actions one after the other?

Definition (Composition)

Let $M=(E,\sim,pre)$ and $M'=(E',\sim',pre')$ be action models in $\mathcal{L}^{\mathrm{act}}_{KC\otimes}$. Then their composition (M;M') is the action model $M''=(E'',\sim'',pre'')$ such that:

- $\blacksquare E'' = E \times E',$
- \blacksquare $(e,e') \sim''_a (\varepsilon,\varepsilon')$ iff $e \sim_a \varepsilon$ and $e' \sim'_a \varepsilon'$, and
- \blacksquare $pre''((e, e')) = \langle M, e \rangle pre'(e')$.

For pointed action models: ((M,e);(M',e')) = ((M;M'),(e,e')).

Introduction

Action models

UNI FREIBURG

BURG

FEI

Syntax of

Logic

Logic

Action Mode

Semantics of

Action Mode

Bisimilarity

and Action

Validities and

Axiomatisati

Summary

Syntax of Action Model Logic

Semantics of Action Model Logic

Bisimilarity and Action

Validities and Axiomatisati-

Summary

Action Models



Example (Composition)

Action model (Read_a, e_1) = (Read, e_1):

 $e_1:p$ b $e_2:\neg p$

Action model (Read_b, e'_1):

 $e'_1:p$ a $e'_2:\neg p$

[...]

May 27th, 2019

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Introduct

Action models

Syntax of Action Mode Logic

Semantics of Action Mode Logic

Bisimilarity and Action Emulation

Validities and Axiomatisation

Summary

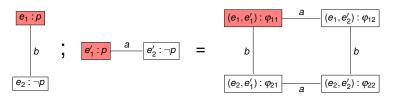
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15 / 69

Example (Composition, ctd.)

Action model (Read_a, e_1); (Read_b, e'_1):



where

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17 / 69

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Action

Action Mode

Semantics of

Action Mode

Bisimilarity

Logic

BURG

FEI

Syntax of

Logic

Logic

Action Mode

Semantics of

Action Mode

Bisimilarity and Action

Validities and

Axiomatisati

Action Models



Action models

Syntax of Action Model Logic

Action Mode Logic

and Action

Validities and Axiomatisati

Summary

Example (Composition, ctd.)

Remark: With $\varphi_{12} \equiv \varphi_{21} \equiv \bot$, events (e_1, e_2') and (e_1', e_2) can be eliminated as globally inapplicable.

This leaves us with $(Read_a, e_1)$; $(Read_b, e'_1)$ equivalent to:

$$(e_1,e'_1):p$$

$$(e_2, e_2') : \neg p$$

Further eliminating unreachable events, we get:

$$(e_1,e'_1):p$$

In other words, if both a and b read the message that p is true, and they are aware of each other reading the message, the two actions combined must produce common knowledge of p.

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Definition (Product update)

Let $\mathcal{M} = (S, \sim, V)$ be an epistemic model and let $M = (E, \sim, pre)$ be an action model. Then the product update $\mathcal{M} \otimes M$ is the epistemic model $\mathcal{M}' = (S', \sim', V')$ with:

- \blacksquare $S' = \{(s,e) \in S \times E \mid \mathcal{M}, s \models pre(e)\},$
- \blacksquare $(s,e) \sim'_a (t,\varepsilon)$ iff $s \sim_a t$ and $e \sim_a \varepsilon$, for $a \in A$, and
- \blacksquare $(s,e) \in V_p'$ iff $s \in V_p$.

Example

 $(Before, s_1) \otimes (Read, e_1) = (After, (s_1, e_1))$

Action models

> Action Mode Logic

Semantics of Action Model Logic

> Bisimilarity and Action Emulation

Axiomatisati-

Semantics of Action Model Logic

and Action Validities and Axiomatisati

May 27th, 2019 B. Nebel, R. Mattmüller - DEL

19 / 69

May 27th, 2019

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NE NE

Action Mode

Semantics of Action Mode

Bisimilarity

and Action

Validities and

Axiomatisati

Logic

Definition (Semantics of formulas and actions)

Let (\mathcal{M},s) be an epistemic state, $\varphi\in\mathcal{L}^{\mathsf{stat}}_{\mathsf{KC}^\infty}$ and $\alpha\in\mathcal{L}^{\mathsf{act}}_{\mathsf{KC}^\infty}$.

$$\begin{split} \mathcal{M}, s &\models \rho, \ \neg \varphi, \ \varphi \wedge \psi, \ \textit{K}_{a} \varphi, \ \textit{C}_{\textit{B}} \varphi \ \ \text{as usual} \\ \mathcal{M}, s &\models [\alpha] \varphi \quad \text{iff} \quad \text{for all } (\mathcal{M}', s') : \\ &\quad (\mathcal{M}, s) \llbracket \alpha \rrbracket (\mathcal{M}', s') \text{ implies } (\mathcal{M}', s') \models \varphi \end{split}$$

where

 \blacksquare $(\mathcal{M},s)[(M,e)](\mathcal{M}',s')$ iff $(\mathcal{M},s) \models pre(e)$ and $(\mathcal{M}',s') = (\mathcal{M} \otimes M,(s,e))$, and $\blacksquare \ \llbracket \alpha \cup \alpha' \rrbracket = \llbracket \alpha \rrbracket \cup \llbracket \alpha' \rrbracket.$

May 27th, 2019

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21 / 69

Action Models

22 / 69

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Remarks:

- For $\alpha = (M, e)$, $[\alpha]$ is functional, i. e., for each (\mathcal{M}, s) , there is at most one (\mathcal{M}', s') with $(\mathcal{M}, s) [(M, e)] (\mathcal{M}', s')$.
- For $\alpha = \alpha_1 \cup \alpha_2$, this is no longer necessarily the case. Careful with duality between $[\alpha]$ and $\langle \alpha \rangle$, then.

Special case $\alpha = (M, e)$: Then $\mathcal{M}, s \models [\alpha] \varphi$ iff $\mathcal{M}, s \models pre(e)$ implies $(\mathcal{M} \otimes M, (s, e)) \models \varphi$.

Dual
$$\langle \alpha \rangle$$
, for $\alpha = (M, e)$:

$$\mathcal{M}, s \models \langle \alpha \rangle \varphi$$
 iff

$$\mathcal{M}, s \not\models [\alpha] \neg \varphi$$
 iff

$$\mathcal{M}, s \models pre(e)$$
 does not imply $(\mathcal{M} \otimes M, (s, e)) \models \neg \varphi$ iff

$$\mathcal{M}, s \models pre(e)$$
 and $(\mathcal{M} \otimes M, (s, e)) \not\models \neg \varphi$ iff

$$\mathcal{M}, s \models pre(e)$$
 and $(\mathcal{M} \otimes M, (s, e)) \models \varphi$

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Action models

Action Mode Logic

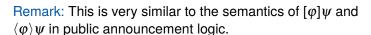
Semantics of Action Model Logic

Bisimilarity and Action

Validities and Axiomatisati

Summary

Action Models



For completeness, dual $\langle \alpha \rangle$, for general α :

$$\begin{split} \mathcal{M}, s &\models \langle \alpha \rangle \varphi \quad \text{iff} \\ \mathcal{M}, s \not\models [\alpha] \neg \varphi \quad \text{iff} \\ \text{not f. a. } (\mathcal{M}', s') : (\mathcal{M}, s) \llbracket \alpha \rrbracket (\mathcal{M}', s') \text{ implies } (\mathcal{M}', s') \models \neg \varphi \quad \text{iff} \\ \text{there ex. } (\mathcal{M}', s') : (\mathcal{M}, s) \llbracket \alpha \rrbracket (\mathcal{M}', s') \text{ and } (\mathcal{M}', s') \not\models \neg \varphi \quad \text{iff} \\ \text{there ex. } (\mathcal{M}', s') : (\mathcal{M}, s) \llbracket \alpha \rrbracket (\mathcal{M}', s') \text{ and } (\mathcal{M}', s') \models \varphi \end{split}$$

Action

UNI FREIBURG

Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action

Validities and Axiomatisati

Action Models

Proposition

Let $(M,e),(M',e')\in\mathcal{L}^{act}_{KC\otimes}$ and $\varphi\in\mathcal{L}^{stat}_{KC\otimes}$. Then $[(M,e);(M',e')]\varphi$ is equivalent to $[(M,e)][(M',e')]\varphi$.

Proof.

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Let (\mathcal{M}, s) be arbitrary. Show that $\mathcal{M}, s \models [(M, e); (M', e')] \varphi$ iff $\mathcal{M}, s \models [(M, e)][(M', e')]\varphi$. For this, it is sufficient to show that $\mathcal{M} \otimes (M; M')$ is isomorphic to $(\mathcal{M} \otimes M) \otimes M'$.

■ Isomoporphic domains: Let $(s, (e, e')) \in \mathcal{D}(\mathcal{M} \otimes (M; M'))$. Then: $\mathcal{M}, s \models pre''((e, e')) = \langle M, e \rangle pre'(e')$ iff $\mathcal{M}, s \models pre(e) \land [M, e]pre'(e')$ iff $\mathcal{M}, s \models pre(e)$ (1) and $\mathcal{M}, s \models [M, e]pre'(e')$ (2). [...]

Action Mode Logic

Semantics of Action Mode Logic

Bisimilarity and Action Emulation

Axiomatisati-

Summary

Proof (ctd.)

- Isomoporphic domains (ctd.): [...] We have: $\mathcal{M}, s \models pre(e)$ (1) and $\mathcal{M}, s \models [M, e]pre'(e')$ (2). From (1): $(s,e) \in \mathcal{D}(\mathcal{M} \otimes M)$ (3). From (2) and (3): $(\mathcal{M} \otimes M, (s, e)) \models pre'(e')$. This implies $((s, e), e') \in \mathcal{D}((\mathcal{M} \otimes M) \otimes M')$. Conversely, we also get $(s,(e,e')) \in \mathcal{D}(\mathcal{M} \otimes (M,M'))$ for all $((s,e),e') \in \mathcal{D}((\mathcal{M} \otimes M) \otimes M')$.
- Accessibility relations: Assume that $(s,(e,e')) \sim_a (t,(\varepsilon,\varepsilon'))$. This holds iff $s \sim_a t$ and $(e,e') \sim_a (\varepsilon,\varepsilon')$ iff $s \sim_a t$ and $e \sim_a \varepsilon$ and $e' \sim_a \varepsilon'$ iff $(s,e) \sim_a (t,\varepsilon)$ and $e' \sim_a \varepsilon'$ iff $((s,e),e') \sim_a ((t,\varepsilon),\varepsilon')$.
- Valuations: clear.

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25 / 69

Action Models

The previous proposition states that composition "does the right thing", but only for composition of deterministic actions.

Question: What about composition of nondeterministic α ?

Answer: No need to worry (cf. following two propositions).

Proposition

Let $\alpha, \beta, \gamma \in \mathcal{L}^{act}_{KC \otimes}$. Then

- \blacksquare (($\alpha \cup \beta$); γ) is equivalent to (α ; γ) \cup (β ; γ), and
- \blacksquare (α ;($\beta \cup \gamma$)) is equivalent to (α ; β) \cup (α ; γ).

Proposition

Let $\alpha, \beta \in \mathcal{L}^{\mathsf{act}}_{\mathsf{KC} \otimes}$ and $\phi \in \mathcal{L}^{\mathsf{stat}}_{\mathsf{KC} \otimes}$. Then $[\alpha \cup \beta] \varphi$ is equivalent to $[\alpha] \varphi \wedge [\beta] \varphi$.

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Example

read the letter.

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Now, a may only read the letter, but does not have to. Agent b

does not know whether a will read it or not. Actually, a does not

From b's perspective, there are three possibilities:

 \blacksquare a reads the letter and learns that p is true.

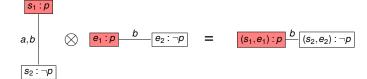
 \blacksquare a reads the letter and learns that p is false.

26 / 69

Action Models

Example

Model (Before, s_1) \otimes (Read, e_1):



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Then:

May 27th, 2019

- Before, $s_1 \models [Read, e_1]K_ap$
- Before, $s_1 \models [Read, e_1] \neg K_b K_a p$
- Before, $s_1 \models [\text{Read}, e_1]C_{ab}(K_ap \lor K_a \neg p)$

UNI FREIBURG

BURG

NE SE

Action Mode

Semantics of

Action Mode

Bisimilarity

and Action

Validities and

Axiomatisati

Logic

Action

Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action

Validities and Axiomatisati

27 / 69

Action Models



BURG

FREI

Action

Action Mode

Semantics of

Action Model

and Action

Validities and

Axiomatisati

Summary

Logic

Action

models

Action Mode Logic

Semantics of Action Model Logic

Bisimilarity and Action Emulation

Axiomatisati-

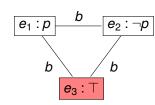
May 27th, 2019

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a does not read the letter and learns nothing about p.

Example (ctd.)

Action model (Mayread, e₃):



Mayread = $(Mayread, e_1) \cup (Mayread, e_2) \cup (Mayread, e_3)$

May 27th, 2019

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Introduction

Action models

UNI FREIBURG

> Syntax of Action Model Logic

Semantics of Action Model Logic

Bisimilarity and Action Emulation

Validities and Axiomatisati-

Summary

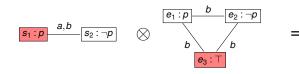
29 / 69

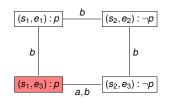
Action Models

UNI EREIBURG

Example (ctd.)

Model (Before, s_1) \otimes (Mayread, e_3):





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Introductio

Action models

Syntax of Action Model Logic

Semantics of Action Model Logic

Bisimilarity and Action Emulation

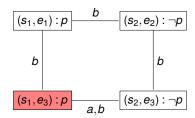
Validities and Axiomatisation

Summary

Action Models

Example (ctd.)

Model (Before, s_1) \otimes (Mayread, e_3):



- Before, $s_1 \models [\mathsf{Mayread}, e_3] \neg (K_a p \lor K_a \neg p) \land \hat{K}_b (K_a p \lor K_a \neg p)$
- Before $\models p \rightarrow (\langle \mathsf{Mayread} \rangle K_a p \land \langle \mathsf{Mayread} \rangle K_a p \land \neg \langle \mathsf{Mayread} \rangle K_a \neg p)$

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FREIBURG

Action models

Syntax of Action Model Logic

Semantics of Action Model Logic

Bisimilarity and Action Emulation

Validities and Axiomatisation

Summary

Bisimilarity and Action Emulation



30 / 69

Introductio

Action models

Syntax of Action Mode Logic

Semantics of Action Model Logic

Bisimilarity and Action Emulation

Validities and Axiomatisation

Summary

May 27th, 2019 B. Nebel, R. Mattmüller – DEL 32 / 69

NE SE

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- Can two action models be bisimilar? ~ Yes.
- Does the application of bisimilar action models to bisimilar epistemic states lead to bisimilar successor states? <>> Yes.
- Do we even need bisimilarity of actions models for that? $\rightsquigarrow No.$
- Weaker notion of emulation is enough.

Action Mode

Semantics of Action Mode

Bisimilarity and Action Emulation

Validities and Axiomatisati

May 27th, 2019

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33 / 69

Bisimilarity and Action Emulation



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Example

 M_1 and M_2 are not bisimilar, but always behave in the same way \rightsquigarrow similar enough.

$$M_1 = e_\top : \top$$

$$M_2 = 6$$

$$e_p: p$$
 a_1, a_2

Action Mode Logic

> Bisimilarity and Action **Emulation**

Action Mode

Semantics of

Validities and Axiomatisati

Summary

May 27th, 2019

states.

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Before looking at bisimulations and emulations between action

models, let us quickly see that applying the same action to two bisimilar epistemic states always results in bisimilar successor

34 / 69

Bisimilarity and Action Emulation



Proposition (Preservation of bisimilarity)

Let (\mathcal{M}, s) and (\mathcal{M}', s') be two epistemic states with $(\mathcal{M},s) \Leftrightarrow (\mathcal{M}',s')$. Let (M,e) with $M=(E,\sim,pre)$ be applicable in (\mathcal{M},s) . Then $(\mathcal{M}\otimes M,(s,e)) \hookrightarrow (\mathcal{M}'\otimes M,(s',e))$.

Proof.

(M,e) is also applicable in (\mathcal{M}',s') , since $\mathcal{M},s \models pre(e)$ and $(\mathcal{M},s) \Leftrightarrow (\mathcal{M}',s')$ implies $(\mathcal{M}',s') \models pre(e)$. Let $\mathcal{B}: (\mathcal{M}, s) \hookrightarrow (\mathcal{M}', s').$

Then the bisimulation $\mathcal{B}': (\mathcal{M} \otimes M, (s,e)) \hookrightarrow (\mathcal{M}' \otimes M, (s',e))$ between the successor states can be defined as $\mathcal{B}'((t,\varepsilon),(t',\varepsilon'))$ iff $\mathcal{B}(t,t')$ and $\varepsilon=\varepsilon'$ for all (t,ε) and (t',ε') .

Action Mode

Semantics of Action Mode

Bisimilarity and Action Emulation

Validities and Axiomatisati

Bisimilarity and Action Emulation



Definition (Bisimulation of actions)

Let two pointed action models (M, ℓ) with $M = (E, \sim, pre)$ and (M', ℓ') with $M' = (E', \sim', pre')$ be given. A non-empty relation $\mathcal{B} \subseteq E \times E'$ is a bisimulation between (M, ℓ) and (M', ℓ') iff $\mathcal{B}(\ell,\ell')$, and for all $e \in E$ and $e' \in E'$ with $\mathcal{B}(e,e')$, the following holds:

- (forth) for all agents $a \in A$ and $\varepsilon \in E$, if $e \sim_a \varepsilon$, then there is an $\varepsilon' \in E'$ such that $e' \sim_a' \varepsilon'$ and $\mathcal{B}(\varepsilon, \varepsilon')$,
- (back) for all agents $a \in A$ and $\varepsilon' \in E'$, if $e' \sim_a' \varepsilon'$, then there is an $\varepsilon \in E$ such that $e \sim_a \varepsilon$ and $\mathcal{B}(\varepsilon, \varepsilon')$, and
- \blacksquare (pre) pre(e) and pre'(e') are logically equivalent.

Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action **Emulation**

Validities and Axiomatisati-

May 27th, 2019 B. Nebel, R. Mattmüller - DEL

35 / 69

May 27th, 2019

B. Nebel, R. Mattmüller - DEL



Definition (Bisimulation of actions, ctd.)

 \mathcal{B} is a total bisimulation if for each $e \in E$, there is an $e' \in E'$ such that \mathcal{B} is a bisimulation between (M,e) and (M',e') and vice versa.

We write $(M,e) \cong (M',e')$ iff there is a bisimulation between M and M' linking e and e', and we then say that (M,e) and (M',e') are bisimilar.

Introduction

Models

Syntax of Action Model

Semantics of Action Model Logic

Bisimilarity and Action Emulation

Validities and Axiomatisati-

ummarv

May 27th, 2019

B. Nebel, R. Mattmüller - DEL

37 / 69

Bisimilarity and Action Emulation



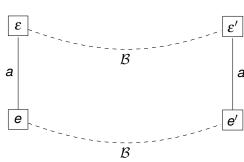
M′:

FREIBL

Forth condition, visualized

М:





Introduction

Action

Syntax of Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action Emulation

Validities and Axiomatisation

Summary

May 27th, 2019 B. Nebel, R. Mattmüller – DEL 38 / 69

Bisimilarity and Action Emulation

Now, can we prove that bisimilar action models are always interchangeable? Yes!

Proposition

Given two action models $(M,e) \Leftrightarrow (M',e')$ and an epistemic state (\mathcal{M},s) such that (M,e) is applicable in (\mathcal{M},s) . Then $(\mathcal{M} \otimes M,(s,e)) \Leftrightarrow (\mathcal{M} \otimes M',(s,e'))$.

Proof.

Let $\mathcal{B}: (M,e) \hookrightarrow (M',e')$. Then $\models pre'(e') \leftrightarrow pre(e)$, because $\mathcal{B}(e,e')$. Since (M,e) is applicable in (\mathcal{M},s) , we have $\mathcal{M},s \models pre(e)$. Hence, also $\mathcal{M},s \models pre'(e')$, i. e., (M',e') is also applicable in (\mathcal{M},s) . [...]

Introductio

UNI FREIBURG

Action models

Syntax of Action Model Logic

Semantics of Action Model Logic

Bisimilarity and Action Emulation

Validities and Axiomatisati-

Summary

39 / 69

Bisimilarity and Action Emulation

UNI FREIBURG

Proof (ctd.)

The bisimulation $\mathcal{B}': (\mathcal{M} \otimes M, (s,e)) \cong (\mathcal{M} \otimes M', (s,e'))$ is defined as $\mathcal{B}'((t,\varepsilon),(t',\varepsilon'))$ iff t=t' and $\mathcal{B}(\varepsilon,\varepsilon')$. The forth and back conditions follow from those of \mathcal{B} . Valuations: $t \in V_p$ iff $t' \in V_p$, and ε and ε' do not affect the valuations.

Proposition

If $(\mathcal{M}, s) \hookrightarrow (\mathcal{M}', s')$ and $(M, e) \hookrightarrow (M', e')$, then also $(\mathcal{M} \otimes M, (s, e)) \hookrightarrow (\mathcal{M}' \otimes M', (s', e'))$.

Introductio

Action

Syntax of Action Model Logic

Semantics of Action Model Logic

Bisimilarity and Action Emulation

> Validities and Axiomatisation

Summary

May 27th, 2019 B. Nebel, R. Mattmüller – DEL

May 27th, 2019

B. Nebel, R. Mattmüller - DEL



Example

Recall our earlier example. M_1 and M_2 are not bisimilar, but always behave in the same way \rightsquigarrow similar enough.

$$M_1 = \boxed{e_\top : \top}$$

$$e_p$$
:

$$a_p:p$$
 a_1,a_2,\ldots,a_n

Question: How to formalize "similar enough"?

Answer: Action emulation!

B. Nebel, R. Mattmüller - DEL May 27th, 2019

Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action Emulation

Validities and Axiomatisati

Bisimilarity and Action Emulation

Definition (Action emulation)



Action models

Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action Emulation

Validities and Axiomatisati

Summary

 \blacksquare (forth) if $\mathcal{E}(e,e')$ and $e \sim_a \varepsilon$, then there are $\varepsilon_1', \dots, \varepsilon_n' \in E'$ such that for all $i = 1, \dots, n, \mathcal{E}(\varepsilon, \varepsilon_i')$ and

Let two pointed action models (M, ℓ) with $M = (E, \sim, pre)$ and

 (M', ℓ') with $M' = (E', \sim', pre')$ be given. An emulation between

 (M,ℓ) and (M',ℓ') is a relation $\mathcal{E} \subseteq E \times E'$ such that $\mathcal{E}(\ell,\ell')$, and

for all $a \in A$, all $e, \varepsilon \in E$ and all $e', \varepsilon' \in E'$, the following holds:

 $e' \sim_a' \varepsilon_i'$, and $pre(\varepsilon) \models pre'(\varepsilon_1') \lor \cdots \lor pre'(\varepsilon_n')$. ■ (back) if $\mathcal{E}(e,e')$ and $e' \sim'_2 \mathcal{E}'$, then there are

 $\varepsilon_1, \ldots, \varepsilon_n \in E$ such that for all $i = 1, \ldots, n$, $\mathcal{E}(\varepsilon_i, \varepsilon')$ and $e \sim_a \varepsilon_i$, and $pre'(\varepsilon') \models pre(\varepsilon_1) \lor \cdots \lor pre(\varepsilon_n)$.

 \blacksquare (pre) if $\mathcal{E}(e,e')$, then $pre(e) \land pre'(e')$ is consistent (unless pre(e) or pre'(e') is already inconsistent).

May 27th, 2019

B. Nebel, R. Mattmüller - DEL

42 / 69

Bisimilarity and Action Emulation



41 / 69

Definition (Action emulation, ctd.)

 \mathcal{E} is a total emulation if for each $e \in E$, there is an $e' \in E'$ with $\mathcal{E}(e,e')$ and vice versa.

We write $\mathcal{E}: (M,e) \rightleftarrows (M',e')$ iff there is an emulation \mathcal{E} between M and M' linking e and e', and we then say that (M,e) and (M',e') are emulous.

Action

Action Mode

Semantics of Action Mode Logic

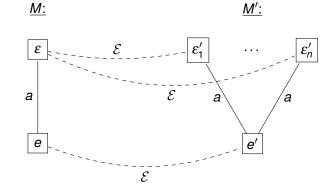
Bisimilarity and Action Emulation

Validities and Axiomatisati

Bisimilarity and Action Emulation

BURG

Forth condition, visualized



 $pre(\varepsilon) \models pre'(\varepsilon_1') \lor \cdots \lor pre'(\varepsilon_n')$

May 27th, 2019 B. Nebel, R. Mattmüller - DEL

Action

Action Mode

Action Mode Logic

> Bisimilarity and Action Emulation

Validities and Axiomatisati

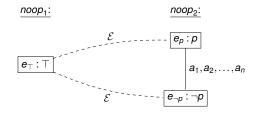
May 27th, 2019

B. Nebel, R. Mattmüller - DEL

FEI

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Example (Action emulation)



- Emulation: $\mathcal{E} = \{(e_{\top}, e_{D}), (e_{\top}, e_{\neg D})\}.$
- Forth: For $\mathcal{E}(e_{\perp}, e_p)$: only $e_{\perp} \sim_a e_{\perp}$ for all $a \in A$. Need to find events $\varepsilon_1', \dots, \varepsilon_n'$ such that $\mathcal{E}(e_{\top}, \varepsilon_i')$ and $e_p \sim_a' \varepsilon_i'$ for all i = 1, ..., n, and $pre(e_{\top}) \models pre'(\varepsilon'_1) \lor \cdots \lor pre'(\varepsilon'_n)$. Choose $\{\varepsilon_1', \dots, \varepsilon_n'\} = \{e_p, e_{\neg p}\}$. Then $pre(e_{\top}) =$ $\top \models p \lor \neg p = pre'(e_p) \lor pre'(e_{\neg p})$. $\mathcal{E}(e_{\top}, e_{\neg p})$ similar.

B. Nebel, R. Mattmüller – DEL

Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action Emulation

Validities and Axiomatisati

Summary

Bisimilarity and Action Emulation

Example (Action emulation, ctd.)

noop₁:



Action models

Action Mode Logic

Action Mode Logic

Bisimilarity and Action Emulation

Validities and Axiomatisati-

Summary

■ Back: Exemplarily for $\mathcal{E}(e_{\perp}, e_{p})$ ($\mathcal{E}(e_{\perp}, e_{\neg p})$ similar): we have $e_p \sim_a' e_p$ and $e_p \sim_a' e_{\neg p}$ for all agents a. Exemplarily for $e_p \sim_a' e_p$ (again, $e_p \sim_a' e_{\neg p}$ similar). Need to find events $\varepsilon_1, \dots, \varepsilon_n$ such that $\mathcal{E}(\varepsilon_i, e_n)$ and $e_{\top} \sim_a \varepsilon_i$ for all i = 1, ..., n, and $pre'(e_p) \models pre(\varepsilon_1) \lor \cdots \lor pre(\varepsilon_n)$. Choose $\{\varepsilon_1,\ldots,\varepsilon_n\}=\{e_{\top}\}$. Then $pre'(e_p)=p\models\top=pre(e_{\top})$.

noop₂:

 a_1, a_2, \ldots, a_n

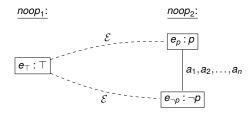
B. Nebel, R. Mattmüller - DEL

46 / 69

Bisimilarity and Action Emulation

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Example (Action emulation, ctd.)



- Pre:
 - For (e_{\perp}, e_p) : $pre(e_{\perp}) \wedge pre'(e_p) = \top \wedge p \equiv p$ is consistent.
 - For $(e_{\top}, e_{\neg p})$: $pre(e_{\top}) \land pre'(e_{\neg p}) = \top \land \neg p \equiv \neg p$ is consistent.

Action

Action Mode

Semantics of Action Mode Logic

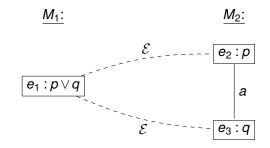
Bisimilarity and Action Emulation

Validities and Axiomatisati

Bisimilarity and Action Emulation



Example (Action emulation, Ex. 2)



Action models

Action Mode Logic

Semantics of Action Mode Logic

> Bisimilarity and Action Emulation

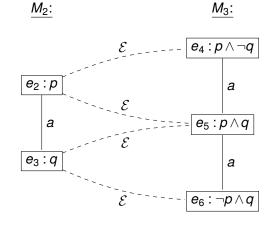
Validities and Axiomatisati-

May 27th, 2019 B. Nebel, R. Mattmüller - DEL

May 27th, 2019

B. Nebel, R. Mattmüller - DEL

Example (Action emulation, Ex. 3)



May 27th, 2019 B. Nebel, R. Mattmüller – DEL

Introduction

BURG

FEI

models

Action Model

Semantics of Action Model

Bisimilarity and Action Emulation

Validities and Axiomatisati-

Summary

49 / 69

Bisimilarity and Action Emulation

Proposition (Bisimulations are emulations)

A bisimulation $\mathcal{B}: (M,e) \hookrightarrow (M',e')$ is also an emulation.



NA NA

BURG

Introduction

Action models

Syntax of Action Model

Semantics of Action Model Logic

> Bisimilarity and Action Emulation

Validities and Axiomatisati-

Summary

May 27th, 2019

Proof.

Easy. Homework.

B. Nebel, R. Mattmüller - DEL

50 / 69

Bisimilarity and Action Emulation

Proposition (Emulation guarantees bisimilarity)

Given an epistemic model \mathcal{M} and action models $M \rightleftharpoons M'$. Then $\mathcal{M} \otimes M \Leftrightarrow \mathcal{M} \otimes M'$.

Proof.

Let $M = (E, \sim, pre)$ and $M' = (E', \sim', pre')$ with $\mathcal{E} : M \rightleftarrows M'$. Define $\mathcal{B} : \mathcal{M} \otimes M \leftrightarrows \mathcal{M} \otimes M'$ as $\mathcal{B}((s, e), (s', e'))$ iff s = s' and $\mathcal{E}(e, e')$. Show that \mathcal{B} is a total bisimulation between $\mathcal{M} \otimes M$ and $\mathcal{M} \otimes M'$.

■ Forth: Let $(s,e) \sim_a (t,\varepsilon)$ and $\mathcal{B}((s,e),(s,e'))$. Then $s \sim_a t$, $e \sim_a \varepsilon$, and $\mathcal{E}(e,e')$.

Therefore, there are events $\varepsilon_1', \ldots, \varepsilon_n'$ such that

 $\mathcal{E}(\varepsilon, \varepsilon_1'), \dots, \mathcal{E}(\varepsilon, \varepsilon_n')$ and $e' \sim_a' \varepsilon_1', \dots, e' \sim_a' \varepsilon_n'$, and $pre(\varepsilon) \models pre'(\varepsilon_1') \lor \dots \lor pre'(\varepsilon_n')$. [...]

May 27th, 2019

B. Nebel, R. Mattmüller - DEL

UNI FREIBURG

Introduction

models

Syntax of Action Model Logic

Semantics of Action Model Logic

Bisimilarity and Action Emulation

Validities and Axiomatisati-

Summary

51 / 69

Bisimilarity and Action Emulation

Proof (ctd.)

- Forth: [...] We know that $(t, \varepsilon) \in \mathcal{D}(\mathcal{M} \otimes M)$. So, $\mathcal{M}, t \models pre(\varepsilon)$, and hence $\mathcal{M}, t \models pre'(\varepsilon'_1) \lor \cdots \lor pre'(\varepsilon'_n)$. So, there is an $1 \le i \le n$ such that $\mathcal{M}, t \models pre'(\varepsilon'_i)$. Therefore, $(t, \varepsilon'_i) \in \mathcal{D}(\mathcal{M} \otimes M')$. Furthermore, $\mathcal{B}((t, \varepsilon), (t, \varepsilon'_i))$ by definition of \mathcal{B} , and $(s, e') \sim_a (t, \varepsilon'_i)$, since $s \sim_a t$ and $e' \sim'_a \varepsilon'_i$.
- Back: Similar.
- Valuations: $\mathcal{B}((s,e),(s',e'))$ implies s=s'. Action applications do not affect the valuations.

Remark: For action models with propositional preconditions, action emulation fully characterizes the effect of action application. I. e., if $\mathcal{M} \otimes M \cong \mathcal{M} \otimes M'$, then $M \rightleftarrows M'$.

May 27th, 2019

B. Nebel, R. Mattmüller - DEL

UNI FREIBURG

Introduction

models

Syntax of Action Model Logic

Semantics of Action Model Logic

Bisimilarity and Action Emulation

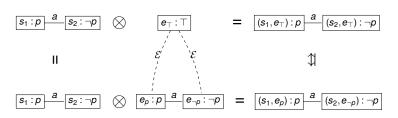
Validities and Axiomatisation

Summary

müller – DEL 52 / 69



Example (Emulation guarantees bisimilarity)



Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action Emulation

Validities and Axiomatisati

May 27th, 2019

B. Nebel, R. Mattmüller - DEL

53 / 69

Validities and Axiomatisation

Action models

Action Mode Logic

Action Mode Logic

and Action

Validities and Axiomatisati-

Summary

May 27th, 2019

B. Nebel, R. Mattmüller - DEL

54 / 69

Validities and Axiomatisation



Recall: In public announcement logic, $\langle \psi \rangle \varphi \rightarrow [\psi] \varphi$ is valid.

Question: Is $\langle \alpha \rangle \varphi \rightarrow [\alpha] \varphi$ also valid in action model logic?

Answer: No!

Reason: Nondeterminism. Potentially, after some outcome of α , φ is true, but not after every outcome of α .

Counterexample: $\varphi = K_a p$ and

 $\alpha = \text{Mayread} = (\text{Mayread}, e_1) \cup (\text{Mayread}, e_2) \cup (\text{Mayread}, e_3).$ (After the outcome of Mayread in which Alice reads p, she knows p, but after the outcome where she does not read the letter, she does not know p).

Action models

Syntax of Action Mode Logic

Semantics of Action Mode Logic

Bisimilarity and Action

Validities and Axiomatisati-

Validities and Axiomatisation



56 / 69

But: $[\alpha \cup \beta] \varphi \leftrightarrow [\alpha] \varphi \wedge [\beta] \varphi$ is valid.

- → get rid of nondeterminism.
- → assume no nondeterminism for the rest of this section.
- --- justification for formulating all principles of action model logic in terms of action models only (no nondeterministic choice).

Action models

Action Mode Logic

Semantics of Action Mode Logic

> Bisimilarity and Action Emulation

Validities and Axiomatisati-

May 27th, 2019 B. Nebel, R. Mattmüller - DEL 55 / 69 May 27th, 2019 B. Nebel, R. Mattmüller - DEL

Validities and Axiomatisation



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Proposition (atomic permanence)

 $[M,e]p \leftrightarrow (pre(e) \rightarrow p)$ is valid.

Proposition (action and negation)

 $[M,e]\neg \phi \leftrightarrow (pre(e) \rightarrow \neg [M,e]\phi)$ is valid.

Proposition (action and conjunction)

 $[M,e](\varphi \wedge \psi) \leftrightarrow ([M,e]\varphi \wedge [M,e]\psi)$ is valid.

May 27th, 2019

B. Nebel, R. Mattmüller - DEL

Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action

Validities and Axiomatisati-

Summary

Validities and Axiomatisation



57 / 69

Example (Model (Before, s_1) \otimes (Read, e_1))



On the other hand:

- Before, $s_1 \not\models [\text{Read}, e_1]K_bp$ since
 - Before, $s_1 \models pre(e_1)$, but
 - Before \otimes Read, $(s_1, e_1) \not\models K_b p$.

Action

Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action

Validities and Axiomatisati-

Validities and Axiomatisation

Question: What about public announcement logic

principle/validity $[\varphi]K_a\psi \leftrightarrow (\varphi \rightarrow K_a[\varphi]\psi)$?

valid (not even for deterministic $\alpha = (M, e)!$)



Action models

Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action

Validities and Axiomatisati-

Summary

May 27th, 2019

B. Nebel, R. Mattmüller - DEL

Answer: It does not directly generalise to action model logic.

That is, the formula $[M,e]K_a\psi\leftrightarrow(pre(e)\to K_a[M,e]\psi)$ is not

58 / 69

Validities and Axiomatisation



Before, $s_1 \not\models [\mathsf{Read}_a, e_1] K_b p \leftrightarrow (pre(e_1) \rightarrow K_b [\mathsf{Read}_a, e_1] p)$.

Hence, $[M,e]K_a\varphi \leftrightarrow (pre(e) \rightarrow K_a[M,e]\varphi)$ is not valid!

Intuition: Agent b may mistake action (Read_a, e_1) for action $(Read_a, e_2)$ when observing it. Hence, when observing (Read_a, e_1), he does not learn that p is true, but also considers it possible that agent a just learned $\neg p$.

Remark: Agent b does observe that (Read_a, e_1) or (Read_a, e_2) happens; he just cannot distinguish between them.

Hypothetically, if for both actions (Read_a, e_1) and (Read_a, e_2), agent b knew that they produce p, then after (Read_a, e_1), agent b would also know that p is true.

May 27th, 2019 B. Nebel, R. Mattmüller - DEL

BURG

NE NE

Action models

Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action Emulation

Validities and Axiomatisati-

May 27th, 2019

B. Nebel, R. Mattmüller - DEL

Validities and Axiomatisation

BURG

Action Mode

Semantics of

Action Mode

Bisimilarity

and Action

Validities and

Axiomatisati

Logic

This provides intuition for the following proposition:

Proposition (action and knowledge)

 $[M,e]K_a\varphi \leftrightarrow (pre(e) \rightarrow \bigwedge_{e \sim_a \varepsilon} K_a[M,\varepsilon]\varphi)$ is valid.

Proof.

We prove the dual: $\langle M, e \rangle \hat{K}_a \varphi \leftrightarrow (pre(e) \wedge \bigvee_{e \sim a} \hat{K}_a \langle M, \varepsilon \rangle \varphi)$ is valid. Let $\mathcal{M} = (S, \sim, V)$ and $M = (E, \sim, pre)$.

 \blacksquare (\Rightarrow) Assume that $\mathcal{M}, s \models \langle M, e \rangle \hat{K}_a \varphi$. Then $\mathcal{M}, s \models pre(e)$ and $\mathcal{M} \otimes M$, $(s,e) \models \hat{K}_{a} \varphi$. Then there is a $(t, \varepsilon) \in S \times E$ such that $(s,e) \sim_a (t,\varepsilon)$ and $\mathcal{M} \otimes M, (t,\varepsilon) \models \varphi$. Thus, $s \sim_a t$ and $e \sim_a \varepsilon$. Moreover, $\mathcal{M}, t \models \langle M, \varepsilon \rangle \varphi$. With $s \sim_a t$, we get $\mathcal{M}, s \models \hat{K}_a \langle M, \varepsilon \rangle \varphi$. So, with $e \sim_a \varepsilon$, we get $\mathcal{M}, s \models \bigvee_{e \sim s} \hat{K}_a \langle M, \varepsilon \rangle \varphi$.

■ (**⇐**) [...]

May 27th, 2019

B. Nebel, R. Mattmüller - DEL

61 / 69

Validities and Axiomatisation



Proof (ctd.)

We prove the dual: $\langle M, e \rangle \hat{K}_a \varphi \leftrightarrow (pre(e) \wedge \bigvee_{e \sim a \varepsilon} \hat{K}_a \langle M, \varepsilon \rangle \varphi)$ is valid. Let $\mathcal{M} = (S, \sim, V)$ and $M = (E, \sim, pre)$.

- **■** (⇒) [...]
- \blacksquare (\Leftarrow) Assume that $\mathcal{M}, s \models pre(e)$ and there is an event $\varepsilon \in E$ with $e \sim_a \varepsilon$ and $\mathcal{M}, s \models \hat{K}_a \langle M, \varepsilon \rangle \varphi$. Then, $(s,e) \in \mathcal{D}(\mathcal{M} \otimes M)$ and there is a state $t \in S$ with $s \sim_a t$ and $\mathcal{M}, t \models \langle M, \varepsilon \rangle \varphi$. Thus $\mathcal{M}, t \models pre(\varepsilon)$, and $(t,\varepsilon)\in\mathcal{D}(\mathcal{M}\otimes M)$, and $(\mathcal{M}\otimes M,(t,\varepsilon))\models\varphi$. With $s\sim_a t$ and $e \sim_a \varepsilon$, we get $(s, e) \sim_a (t, \varepsilon)$. Hence, $\mathcal{M} \otimes M$, $(s,e) \models \hat{K}_a \varphi$. So, $\mathcal{M}, s \models \langle M, e \rangle \hat{K}_a \varphi$.

Action Mode

Semantics of Action Mode Logic

and Action

Validities and Axiomatisati-

Summary

May 27th, 2019

B. Nebel, R. Mattmüller - DEL

62 / 69

Validities and Axiomatisation

Proposition (Actions and common knowledge)

Given an action model (M,e) and formulas γ_{ε} for all $\varepsilon \sim_{\mathsf{R}} \mathsf{e}$. If for all $a \in B$ and for all $\ell \sim_a \varepsilon$, $\models \chi_{\varepsilon} \to [M, \varepsilon] \varphi$ and $\models (\chi_{\varepsilon} \land pre(\varepsilon)) \rightarrow K_a \chi_{\ell}, then \models \chi_e \rightarrow [M, e]C_B \varphi.$

Proof.

Let $M = (E, \sim, pre)$. We need to show $\models \chi_e \rightarrow [M, e]C_B \varphi$. Assume an arbitrary (\mathcal{M}, s) such that $\mathcal{M}, s \models \chi_e$, and assume that $\mathcal{M}, s \models pre(e)$. Then we need to show that $(\mathcal{M} \otimes M, (s, e)) \models C_B \varphi$. Assume an arbitrary state $(u,\ell) \in \mathcal{D}(\mathcal{M} \otimes M)$ that is *B*-accessible from (s,e). We show that $(\mathcal{M} \otimes M, (u, \ell)) \models \varphi$ by induction on the path length from (s,e) to (u,ℓ) . We prove the stronger statement $(\mathcal{M} \otimes M, (u, \ell)) \models \varphi$ and $\mathcal{M}, u \models \chi_{\ell}$. [...]

UNI FREIBURG

Action

Action Mode

Action Mode Logic

Bisimilarity and Action

Validities and Axiomatisati

Validities and Axiomatisation

Proof (ctd.)

- Base case (n = 0): Follows from $\models \chi_{\varepsilon} \rightarrow [M, \varepsilon] \varphi$ for $\varepsilon = e$, applied to \mathcal{M}, s , and from assumptions $\mathcal{M}, s \models \chi_e$ and $\mathcal{M}, s \models pre(e)$.
- Inductive case (from n to n+1): There is a state (t,ε) such that $(s,e) \sim_B (t,\varepsilon) \sim_a (u,\ell)$, where the path linking (s,e) to (t,ε) has length n. With the induction hypothesis, we get $(\mathcal{M} \otimes M, (t, \varepsilon)) \models \varphi$ and $\mathcal{M}, t \models \chi_{\varepsilon}$. With $\mathcal{M}, t \models \chi_{\varepsilon}$ and $\mathcal{M}, t \models pre(\varepsilon)$ and assumption $\models (\chi_{\varepsilon} \land pre(\varepsilon)) \rightarrow K_a \chi_{\ell}$, we get $\mathcal{M}, t \models K_a \chi_{\ell}$. With $t \sim_a u$, we get $\mathcal{M}, u \models \chi_{\ell}$. With assumed validity $\models \chi_{\varepsilon} \to [M, \varepsilon] \varphi$, we get $\mathcal{M}, u \models [M, \ell] \varphi$. With $(u,\ell) \in \mathcal{D}(\mathcal{M} \otimes M)$, we get $\mathcal{M}, u \models pre(\ell)$. Hence, $(\mathcal{M} \otimes M, (u, \ell)) \models \varphi.$

models

Action Mode

Semantics of Action Mode Logic

Logic

Bisimilarity and Action

Validities and Axiomatisati-

Validities and Axiomatisation **AMC**

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Axioms and inference rules for action model logic AMC:

- all axioms and rules of S5C with common knowledge
- \blacksquare $[M,e]p \leftrightarrow (pre(e) \rightarrow p)$ (Atomic permanence)
- $[M,e]\neg \phi \leftrightarrow (pre(e) \rightarrow \neg [M,e]\phi)$ (Action + negation)
- \blacksquare $[M,e](\phi \land \psi) \leftrightarrow ([M,e]\phi \land [M,e]\psi)$ (Action + conj.)
- \blacksquare $[M,e]K_a\phi \leftrightarrow (pre(e) \rightarrow \bigwedge_{e \sim_a \varepsilon} K_a[M,\varepsilon]\phi)$ (Action + knowl.)
- $[M,e][M',e']\varphi \leftrightarrow [(M,e);(M',e')]\varphi$ (Composition)
- \blacksquare $[\alpha \cup \beta] \varphi \leftrightarrow [\alpha] \varphi \land [\beta] \varphi$ (Nondeterministic choice)
- From φ , infer $[M, e]\varphi$ (Neccessitation of [M, e])
- Given action model (M,e) and χ_{ε} for all $\varepsilon \sim_B e$. If for all $a \in B$ and $\ell \sim_a \varepsilon$, $\chi_{\varepsilon} \to [M, \varepsilon] \varphi$ and $(\chi_{\varepsilon} \land pre(\varepsilon)) \to K_a \chi_{\ell}$, then infer $\chi_e \to [M, e]C_B \varphi$ (Action + common knowledge)

Action Mode

Semantics of Action Mode Logic

Bisimilarity and Action

Validities and Axiomatisati-

Summary

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65 / 69

Validities and Axiomatisation



Action

Action Mode

Action Mode Logic

Bisimilarity and Action

Validities and Axiomatisati-

Summary

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Theorem

all valid formulas in $\mathcal{L}_{KC \otimes}$.

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The axiomatisation AMC is sound and complete for the set of

66 / 69

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Example

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We show that \vdash [Read_a, e_1] K_ap :

- $p \rightarrow p$ (prop. taut.)
- [2] [Read_a, e_1] $p \leftrightarrow (p \rightarrow p)$ (atomic permanence, $pre(e_1) = p$
- \square [Read_a, e₁]p (1, 2, prop. reasoning)
- $p \to K_a[\text{Read}_a, e_1]p$ (4, prop. reasoning, weakening)
- [Read_a, e_1] $K_a p \leftrightarrow (p \rightarrow \bigwedge_{\varepsilon \sim_a e_1} K_a [Read_a, \varepsilon] p)$ (action + knowledge, $[e_1]_{\sim_a} = \{e_1\}$
- [Read_a, e_1] K_ap (5, 6, prop. reasoning)

Action

Syntax of Action Mode

Semantics of Action Mode

Bisimilarity and Action

Validities and Axiomatisati-

Summary

BURG

Action

Action Mode Logic

Semantics of Action Mode Logic

> Bisimilarity and Action Emulation

Axiomatisati-

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Summary



- Action models allow more epistemic change than just public announcements.
- Action models similar to Kripke structures. State update by product update operator.
- Emulous action models are interchangeable.
- Axiomatization similar to public announcement logic. Actions and (common) knowledge slightly trickier.

Introduction

Action models

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Bisimilarity and Action Emulation

Validities and Axiomatisati-

Summary

May 27th, 2019 B. Nebel, R. Mattmüller – DEL 69 / 69