

# Constraint Satisfaction Problems

## Constraint Networks

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Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wöfl

Constraint  
Networks

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

# Constraint Networks

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wölfli

Constraint  
Networks

Constraint  
networks

Solution

Normalized  
Constraint  
Networks

Deduction

Minimal  
Network

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

# Constraint networks

## Definition

A **constraint network** is a triple

$$N = \langle V, \text{dom}, C \rangle$$

where:

- $V$  is a non-empty and finite set of **variables**;
- $\text{dom}$  is a function that assigns to each variable  $v \in V$  a non-empty set  $\text{dom}(v)$  ( $\text{dom}(v)$  is called the **domain** of  $v$ , elements of  $\text{dom}(v)$  are called **values**);
- $C$  is a set of relations over variables of  $V$  (called **constraints**), i.e., each constraint is a relation  $R_{x_1, \dots, x_m}$  over some scheme  $S = (x_1, \dots, x_m)$  of variables in  $V$ .

The set of constraint schemes  $\{S_1, \dots, S_t\}$  is called **network scheme**.

# Constraint networks

If we assume an ordering of the variables in  $V$ , we can write networks more compactly:

## Definition

A **constraint network** is a triple

$$N = \langle V, D, C \rangle$$

where:

- $V = (v_1, \dots, v_n)$  is a non-empty and finite sequence of **variables**;
- $D = (D_1, \dots, D_n)$  is a sequence of **domains** for  $V$  ( $D_i$  is the domain of variable  $v_i$ );
- $C$  is a set of **constraints**  $R_{\bar{x}}$  where  $\bar{x} = (v_{i_1}, \dots, v_{i_m})$  is a scheme of variables in  $V$  and  $R \subseteq D_{i_1} \times \dots \times D_{i_m}$ .

# Example: 4-queens problem

The 4-queens problem can be represented as single constraint network.

For example, consider variables  $v_1, \dots, v_4$  (each associated to a column of the  $4 \times 4$ -chess board).

Each variable  $v_i$  has as its domain  $D_i = \{1, \dots, 4\}$  (conceived of as the row positions of a queen in column  $i$ ).

	$v_1$	$v_2$	$v_3$	$v_4$
1				
2				
3				
4				

Define then binary constraints (thus encoding “non-attacking queen positions”):

$$R_{v_1, v_2} := \{(1, 3), (1, 4), (2, 4), (3, 1), (4, 1), (4, 2)\}$$

$$R_{v_1, v_3} := \{(1, 2), (1, 4), (2, 1), (2, 3), (3, 2), (3, 4), (4, 1), (4, 3)\}$$

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...

# Example: Graph colorability

$k$ -Colorability of a graph  $G$  can be represented as a constraint network of the following form:

$$V = \{v_i : v_i \text{ is a vertex in } G\}$$

$$D_i = \{1, \dots, k\} \quad (v_i \in V)$$

$$C = \{((v_i, v_j), \neq) : \{v_i, v_j\} \text{ is an edge of } G\}$$

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wöfl

Constraint  
Networks

Constraint  
networks

Solution

Normalized  
Constraint  
Networks

Deduction

Minimal  
Network

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks



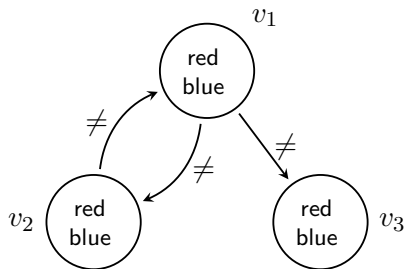
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Constraint networks with binary constraints only can be represented by a **directed labeled graph**

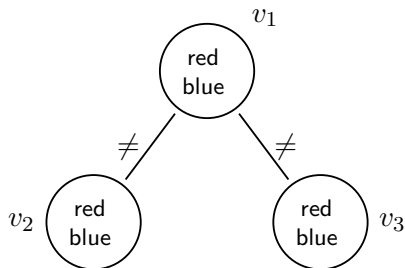
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Constraint networks with binary constraints only can be represented by a **directed labeled graph** (even: **an undirected graph** if all constraints are symmetric).

# Solution of a constraint network

## Definition

A **solution** of a constraint network  $N = \langle V, D, C \rangle$  is a (variable) assignment

$$a: V \rightarrow \bigcup_{i: v_i \in V} D_i$$

such that

- (a)  $a(v_i) \in D_i$ , for each  $v_i \in V$ ,
- (b)  $(a(x_1), \dots, a(x_m)) \in R$  for each constraints  $R_{x_1, \dots, x_m}$  in  $C$ .

$N$  is called **solvable** (or: **satisfiable**) if  $N$  has a solution.

**Sol**( $N$ ) denotes the set of all solutions of  $N$ .

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wölfli

Constraint  
Networks

Constraint  
networks

**Solution**

Normalized  
Constraint  
Networks

Deduction

Minimal  
Network

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

# Instantiation, partial solution

Let  $N = \langle V, D, C \rangle$  be a constraint network.

## Definition

- (a) An **instantiation** of a subset  $V'$  of  $V$  is an assignment  $a : V' \rightarrow \bigcup_{i: v_i \in V'} D_i$  with  $a(v_i) \in D_i$ .
- (b) An instantiation  $a$  of  $V'$  is called **partial solution** if  $a$  satisfies each constraint  $R_S$  in  $C$  with  $S \subseteq V'$ .  
We also say:  $a$  is **consistent relative** to  $N$ .
- (c) For an instantiation  $a$  of a subset  $V' = \{x_1, \dots, x_m\}$  and a constraint  $R_S$  with scope  $S \subseteq V'$ , let

$$\bar{a}[S] := (a(x_1), \dots, a(x_m)).$$

Hence a solution is an instantiation of all variables in  $V$  that is consistent relative to  $N$ .

# Instantiation, solution

Note:

- (a) An instantiation of variables in  $V' \subseteq V$ ,  $a$ , is a partial solution (consistent relative to  $N$ ) iff

$$\bar{a}[S] \in R, \quad \text{for each constraint } R \text{ with scope } S \subseteq V'.$$

- (b) Not every partial solution is part of a (full) solution, i.e., there may be partial solutions of a constraint network that cannot be extended to a solution. For the 4-queens problem, for example,

	$v_1$	$v_2$	$v_3$	$v_4$
1	q			
2			q	
3				
4		q		

# Normalized constraint network

Let  $N = \langle V, D, C \rangle$  be a constraint network.

Due to our definition it is possible that  $C$  contains constraints

$$R_{v_{i_1}, \dots, v_{i_k}} \quad \text{and} \quad S_{v_{j_1}, \dots, v_{j_k}}$$

where  $(j_1, \dots, j_k)$  is just a permutation of  $(i_1, \dots, i_k)$ .

Without changing the set of solutions, we can simplify the network by deleting  $S_{v_{j_1}, \dots, v_{j_k}}$  from  $C$  and rewriting  $R_{v_{i_1}, \dots, v_{i_k}}$  as follows:

$$R_{v_{i_1}, \dots, v_{i_k}} \leftarrow R_{v_{i_1}, \dots, v_{i_k}} \cap \pi_{v_{i_1}, \dots, v_{i_k}}(S_{v_{j_1}, \dots, v_{j_k}}).$$

Given a fixed order on the set of variables  $V$ , we can systematically delete-and-refine constraints. This results in a constraint network that contains **at most one constraint for each subset of variables**. Such a network is called a **normalized constraint network**.

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wölfli

Constraint  
Networks

Constraint  
networks  
Solution

Normalized  
Constraint  
Networks

Deduction  
Minimal  
Network

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

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Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wölfli

Constraint  
Networks

Constraint  
networks  
Solution

Normalized  
Constraint  
Networks

Deduction  
Minimal  
Network

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

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Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wöfl

Constraint  
Networks

Constraint  
networks  
Solution

Normalized  
Constraint  
Networks

Deduction  
Minimal  
Network

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks



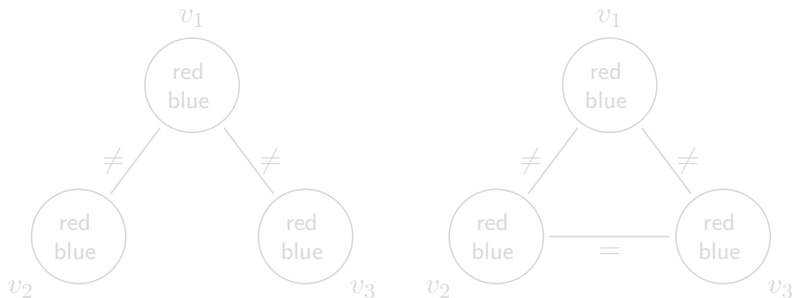
# Equivalence

Let  $N$  and  $N'$  be constraint networks on the same set of variables and on the same domains for each variable.

## Definition

$N$  and  $N'$  are called **equivalent** if they have the same set of solutions.

Example:



Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wölfli

Constraint  
Networks

Constraint  
networks  
Solution

Normalized  
Constraint  
Networks

Deduction  
Minimal  
Network

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

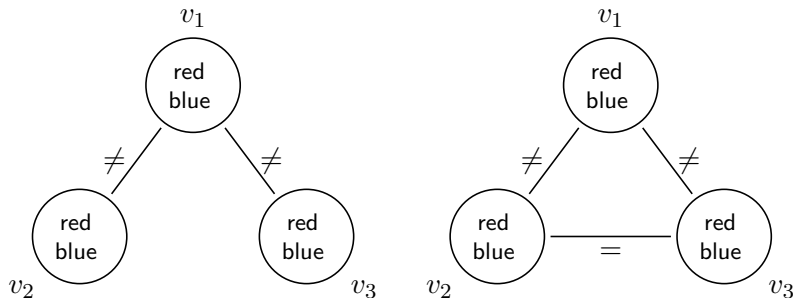
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Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wölfli

Constraint  
Networks

Constraint  
networks

Solution

Normalized  
Constraint  
Networks

Deduction

Minimal  
Network

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

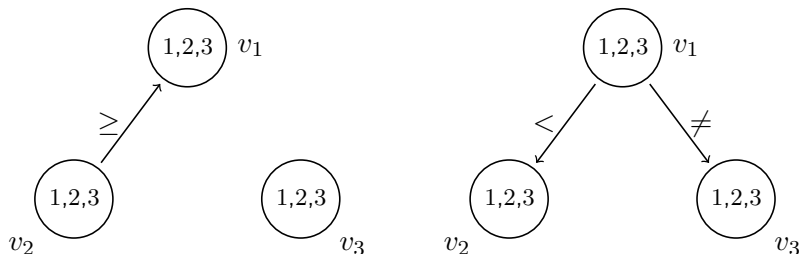
# Tightness

Let  $N$  and  $N'$  be (normalized) constraint networks on the same set of variables and on the same domains for each variable.

## Definition

$N$  is **as tight as**  $N'$  if for each constraint  $R_S$  of  $N$ ,

- (a)  $N'$  has no constraint with the same scope as  $R_S$ , or
- (b)  $R \subseteq \pi_S(R'_{S'})$ , where  $R'_{S'}$  is the constraint of  $N'$  with the same scope as  $R_S$ .



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- Clearly, if  $N'$  is as tight as  $N$ , then  $\text{Sol}(N') \subseteq \text{Sol}(N)$ .
- Constraint tightness has a large influence on the efficiency of constraint satisfaction.
- Warning: Different concepts of tightness can be found in the literature
- Here: Tightness does not account for comparing constraints with different arities

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wöfl

Constraint  
Networks

Constraint  
networks  
Solution

Normalized  
Constraint  
Networks

Deduction  
Minimal  
Network

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

# Intersection of networks

## Definition

The **intersection** of  $N$  and  $N'$ ,  $N \cap N'$ , is the network defined by intersecting for each scope the constraints  $R_S \in C$  and  $R'_{S'} \in C'$  with the same scope, i.e., modulo a suitable permutation of the constraint schemes,

$$R''_S := R_S \cap R'_{S'}.$$

If for a scope  $S$  only one of the networks contains a constraint, then we set:

$$R''_S := R_S \quad (\text{or } := R'_{S'}, \text{ resp.})$$

## Lemma

*If  $N$  and  $N'$  are equivalent networks, then  $N \cap N'$  is equivalent to both networks and as tight as both networks.*

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wölfl

Constraint  
Networks

Constraint  
networks  
Solution

Normalized  
Constraint  
Networks

Deduction  
Minimal  
Network

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

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Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wölfli

Constraint  
Networks

Constraint  
networks  
Solution

Normalized  
Constraint  
Networks

Deduction  
Minimal  
Network

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

# Minimal network

## Definition

Let  $N_0$  be a constraint network and let  $N_1, \dots, N_k$  be the set of **all** constraint networks (defined on the same set of variables and the same domains) that are equivalent to  $N_0$ .

$$\bigcap_{1 \leq i \leq k} N_i$$

is called the **minimal network** of  $N_0$ .

## Lemma

*The minimal network is equivalent to and as tight as all the constraint networks  $N_i$ . There is no network equivalent to  $N_0$  that is tighter than the minimal network*

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wölfli

Constraint  
Networks

Constraint  
networks  
Solution

Normalized  
Constraint  
Networks

Deduction

Minimal  
Network

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

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Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wölfli

Constraint  
Networks

Constraint  
networks

Solution

Normalized  
Constraint  
Networks

Deduction

Minimal  
Network

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks



# Projection Networks

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wöfl

Constraint  
Networks

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

# Projecting constraints

Let  $R_S$  be a constraint with scheme  $S = (x_1, \dots, x_m)$  (we can think of  $R_S$  as a constraint network ...).

## Definition

The **projection network** of  $R_S$ ,  $\text{Proj}(R_S)$ , is the constraint network defined by:

$$V := S, \quad D_i := \pi_{x_i}(R_S), \quad R'_{x_i, x_j} := \pi_{x_i, x_j}(R_S)$$

for all variables  $x_i$  and variable pairs  $x_i, x_j$ .

Consider  $R_{x,y,z}$  with  $R = \{(a, a, b), (a, b, b), (a, b, a)\}$ .

Then  $\text{Proj}(R_{x,y,z})$  consists of the following constraints:

$$R'_{x,y} = \{(a, a), (a, b)\},$$

$$R'_{x,z} = \{(a, b), (a, a)\}, \text{ and}$$

$$R'_{y,z} = \{(a, b), (b, b), (b, a)\}.$$

In this case:  $\text{Sol}(\text{Proj}(R_{x,y,z})) = R_{x,y,z}$ .

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wöflf

Constraint  
Networks

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

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for all variables  $x_i$  and variable pairs  $x_i, x_j$ .

Consider  $R_{x,y,z}$  with  $R = \{(a, a, b), (a, b, b), (a, b, a)\}$ .

Then  $\text{Proj}(R_{x,y,z})$  consists of the following constraints:

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**In this case:**  $\text{Sol}(\text{Proj}(R_{x,y,z})) = R_{x,y,z}$ .

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wöfl

Constraint  
Networks

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

# Projecting constraints

The projection network is an upper approximation by **binary networks** in the following sense:

## Lemma

*Any solution of  $R_S$  (as a network) defines a solution of  $\text{Proj}(R_S)$ , i.e.,*

$$R_S \subseteq \text{Sol}(\text{Proj}(R_S)).$$



## Lemma

*$\text{Proj}(R_S)$  is the “tightest” upper approximation of  $R_S$  by binary constraint networks, i.e., there is no binary constraint network  $N'$  defined on the variables of  $R_S$  such that:*

$$R \subseteq \text{Sol}(N') \subsetneq \text{Sol}(\text{Proj}(R_S)).$$

# Binary representation

## Definition

A relation  $R_S$  with scope  $S$  has a **binary representation** if the relation (conceived of as a network) is equivalent to  $\text{Proj}(R_S)$ .

From the fact that a relation has a binary representation, it does not follow that all its projections have binary representations as well (Exercise!).

## Definition

A relation  $R_S$  with scope  $S$  is **binary decomposable** if the relation itself and all its projections to subsets of  $S$  (with at least 3 elements) have a binary representation.

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wöfl

Constraint  
Networks

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

# Constraint Networks and Graphs

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wöfl

Constraint  
Networks

Projection  
Networks

Constraint  
Networks and  
Graphs

Primal  
Constraint  
Graphs

Dual Constraint  
Graph

Constraint  
Hypergraph

Solving  
Constraint  
Networks

# Primal constraint graphs

Let  $N = \langle V, D, C \rangle$  be a (normalized) constraint network.

## Definition

The **primal constraint graph** of a network  $N = \langle V, D, C \rangle$  is the undirected graph

$$G_N := \langle V, E_N \rangle$$

where

$\{u, v\} \in E_N \iff \{u, v\}$  is a subset of the scope of some constraint in  $N$ .

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wölfli

Constraint  
Networks

Projection  
Networks

Constraint  
Networks and  
Graphs

Primal  
Constraint  
Graphs

Dual Constraint  
Graph

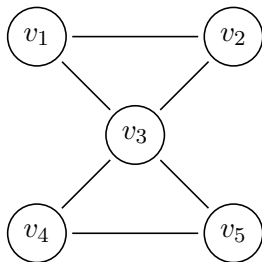
Constraint  
Hypergraph

Solving  
Constraint  
Networks

# Primal constraint graph: Example

Consider a constraint network with variables  $v_1, \dots, v_5$  and two ternary constraints  $R_{v_1, v_2, v_3}$  and  $S_{v_3, v_4, v_5}$ .

Then the primal constraint graph of the network has the form:



Absence of an edge between two variables/nodes means that there is no **explicit** constraint in which both variables participate.



# Dual constraint graphs

## Definition

The **dual constraint graph** of a constraint network  $N = \langle V, D, C \rangle$  is the labeled graph

$$D_N := \langle V', E_N, l \rangle$$

with

$X \in V' \iff X$  is the scope of some constraint in  $N$

$\{X, Y\} \in E_N \iff X \cap Y \neq \emptyset$

$l : E_N \rightarrow 2^V, \quad \{X, Y\} \mapsto X \cap Y$

In the example above, the dual constraint graph is:



# Dual constraint graphs

## Definition

The **dual constraint graph** of a constraint network  $N = \langle V, D, C \rangle$  is the labeled graph

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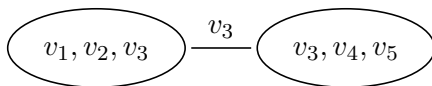
with

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$l : E_N \rightarrow 2^V, \quad \{X, Y\} \mapsto X \cap Y$

In the example above, the dual constraint graph is:



# Constraint hypergraph

## Definition

The **constraint hypergraph** of a constraint network  $N = \langle V, D, C \rangle$  is the hypergraph

$$H_N := \langle V, E_N \rangle$$

with

$$X \in E_N \iff X \text{ is the scope of some constraint in } N.$$

In the example above (constraint network with variables  $v_1, \dots, v_5$  and two ternary constraints  $R_{v_1, v_2, v_3}$  and  $S_{v_3, v_4, v_5}$ ) the hyperedges of the constraint hypergraph are:

$$E_N = \{ \{v_1, v_2, v_3\}, \{v_3, v_4, v_5\} \}.$$

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wöfl

Constraint  
Networks

Projection  
Networks

Constraint  
Networks and  
Graphs

Primal  
Constraint  
Graphs

Dual Constraint  
Graph

Constraint  
Hypergraph

Solving  
Constraint  
Networks

# Solving Constraint Networks

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wölfel

Constraint  
Networks

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks

# Simple solution strategy: Backtracking search

**Backtracking:** search systematically for consistent partial instantiations in a depth-first manner:

- **forward phase:** extend the current partial solution by assigning a consistent value to some new variable (if possible)
- **backward phase:** if no consistent instantiation for the current variable exists, we return to the previous variable.

# Backtracking algorithm

**Backtracking**( $N, a$ ):

---

*Input:* a constraint network  $N = \langle V, D, C \rangle$  and  
a partial assignment  $a$  of  $N$   
(e.g., the empty instantiation  $a = \{ \}$ )

*Output:* a solution of  $N$  or “inconsistent”

**if**  $a$  is not consistent with  $N$ :

**return** “inconsistent”

**if**  $a$  is defined for all variables in  $V$ :

**return**  $a$

select **some variable**  $v_i$  for which  $a$  is not defined

**for each value**  $x$  from  $D_i$ :

$a' := a \cup \{v_i \mapsto x\}$

$a'' \leftarrow \text{Backtracking}(N, a')$

**if**  $a''$  is not “inconsistent”:

**return**  $a''$

**return** “inconsistent”

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wölfli

Constraint  
Networks

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks



Rina Dechter.  
Constraint Processing,  
Chapter 2, Morgan Kaufmann, 2003

Constraint  
Satisfaction  
Problems

Nebel, Hué  
and Wölfel

Constraint  
Networks

Projection  
Networks

Constraint  
Networks and  
Graphs

Solving  
Constraint  
Networks