

Foundations of AI

Propositional Logic

Rational Thinking, Logic, Resolution

*Wolfram Burgard, Andreas Karwath,
Bernhard Nebel, and Martin Riedmiller*

Agents that Think Rationally

- Until now, the focus has been on agents that **act rationally**.
- Often, however, rational action requires **rational** (logical) **thought** on the agent's part.
- To that purpose, portions of the world must be represented in a **knowledge base**, or **KB**.
 - A KB is composed of sentences in a language with a truth theory (logic), i.e. we (being external) can **interpret** sentences as **statements** about the world. (**semantics**)
 - Through their **form**, the sentences themselves have a **causal influence** on the agent's behaviour in a way that is correlated with the contents of the sentences. (**syntax**)
- Interaction with the KB through ASK and TELL (simplified):
ASK(KB,α) = yes exactly when α follows from the KB
TELL(KB,α) = KB' so that α follows from KB'
FORGET(KB,α) = KB' non-monotonic (will not be discussed)

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Contents

- Agents that think rationally
- The wumpus world
- Propositional logic: syntax and semantics
- Logical entailment
- Logical derivation (resolution)

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3 Levels

In the context of knowledge representation, we can distinguish three levels [Newell 1990]:

Knowledge level: Most abstract level. Concerns the total knowledge contained in the KB. For example, the automated DB information system knows that a trip from Freiburg to Basel costs 18€.

Logical level: Encoding of knowledge in a formal language.
Price(Freiburg, Basel, 18.00)

Implementation level: The internal representation of the sentences, for example:

- As a string "Price(Freiburg, Basel, 18.00)"
- As a value in a matrix

When ASK and TELL are working correctly, it is possible to remain on the knowledge level. Advantage: very comfortable user interface. The user has his/her own mental model of the world (statements about the world) and communicates it to the agent (TELL).

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A Knowledge-Based Agent

A knowledge-based agent uses its knowledge base to

- represent its background knowledge
- store its observations
- store its executed actions
- ... derive actions

```

function KB-AGENT(percept) returns an action
  static: KB, a knowledge base
         t, a counter, initially 0, indicating time

  TELL(KB, MAKE-PERCEPT-SENTENCE(percept, t))
  action ← ASK(KB, MAKE-ACTION-QUERY(t))
  TELL(KB, MAKE-ACTION-SENTENCE(action, t))
  t ← t + 1
  return action
    
```

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The Wumpus World (2)

- Actions: Go forward, turn right by 90°, turn left by 90°, pick up an object in the same square (grab), shoot (there is only one arrow), leave the cave (only works in square [1,1]).
- The agent dies if it falls down a pit or meets a live wumpus.
- Initial situation: The agent is in square [1,1] facing east. Somewhere exists a wumpus, a pile of gold and 3 pits.
- Goal: Find the gold and leave the cave.

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The Wumpus World (1)

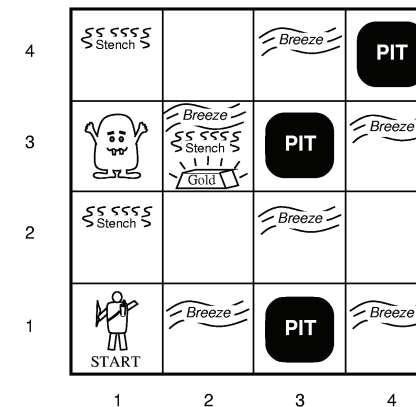
- A 4 x 4 grid
- In the square containing the wumpus and in the directly adjacent squares, the agent perceives a stench.
- In the squares adjacent to a pit, the agent perceives a breeze.
- In the square where the gold is, the agent perceives a glitter.
- When the agent walks into a wall, it perceives a bump.
- When the wumpus is killed, its scream is heard everywhere.
- Percepts are represented as a 5-tuple, e.g.,

[Stench, Breeze, Glitter, None, None]

means that it stinks, there is a breeze and a glitter, but no bump and no scream. The agent *cannot* perceive its own location!

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The Wumpus World (3): A Sample Configuration



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The Wumpus World (4)

[1,2] and [2,1] are safe:

| | | | |
|-----|-----|-----|-----|
| 1,4 | 2,4 | 3,4 | 4,4 |
| 1,3 | 2,3 | 3,3 | 4,3 |
| 1,2 | 2,2 | 3,2 | 4,2 |
| 1,1 | 2,1 | 3,1 | 4,1 |

A = Agent
B = Breeze
G = Glitter, Gold
OK = Safe square
P = Pit
S = Stench
V = Visited
W = Wumpus

(a)

| | | | |
|-----|-----|-----|-----|
| 1,4 | 2,4 | 3,4 | 4,4 |
| 1,3 | 2,3 | 3,3 | 4,3 |
| 1,2 | 2,2 | 3,2 | 4,2 |
| 1,1 | 2,1 | 3,1 | 4,1 |

(b)

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The Wumpus World (5)

The wumpus is in [1,3]!

| | | | |
|-----|-----|-----|-----|
| 1,4 | 2,4 | 3,4 | 4,4 |
| 1,3 | 2,3 | 3,3 | 4,3 |
| 1,2 | 2,2 | 3,2 | 4,2 |
| 1,1 | 2,1 | 3,1 | 4,1 |

A = Agent
B = Breeze
G = Glitter, Gold
OK = Safe square
P = Pit
S = Stench
V = Visited
W = Wumpus

(a)

| | | | |
|-----|-----|-----|-----|
| 1,4 | 2,4 | 3,4 | 4,4 |
| 1,3 | 2,3 | 3,3 | 4,3 |
| 1,2 | 2,2 | 3,2 | 4,2 |
| 1,1 | 2,1 | 3,1 | 4,1 |

(b)

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Declarative Languages

Before a system that is capable of learning, thinking, planning, explaining, ... can be built, one must find a way to **express** knowledge.

We need a precise, declarative language.

- **Declarative:** System believes P iff it considers P to be **true** (one cannot believe P without an idea of what it means for the world to fulfill P).
- **Precise:** We must know,
 - which symbols represent sentences,
 - what it means for a sentence to be true, and
 - when a sentence follows from other sentences.

One possibility: **Propositional Logic**

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Basics of Propositional Logic (1)

Propositions: The building blocks of propositional logic are indivisible, atomic **statements** (atomic propositions), e.g.,

- "The block is red"
- "The wumpus is in [1,3]"

and the logical connectives "and", "or" and "not", which we can use to build **formulae**.

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Basics of Propositional Logic (2)

We are interested in knowing the following:

- When is a proposition **true**?
- When does a proposition **follow** from a knowledge base (KB)?
- Symbolically: $KB \models \varphi$
- Can we (syntactically) define the concept of *derivation*,
Symbolically: $KB \vdash \varphi$
such that it is equivalent to the concept of logical implication?

→ Meaning and implementation of ASK

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Semantics: Intuition

Atomic propositions can be **true** (T) or **false** (F).

The truth of a formula follows from the truth of its atomic propositions (**truth assignment** or **interpretation**) and the connectives.

Example:

$$(P \vee Q) \wedge R$$

- If P and Q are *false* and R is *true*, the formula is *false*
- If P and R are *true*, the formula is *true* regardless of what Q is.

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Syntax of Propositional Logic

Countable alphabet Σ of **atomic propositions**: P, Q, R, \dots

| | | |
|--------------------------|--------------------------------|----------------|
| Logical formulae: | $P \in \Sigma$ | atomic formula |
| | \perp | falsehood |
| | \top | truth |
| | $\neg\varphi$ | negation |
| | $\varphi \wedge \psi$ | conjunction |
| | $\varphi \vee \psi$ | disjunction |
| | $\varphi \Rightarrow \psi$ | implication |
| | $\varphi \Leftrightarrow \psi$ | equivalence |

Operator precedence: $\neg > \wedge > \vee > \Rightarrow = \Leftrightarrow$. (use brackets when necessary)

Atom: atomic formula

Literal: (possibly negated) atomic formula

Clause: disjunction of literals

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Semantics: Formally

A **truth assignment** of the atoms in Σ , or an **interpretation** over Σ , is a function

$$I : \Sigma \rightarrow \{T, F\}$$

Interpretation I satisfies a formula φ :

$$\begin{aligned} I \models \top & \\ I \not\models \perp & \\ I \models P & \text{ iff } P^I = T \\ I \not\models \neg\varphi & \text{ iff } I \models \varphi \\ I \models \varphi \wedge \psi & \text{ iff } I \models \varphi \text{ and } I \models \psi \\ I \models \varphi \vee \psi & \text{ iff } I \models \varphi \text{ or } I \models \psi \\ I \models \varphi \Rightarrow \psi & \text{ iff if } I \models \varphi, \text{ then } I \models \psi \\ I \models \varphi \Leftrightarrow \psi & \text{ iff if } I \models \varphi \text{ if and only if } I \models \psi \end{aligned}$$

I **satisfies** φ ($I \models \varphi$) or φ is **true** under I , when $I(\varphi) = T$.

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Example

$$I : \begin{cases} P \rightarrow T \\ Q \rightarrow T \\ R \rightarrow F \\ S \rightarrow T \\ \dots \end{cases}$$

$$\varphi = ((P \vee Q) \Leftrightarrow (R \vee S)) \wedge (\neg(P \wedge Q) \wedge (R \wedge \neg S)).$$

Question: $I \models \varphi$?

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The Truth Table Method

How can we decide if a formula is **satisfiable**, **valid**, etc.?

→Generate a **truth table**

Example: Is $\varphi = ((P \vee H) \wedge \neg H) \Rightarrow P$ valid?

| P | H | $P \vee H$ | $(P \vee H) \wedge \neg H$ | $(P \vee H) \wedge \neg H \Rightarrow P$ |
|---|---|------------|----------------------------|--|
| F | F | F | F | T |
| F | T | T | F | T |
| T | F | T | T | T |
| T | T | T | F | T |

Since the formula is true for all possible combinations of truth values (satisfied under all interpretations), φ is **valid**.

Satisfiability, falsifiability, unsatisfiability likewise.

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Terminology

An interpretation I is called a **model** of φ if $I \models \varphi$.

An interpretation is a **model** of a **set of formulae** if it fulfils all formulae of the set.

A formula φ is

- **satisfiable** if there exists I that satisfies φ ,
- **unsatisfiable** if φ is not satisfiable,
- **falsifiable** if there exists I that doesn't satisfy φ , and
- **valid** (a **tautology**) if $I \models \varphi$ holds for all I .

Two formulae are

- **logically equivalent** ($\varphi \equiv \psi$) if $I \models \varphi$ iff $I \models \psi$ holds for all I .

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Normal Forms

- A formula is in **conjunctive normal form** (CNF) if it consists of a conjunction of disjunctions of literals $l_{i,j}$, i.e., if it has the following form:

$$\bigwedge_{i=1}^n (\bigvee_{j=1}^{m_i} l_{i,j})$$

- A formula is in **disjunctive normal form** (DNF) if it consists of a disjunction of conjunctions of literals:

$$\bigvee_{i=1}^n (\bigwedge_{j=1}^{m_i} l_{i,j})$$

- For every formula, there exists at least one equivalent formula in CNF and one in DNF.
- A formula in DNF is satisfiable iff one disjunct is satisfiable.
- A formula in CNF is valid iff every conjunct is valid.

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Producing CNF

1. Eliminate \Rightarrow and \Leftrightarrow : $\alpha \Rightarrow \beta \rightarrow (\neg\alpha \vee \beta)$ etc.
2. Move \neg inwards: $\neg(\alpha \wedge \beta) \rightarrow (\neg\alpha \vee \neg\beta)$ etc.
3. Distribute \vee over \wedge : $((\alpha \wedge \beta) \vee \gamma) \rightarrow ((\alpha \vee \gamma) \wedge (\beta \vee \gamma))$
4. Simplify: $\alpha \vee \alpha \rightarrow \alpha$ etc.

The result is a conjunction of disjunctions of literals

An analogous process converts any formula to an equivalent formula in DNF.

- During conversion, formulae can expand *exponentially*.
- Note: Conversion to CNF formula can be done *polynomially* if only satisfiability should be preserved

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Logical Implication: Formal

The formula φ follows logically from the KB if φ is true in all models of the KB (symbolically $KB \models \varphi$):

$$KB \models \varphi \text{ iff } I \models \varphi \text{ for all models } I \text{ of } KB$$

Note: The \models symbol is a *meta-symbol*

Some properties of logical implication relationships:

- **Deduction theorem:** $KB \cup \{\varphi\} \models \psi$ iff $KB \models \varphi \Rightarrow \psi$
- **Contraposition theorem:** $KB \cup \{\varphi\} \models \neg\psi$ iff $KB \cup \{\psi\} \models \neg\varphi$
- **Contradiction theorem:** $KB \cup \{\varphi\}$ is unsatisfiable iff $KB \models \neg\varphi$

Question: Can we determine $KB \models \varphi$ without considering all interpretations (the truth table method)?

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Logical Implication: Intuition

A set of formulae (a KB) usually provides an incomplete description of the world, i.e., leaves the truth values of a proposition open.

Example: $KB = \{P \vee Q, R \vee \neg P, S\}$

is definitive with respect to S, but leaves P, Q, R open (although they cannot take on arbitrary values).

Models of the KB:

| P | Q | R | S |
|---|---|---|---|
| F | T | F | T |
| F | T | T | T |
| T | F | T | T |
| T | T | T | T |

In all models of the KB, $Q \vee R$ is true, i.e., $Q \vee R$ follows logically from KB.

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Proof of the Deduction Theorem

" \Rightarrow " Assumption: $KB \cup \{\varphi\} \models \psi$, i.e., every model of $KB \cup \{\varphi\}$ is also a model of ψ .

Let I be any model of KB. If I is also a model of φ , then it follows that I is also a model of ψ .

This means that I is also a model of $\varphi \Rightarrow \psi$, i.e., $KB \models \varphi \Rightarrow \psi$.

" \Leftarrow " Assumption: $KB \models \varphi \Rightarrow \psi$. Let I be any model of KB that is also a model of φ , i.e., $I \models KB \cup \{\varphi\}$

From the assumption, I is also a model of $\varphi \Rightarrow \psi$ and thereby also of ψ , i.e., $I \models \psi$, $KB \cup \{\varphi\} \models \psi$

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Proof of the Contraposition Theorem

$$\begin{aligned} KB \cup \{\varphi\} &\models \neg\psi \\ \text{iff } KB &\models \varphi \Rightarrow \neg\psi \quad (1) \\ \text{iff } KB &\models (\neg\varphi \vee \neg\psi) \\ \text{iff } KB &\models (\neg\psi \vee \neg\varphi) \\ \text{iff } KB &\models \psi \Rightarrow \neg\varphi \\ \text{iff } KB \cup \{\psi\} &\models \neg\varphi \quad (2) \end{aligned}$$

Note:

(1) and (2) are applications of the deduction theorem.

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Soundness and Completeness

In the case where in the calculus C there is a proof for a formula φ , we write

$$KB \vdash_C \varphi$$

(optionally without subscript C).

A calculus C is **sound** (or **correct**) if all formulae that are derivable from a KB actually follow logically.

$$KB \vdash_C \varphi \text{ implies } KB \models \varphi$$

This normally follows from the soundness of the inference rules and the logical axioms.

A calculus is **complete** if every formula that follows logically from the KB is also derivable with C from the KB:

$$KB \models \varphi \text{ implies } KB \vdash_C \varphi$$

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Inference Rules, Calculi and Proofs

We can often **derive** new formulae from formulae in the KB. These new formulae should **follow logically** from the syntactical structure of the KB formulae.

Example: If the KB is $\{\dots, (\varphi \Rightarrow \psi), \dots, \varphi, \dots\}$, then ψ is a logical consequence of KB

→ **Inference rules**, e.g., $\frac{\varphi, \varphi \Rightarrow \psi}{\psi}$

Calculus: Set of inference rules (potentially including so-called logical axioms)

Proof step: Application of an inference rule on a set of formulae.

Proof: Sequence of proof steps where every newly-derived formula is added, and in the last step, the **goal formula** is produced.

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Resolution: Idea

We want a way to **derive** new formulae that does not depend on testing every interpretation.

Idea: We attempt to show that a set of formulae is unsatisfiable.

Condition: All formulae must be in CNF.

But: In most cases, the formulae are close to CNF (and there exists a fast satisfiability-preserving transformation – Theoretical Computer Science course).

Nevertheless: In the **worst case**, this derivation process requires an exponential amount of time (this is, however, probably unavoidable).

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Resolution: Representation

Assumption: All formulae in the KB are in CNF.

Equivalently, we can assume that the KB is a *set of clauses*.

Due to commutativity, associativity, and idempotence of \vee , *clauses* can also be understood as *sets of literals*. The *empty set of literals* is denoted by \square .

Set of clauses: Δ

Set of literals: C, D

Literal: l

Negation of a literal: \bar{l}

An interpretation I satisfies C iff there exists $l \in C$ such that $I \models l$. I satisfies Δ if for all $C \in \Delta : I \models C$, i.e., $I \not\models \square, I \not\models \{\square\}, I \models \{\}$, for all I .

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Derivations

We say D can be *derived* from Δ using resolution, i.e.,

$$\Delta \vdash D,$$

if there exist $C_1, C_2, C_3, \dots, C_n = D$ such that

$$C_i \in R(\Delta \cup \{C_1, \dots, C_{i-1}\}), \text{ for } 1 \leq i \leq n.$$

Lemma (soundness) If $\Delta \vdash D$, then $\Delta \models D$.

Proof idea: Since all $D \in R(\Delta)$ follow logically from Δ , the lemma results through induction over the length of the derivation.

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The Resolution Rule

$$\frac{C_1 \cup \{l\}, C_2 \cup \{\bar{l}\}}{C_1 \cup C_2}$$

$C_1 \cup C_2$ are called *resolvents* of the *parent clauses* $C_1 \cup \{l\}$ and $C_2 \cup \{\bar{l}\}$. l and \bar{l} are the *resolution literals*.

Example: $\{a, b, \neg c\}$ resolves with $\{a, d, c\}$ to $\{a, b, d\}$.

Note: The resolvent is not equivalent to the parent clauses, but it follows from them!

Notation: $R(\Delta) = \Delta \cup \{C \mid C \text{ is a resolvent of two clauses from } \Delta\}$

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Completeness?

Is resolution also complete? I.e. is

$$\Delta \models \varphi \text{ implies } \Delta \vdash \varphi$$

valid? Only for clauses. Consider:

$$\{\{a, b\}, \{\neg b, c\}\} \models \{a, b, c\} \not\models \{a, b, c\}$$

But it can be shown that resolution is **refutation-complete**: Δ is unsatisfiable implies $\Delta \vdash \square$

Theorem: Δ is unsatisfiable iff $\Delta \vdash \square$

With the help of the contradiction theorem, we can show that $KB \models \varphi$.

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Resolution: Overview

- Resolution is a refutation-complete proof process. There are others (Davis-Putnam Procedure, Tableaux Procedure, ...).
- In order to implement the process, a **strategy** must be developed to determine which resolution steps will be executed and when.
- In the worst case, a resolution proof can take exponential time. This, however, very probably holds for all other proof procedures.
- For CNF formulae in propositional logic, the Davis-Putnam Procedure (backtracking over all truth values) is probably (in practice) the fastest complete process that can also be taken as a type of resolution process.

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Where is the Wumpus? The Situation

| | | | |
|---------------------|---------------------|-----------|-----|
| 1,4 | 2,4 | 3,4 | 4,4 |
| 1,3 W! | 2,3 | 3,3 | 4,3 |
| 1,2 A S OK | 2,2 OK | 3,2 | 4,2 |
| 1,1 V OK | 2,1 B V OK | 3,1 P! | 4,1 |

A = Agent
B = Breeze
G = Glitter, Gold
OK = Safe square
P = Pit
S = Stench
V = Visited
W = Wumpus

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Where is the Wumpus? Knowledge of the Situation

B = Breeze, S = Stench, $B_{i,j}$ = there is a breeze in (i,j)

$\neg S_{1,1} \quad \neg B_{1,1}$
 $\neg S_{2,1} \quad B_{2,1}$
 $S_{1,2} \quad \neg B_{1,2}$

Knowledge about the wumpus and smell:

$R_1: \neg S_{1,1} \Rightarrow \neg W_{1,1} \wedge \neg W_{1,2} \wedge \neg W_{2,1}$
 $R_2: \neg S_{2,1} \Rightarrow \neg W_{1,1} \wedge \neg W_{2,1} \wedge \neg W_{2,2} \wedge \neg W_{3,1}$
 $R_3: \neg S_{1,2} \Rightarrow \neg W_{1,1} \wedge \neg W_{1,2} \wedge \neg W_{2,2} \wedge \neg W_{1,3}$
 $R_4: S_{1,2} \Rightarrow W_{1,3} \vee W_{1,2} \vee W_{2,2} \vee W_{1,1} \dots$
 To show: $KB \models W_{1,3}$

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Clausal Representation of the Wumpus World

Situational knowledge:

$\neg S_{1,1}, \neg S_{2,1}, \neg S_{1,2}, \dots$

Knowledge of rules:

Knowledge about the wumpus and smell:

$R_1: S_{1,1} \vee \neg W_{1,1}, S_{1,1} \vee \neg W_{1,2}, S_{1,1} \vee \neg W_{2,1}$

$R_2: \dots, S_{2,1} \vee \neg W_{2,2}, \dots$

$R_3: \dots$

$R_4: \neg S_{1,2} \vee W_{1,3} \vee W_{1,2} \vee W_{2,2} \vee W_{1,1}$

\dots

Negated goal formula: $\neg W_{1,3}$

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Resolution Proof for the Wumpus World

Resolution:

$\neg W_{1,3}, \neg S_{1,2} \vee W_{1,3} \vee W_{1,2} \vee W_{2,2} \vee W_{1,1}$
 $\rightarrow \neg S_{1,2} \vee W_{1,2} \vee W_{2,2} \vee W_{1,1}$
 $S_{1,2}, \neg S_{1,2} \vee W_{1,2} \vee W_{2,2} \vee W_{1,1}$
 $\rightarrow W_{1,2} \vee W_{2,2} \vee W_{1,1}$
 $\neg S_{1,1}, S_{1,1} \vee \neg W_{1,1}$
 $\rightarrow \neg W_{1,1}$
 $\neg W_{1,1}, W_{1,2} \vee W_{2,2} \vee W_{1,1}$
 $\rightarrow W_{1,2} \vee W_{2,2}$
...
 $\neg W_{2,2}, W_{2,2}$
 $\rightarrow \square$

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Problems with Propositional Logic

Although propositional logic suffices to represent the wumpus world, it is rather involved.

1. **Rules** must be set up for each square.
 $R_1: \neg S_{1,1} \Rightarrow \neg W_{1,1} \wedge \neg W_{1,2} \wedge \neg W_{2,1}$
 $R_2: \neg S_{2,1} \Rightarrow \neg W_{1,1} \wedge \neg W_{2,1} \wedge \neg W_{2,2} \wedge \neg W_{3,1}$
 $R_3: \neg S_{1,2} \Rightarrow \neg W_{1,1} \wedge \neg W_{1,2} \wedge \neg W_{2,2} \wedge \neg W_{1,3}$
...

We need a time index for each proposition to represent the validity of the proposition over time \rightarrow further expansion of the rules.

- \rightarrow More powerful logics exist, in which we can use object variables.
- \rightarrow First-Order Predicate Logic

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From Knowledge to Action

We can now infer new facts, but how do we translate knowledge into action?

Negative selection: Excludes any provably dangerous actions.

$$A_{1,1} \wedge \text{East}_A \wedge W_{2,1} \Rightarrow \neg \text{Forward}$$

Positive selection: Only suggests actions that are provably safe.

$$A_{1,1} \wedge \text{East}_A \wedge \neg W_{2,1} \Rightarrow \text{Forward}$$

Differences?

From the suggestions, we must still select an action.

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Summary

- Rational agents require **knowledge** of their world in order to make rational decisions.
- With the help of a **declarative** (knowledge-representation) language, this knowledge is represented and stored in a **knowledge base**.
- We use **propositional logic** for this (for the time being).
- Formulae of propositional logic can be **valid**, **satisfiable** or **unsatisfiable**.
- The concept of **logical implication** is important.
- Logical implication can be mechanized by using an **inference calculus** \rightarrow **resolution**.
- Propositional logic quickly becomes impractical when the world becomes too large (or infinite).

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