# **Foundations of AI**

# 5. Constraint Satisfaction Problems

CSPs as Search Problems, Solving CSPs, Problem Structure Bernhard Nebel, Wolfram Burgard & Luc De Raedt

#### Contents

- What are CSPs?
- Backtracking Search for CSPs
- CSP Heuristics
- Constraint Propagation
- Problem Structure

#### **Constraint Satisfaction Problems**

- In search problems, the state does not have a structure (everything is in the data structure) – in CSPs states are explicitly represented as variable assignments.
- A CSP consists of
  - a set of variables {x1, x2, ... xn} to which
  - values {d1, d2, ...,dk} can be assigned
  - respecting a set of constraints over the variables
- A CSP is solved by a variable assignment that satisfies all given constraints
- Formal representation language with associated general inference algorithms

#### **Example: Map-Coloring**



- Variables:
- Values:
- Constraints:

WA, NT, SA, Q, NSW, V, T

{*red, green, blue*}

adjacent regions must have different colors, e.g. NSW  $\neq$  V

# Australian Capital Territory (ACT) and Canberra (inside NSW)



View of the Australian National University and Telstra Tower

### **One Solution**



#### Solution assignment:

- { WA = red, NT = green, Q = red, NSW = green, V = red, SA = blue, T = green }
  - Perhaps in addition ACT = blue

#### **Constraint Graph**



- Works for binary CSPs (otherwise hypergraph)
- Nodes = variables, arcs = constraints
- Graph structure can be important (e.g., connected components)

Note: Our problem is 3-colorability for a planar graph

#### Variations

- Binary, ternary, or even higher arity
- Finite domains (*d* values) => *d<sup>n</sup>* possible variable assignments
- Infinite domains (reals, integers)
  - *linear constraints* solvable (in P if real)
  - nonlinear constraints unsolvable

# **Applications**

- Timetabling (classes, rooms, times)
- Configuration (hardware, cars, ...)
- Spreadsheets
- Scheduling
- Floor planning
- Frequency assignments

#### **...**

#### **Backtracking Search over Assignments**

- Assign values to variables step by step (order does not matter)
- Consider only one variable per search node!
- DFS with single-variable assignments is called backtracking search
- Can solve *n*-queens for  $n \approx 25$

# Algorithm

```
function BACKTRACKING-SEARCH(csp) returns solution/failure
return RECURSIVE-BACKTRACKING([], csp)
function RECURSIVE-BACKTRACKING(assigned, csp) returns solution/failure
if assigned is complete then return assigned
var \leftarrow SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp], assigned, csp)
for each value in ORDER-DOMAIN-VALUES(var, assigned, csp) do
if value is consistent with assigned according to CONSTRAINTS[csp] then
result \leftarrow RECURSIVE-BACKTRACKING([var = value | assigned], csp)
if result \neq failure then return result
end
return failure
```









# Example (3)



# Example (4)



# **Improving Efficiency: CSP Heuristics & Pruning Techniques**

- Variable ordering: Which one to assign first?
- Value ordering: Which value to try first?
- Try to detect failures early on
- Try to exploit problem structure
- Note: all this is not problem-specific!

#### Variable Ordering: Most constrained first

- Most constrained variable:
  - choose the variable with the fewest remaining legal values
  - reduces branching factor!



# Variable Ordering: Most Constraining Variable First

- Break ties among variables with the same number of remaining legal values:
  - choose variable with the most constraints on remaining unassigned variables
  - reduces branching factor in the next steps



# Value Ordering: Least Constraining Value First

- Given a variable,
  - choose first a value that rules out the fewest values in the remaining unassigned variables
  - > We want to find an assignment that satisfies the constraints (of course, does not help if unsat.)



## **Rule Out Failures Early On: Forward Checking**

- Whenever a value is assigned to a variable, values that are now illegal for other variables are removed
- Implements what the ordering heuristics implicitly compute
- WA = red, then NT cannot become red
- If all values are removed for one variable, we can stop!

### Forward Checking (1)

- Keep track of remaining values
- Stop if all have been removed



#### **Forward Checking (2)**

- Keep track of remaining values
- Stop if all have been removed



#### Forward Checking (3)

- Keep track of remaining values
- Stop if all have been removed



### **Forward Checking (4)**

- Keep track of remaining values
- Stop if all have been removed



## Forward Checking: Sometimes it Misses Something

- Forward Checking propagates information from assigned to unassigned variables
- However, there is no propagation between unassigned variables



#### **Arc Consistency**

- A directed arc  $X \rightarrow Y$  is "consistent" iff
  - for every value x of X, there exists a value y of Y, such that (x,y) satisfies the constraint between X and Y
- Remove values from the domain of X to enforce arc-consistency
- Arc consistency detects failures earlier
- Can be used as preprocessing technique or as a propagation step during backtracking

#### **Arc Consistency Example**



# **AC3 Algorithm**

function AC-3(*csp*) returns the CSP, possibly with reduced domains inputs: *csp*, a binary CSP with variables  $\{X_1, X_2, \ldots, X_n\}$ local variables: *queue*, a queue of arcs, initially all the arcs in *csp* 

```
while queue is not empty do
```

 $(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(queue)$ if REMOVE-INCONSISTENT-VALUES $(X_i, X_j)$  then for each  $X_k$  in NEIGHBORS $[X_i]$  do add  $(X_k, X_i)$  to queue

function REMOVE-INCONSISTENT-VALUES ( $X_i, X_j$ ) returns true iff we remove a value

```
removed \leftarrow false
```

for each x in DOMAIN $[X_i]$  do

if no value y in DOMAIN[ $X_j$ ] allows (x, y) to satisfy the constraint between  $X_i$ and  $X_j$ 

```
then delete x from DOMAIN[X<sub>i</sub>]; removed \leftarrow true
```

return removed

#### **Properties of AC3**

- AC3 runs in O(d<sup>3</sup>n<sup>2</sup>) time, with n being the number of nodes and d being the maximal number of elements in a domain
- Of course, AC3 does not detect all inconsistencies (which is an NP-hard problem)

## **Problem Structure (1)**



- CSP has two independent components
- Identifiable as connected components of constraint graph
- Can reduce the search space dramatically

#### **Problem Structure (2): Tree-structured CSPs**



 If the CSP graph is a tree, then it can be solved in O(nd<sup>2</sup>)

General CSPs need in the worst case O(d<sup>n</sup>)

 Idea: Pick root, order nodes, apply arc consistency from leaves to root, and assign values starting at root

#### **Problem Structure (2): Tree-structured CSPs**



- Apply arc-consistency to  $(X_i, X_k)$ , when  $X_i$  is the parent of  $X_k$ , for all k=n downto 2.
- Now one can start at X<sub>1</sub> assigning values from the remaining domains without creating any conflict in one sweep through the tree!
- Algorithm linear in *n*

### **Problem Structure (3): Almost Tree-structured**

 Conditioning: Instantiate a variable and prune values in neighboring variables



 Cutset conditioning: Instantiate (in all ways) a set of variables in order to reduce the graph to a tree (note: finding minimal cutset is NPhard)

### Another Method: Tree Decomposition (1)

- Decompose problem into a set of connected sub-problems, where two sub-problems are connected when they share a constraint
- Solve sub-problems independently and combine solutions



# Another Method: Tree Decomposition (2)

- A tree decomposition must satisfy the following conditions:
  - Every variable of the original problem appears in at least one sub-problem
  - Every constraint appears in at least one sub-problem
  - If a variable appears in two sub-problems, it must appear in all sub-problems on the path between the two subproblems
  - The connections form a tree



## Another Method: Tree Decomposition (3)

- Consider sub-problems as new mega-nodes, which have values defined by the solutions to the sub-problems
- Use technique for tree-structured CSP to find an overall solution (constraint is to have identical values for the same variable).



#### **Tree Width**

- Tree width of a tree decomposition = size of largest sub-problem minus 1
- Tree width of a graph is minimal tree width over all possible tree decompositions
- If a graph has tree width w and we know a tree decomposition with that width, we can solve the problem in O(nd<sup>w+1</sup>)
- Finding a tree decomposition with minimal tree width is NP-hard

#### **Summary & Outlook**

- CSPs are a special kind of search problem:
  - states are value assignments
  - goal test is defined by constraints
- Backtracking = DFS with one variable assigned per node. Other intelligent backtracking techniques possible
- Variable/value ordering heuristics can help dramatically
- Constraint propagation prunes the search space
- Path-consistency is a constraint propagation technique for triples of variables
- Tree structure of CSP graph simplifies problem significantly
- Cutset conditioning and tree decomposition are two ways to transform part of the problem into a tree
- CSPs can also be solved using local search