# Constraint Satisfaction Problems Enforcing Consistency

Malte Helmert and Stefan Wölfl

Albert-Ludwigs-Universität Freiburg

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# **Enforcing Consistency**

- The more explicit and tight constraint networks are, the more restricted is the search space of partial solutions.
- Idea: infer at least a limited number of new constraints (by methods called local consistency-enforcing, bounded consistency inference, constraint propagation).
- Consistency-enforcing algorithms aim at assisting search: How can we extend a given partial solution of a small subnetwork to a partial solution of a larger subnetwork?

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#### Convention

In what follows we will always assume that the variables of a constraint network appear in some order. Then we can write constraint networks in the form:

$$C = \langle V, D, C \rangle$$
,

where  $D_i$  is the (possibly empty) domain of variable  $v_i$ , and constraints in the form  $R_{ijk}$ , where  $\{v_i, v_j, v_k\}$  is the scope of the relation.

Further, we assume that C does not contain unary constraints, i. e., constraints in C are always relations with arity n>1. This is possible, since we can define:

$$D_i := \mathrm{dom}(v_i) \cap R_{v_i}$$

and then delete  $R_{v_i}$  from the original network.  $D_i$  will be referred to as domains, unary constraint, or domain constraint.

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# Arc Consistency

Let  $C = \langle V, D, C \rangle$  be a constraint network.

#### Definition

- (a) A variable  $v_i$  is arc-consistent relative to variable  $v_j$  if for every value  $a_i \in D_i$  there exists an  $a_j \in D_j$  with  $(a_i, a_j) \in R_{ij}$  (in case that  $R_{ij}$  exists in C).
- (b) An "arc constraint"  $R_{ij}$  is arc-consistent if  $v_i$  is arc-consistent relative to  $v_j$  and  $v_j$  is arc-consistent relative to  $v_i$ .
- (c) A network  $\mathcal C$  is arc-consistent if all its arc constraints are arc-consistent.

#### Lemma

Checking whether a network  $C = \langle V, D, C \rangle$  is arc-consistent requires  $e \cdot k^2$  operations (where e is the number of its binary constraints and k is an upper bound of its domain sizes).

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## Example

Consider a constraint network with two variables  $v_1$  and  $v_2$ , domains  $D_1=D_2=\{1,2,3\}$ , and the binary constraint expressed by  $v_1< v_2$ .

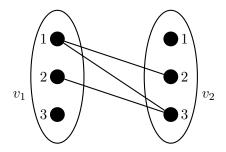


Figure: A network that is not arc-consistent

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```
Revise (v_i, v_j):
Input:
          a network with two variables v_i, v_j
          domains D_i and D_i, and constraint R_{ij}
Output: a network with refined D_i such that v_i
          is arc-consistent relative to v_i
for each a_i \in D_i
      if there is no a_i \in D_i with (a_i, a_i) \in R_{ii}
          then delete a_i from D_i
      endif
endfor
```

This is equivalent to applying

$$D_i \leftarrow D_i \cap \pi_i(R_{ij} \bowtie D_j)$$

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```

```
\begin{array}{ll} \textit{Input:} & \text{a network with two variables } v_i, v_j, \\ & \text{domains } D_i \text{ and } D_j, \text{ and constraint } R_{ij} \\ \textit{Output:} & \text{a network with refined } D_i \text{ such that } v_i \\ & \text{is arc-consistent relative to } v_j \\ & \text{for each } a_i \in D_i \\ & \text{if there is no } a_j \in D_j \text{ with } (a_i, a_j) \in R_{ij} \\ & \text{then delete } a_i \text{ from } D_i \\ & \text{endif} \\ & \text{endfor} \\ \end{array}
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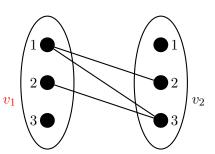
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#### Lemma

The complexity of Revise is  $O(k^2)$ , where k is an upper bound of the domain sizes.

Note: With a simple modification of the Revise algorithm one could improve to  $\mathcal{O}(t)$ , where t is the maximal number of tuples occurring in one of the binary constraints in the network.



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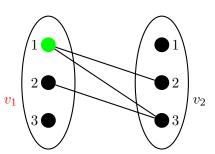
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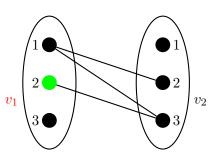
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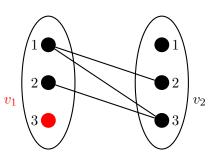
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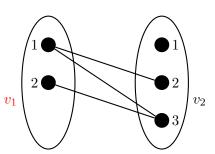
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```
\begin{array}{ll} \textbf{AC-1}(\mathcal{C}) \colon \\ \textit{Input:} & \text{a constraint network } \mathcal{C} = \langle V, D, C \rangle \\ \textit{Output:} & \text{an equivalent, but arc-consistent network } \mathcal{C}' \\ \textbf{repeat} & \text{for each arc } \{v_i, v_j\} \text{ with } R_{ij} \in C \\ & \text{Revise}(v_i, v_j) \\ & \text{Revise}(v_j, v_i) \\ & \text{endfor} \\ \textbf{until no domain is changed} \end{array}
```

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#### Lemma

Let  $\mathcal{C}$  be a constraint network with n variables, each with a domain of size  $\leq k$ , and e binary constraints. Applying AC-1 on the network runs in time  $\mathcal{O}(e \cdot n \cdot k^3)$ .

#### Proof.

One cycle through all binary constraints takes  $\mathcal{O}(e \cdot k^2)$ . In the worst case, one cycle just removes one value from one domain. Moreover, there are at most  $n \cdot k$  values. This result in an upper bound of  $\mathcal{O}(e \cdot n \cdot k^3)$ .

Note: If the input network is already arc-consistent, then AC-1 runs in time  $\mathcal{O}(e \cdot k^2)$ .

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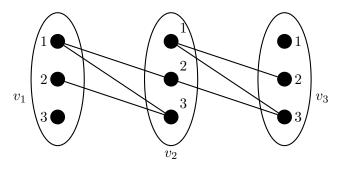
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Consider a constraint network with three variables  $v_1$ ,  $v_2$ , and  $v_3$ , domains  $D_1=D_2=\{1,2,3\}$ , and the binary constraints expressed by  $v_1< v_2$  and  $v_2< v_3$ .



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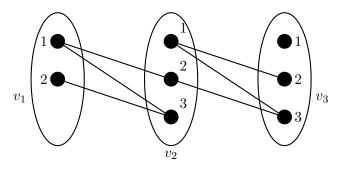
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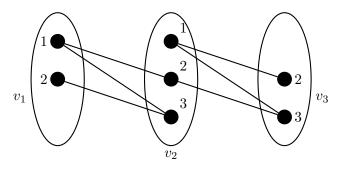
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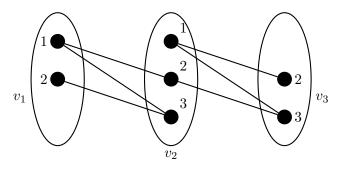
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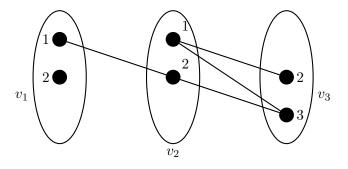
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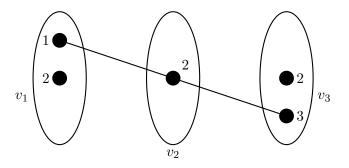
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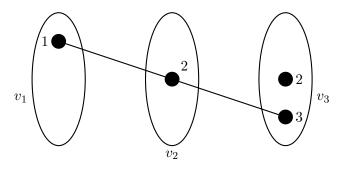
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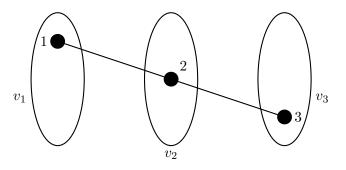
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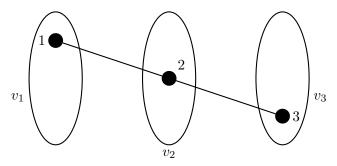
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Note: Enforcing arc consistency may already be sufficient to show that a constraint network is inconsistent. For example, add the constraint  $v_3 < v_1$  to the network just considered.

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AC-3(C):

endif

Idea: no need to process all constraints if only a few domains have changed. Hence operate on a queue of constraints that need to be processed.

```
Input: a constraint network \mathcal{C} = \langle V, D, C \rangle
Output: an equivalent, but arc-consistent network \mathcal{C}'
for each pair v_i, v_j that occurs in a constraint R_{ij}
queue \leftarrow queue \cup \{(v_i, v_j), (v_j, v_i)\}
endfor
while queue is not empty
select and delete (v_i, v_j) from queue
Revise(v_i, v_j)
if Revise(v_i, v_j) changes D_i
```

**then**  $queue \leftarrow queue \cup \{(v_k, v_i) : k \neq i, k \neq j\}$ 

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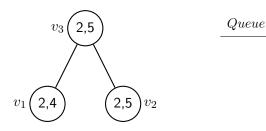
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Example: Consider a constraint network with 3 variables  $v_1$ ,  $v_2$ ,  $v_3$  with domains  $D_1=\{2,4\}$  and  $D_2=D_3=\{2,5\}$ , and two constraints expressed by  $v_3|v_1$  and  $v_3|v_2$  ("divides").



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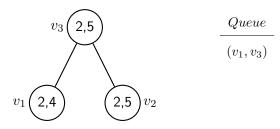
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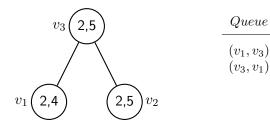
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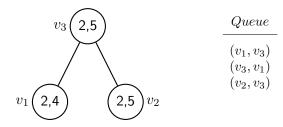
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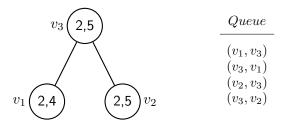
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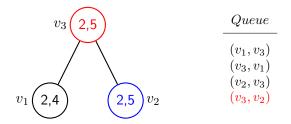
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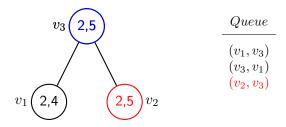
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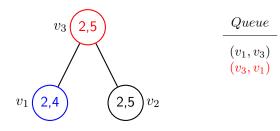
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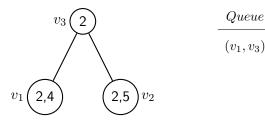
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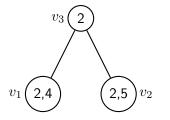
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 $\frac{Queue}{(v_1, v_3)} \\ (v_2, v_3)$ 

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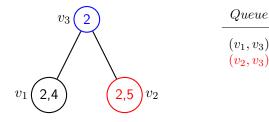
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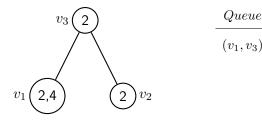
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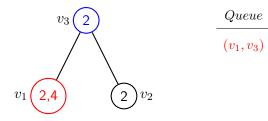
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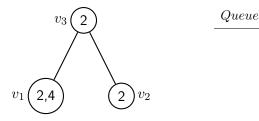
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#### Lemma

Let  $\mathcal C$  be a constraint network with n variables, each with a domain of size  $\leq k$ , and e binary constraints.

Applying AC-3 on the network runs in time  $\mathcal{O}(e \cdot k^3)$ .

#### Proof.

Consider a single constraint. Each time, when it is reintroduced into the queue, the domain of one of its variables must have been changed. Since there are at most  $2 \cdot k$  values, AC-3 processes each constraint at most  $2 \cdot k$  times. Because we have e constraints and processing of each is in time  $\mathcal{O}(k^2)$ , we obtain  $\mathcal{O}(e \cdot k^3)$ .

Note: If the input network is arc-consistent, then AC-3 runs in time  $\mathcal{O}(e \cdot k^2)$ .

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- ullet To verify that a network is arc-consistent needs  $e \cdot k^2$  operations.
- The following algorithm AC-4 achieves optimal performance, . . .
- at the cost of "best case performance", which is  $\Omega(e \cdot k^2)$ .

#### Idea:

- Associate to each value  $a_i$  in the domain of variable  $v_i$  the amount of support from variable  $v_j$  (i. e., the number of values in  $D_j$  that are consistent with  $a_i$ );
- ullet Delete a value  $a_i$  if it has no support from any other variable

#### Details:

- List: currently unsupported variable-value pairs;
- $counter(x_i, a_i, x_j)$ : support for  $a_i$  from  $x_j$ ;
- $S_{x_j,a_j}$ : array pointing to all values in other variables supported by  $(x_i,a_i)$ ;
- M: list of removed values.

Constraint Satisfaction Problems

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Arc Consistency

Path Consistency

*i*-Consistency

#### AC-4(C):

```
a constraint network \mathcal{C} = \langle V, D, C \rangle
Input:
Output: an equivalent, but arc-consistent network C'
M \leftarrow \emptyset
initialize S_{x_i,a_i} and counter(x_i,a_i,x_i) for all R_{ij}
for each counter
      if counter(x_i, a_i, x_i) = 0
           then add (x_i, a_i) to List
      endif
endfor
while List is not empty
      choose and remove (x_i, a_i) from List, and add it to M
      for each (x_i, a_i) in S_{x_i, a_i}
           decrement counter(x_i, a_i, x_i)
           if counter(x_i, a_i, x_i) = 0
               then add (x_i, a_i) to List
           endif
      endfor
endwhile
```

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### Example

Consider the same network as for AC-3.

The initialization steps yield:

$$S_{v_3,2} = \{(v_1, 2), (v_1, 4), (v_2, 2)\}$$
  $S_{v_3,5} = \{(v_2, 5)\}$   
 $S_{v_2,2} = \{(v_3, 2)\}$   $S_{v_2,5} = \{(v_3, 5)\}$   
 $S_{v_1,2} = \{(v_3, 2)\}$   $S_{v_1,4} = \{(v_3, 2)\}$ 

Furthermore:

$$counter(v_3, 2, v_1) = 2$$
 and  $counter(v_3, 5, v_1) = 0$ .

All other counters are 1 (note: we only need consider counters between connected variables).

$$List = \{(v_3, 5)\}$$
 and  $M = \emptyset$ .

When  $(v_3,5)$  is removed from  $\mathit{List}$  and added to M, we obtain  $\mathit{counter}(v_2,5,v_3)=0$  and add  $(v_2,5)$  to  $\mathit{List}$ . Then  $(v_2,5)$  is removed from  $\mathit{List}$  and added to M.  $(v_2,5)$  is only supported by  $(v_3,5)$ , but that pair is already in M, and we are done.

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## Beyond Arc Consistency

- Sometimes "enforcing arc consistency" is sufficient for detecting inconsistent (unsolvable) networks; but ...
- enforcing arc consistency is not complete for deciding consistency of networks; because . . .
- inferences rely only on domain constraints and single binary constraints defined on the domains.
- ⇒ We consider further concepts of local consistency

Constraint Satisfaction Problems

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Arc Consistency

Path Consistency

 $i ext{-}\mathsf{Consistency}$ 

# Path Consistency

#### Definition

- (a) A binary constraint  $R_{ij}$  for variables  $v_i, v_j$  is path-consistent relative to a third variable  $v_k$  if for every pair  $(a_i, a_j) \in R_{ij}$ , there exists an  $a_k \in D_k$  such that  $(a_i, a_k) \in R_{ik}$  and  $(a_k, a_j) \in R_{kj}$ .
- (b) A pair of distinct variables  $v_i, v_j$  is path-consistent relative to variable  $v_k$  if any instantiation a of  $\{v_i, v_j\}$  with  $(a(v_i), a(v_j)) \in R_{ij}$  can be extended to an instantiation a' of  $\{v_i, v_j, v_k\}$  such that  $(a'(v_i), a'(v_k)) \in R_{ik}$  and  $(a'(v_k), a'(v_j)) \in R_{kj}$  ("extended" means:  $a = a'|_{\{v_i, v_j\}}$ ).
- (c) A set of distinct variables  $\{v_i, v_j, v_k\}$  is path-consistent if any pair of these variables is path-consistent relative to the omitted third variable.
- (d) A constraint network is path-consistent if all its three-element subsets of variables are path-consistent.

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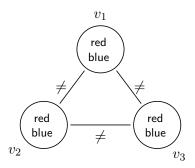
Arc Consistency

Path

Consistency

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### An Example



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> Path Consistency

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AC Extensions

Figure: This network is arc-consistent, but not path-consistent.

### Revising a Path

```
Revise-3(\{v_i,v_j\},v_k):

Input: a binary network \langle V,D,C\rangle with variables v_i,v_j,v_k Output: a revised constraint R_{ij} path-consistent with v_k for each pair (a_i,a_j)\in R_{ij} if there is no a_k\in D_k such that (a_i,a_k)\in R_{ik} and (a_j,a_k)\in R_{jk} then delete (a_i,a_j) from R_{ij} endiferal endfor
```

This is equivalent to applying:

$$R_{ij} \leftarrow R_{ij} \cap \pi_{ij}(R_{ik} \bowtie D_k \bowtie R_{kj})$$

Constraint Satisfaction Problems

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Arc Consistency

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### Revising a Path

```
Revise-3(\{v_i,v_j\},v_k):

Input: a binary network \langle V,D,C\rangle with variables v_i,v_j,v_k Output: a revised constraint R_{ij} path-consistent with v_k

for each pair (a_i,a_j)\in R_{ij}

if there is no a_k\in D_k such that (a_i,a_k)\in R_{ik}

and (a_j,a_k)\in R_{jk}

then delete (a_i,a_j) from R_{ij}
endifeendfor
```

This is equivalent to applying:

$$R_{ij} \leftarrow R_{ij} \cap \pi_{ij}(R_{ik} \bowtie D_k \bowtie R_{kj})$$

Constraint Satisfaction Problems

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### Revising a Path: Properties

#### Lemma

When applied to a constraint network C, procedure Revise- $3(\{v_i, v_j\}, v_k)$ :

- does not do anything if the pair  $v_i$ ,  $v_j$  is path-consistent relative to  $v_k$ , and otherwise
- transforms the network into an equivalent form where the pair  $v_i$ ,  $v_j$  is path-consistent relative to  $v_k$ .

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#### Proof.

From the definition of path-consistency.

## Revising a Path: Complexity

#### Lemma

Let t be the maximal number of tuples in one of the binary constraints, and let k be an upper bound for the domain sizes.

The worst-case runtime of Revise-3 is  $O(t \cdot k)$ . The best-case runtime of Revise-3 is O(t).

Note that  $t \leq k^2$ , so the complexity of Revise-3 can also be expressed as  $\mathcal{O}(k^3)$  in the worst and  $\Omega(k^2)$  in the best case.

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## Enforcing Path Consistency: PC-1

#### PC-1(C):

```
Input: a constraint network \mathcal{C} = \langle V, D, C \rangle
Output: an equivalent, path-consistent network \mathcal{C}'
repeat

for each (ordered) triple of variables v_i, v_j, v_k:

Revise-3(\{v_i, v_j\}, v_k)
endfor
until no constraint is changed
```

Constraint Satisfaction Problems

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# Enforcing Path Consistency: Soundness of PC-1

#### Lemma

When applied to a constraint network C, the PC-1 algorithm computes a path-consistent constraint network which is equivalent to C.

#### Proof.

Follows directly from the properties of Revise-3.

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#### Lemma

Let  $\mathcal C$  be a constraint network with n variables, each with a domain of size  $\leq k$ . Let t be an upper bound of the number of tuples in one of the binary constraints in  $\mathcal C$ .

The worst-case runtime of PC-1 on this network is  $\mathcal{O}(n^5 \cdot t^2 \cdot k)$ . The best-case runtime of PC-1 on this network is  $\Omega(n^3 \cdot t)$ .

Because  $t \leq k^2$ , the runtime bounds can also be stated as  $\mathcal{O}(n^5 \cdot k^5)$  and  $\Omega(n^3 \cdot k^2)$ , respectively.

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#### Proof (worst case).

In each iteration of the outer loop in PC-1, only one value pair might be deleted from one of the constraints. Hence the number of iterations may be as large as  $\mathcal{O}(n^2 \cdot t)$ . Processing a specific triple of constraints (there are  $\mathcal{O}(n^3)$  many such triples) costs  $\mathcal{O}(t \cdot k)$ .

### Proof (best case)

In the best case, the network is already path-consistent and only one iteration through the outer loop is needed. There are  $\Omega(n^3)$  calls to Revise-3, each requiring time  $\Omega(t)$  in the best case.

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In each iteration of the outer loop in PC-1, only one value pair might be deleted from one of the constraints. Hence the number of iterations may be as large as  $\mathcal{O}(n^2 \cdot t)$ .

Processing a specific triple of constraints (there are  $\mathcal{O}(n^3)$  many such triples) costs  $\mathcal{O}(t \cdot k)$ .

Hence each iteration costs  $\mathcal{O}(n^3 \cdot t \cdot k)$ .

#### Proof (best case).

In the best case, the network is already path-consistent and only one iteration through the outer loop is needed. There are  $\Omega(n^3)$  calls to Revise-3, each requiring time  $\Omega(t)$  in the best case.  $\hfill\Box$ 

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## Enforcing Path Consistency: PC-2

#### PC-2(C):

```
Input: a constraint network \mathcal{C} = \langle V, D, C \rangle
Output: an equivalent, path-consistent network \mathcal{C}'
queue \leftarrow \{(i,k,j): 1 \leq i < j \leq n, 1 \leq k \leq n, k \neq i, k \neq j\}
while queue is not empty
select and delete a triple (i,k,j) from queue
Revise-3(\{v_i,v_j\},v_k)
if R_{ij} has changed then
queue \leftarrow queue \cup \{(l,i,j),\ (l,j,i): 1 \leq l \leq n, l \neq i,j\}
endif
endwhile
```

Constraint Satisfaction Problems

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Arc Consistency

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# Enforcing Path Consistency: Soundness of PC-2

#### Lemma

When applied to a constraint network  $\mathcal{C}$ , the PC-2 algorithm computes a path-consistent constraint network which is equivalent to  $\mathcal{C}$ .

#### Proof.

Equivalence follows directly from the properties of Revise-3. To see that the remaining constraint network is path-consistent, verify the following invariant:

Before and after each iteration of the **while**-loop, for each pair  $v_i, v_j$  which is not path-consistent relative to  $v_k$ , one of the triples (i, k, j) and (j, k, i) is contained in the queue.

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#### Lemma

Let  $\mathcal C$  be a constraint network with n variables, each with a domain of size  $\leq k$ . Let t be an upper bound of the number of tuples in one of the binary constraints in  $\mathcal C$ .

The worst-case runtime of PC-2 on this network is  $\mathcal{O}(n^3 \cdot t^2 \cdot k)$ . The best-case runtime of PC-2 on this network is  $\Omega(n^3 \cdot t)$ .

Because  $t \leq k^2$ , the runtime bounds can also be stated as  $\mathcal{O}(n^3 \cdot k^5)$  and  $\Omega(n^3 \cdot k^2)$ , respectively.

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#### Proof (worst case).

There are initially  $\mathcal{O}(n^3)$  elements in the queue. Whenever some constraint  $R_{ij}$  is reduced, which can happen at most  $\mathcal{O}(n^2 \cdot t)$  many times, O(n) elements are added to the queue. Thus, the total number of elements added to the queue is bounded by  $\mathcal{O}(n^3 \cdot t)$ .

Each iteration of the **while** loop removes an element from the queue, so there are at most  $\mathcal{O}(n^3 \cdot t)$  iterations and hence at most  $\mathcal{O}(n^3 \cdot t)$  calls to Revise-3, each requiring time  $\mathcal{O}(t \cdot k)$ , for a total runtime bound of  $\mathcal{O}(n^3 \cdot t^2 \cdot k)$ .

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Path Consistency

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AC Extensions

Proof (best case

Similar to PC-1

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Arc Consistency

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AC Extensions

#### Proof (best case).

Similar to PC-1.

# Arc and Path Consistency: Overview

	Worst Case	Best Case
AC-1	$\mathcal{O}(n \cdot k \cdot e \cdot t)$	$\Omega(e \cdot k)$
AC-3	$\mathcal{O}(e \cdot k \cdot t)$	$\Omega(e\cdot k)$
AC-4	$\mathcal{O}(e \cdot t)$	$\Omega(e \cdot k^2)$
PC-1	$\mathcal{O}(n^5 \cdot t^2 \cdot k)$	$\Omega(n^3 \cdot t)$
PC-2	$\mathcal{O}(n^3 \cdot t^2 \cdot k)$	$\Omega(n^3 \cdot t)$
PC-4*	$\mathcal{O}(n^3 \cdot t \cdot k)$	$\Omega(n^3 \cdot t \cdot k)$
*not discussed in this lecture		

Remark:  $\mathcal{O}(n^3 \cdot t \cdot k)$  is the optimal (worst-case) runtime for enforcing path consistency, i.e., there are (arbitrarily large) constraint networks for which no better algorithm exists.

Constraint Satisfaction Problems

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# Arc and Path Consistency: Overview

	Worst Case	Best Case
AC-1	$\mathcal{O}(n \cdot k \cdot e \cdot t)$	$\Omega(e \cdot k)$
AC-3	$\mathcal{O}(e \cdot k \cdot t)$	$\Omega(e\cdot k)$
AC-4	$\mathcal{O}(e \cdot t)$	$\Omega(e\cdot k^2)$
PC-1	$\mathcal{O}(n^5 \cdot t^2 \cdot k)$	$\Omega(n^3 \cdot t)$
PC-2	$\mathcal{O}(n^3 \cdot t^2 \cdot k)$	$\Omega(n^3 \cdot t)$
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## Higher Levels of *i*-Consistency

The local consistency notions presented so far can be roughly summarized as follows:

- Arc consistency: Every consistent assignment to a single variable can be consistently extended to any second variable.
- Path consistency: Every consistent assignment to two variables can be consistently extended to any third variable.

(Side remark: This is a bit of an oversimplification because we ignored k-ary constraints with  $k \geq 3$  so far. More on this later.)

It is easy to see that the general idea of local consistency can be readily extended to larger variable sets. Constraint Satisfaction Problems

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## Higher Levels of *i*-Consistency

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# *i*-Consistency

Let  $C = \langle V, D, C \rangle$  be a constraint network.

#### Definition

- (a) A relation  $R_S \in C$  with scope S of size i-1 is *i*-consistent relative to variable  $v_i \notin S$  if for every tuple  $t \in R_S$ , there exists an  $a \in D_i$  such that (t, a) is consistent.
- (b) A constraint network is *i*-consistent if any consistent instantiation of i-1 (distinct) variables  $v_1,\ldots,v_{i-1}$  of the network can be extended to a *consistent* instantiation of the variables  $v_1,\ldots,v_i$ , where  $v_i$  is any variable in V distinct from  $v_1,\ldots,v_{i-1}$ .

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Consistency

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# Global Consistency

#### Definition

- A network  $\mathcal C$  is strongly *i*-consistent if it is *j*-consistent for each  $j \leq i$ .
- A network  $\mathcal C$  with n variables is globally consistent if it is strongly n-consistent.

Note: Solutions to globally consistent networks can be found without search. (How?)

Constraint Satisfaction Problems

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Arc Consistency

> Path Consistency

 $i ext{-}\mathsf{Consistency}$ 

AC E. .............

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Path Consistency

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# Arc/Path Consistency vs. 2/3-Consistency

#### Note:

- 2-consistency coincides with arc consistency.
- For networks containing binary constraints only,
   3-consistency coincides with path consistency.
- Each 3-consistent network is path-consistent.
- The converse is not true: For networks with constraints of arity  $\geq 3$ , 3-consistency is stricter than path consistency.

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*i*-Consistency

### 3-Consistency: Examples

#### Example

$$V = \{v_1, v_2, v_3\}$$
  

$$D_1 = D_2 = D_3 = \{0, 1\}$$
  

$$R_{123} = \{(0, 0, 0)\}$$

#### Example

```
V = \{v_1, v_2, v_3\}
D_1 = D_2 = D_3 = \{0, 1\}
R_{123} = \{(0, 0, 0), (0, 1, 1), (1, 0, 1), (1, 1, 0)\}
R_{12} = \{(0, 1), (1, 0), (1, 1)\}
```

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Path Consistency

i-Consistency

### 3-Consistency: Examples

#### Example

```
V = \{v_1, v_2, v_3\}

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R_{12} = \{(0, 1), (1, 0), (1, 1)\}
```

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#### Revise-i

```
Revise-i(\{v_1, \ldots, v_{i-1}\}, v_i):
            a network \langle V, D, C \rangle and a constraint R_S
Input:
            with scope S = \{v_1, ..., v_{i-1}\}
Output: a constraint R_S which is i-consistent rel. to v_i
for each instantiation \overline{a}_{i-1} \in R_S
       if there is no a_i \in D_i such that (\overline{a}_{i-1}, a_i)
            is consistent
            then delete \overline{a}_{i-1} from R_S
       endif
endfor
```

ullet  $R_S$  can be the universal relation wrt. S.

- ullet If the input network is binary, then Revise-i runs in time  $\mathcal{O}(ik^i)$
- In general, Revise-i runs in time  $\mathcal{O}((2 \cdot k)^i)$ , since  $\mathcal{O}(2^i)$  constraints must be processed for each tuple.

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#### Revise-i

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for each instantiation \overline{a}_{i-1} \in R_S
       if there is no a_i \in D_i such that (\overline{a}_{i-1}, a_i)
            is consistent
            then delete \overline{a}_{i-1} from R_S
       endif
endfor
```

Constraint Satisfaction Problems

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Consistency

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AC Extensions

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## i-Consistency: Algorithm

#### Enforce i-Consistency(C):

*Input:* A constraint network  $C = \langle V, D, C \rangle$ .

Output: An i-consistent network equivalent to C.

repeat

for each subset of  $S \subseteq V$  of size i-1 and each  $v_i \notin S$ 

Revise- $i(\{v_1,\ldots,v_{i-1}\},v_i)$ 

endfor

until no constraint is changed

The Revise-i call can equivalently be stated as follows: Let  $\mathcal S$  be the set of all subsets of  $\{v_1,\ldots,v_i\}$  that contain  $v_i$  and occur as scopes of some constraint in the network. Then apply

$$R_S \leftarrow R_S \cap \pi_S(\bowtie_{S' \in \mathcal{S}} R_{S'}).$$

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Consistency

 $i ext{-}\mathsf{Consistency}$ 

# i-Consistency: Algorithm

### Enforce i-Consistency(C):

*Input:* A constraint network  $C = \langle V, D, C \rangle$ .

Output: An i-consistent network equivalent to C.

### repeat

**for** each subset of  $S \subseteq V$  of size i-1 and each  $v_i \notin S$ Revise- $i(\{v_1, \ldots, v_{i-1}\}, v_i)$ 

endfor

until no constraint is changed

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Arc Consistency

Patn Consistency

*i*-Consistency

# i-Consistency: Complexity

#### Lemma

Let  $\mathcal C$  be a constraint network with n variables, each with a domain of size  $\leq k$ . When applied to  $\mathcal C$ , the "Enforce i-Consistency" algorithm runs in time  $\mathcal O(2^i \cdot (n \cdot k)^{2i-1})$ .

#### Proof.

Each call to Revise-i requires time  $\mathcal{O}((2 \cdot k)^i)$ . In each iteration of the outer loop,  $\mathcal{O}(n^i)$  combinations of S and  $v_i$  need to be processed. If only one tuple is removed from one constraint in each iteration up to the final one, the outer loop may need to iterate  $\mathcal{O}(n^{i-1} \cdot k^{i-1})$  times.

This leads to an overall runtime of  $\mathcal{O}(2^i \cdot (n \cdot k)^{2i-1})$ .

Note: Improvements similar to AC-4 and PC-4 exist and achieve a worst-case runtime of  $\mathcal{O}(n^i \cdot k^i)$ .

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# i-Consistency: Complexity

#### Lemma

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## *i*-Consistency: Comparison to AC-x and PC-x

	Worst Case
i-consistency, $i=2$	$\mathcal{O}(n^3 \cdot k^3)$
AC-1	$\mathcal{O}(n \cdot k \cdot e \cdot t) = \mathcal{O}(n^3 \cdot k^3)$
AC-3	$\mathcal{O}(e \cdot k \cdot t) = \mathcal{O}(n^2 \cdot k^3)$
AC-4	$\mathcal{O}(e \cdot t) = \mathcal{O}(n^2 \cdot k^2)$
improved $i$ -consistency*, $i=2$	$\mathcal{O}(n^2 \cdot k^2)$
i-consistency, $i=3$	$\mathcal{O}(n^5 \cdot k^5)$
PC-1	$ O(n^5 \cdot t^2 \cdot k) = O(n^5 \cdot k^5) $
PC-2	$\mathcal{O}(n^3 \cdot t^2 \cdot k) = \mathcal{O}(n^3 \cdot k^5)$
PC-4*	$\mathcal{O}(n^3 \cdot t \cdot k) = \mathcal{O}(n^3 \cdot k^3)$
improved $i$ -consistency*, $i=3$	$\mathcal{O}(n^3 \cdot k^3)$
*not discussed in this lecture	

Remark:  $\mathcal{O}(n^i \cdot k^i)$  is the optimal (worst-case) runtime for enforcing *i*-consistency, i.e., there are (arbitrarily large) constraint networks for which no better algorithm exists.

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## Extensions of Arc Consistency

- General *i*-consistency is powerful, but expensive to enforce.
- Usually, arc consistency and path consistency offer a good compromise between pruning power and computational overhead.
- However, they are of limited usefulness for constraints on more than two variables.

#### Example

Consider a constraint network with three integer variables  $v_1,v_2,v_3\geq 0$  and the constraints  $v_3\geq 13$  and  $v_1+v_2+v_3\leq 15$ .

We should be able to infer  $v_1 \le 2$  and  $v_2 \le 2$ , but regular arc consistency is not enough!

→ Consider generalizations of arc consistency to non-binary constraints

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# Generalized Arc Consistency

Let  $C = \langle V, D, C \rangle$  be a constraint network.

### Definition

- (a) A variable  $v_i$  is (generalized) arc-consistent relative to a constraint  $R \in C$  whose scope contains  $v_i$  if for every value  $a_i \in D_i$  there exists a tuple  $\overline{a} \in R$  with  $\overline{a}_i = a_i$ .
- (b) A constraint  $R \in C$  is (generalized) arc-consistent iff all variables in its scope are generalized arc-consistent relative to R.
- (c) A network  $\mathcal{C}$  is (generalized) arc-consistent if all its constraints are generalized arc-consistent.

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# Generalized Arc Consistency: Update Rule

To enforce generalized arc consistency, repeatedly apply

$$D_i \leftarrow D_i \cap \pi_i(R_S \bowtie D_{S\setminus \{v_i\}})$$

Note how this generalizes the usual arc consistency update rule:

$$D_i \leftarrow D_i \cap \pi_i(R_{ij} \bowtie D_j)$$

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## Alternatives to Generalized Arc Consistency

- Like arc consistency, generalized arc consistency propagates constraints by considering a single constraint at a time.
- In particular, it considers how assignments to each individual variable are restricted by the values allowed for the other variables participating in the constraint.
- Alternatively, we can consider how each individual variable restricts the values allowed for the other variables participating in the constraint:

$$R_{S\setminus\{v_i\}} \leftarrow R_{S\setminus\{v_i\}} \cap \pi_{S\setminus\{v_i\}}(R_S \bowtie D_i)$$

(relational arc consistency)

 Note that in the case of binary constraints, these two cases are the same, so both approaches are natural generalizations of (binary) arc consistency. Constraint Satisfaction Problems

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$$\begin{split} &\mathsf{AC:}\ D_i \leftarrow D_i \cap \pi_i(R_{ij} \bowtie D_j) \\ &\mathsf{generalized}\ \mathsf{AC:}\ D_i \leftarrow D_i \cap \pi_i(R_S \bowtie D_{S \backslash \{v_i\}}) \\ &\mathsf{relational}\ \mathsf{AC:}\ R_{S \backslash \{v_i\}} \leftarrow R_{S \backslash \{v_i\}} \cap \pi_{S \backslash \{v_i\}}(R_S \bowtie D_i) \end{split}$$

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