

## Invariant formulae

- Connection to reachability and the existence of plans
- An algorithm for computing invariants
- Application to planning by propositional satisfiability and regression.

**No lectures on Monday May 30 and Wednesday June 2 (Pfingsten), Monday June 7 and Wednesday June 9.**

## Invariants: definition

A formula  $\phi$  is an **invariant** of problem instance  $\langle P, I, O, G \rangle$  if

1.  $I \models \phi$ , and
2. for every  $o \in O$  and state  $s$  such that  $s \models \phi$ , also  $\text{app}_o(s) \models \phi$ .

$\implies \phi$  is true in every state that is reachable from  $I$  by some sequence of operators.

## Invariants: the strongest invariant

A formula  $\phi$  is **the strongest invariant** if for any invariant  $\psi$ ,  $\phi \models \psi$ .

The strongest invariant *exactly characterizes* the set  $S$  of all states reachable from  $I$  with operators  $o \in O$ :

For all states  $s$ ,  $s \models \phi$  if and only if  $s \in S$ .

*(Actually, there are several strongest invariants, but they are all logically equivalent.)*

## Invariants: an example (blocks world)

$\langle \text{ontable}(x) \wedge \text{clear}(x) \wedge \text{clear}(y), \text{on}(x, y) \wedge \neg \text{clear}(y) \wedge \neg \text{ontable}(x) \rangle$   
 $\langle \text{clear}(x) \wedge \text{on}(x, y), \text{ontable}(x) \wedge \text{clear}(y) \wedge \neg \text{on}(x, y) \rangle$   
 $\langle \text{clear}(x) \wedge \text{on}(x, y) \wedge \text{clear}(z), \text{on}(x, z) \wedge \text{clear}(y) \wedge \neg \text{clear}(z) \wedge \neg \text{on}(x, z) \rangle$

$\text{clear}(x) \leftrightarrow \forall y \in X \setminus \{x\}. \neg \text{on}(y, x)$

$\text{ontable}(x) \leftrightarrow \forall y \in X \setminus \{x\}. \neg \text{on}(x, y)$

$\neg \text{on}(x, y) \vee \neg \text{on}(x, z)$  when  $y \neq z$

$\neg \text{on}(y, x) \vee \neg \text{on}(z, x)$  when  $y \neq z$

$\neg (\text{on}(x_1, x_2) \wedge \text{on}(x_2, x_3) \wedge \dots \wedge \text{on}(x_{n-1}, x) \wedge \text{on}(x_n, x_1))$

for every  $n \geq 1$  and  $\{x_1, \dots, x_n\} \subseteq X$

## Invariants: connection to plan existence

Let  $\phi$  be the strongest invariant for  $\langle P, I, O, G \rangle$ . Then  $\langle P, I, O, G \rangle$  has a plan if and only if  $G \wedge \phi$  is satisfiable (the set of goal states and the set of reachable states intersect.)

**THEOREM** Computing the strongest invariant  $\phi$  is PSPACE-hard.

**PROOF**

Fact 1: Testing existence of plans with 1-literal goal  $A$  is PSPACE-hard. (TM simulation with one accepting state.)

## proof continues...

Let  $o = \langle A, p_1 \wedge \dots \wedge p_n \rangle$  with  $P = \{p_1, \dots, p_n\}$ .

For  $\langle P, I, O, A \rangle$  a plan exists

iff for  $\langle P, I, O \cup \{o\}, A \rangle$  a plan exists

iff for  $\langle P, I, O \cup \{o\}, A \wedge p_1 \wedge \dots \wedge p_n \rangle$  a plan exists.

Testing satisfiability of  $\phi \wedge A \wedge p_1 \wedge \dots \wedge p_n$  (*exactly one goal state!*) can be done in polynomial time: replace state variables in  $\phi$  by  $\top$  and simplify.

$\implies$  Plan existence is polynomial-time reducible to computing the strongest invariant.  $\implies$  The latter is PSPACE-hard. Q.E.D.

## Invariant computation: informally

Similar to distance estimation: compute sets  $C_i$  characterizing (giving an *upper bound!*) states reachable by  $i$  steps:

$$\begin{aligned} C_0 &= \{A, \neg B, C\} \sim \{101\} \\ C_1 &= \{A \vee B, \neg A \vee \neg B, C\} \sim \{101, 011\} \\ C_2 &= \{\neg A \vee \neg B, C\} \sim \{001, 011, 101\} \\ C_3 &= \{\neg A \vee \neg B, C \vee A\} \sim \{001, 011, 100, 101\} \\ C_4 &= \{\neg A \vee \neg B\} \sim \{000, 001, 010, 011, 100, 101\} \\ C_5 &= \{\neg A \vee \neg B\} \sim \{000, 001, 010, 011, 100, 101\} \\ C_i &= C_5 \text{ for all } i \geq 5 \end{aligned}$$

## Invariant computation: informally

1. Start with all 1-literal clauses that are true in the initial state.
2. Repeatedly test every operator vs. every clause, whether the clause can be shown to be true after applying the operator:
  - One of the literals in the clause is necessarily true: retain.
  - Otherwise, if the clause is too long: forget it.
  - Otherwise, generate new clauses by adding literals that are now true.
3. When no change, stop  $\implies$  All clauses are invariants.

### Invariant computation: function simplepreserved

```

PROCEDURE simplepreserved( $l_1 \vee \dots \vee l_n, \Delta, \langle l'_1 \wedge \dots \wedge l'_{n'}, l''_1 \wedge \dots \wedge l''_{n''} \rangle$ );
IF  $\{\bar{l}'_1, \dots, \bar{l}'_{n'}\} \subseteq \{l'_1, \dots, l'_{n'}\}$  for some  $l'''_1 \vee \dots \vee l'''_m \in \Delta$  THEN RETURN true;
FOR EACH  $l \in \{l_1, \dots, l_n\}$  DO
  IF  $\bar{l} \notin \{l''_1, \dots, l''_{n''}\}$  THEN GOTO OK;
  FOR EACH  $l' \in \{l_1, \dots, l_n\} \setminus \{l\}$  DO
    IF  $l' \in \{l'_1, \dots, l'_{n'}\}$  THEN GOTO OK;
    IF  $l' \in \{l'_1, \dots, l'_{n'}\}$  OR  $\bar{l}'_1 \vee \dots \vee \bar{l}'_{n'} \vee l' \in \Delta$  for some  $\{l'''_1, \dots, l'''_m\} \subseteq \{l'_1, \dots, l'_{n'}\}$ ,
      AND  $\bar{l}' \notin \{l''_1, \dots, l''_{n''}\}$ 
    THEN GOTO OK;
  END DO
  RETURN false;
OK:
END DO
RETURN true;

```

### Invariant computation: function simplepreserved

Let  $\Delta = \{C \vee B\}$ .

simplepreserved( $A \vee B, \Delta, \langle \neg C, C \wedge D \rangle$ ) returns *true*

simplepreserved( $A \vee B, \Delta, \langle \neg C, \neg A \wedge B \rangle$ ) returns *true*

simplepreserved( $A \vee B, \Delta, \langle B, \neg A \rangle$ ) returns *true*

simplepreserved( $A \vee B, \Delta, \langle \neg C, \neg A \rangle$ ) returns *true*

### Invariant computation: function simplepreserved

#### LEMMA

Let  $\Delta$  be a set of clauses,  $\phi = l_1 \vee \dots \vee l_n$  a clause, and  $o$  an operator of the form  $\langle l'_1 \wedge \dots \wedge l'_{n'}, l''_1 \wedge \dots \wedge l''_{n''} \rangle$  where  $l'_j$  and  $l''_k$  are literals.

If simplepreserved( $\phi, \Delta, o$ ) returns *true*, then  $app_o(s) \models \phi$  for any state  $s$  such that  $s \models \Delta \cup \{\phi\}$  and  $o$  is applicable in  $s$ . (It may under these conditions also return *false*).

### Invariant computation: the main procedure

```

PROCEDURE invariants( $P, I, O, n$ );

```

```

 $C := \{p \in P \mid I \models p\} \cup \{\neg p \mid p \in P, I \not\models p\}$ ;

```

```

REPEAT

```

```

   $C' := C$ ;

```

```

  FOR EACH  $l_1 \vee \dots \vee l_m \in C$  AND  $o \in O$  DO

```

```

    IF not preserved( $l_1 \vee \dots \vee l_m, C', o$ ) THEN

```

```

      BEGIN

```

```

         $C := C \setminus \{l_1 \vee \dots \vee l_m\}$ ;

```

```

        IF  $m < n$  THEN

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```

          FOR EACH  $p \in P$  DO

```

```

             $C := C \cup \{l_1 \vee \dots \vee l_m \vee p, l_1 \vee \dots \vee l_m \vee \neg p\}$ ;

```

```

          END

```

```

        UNTIL  $C = C'$ ;

```

```

      RETURN  $C$ ;

```

## Invariant computation: example

$I(A) = 1, I(B) = 0, I(C) = 0$

operators  $o_1 = \langle A, \neg A \wedge B \rangle, o_2 = \langle B, \neg B \wedge C \rangle, o_3 = \langle C, \neg C \wedge A \rangle$

Compute invariants with at most 2 literals:

$$\begin{aligned} C_0 &= \{A, \neg B, \neg C\} \\ C_1 &= \{\neg C, A \vee B, \neg B \vee \neg A\} \\ C_2 &= \{\neg B \vee \neg A, \neg C \vee \neg A, \neg C \vee \neg B\} \\ C_3 &= \{\neg B \vee \neg A, \neg C \vee \neg A, \neg C \vee \neg B\} \\ C_3 &= C_2 \end{aligned}$$

## Invariant computation: general algorithm

```

PROCEDURE preserved( $l_1 \vee \dots \vee l_n, \Delta, \langle c, e \rangle$ );
IF  $\Delta \models \neg c$  THEN RETURN true;
FOR EACH  $l \in \{l_1, \dots, l_n\}$  DO
  IF  $\Delta \wedge \{EPC_l(e)\} \models \perp$  THEN GOTO OK;
  FOR EACH  $l' \in \{l_1, \dots, l_n\} \setminus \{l\}$  DO
    IF  $\Delta \cup \{EPC_l(e), c\} \models EPC_{l'}(e)$  THEN GOTO OK;
    IF  $\Delta \cup \{EPC_l(e), c\} \models l'$  AND  $\Delta \cup \{EPC_l(e), c\} \models \neg EPC_{l'}(e)$ 
      THEN GOTO OK;
  END DO
  RETURN false;
OK:
END DO
RETURN true;
  
```

## Invariant computation: general algorithm

The procedure *preserved* runs in polynomial time in the size of the clause,  $\Delta$  and the operator, *except that the logical consequence tests need exponential time in the worst case.*

*In the lecture notes we present an algorithm that runs in polynomial time and approximates logical consequence testing: these tests may fail in one direction without making invariant computation incorrect. (Computation of all invariants is not guaranteed anyway.)*

## Invariants: application in planning in the propositional logic

For every invariant  $l_1 \vee \dots \vee l_n$  add the clauses

$$l_1^t \vee \dots \vee l_n^t$$

for all time points  $t \geq 0$ .

This may speed up planning a lot.

## Invariants: application in backward planning

In backward search, the set of goal states and states obtained by regression often contain undesirable states:

Regression of  $in(A, Freiburg)$   
 by  $\langle in(A, Strassburg), \neg in(A, Strassburg) \wedge in(A, Paris) \rangle$   
 gives  $in(A, Freiburg) \wedge in(A, Strassburg)$

The formula  $in(A, Freiburg) \wedge in(A, Strassburg)$  represents also states that are intuitively incorrect.

## Invariants: application to backward planning

Problem: regression produces sets of states  $S$  such that

1. some states in  $S$  are not reachable from  $I$ ,
2. none of the states in  $S$  are reachable from  $I$ .

The first problem would require the strongest invariant.

Partial solution to the second problem:

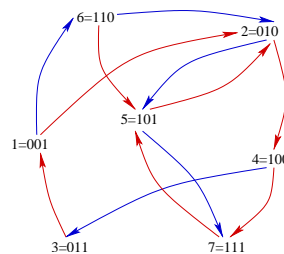
1. Compute invariant  $\phi$ .
2. Do only regression steps such that  $regr_o(\psi) \wedge \phi$  is satisfiable.

## Invariants: application to distance estimation

A formula  $\phi$  has distance  $> i$  if  $C_i \cup \{\phi\}$  is not satisfiable.

This estimate can be much better than the one given by the sets of literals produced the first algorithm we gave for distance estimation.

## Invariants: application to distances, example



distance	clauses true
0	$\neg B_2 \quad \neg B_1 \quad B_0$
1	$\neg B_2 \vee B_1 \quad \neg B_2 \vee \neg B_0$
2	$\neg B_1 \vee \neg B_0 \quad B_0 \vee B_1$
3	$\neg B_1 \vee \neg B_0$