

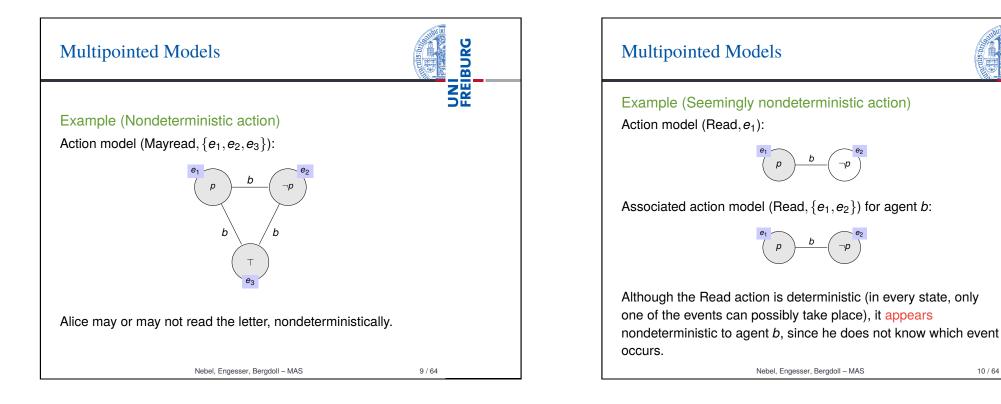
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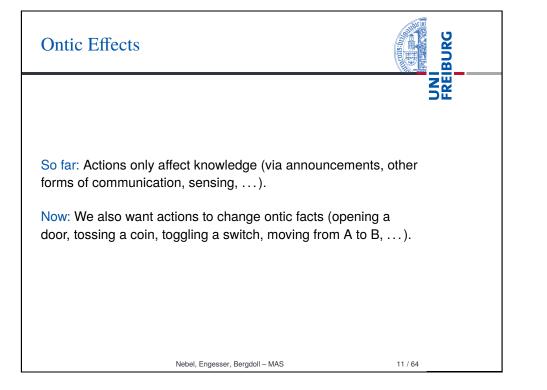
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Action model with ontic effects

An action model with ontic effects $A = (E, \sim, pre, eff)$ is an action model (E, \sim, pre) together with a function *eff*, where for all $e \in E$, *eff*(*e*) is a conjunction of atoms and negated atoms from *P*.

Example

Ontic Effects

eff(*e*) = $p \land q \land \neg r \land \neg x$ means that event *e* makes *p* and *q* true and *r* and *x* false.

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Note: This corresponds to add and delete lists in STRIPS planning.

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Ontic Effects



Graphical notation: Label (φ, ψ) means that $pre(e) = \varphi$ and $eff(e) = \psi$.

Example (Toggling a switch)

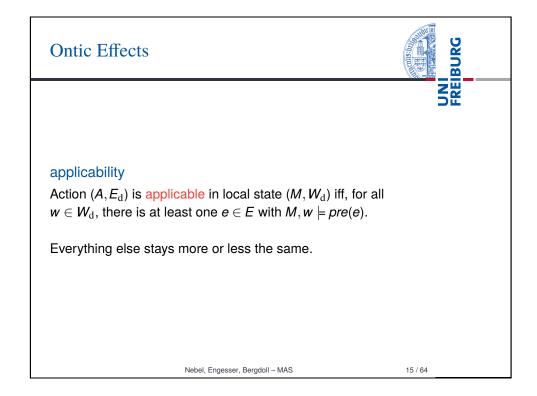
The truth value of p is complemented. Agent a sees p, agent b does not.

Example (Tossing a coin)

A coin is tossed (*p* means heads, $\neg p$ means tails). The coin toss happens in public.



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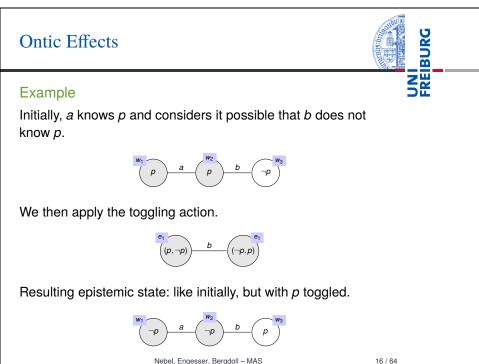
In order to correctly reflect ontic effects in our semantics, we need to make the product update take them into account.

Product update

Let $M = (W, \sim, V)$ be an epistemic state with designated worlds $W_{d} \subseteq W$, and let $A = (E, \sim, pre, eff)$ be an action model with designated events $E_d \subseteq E$. Then the product update $(M, W_d) \otimes (A, E_d)$ is the epistemic state $M' = (W', \sim', V')$ with with designated worlds $W'_d \subseteq W'$, where:

- $\blacksquare W' = \{(w, e) \in W \times E \mid M, w \models pre(e)\},\$
- (*w*,*e*) \sim_a^{\prime} (*t*, ε) iff *w* $\sim_a t$ and *e* $\sim_a \varepsilon$, for *a* $\in \mathscr{I}$,
- $(w,e) \in V'_p$ iff $(w \in V_p$ and $eff(e) \not\models \neg p$ or $eff(e) \models p$, for all $p \in P$, and
- (w, e) $\in W'_d$ iff $w \in W_d$ and $e \in E_d$.

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Ontic Effects

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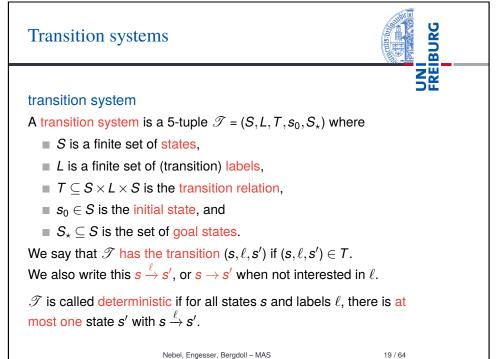
Recall the funniest joke in the world:

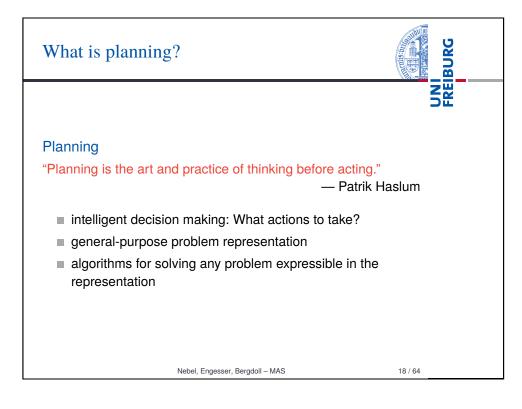
Two hunters are out in the woods when one of them collapses. He doesn't seem to be breathing and his eves are glazed. The other guy whips out his phone and calls the emergency services. He gasps, "My friend is dead! What can I do?" The operator says, "Calm down. I can help. First, let's make sure he's really dead." There is a silence; then a gun shot is heard. Back on the phone, the guy says, "OK, now what?"

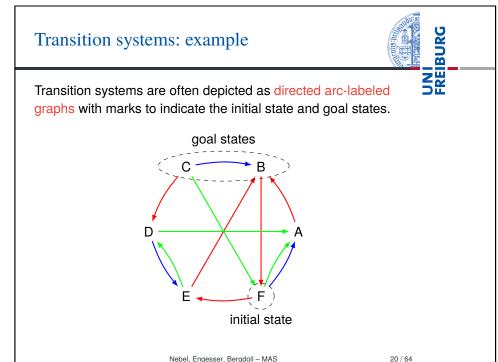
Homework:

- DEL action model for the "epistemic reading" of making sure he's really dead?
- DEL action model for the "ontic reading" of making sure he's really dead?

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Transition system terminology

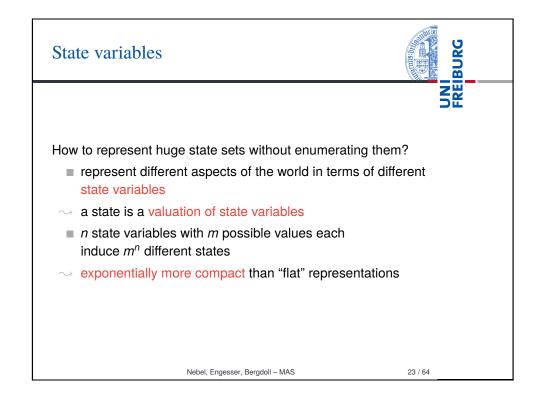


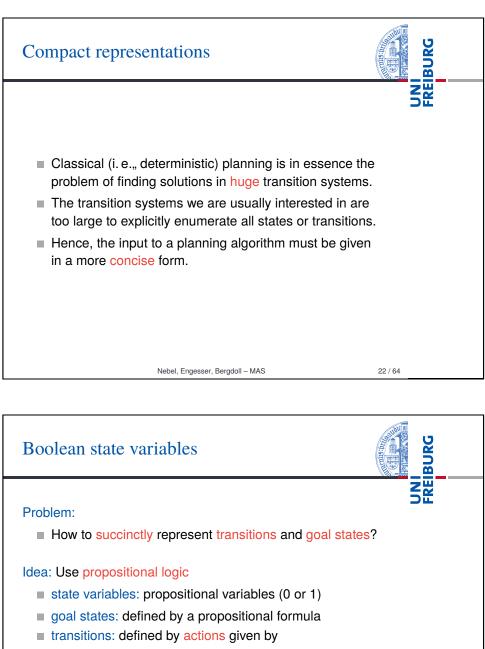
We use common graph theory terms for transition systems:

- s' successor of s if $s \rightarrow s'$
- s predecessor of s' if $s \rightarrow s'$
- *s*' reachable from *s* if there exists a sequence of transitions from *s* to *s*'.

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- precondition: when is the action applicable?
- effect: how does it change the valuation?

Note: general finite-domain state variables can be compactly encoded as Boolean variables

Operators

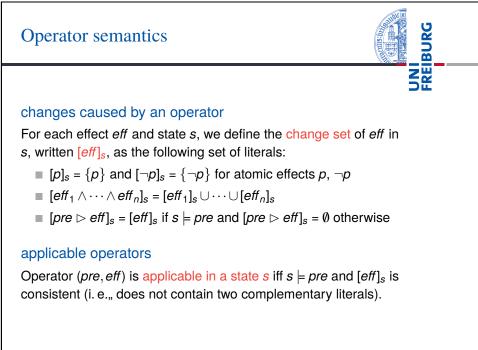


Transitions for state sets described by propositions P can be concisely represented as operators or actions o = (pre, eff)where

- the precondition pre is a propositional formula over P describing the set of states in which the transition can be taken (states in which a transition starts), and
- the effect eff describes how the resulting successor states are obtained from the state where the transitions is taken (where the transition goes).

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Effects (for deterministic operators)



effects

(Deterministic) effects are recursively defined as follows:

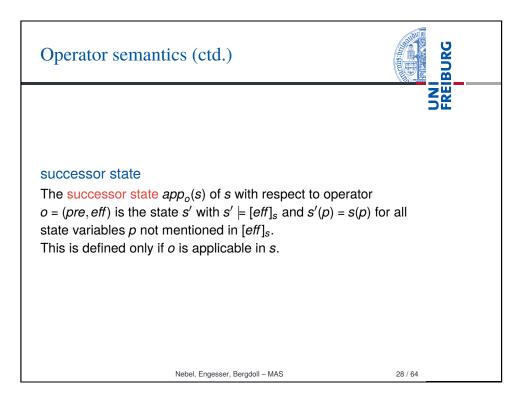
- If $p \in P$ is a state variable, then p and $\neg p$ are effects (atomic effect).
- If eff_1, \ldots, eff_n are effects, then $eff_1 \land \cdots \land eff_n$ is an effect (conjunctive effect).

The special case with n = 0 is the empty effect \top .

If pre is a propositional formula and eff is an effect, then pre ▷ eff is an effect (conditional effect).

Atomic effects p and $\neg p$ are best understood as assignments p := 1 and p := 0, respectively.

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Deterministic planning tasks

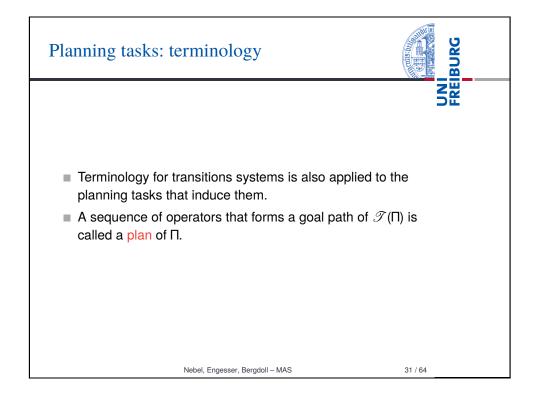


deterministic planning task

- A deterministic planning task is a 4-tuple $\Pi = (P, I, Act, \gamma)$ where
 - *P* is a finite set of state variables (propositions),
 - *I* is a valuation over *P* called the initial state,
 - Act is a finite set of operators over *P*, and
 - \blacksquare γ is a formula over *P* called the goal.

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Mapping planning tasks to transition systems



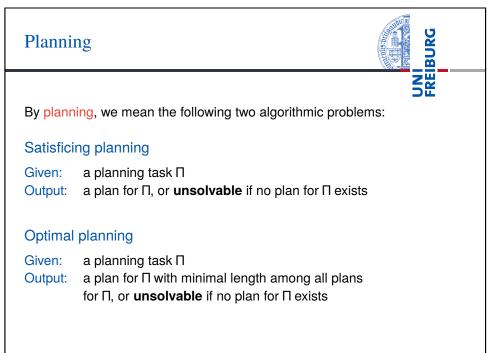
induced transition system of a planning task

Every planning task $\Pi = (P, I, Act, \gamma)$ induces a corresponding deterministic transition system $\mathscr{T}(\Pi) = (S, L, T, s_0, S_*)$:

- S is the set of all valuations of P,
- \blacksquare *L* is the set of operators Act,
- $T = \{(s, o, s') \mid s \in S, o \text{ applicable in } s, s' = app_o(s)\},$
- \blacksquare $s_0 = I$, and
- $\blacksquare S_{\star} = \{s \in S \mid s \models \gamma\}$

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Nondeterministic operators



Nondeterministic operator

A nondeterministic operator is a pair *o* = (*pre*, *Eff*), where

- pre is a conjunction of atoms (the precondition), and
- Eff = {eff₁,..., eff_n} is a finite set of possible effects of o, each eff_i being a conjunction of atomic finite-domain effects.

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Nondeterministic planning tasks and transition systems

Nondeterministic planning task: Like a deterministic planning task, but now possibly with nondeterministic actions.

Induced transition system: Like before, but now possibly with nondeterministic transitions.

Nondeterministic operators



Nondeterministic operator application

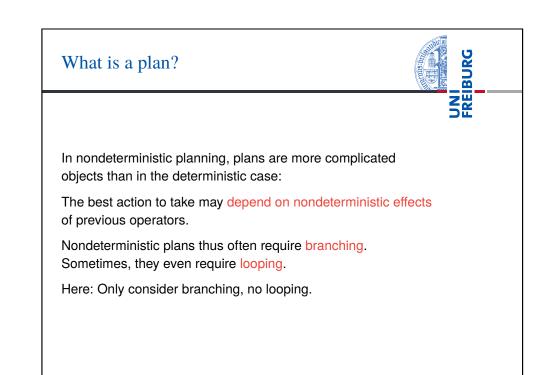
Let o = (pre, Eff) be a nondeterministic operator and s a state.

Applicability of *o* in *s* is defined as in the deterministic case, i.e., *o* is applicable in *s* iff $s \models pre$ and the change set of each effect $eff \in Eff$ is consistent.

If *o* is applicable in *s*, then the application of *o* in *s* leads to one of the states in the set $app_o(s) := \{app_{(pre,eff)}(s) | eff \in Eff\}$ nondeterministically.

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Nondeterministic plans: formal definition



Strategy

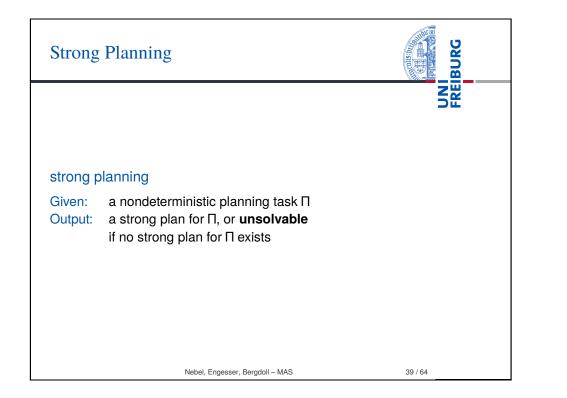
Let $\Pi = (P, I, Act, \gamma)$ be a nondeterministic planning task with state set *S* and goal states S_* .

A strategy (or policy) for Π is a function $\pi : S_{\pi} \to \text{Act}$ for some subset $S_{\pi} \subseteq S$ such that for all states $s \in S_{\pi}$ the action $\pi(s)$ is applicable in s.

The set of states reachable in $\mathscr{T}(\Pi)$ starting in state *s* and following π is denoted by $S_{\pi}(s)$.

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Nondeterministic plans: formal definition



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Proper and acyclic strategies

Let $\Pi = (P, I, \operatorname{Act}, \gamma)$ be a nondeterministic planning task with state set *S* and goal states S_{\star} , and let π be a strategy for Π . Then π is called

- proper iff $S_{\pi}(s') \cap S_{\star} \neq \emptyset$ for all $s' \in S_{\pi}(s_0)$, and
- acyclic iff there is no state $s' \in S_{\pi}(s_0)$ such that s' is reachable from s' following π in a strictly positive number of steps.

Strongness

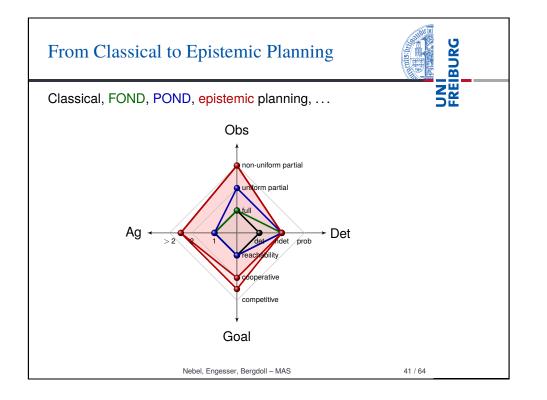
Let $\Pi = (P, I, Act, \gamma)$ be a nondeterministic planning task with state set *S* and goal states S_{\star} .

A strategy for Π is called a strong plan if it is proper and acyclic.

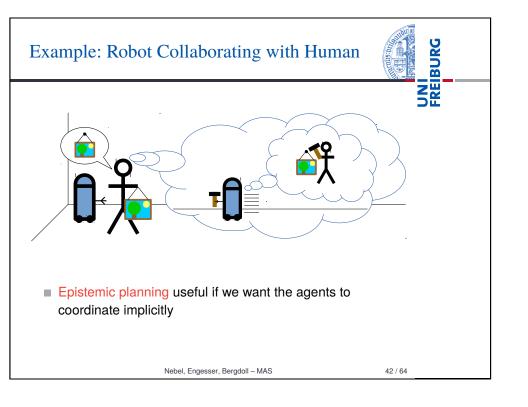
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UNI FREIBURG From Classical to Epistemic Planning Summary: Classical planning on one slide: Given: Initial world state Goal description Available actions Wanted: Plan leading from initial state to goal state Assumptions: Single agent Full observability Deterministic actions Static and discrete environment Reachability goal

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Cooperative Epistemic Planning Tasks

From now on: Multi-pointed models, ontic effects. Fix a finite set of agents \mathscr{I} .

Α

cooperative epistemic planning task $\Pi = (P, I, Act, \gamma, \omega)$ consists of

- a finite set of state variables (atomic propositions) *P*,
- an initial global epistemic state $I = (M_0, w_0)$ over P,
- a finite set Act of epistemic actions over *P*,
- **a goal formula** γ over *P*, and
- an owner function ω : Act \rightarrow \mathscr{I} , such that each action $\alpha \in$ Act is local for $\omega(\alpha)$.

Assumption: Act is common knowledge among all agents.

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Centralized Sequential Plans



An epistemic model (M, W_d) is a goal state iff $(M, W_d) \models \gamma$ iff $(M, w) \models \gamma$ for all $w \in W_d$.

Terminology: In the following, we abbreviate "cooperative epistemic planning task" as "planning task".

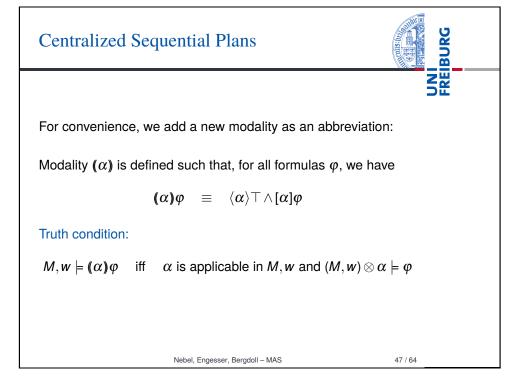
Centralized sequential epistemic plan

A centralized sequential (or linear) epistemic plan for a planning task $\Pi = (P, I, \text{Act}, \gamma, \omega)$ is a sequence of actions from Act, $\pi = \alpha_1, \dots, \alpha_n$ such that

- for each i = 1, ..., n, action α_i is applicable in $I \otimes \alpha_1 \otimes ... \otimes \alpha_{i-1}$, and
- $\blacksquare I \otimes \alpha_1 \otimes \ldots \otimes \alpha_n \models \gamma.$

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Centralized Sequential Plans



In order to simplify and to highlight the simplicity to the definition of implicitly coordinated sequential plans (see below), we give an equivalent definition of centralized sequential epistemic plans:

Proposition

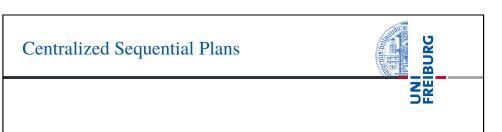
Let $\Pi = (P, I, Act, \gamma, \omega)$ be a planning task and $\pi = \alpha_1, \dots, \alpha_n$ be a sequence of actions from Act. Then π is a centralized sequential epistemic plan for Π iff

- $\blacksquare n = 0 \text{ and } I \models \gamma, \text{ or }$
- n > 0 and α_1 is applicable in I and $\alpha_2, ..., \alpha_n$ is a centralized sequential epistemic plan for

 $\Pi' = (P, I \otimes \alpha_1, \operatorname{Act}, \gamma, \omega).$

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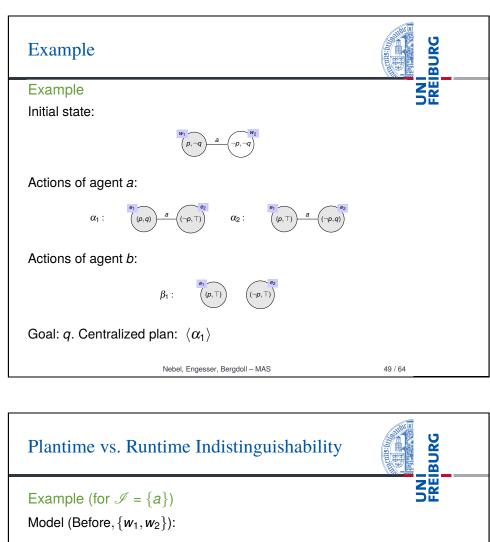
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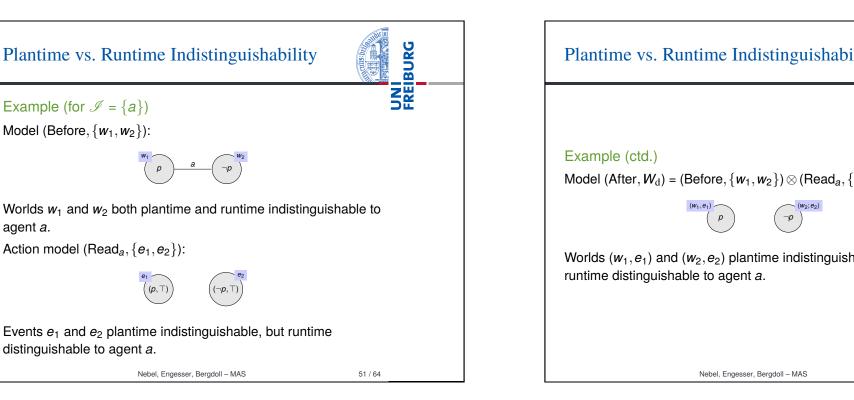


Proposition

Let $\Pi = (P, I, \text{Act}, \gamma, \omega)$ be a planning task and $\pi = \alpha_1, \dots, \alpha_n$ a sequence of actions from Act. Then π is a centralized sequential epistemic plan for Π if and only if $I \models (\alpha_1)(\alpha_2) \dots (\alpha_n)\gamma$.

Proof by straightforward induction of the length of the plan.





Plantime vs. Runtime Indistinguishability



Plantime vs. runtime indistinguishability

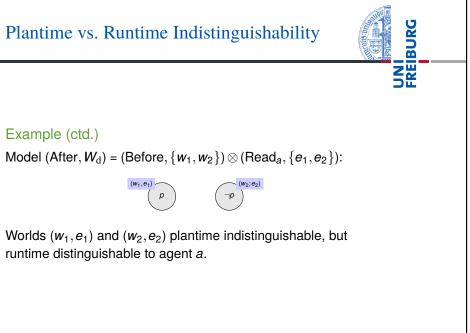
Let $A = (E, \sim, pre, eff)$, $E_d \subseteq E$, and assume that (A, E_d) is local to some agent $a \in \mathscr{I}$. Let $e_1, e_2 \in E_d$. Then e_1 and e_2 are called runtime indistinguishable for agent *a* if $e_1 \sim_a e_2$. Otherwise (if $e_1 \not\sim_a e_2$), they are runtime distinguishable for a, but plantime indistinguishable for a.

Above, we defined plantime and runtime indistinguishability of events. Plantime and runtime indistinguishability of worlds in epistemic states can be defined similarly.

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agent a.

Action model (Read_a, $\{e_1, e_2\}$):

distinguishable to agent a.

Implicitly Coordinated Sequential Plans

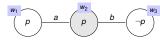


Recall (local perspective of an agent):

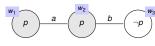
If (M, W_d) is an epistemic state and *a* is an agent, then $(M, W_d)^a = (M, W'_d)$ is agent *a*'s associated local state, where $W'_d = \{w' \in W \mid w' \sim_a w \text{ for some } w \in W_d\}$).

Example

Global state (M, { w_2 }):

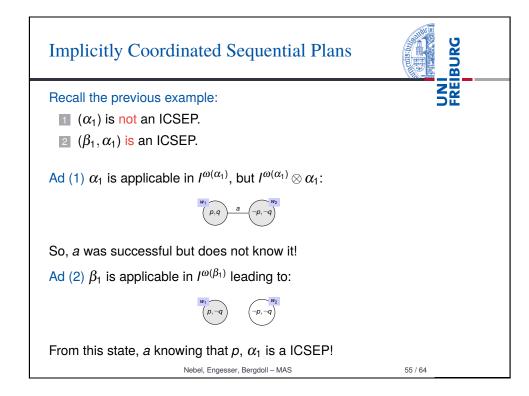


Associated local state for agent $a: (M, \{w_2\})^a = (M, \{w_1, w_2\})$



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Implicitly Coordinated Sequential Plans



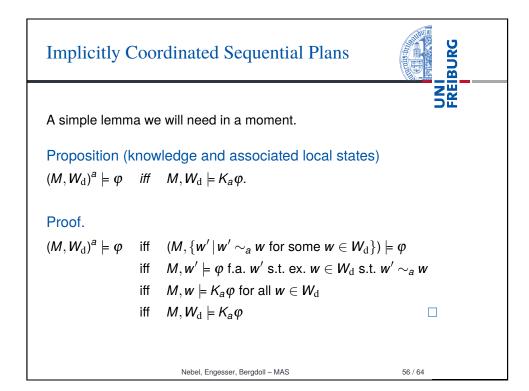
In an implicitly coordinated plan, an agent knows that its chosen action is applicable and makes progress towards the goal.

Implicitly coordinated sequential plan

Let $\Pi = (P, I, \text{Act}, \gamma, \omega)$ be a planning task and $\pi = \alpha_1, \dots, \alpha_n$ a sequence of actions from Act. Then π is an implicitly coordinated sequential epistemic plan (ICSEP) for Π iff either

- $\blacksquare n = 0 \text{ and } I \models \gamma, \text{ or }$
- n > 0 and α_1 is applicable in $I^{\omega(\alpha_1)}$ and $\alpha_2, ..., \alpha_n$ is a ICSEP for $\Pi' = (P, I^{\omega(\alpha_1)} \otimes \alpha_1, \text{Act}, \gamma, \omega)$.

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Implicitly Coordinated Sequential Plans



Proposition

Let $\Pi = (P, I, \operatorname{Act}, \gamma, \omega)$ be a planning task and $\pi = \alpha_1, \dots, \alpha_n$ a sequence of actions from Act. Then π is an implicitly coordinated sequential epistemic plan for Π if and only if $I \models K_{\omega(\alpha_1)}(\alpha_1)K_{\omega(\alpha_2)}(\alpha_2)\dots K_{\omega(\alpha_n)}(\alpha_n)\gamma$.

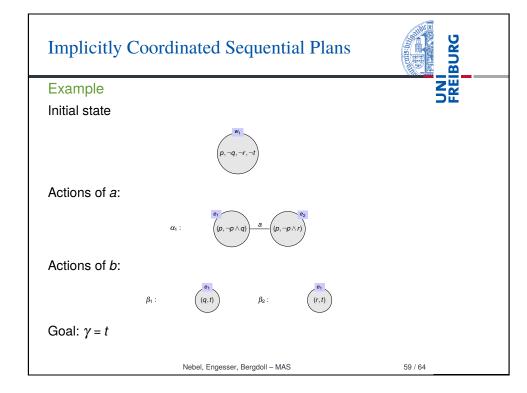
Proof.

Induction on plan length *n*.

- Base case (n = 0): Then π is an implicitly coordinated sequential epistemic plan iff $l \models \gamma$.
- Inductive case (*n* > 0): [...]

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Proof (ctd.)

Inductive case (n > 0): Let $\pi = \alpha_1, \ldots, \alpha_n$.

Then π is an implicitly coordinated epistemic plan for Π iff (definition)	
α_1 is applicable in $I^{\omega(\alpha_1)}$ and $\alpha_2, \ldots, \alpha_n$ is an in coordinated epistemic plan for $\Pi' = (P, I^{\omega(\alpha_1)} \otimes I)$ iff (induction hypothesis!)	
α_1 is applicable in $I^{\omega(\alpha_1)}$ and $I^{\omega(\alpha_1)} \otimes \alpha_1 \models K_{\omega(\alpha_2)}(\alpha_2) \dots K_{\omega(\alpha_n)}(\alpha_n)\gamma$ iff (truth condition of (·))	
$I^{\omega(\alpha_1)} \models ((\alpha_1)K_{\omega(\alpha_2)}(\alpha_2)\dots K_{\omega(\alpha_n)}(\alpha_n)\gamma$ iff (knowledge and associated local states)	
$I \models K_{\omega(\alpha_1)}(\alpha_1)K_{\omega(\alpha_2)}(\alpha_2)\ldots K_{\omega(\alpha_n)}(\alpha_n)\gamma.$	
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Implicitly Coordinated Sequential Plans



Example (ctd.)

There is no ICSEP for this planning task. Reason: if there were one, it would have to start with α_1 (nothing else is applicable).

Then, $\mathit{I}^{\omega(lpha_1)} \otimes lpha_1$ =

$$\xrightarrow{a} (w_1, e_2) =: (M^1, W_d^1).$$

In (M^1, W_d^1) , none of the available actions is applicable, and it is not a goal state.

This task would be solvable with a branching or conditional plan: start with α_1 , and depending on the outcome, continue with β_1 or β_2 . This would even be implicitly coordinated in the sense that at each point in the plan, the agent to move knows that it can move and that this leads to progress.

Branching Plans



Notation: In the following, we use w not only to refer to worlds in epistemic models, but also to (single-pointed) epistemic models themselves. Will be clear from the context.

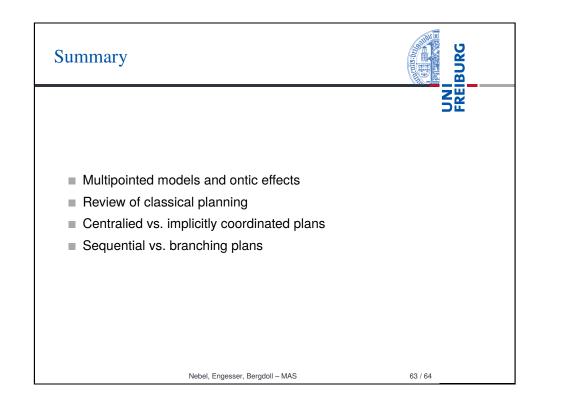
Policy

Let $\Pi = (P, I, \operatorname{Act}, \gamma, \omega)$ be an epistemic planning task and let W^{gl} be the set of global epistemic states of Π . Then a policy is a mapping $\pi : W^{\operatorname{gl}} \to 2^{\operatorname{Act}}$ such that:

- Applicability (APP): for all $w \in W^{gl}$ and all $\alpha \in \pi(w)$, α is applicable in w.
- Determinism (DET): for all $w \in W^{\text{gl}}$ and all $\alpha, \alpha' \in \pi(w)$ with $\omega(\alpha) = \omega(\alpha')$, we have $\alpha = \alpha'$.
- Uniformity (UNIF): for all $w, t \in W^{\text{gl}}$ and all $\alpha \in \pi(w)$ with $w^{\omega(\alpha)} = t^{\omega(\alpha)}$, we have $\alpha \in \pi(t)$.

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Note:

APP and UNIF together imply knowledge of preconditions (KOP): for all $w \in W^{\text{gl}}$ and all $\alpha \in \pi(w)$, α is applicable in $w^{\omega(\alpha)}$, i. e., agents supposed to act know that their action is applicable.

Note:

We also need to require that the policy is strong in the sense that one always achieves the goal (which we will not do here).

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