Principles of AI Planning

5. Planning as search: progression and regression



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Planning as (classical) search

Search

Classification

Regression

What do we mean by search?



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- Search is a very generic term.
- Every algorithm that tries out various alternatives can be said to "search" in some way.
- Here, we mean classical search algorithms.
 - Search nodes are expanded to generate successor nodes.
 - Examples: breadth-first search, A*, hill-climbing, ...
- To be brief, we just say search in the following (not "classical search").

Search Introduction

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Do you know this stuff already?



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- We assume prior knowledge of basic search algorithms:
 - uninformed vs. informed
 - systematic vs. local
- There will be a small refresher in the next chapter.
- Background: Russell & Norvig, Artificial Intelligence –
 A Modern Approach, Ch. 3 (all of it), Ch. 4 (local search)

Search Introduction

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Search in planning



Introduction

Regression

- search: one of the big success stories of Al
- many planning algorithms based on classical AI search (we'll see some other algorithms later, though)
- will be the focus of this and the following chapters (the majority of the course)

Satisficing or optimal planning?



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Must carefully distinguish two different problems:

- satisficing planning: any solution is OK (although shorter solutions typically preferred)
- optimal planning: plans must have shortest possible length

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Summary

Both are often solved by search, but:

- details are very different
- almost no overlap between good techniques for satisficing planning and good techniques for optimal planning
- many problems that are trivial for satisficing planners are impossibly hard for optimal planners



How to apply search to planning? → many choices to make!

Choice 1: Search direction

- progression: forward from initial state to goal
- regression: backward from goal states to initial state
- bidirectional search

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How to apply search to planning? → many choices to make!

Choice 2: Search space representation

- search nodes are associated with states
 (\sigma state-space search)
- search nodes are associated with sets of states

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How to apply search to planning? → many choices to make!

Choice 3: Search algorithm

- uninformed search:
 depth-first, breadth-first, iterative depth-first, ...
- heuristic search (systematic): greedy best-first, A*, Weighted A*, IDA*, ...
- heuristic search (local): hill-climbing, simulated annealing, beam search, ...

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How to apply search to planning? → many choices to make!

Choice 4: Search control

- heuristics for informed search algorithms
- pruning techniques: invariants, symmetry elimination, partial-order reduction, helpful actions pruning, ...

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Cummory

Search-based satisficing planners



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FF (Hoffmann & Nebel, 2001)

- search direction: forward search
- search space representation: single states
- search algorithm: enforced hill-climbing (informed local)
- heuristic: FF heuristic (inadmissible)
- pruning technique: helpful actions (incomplete)
- → one of the best satisficing planners

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Search-based optimal planners



Fast Downward Stone Soup (Helmert et al., 2011)

- search direction; forward search
- search space representation: single states
- search algorithm: A* (informed systematic)
- heuristic: multiple admissible heuristics combined into a heuristic portfolio (LM-cut, M&S, blind, ...)
- pruning technique: none
- → one of the best optimal planners

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Our plan for the next lectures



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Choices to make:

- search control: heuristics, pruning techniques
 → following chapters

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Planning by forward search: progression



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Progression: Computing the successor state $app_o(s)$ of a state s with respect to an operator o.

Progression planners find solutions by forward search:

- start from initial state
- iteratively pick a previously generated state and progress it through an operator, generating a new state
- solution found when a goal state generated

pro: very easy and efficient to implement

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Search space representation in progression planners



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Two alternative search spaces for progression planners:

- search nodes correspond to states
 - when the same state is generated along different paths, it is not considered again (duplicate detection)
 - pro: save time to consider same state again
 - con: memory intensive (must maintain closed list)
- 2 search nodes correspond to operator sequences
 - different operator sequences may lead to identical states (transpositions); search does not notice this
 - pro: can be very memory-efficient
 - con: much wasted work (often exponentially slower)
- → first alternative usually preferable in planning
 (unlike many classical search benchmarks like 15-puzzle)

Search

Progression

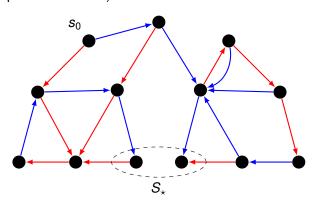
Example

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Example where search nodes correspond to operator sequences (no duplicate detection)



Search

Progression

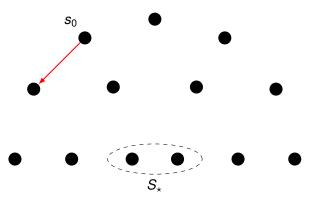
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Example where search nodes correspond to operator sequences (no duplicate detection)



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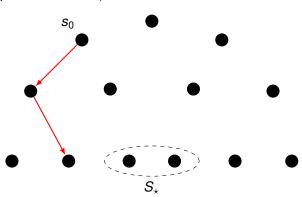
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Example where search nodes correspond to operator sequences (no duplicate detection)



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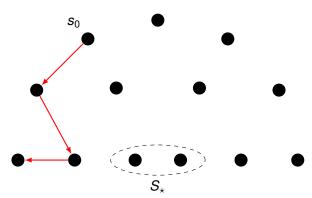
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Example where search nodes correspond to operator sequences (no duplicate detection)



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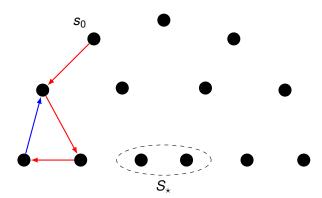
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Example where search nodes correspond to operator sequences (no duplicate detection)



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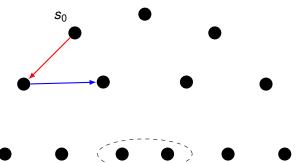
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Example where search nodes correspond to operator sequences (no duplicate detection)



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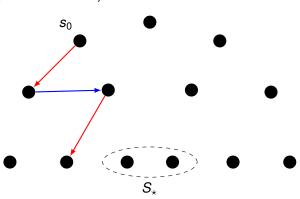
Example

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Example where search nodes correspond to operator sequences (no duplicate detection)



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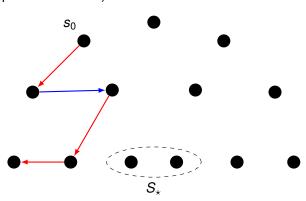
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Example where search nodes correspond to operator sequences (no duplicate detection)



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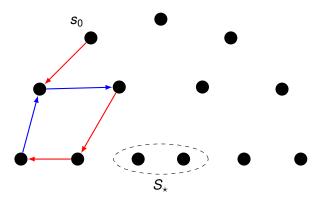
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Example where search nodes correspond to operator sequences (no duplicate detection)



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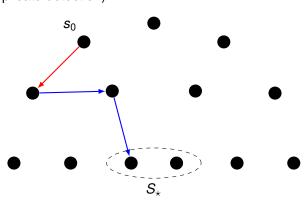
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Example where search nodes correspond to operator sequences (no duplicate detection)



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Regression

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Forward search vs. backward search



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Going through a transition graph in forward and backward directions is not symmetric:

- forward search starts from a single initial state; backward search starts from a set of goal states
- when applying an operator o in a state s in forward direction, there is a unique successor state s'; if we applied operator o to end up in state s', there can be several possible predecessor states s

→ most natural representation for backward search in planning associates sets of states with search nodes

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Summarv

Planning by backward search: regression



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Regression: Computing the possible predecessor states $regr_o(G)$ of a set of states G with respect to the last operator o that was applied.

Regression planners find solutions by backward search:

- start from set of goal states
- iteratively pick a previously generated state set and regress it through an operator, generating a new state set
- solution found when a generated state set includes the initial state

Pro: can handle many states simultaneously
Con: basic operations complicated and expensive

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Search space representation in regression planners



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identify state sets with logical formulae (again):

- search nodes correspond to state sets
- each state set is represented by a logical formula: φ represents $\{s \in S \mid s \models \varphi\}$
- many basic search operations like detecting duplicates are NP-hard or coNP-hard



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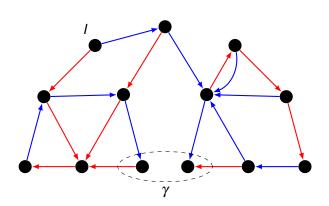
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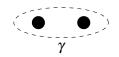
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 $\varphi_1 = regr_{\longrightarrow}(\gamma)$

 $\varphi_1 \longrightarrow \gamma$



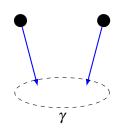
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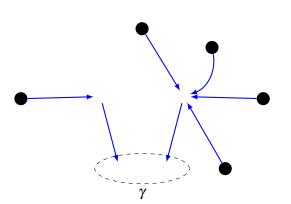


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$$\varphi_1 = regr_{\longrightarrow}(\gamma)$$

$$\varphi_2 = regr_{\longrightarrow}(\varphi_1)$$

$$\varphi_2 \longrightarrow \varphi_1 \longrightarrow \gamma$$



Search

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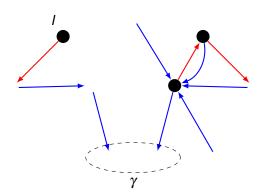
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$$\varphi_1 = regr_{\longrightarrow}(\gamma)$$
 $\varphi_2 = regr_{\longrightarrow}(\varphi_1)$
 $\varphi_3 = regr_{\longrightarrow}(\varphi_2), I \models \varphi_3$



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Regression for STRIPS planning tasks



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Definition (STRIPS planning task)

A planning task is a STRIPS planning task if all operators are STRIPS operators and the goal is a conjunction of atoms.

Regression for STRIPS planning tasks is very simple:

- Goals are conjunctions of atoms $a_1 \wedge \cdots \wedge a_n$.
- First step: Choose an operator that makes none of $a_1, ..., a_n$ false.
- Second step: Remove goal atoms achieved by the operator (if any) and add its preconditions.
- Outcome of regression is again conjunction of atoms.

Optimization: only consider operators making some a_i true

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Definition (STRIPS regression)

Let $\varphi = \varphi_1 \wedge \cdots \wedge \varphi_n$ be a conjunction of atoms, and let $o = \langle \chi, e \rangle$ be a STRIPS operator which adds the atoms a_1, \dots, a_k and deletes the atoms d_1, \dots, d_l .

The STRIPS regression of φ with respect to o is

$$sregr_o(\varphi) := egin{cases} \bot & \text{if } a_i = d_j \text{ for some } i,j \\ \bot & \text{if } \varphi_i = d_j \text{ for some } i,j \\ \chi \land \bigwedge (\{\varphi_1, \dots, \varphi_n\} \setminus \{a_1, \dots, a_k\}) & \text{otherwise} \end{cases}$$

Note: $sregr_o(\varphi)$ is again a conjunction of atoms, or \bot .

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GENERAL CASE

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STRIPS regression example













Note: Predecessor states are in general not unique. This picture is just for illustration purposes.

$$o_1 = \langle on \rangle \land clr,$$

$$\neg \blacksquare on \blacksquare \wedge \blacksquare on T \wedge \blacksquare clr \rangle$$

$$o_3 = \langle \blacksquare onT \wedge \blacksquare clr \wedge \blacksquare clr \rangle$$

$$o_2 = \langle \blacksquare on \blacksquare \land \blacksquare clr \land \blacksquare clr, \quad \neg \blacksquare clr \land \neg \blacksquare on \blacksquare \land \blacksquare on \blacksquare \land \blacksquare clr \rangle$$

$$\gamma = ||on|| \wedge ||on||$$

$$\varphi_1 = sregr_{O_3}(\gamma) = \blacksquare onT \land \blacksquare clr \land \blacksquare clr \land \blacksquare on\blacksquare$$

$$\varphi_2 = sregr_{o_2}(\varphi_1) = on \land clr \land clr \land on T$$

$$\varphi_3 = sregr_{o_1}(\varphi_2) = on \land clr \land on \land on \land on T$$

Progression

STRIPS

Regression for general planning tasks



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- With disjunctions and conditional effects, things become more tricky. How to regress $a \lor (b \land c)$ with respect to $\langle q, d \rhd b \rangle$?
- The story about goals and subgoals and fulfilling subgoals, as in the STRIPS case, is no longer useful.
- We present a general method for doing regression for any formula and any operator.
- Now we extensively use the idea of representing sets of states as formulae.

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Definition (effect precondition)

The effect precondition $EPC_I(e)$ for literal I and effect e is defined as follows:

$$EPC_{l}(l) = \top$$

$$EPC_{l}(l') = \bot \text{ if } l \neq l' \text{ (for literals } l')$$

$$EPC_{l}(e_{1} \wedge \cdots \wedge e_{n}) = EPC_{l}(e_{1}) \vee \cdots \vee EPC_{l}(e_{n})$$

$$EPC_{l}(\chi \rhd e) = EPC_{l}(e) \wedge \chi$$

Intuition: $EPC_{I}(e)$ describes the situations in which effect e causes literal I to become true.

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Effect precondition examples



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Example

$$\begin{aligned} EPC_a(b \wedge c) &= \bot \lor \bot \equiv \bot \\ EPC_a(a \wedge (b \rhd a)) &= \top \lor (\top \wedge b) \equiv \top \\ EPC_a((c \rhd a) \wedge (b \rhd a)) &= (\top \wedge c) \lor (\top \wedge b) \equiv c \lor b \end{aligned}$$

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Effect preconditions: connection to change sets



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Lemma (A)

Let s be a state, I a literal and e an effect. Then $I \in [e]_s$ if and only if $s \models EPC_I(e)$.

Proof.

Induction on the structure of the effect *e*.

Base case 1, e = I: $I \in [I]_s = \{I\}$ by definition, and $s \models EPC_I(I) = \top$ by definition. Both sides of the equivalence are true.

Base case 2, e = l' for some literal $l' \neq l$: $l \notin [l']_s = \{l'\}$ by definition, and $s \not\models EPC_l(l') = \bot$ by definition. Both sides are false.

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Proof (ctd.)

```
Inductive case 1, e = e_1 \wedge \cdots \wedge e_n:
I \in [e]_s \text{ iff } I \in [e_1]_s \cup \cdots \cup [e_n]_s \qquad \qquad \text{(Def } [e_1 \wedge \cdots \wedge e_n]_s)
\text{iff } I \in [e']_s \text{ for some } e' \in \{e_1, \dots, e_n\}
\text{iff } s \models EPC_l(e') \text{ for some } e' \in \{e_1, \dots, e_n\} \qquad \text{(IH)}
\text{iff } s \models EPC_l(e_1) \vee \cdots \vee EPC_l(e_n)
\text{iff } s \models EPC_l(e_1 \wedge \cdots \wedge e_n). \qquad \text{(Def } EPC)
```

```
Inductive case 2, e = \chi \rhd e': I \in [\chi \rhd e']_s iff I \in [e']_s and s \models \chi (Def [\chi \rhd e']_s) iff s \models EPC_I(e') and s \models \chi (IH) iff s \models EPC_I(e') \land \chi iff s \models EPC_I(\chi \rhd e'). (Def EPC)
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Effect preconditions: connection to normal form



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Remark: EPC vs. effect normal form

Notice that in terms of $EPC_a(e)$, any operator $\langle \chi, e \rangle$ can be expressed in effect normal form as

$$\left\langle \chi, \bigwedge_{a \in A} ((EPC_a(e) \rhd a) \land (EPC_{\neg a}(e) \rhd \neg a)) \right\rangle$$

where A is the set of all state variables.

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Regressing state variables



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The formula $EPC_a(e) \lor (a \land \neg EPC_{\neg a}(e))$ expresses the value of state variable $a \in A$ after applying o in terms of values of state variables before applying o.

Either:

- a became true, or
- **a** was true before and it did not become false.

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Regressing state variables: examples



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Example

Let
$$e = (b \rhd a) \land (c \rhd \neg a) \land b \land \neg d$$
.

	$ EPC_x(e) \lor (x \land \neg EPC_{\neg x}(e)) $
а	$b \lor (a \land \neg c)$
b	$\top \lor (b \land \neg \bot) \equiv \top$
С	$b \lor (a \land \neg c)$ $\top \lor (b \land \neg \bot) \equiv \top$ $\bot \lor (c \land \neg \bot) \equiv c$ $\bot \lor (d \land \neg \top) \equiv \bot$
d	$\perp \lor (d \land \neg \top) \equiv \bot$

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Regressing state variables: correctness



Lemma (B)

Let a be a state variable, $o = \langle \chi, e \rangle$ an operator, s a state, and $s' = app_{o}(s)$. Then $s \models EPC_a(e) \lor (a \land \neg EPC_{\neg a}(e))$ if and only if $s' \models a$.

Proof.

 (\Rightarrow) : Assume $s \models EPC_a(e) \lor (a \land \neg EPC_{\neg a}(e))$. Do a case analysis on the two disjuncts.

- Assume that $s \models EPC_a(e)$. By Lemma A, we have $a \in [e]_s$ and hence $s' \models a$.
- 2 Assume that $s \models a \land \neg EPC_{\neg a}(e)$. By Lemma A, we have $\neg a \notin [e]_s$. Hence a remains true in s'.

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Regressing state variables: correctness



Proof (ctd.)

(\Leftarrow): We showed that if the formula is true in s, then a is true in s'. For the second part, we show that if the formula is false in s, then a is false in s'.

- So assume $s \not\models EPC_a(e) \lor (a \land \neg EPC_{\neg a}(e))$.
- Then $s \models \neg EPC_a(e) \land (\neg a \lor EPC_{\neg a}(e))$ (de Morgan).
- Case distinction: *a* is true or *a* is false in *s*.
 - Assume that $s \models a$. Now $s \models EPC_{\neg a}(e)$ because $s \models \neg a \lor EPC_{\neg a}(e)$. Hence by Lemma A $\neg a \in [e]_s$ and we get $s' \not\models a$.
 - 2 Assume that $s \not\models a$. Because $s \models \neg EPC_a(e)$, by Lemma A we get $a \notin [e]_s$ and hence $s' \not\models a$.

Therefore in both cases $s' \not\models a$.

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Regression: general definition



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We base the definition of regression on formulae $EPC_{l}(e)$.

Definition (general regression)

Let φ be a propositional formula and $o = \langle \chi, e \rangle$ an operator. The regression of φ with respect to o is

$$regr_o(\varphi) = \chi \wedge \varphi_r \wedge \kappa$$

where

- III φ_r is obtained from φ by replacing each $a \in A$ by $EPC_a(e) \lor (a \land \neg EPC_{\neg a}(e))$, and
- $\kappa = \bigwedge_{a \in A} \neg (EPC_a(e) \land EPC_{\neg a}(e)).$

The formula κ expresses that operators are only applicable in states where their change sets are consistent.

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$$\blacksquare$$
 $regr_{(a,b)}(b) \equiv a \land (\top \lor (b \land \neg \bot)) \land \top \equiv a$

■
$$regr_{\langle a,b\rangle}(b \land c \land d)$$

≡ $a \land (\top \lor (b \land \neg \bot)) \land (\bot \lor (c \land \neg \bot)) \land (\bot \lor (d \land \neg \bot)) \land \top$
≡ $a \land c \land d$

■
$$regr_{\langle a,(c \triangleright b) \land (b \triangleright \neg b) \rangle}(b) \equiv a \land (c \lor (b \land \neg b)) \land \neg (c \land b)$$

≡ $a \land c \land \neg b$

■
$$regr_{\langle a,(c \rhd b) \land (d \rhd \neg b) \rangle}(b) \equiv a \land (c \lor (b \land \neg d)) \land \neg (c \land d)$$

≡ $a \land (c \lor b) \land (c \lor \neg d) \land (\neg c \lor \neg d)$
≡ $a \land (c \lor b) \land \neg d$

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Regression example: binary counter



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$$(\neg b_0 \rhd b_0) \land \\ ((\neg b_1 \land b_0) \rhd (b_1 \land \neg b_0)) \land \\ ((\neg b_2 \land b_1 \land b_0) \rhd (b_2 \land \neg b_1 \land \neg b_0))$$

$$EPC_{b_2}(e) = \neg b_2 \wedge b_1 \wedge b_0$$

 $EPC_{b_1}(e) = \neg b_1 \wedge b_0$
 $EPC_{b_0}(e) = \neg b_0$
 $EPC_{\neg b_2}(e) = \bot$

$$EPC_{\neg b_1}(e) = \neg b_2 \wedge b_1 \wedge b_0$$

 $EPC_{\neg b_0}(e) = (\neg b_1 \wedge b_0) \vee (\neg b_2 \wedge b_1 \wedge b_0) \equiv (\neg b_1 \vee \neg b_2) \wedge b_0$

Regression replaces state variables as follows:

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General regression: correctness



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Theorem (correctness of $regr_o(\varphi)$)

Let φ be a formula, o an operator and s a state. Then $s \models regr_o(\varphi)$ iff o is applicable in s and $app_o(s) \models \varphi$.

Proof.

Let $o = \langle \chi, e \rangle$. Recall that $regr_o(\varphi) = \chi \wedge \varphi_r \wedge \kappa$, where φ_r and κ are as defined previously.

If o is inapplicable in s, then $s \not\models \chi \land \kappa$, both sides of the "iff" condition are false, and we are done. Hence, we only further consider states s where o is applicable. Let $s' := app_o(s)$.

We know that $s \models \chi \land \kappa$ (because o is applicable), so the "iff" condition we need to prove simplifies to:

$$s \models \varphi_{\mathsf{r}} \text{ iff } s' \models \varphi.$$

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Proof (ctd.)

To show: $s \models \varphi_r$ iff $s' \models \varphi$.

We show that for all formulae ψ , $s \models \psi_r$ iff $s' \models \psi$, where ψ_r is ψ with every $a \in A$ replaced by $EPC_a(e) \lor (a \land \neg EPC_{\neg a}(e))$.

The proof is by structural induction on ψ .

Induction hypothesis $s \models \psi_r$ if and only if $s' \models \psi$.

Base cases 1 & 2 $\psi = \top$ or $\psi = \bot$: trivial, as $\psi_r = \psi$.

Base case 3 $\psi = a$ for some $a \in A$: Then $\psi_r = EPC_a(e) \lor (a \land \neg EPC_{\neg a}(e))$. By Lemma B, $s \models \psi_r$ iff $s' \models \psi$. Search

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Proof (ctd.)

Inductive case 1 $\psi = \neg \psi'$:

$$s \models \psi_r \text{ iff } s \models (\neg \psi')_r \text{ iff } s \models \neg (\psi'_r) \text{ iff } s \not\models \psi'_r$$

iff $(IH) s' \not\models \psi' \text{ iff } s' \models \neg \psi' \text{ iff } s' \models \psi$

Inductive case 2 $\psi = \psi' \lor \psi''$:

$$\begin{split} s \models \psi_{\mathsf{r}} \text{ iff } s \models (\psi' \lor \psi'')_{\mathsf{r}} \text{ iff } s \models \psi'_{\mathsf{r}} \lor \psi''_{\mathsf{r}} \\ \text{ iff } s \models \psi'_{\mathsf{r}} \text{ or } s \models \psi''_{\mathsf{r}} \\ \text{ iff (IH, twice) } s' \models \psi' \text{ or } s' \models \psi'' \\ \text{ iff } s' \models \psi' \lor \psi'' \text{ iff } s' \models \psi \end{split}$$

Inductive case 3 $\psi = \psi' \wedge \psi''$: Very similar to inductive case 2, just with \wedge instead of \vee and "and" instead of "or".

Search

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Overview Example

General case

C.....

Emptiness and subsumption testing



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The following two tests are useful when performing regression searches to avoid exploring unpromising branches:

- Test that $regr_o(\varphi)$ does not represent the empty set (which would mean that search is in a dead end). For example, $regr_{\langle a, \neg p \rangle}(p) \equiv a \land \bot \equiv \bot$.
- Test that $regr_o(\varphi)$ does not represent a subset of φ (which would make the problem harder than before). For example, $regr_{\langle b,c\rangle}(a) \equiv a \wedge b$.

Both of these problems are NP-hard.

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Formula growth



FREIBU

The formula $regr_{o_1}(regr_{o_2}(\dots regr_{o_{n-1}}(regr_{o_n}(\varphi))))$ may have size $O(|\varphi||o_1||o_2|\dots|o_{n-1}||o_n|)$, i. e., the product of the sizes of φ and the operators.

 \rightsquigarrow worst-case exponential size $O(m^n)$

Logical simplifications

$$\blacksquare$$
 $\bot \land \varphi \equiv \bot$, $\top \land \varphi \equiv \varphi$, $\bot \lor \varphi \equiv \varphi$, $\top \lor \varphi \equiv \top$

■
$$a \lor \varphi \equiv a \lor \varphi[\bot/a]$$
, $\neg a \lor \varphi \equiv \neg a \lor \varphi[\top/a]$, $a \land \varphi \equiv a \land \varphi[\top/a]$, $\neg a \land \varphi \equiv \neg a \land \varphi[\bot/a]$

■ idempotency, absorption, commutativity, associativity, ...

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Practical issues

Restricting formula growth in search trees



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Summary

Problem very big formulae obtained by regression

Cause disjunctivity in the (NNF) formulae (formulae without disjunctions easily convertible to small formulae $I_1 \wedge \cdots \wedge I_n$ where I_i are literals and n is at most the number of state variables.)

Idea handle disjunctivity when generating search trees

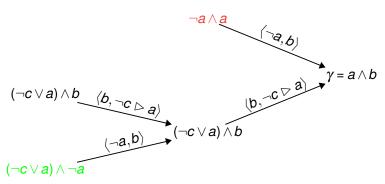
Unrestricted regression: search tree example



JNI

Unrestricted regression: do not treat disjunctions specially

Goal $\gamma = a \land b$, initial state $I = \{a \mapsto 0, b \mapsto 0, c \mapsto 0\}$.



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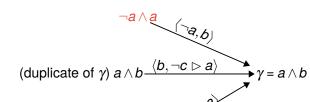
Practical issues

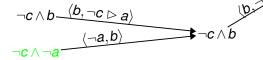
Full splitting: search tree example



Full splitting: always remove all disjunctivity

Goal
$$\gamma = a \wedge b$$
, initial state $I = \{a \mapsto 0, b \mapsto 0, c \mapsto 0\}$. $(\neg c \vee a) \wedge b$ in DNF: $(\neg c \wedge b) \vee (a \wedge b)$ \rightsquigarrow split into $\neg c \wedge b$ and $a \wedge b$





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General splitting strategies



Alternatives:

- Do nothing (unrestricted regression).
- 2 Always eliminate all disjunctivity (full splitting).
- 3 Reduce disjunctivity if formula becomes too big.

Discussion:

- With unrestricted regression the formulae may have size that is exponential in the number of state variables.
- With full splitting search tree can be exponentially bigger than without splitting.
- The third option lies between these two extremes.

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- (Classical) search is a very important planning approach.
- Search-based planning algorithms differ along many dimensions, including
 - search direction (forward, backward)
 - what each search node represents (a state, a set of states, an operator sequence)
- Progression search proceeds forwards from the initial state.
 - If we use duplicate detection, each search node corresponds to a unique state.
 - If we do not use duplicate detection, each search node corresponds to a unique operator sequence.



Search

Progression

- Regression search proceeds backwards from the goal.
 - Each search node corresponds to a set of states represented by a formula.
 - Regression is simple for STRIPS operators.
 - The theory for general regression is more complex.
 - When applying regression in practice, additional considerations such as when and how to perform splitting come into play.