Principles of AI Planning

18. Complexity of nondeterministic planning with full observability

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Motivation
Similar to the earlier analysis of deterministic planning, we will now study the computational complexity of nondeterministic planning with full observability.

We consider the case of strong planning.

The results for strong cyclic planning are identical.

As usual, the main motivation for such a study is to determine the limit of what is possible algorithmically: Should we try to develop a polynomial algorithm?
The basic proof idea is very similar to the PSPACE-completeness proof for deterministic planning. The main difference is that we consider alternating Turing Machines (ATMs) instead of deterministic Turing Machines (DTMs) in the reduction. Due to the similarity to the earlier proof, we first review some of the concepts introduced in the earlier lecture.
Review
Definition: Alternating Turing Machine

Alternating Turing Machine (ATM) \( \langle \Sigma, \square, Q, q_0, I, \delta \rangle \):

1. **Input Alphabet** \( \Sigma \) and blank symbol \( \square \notin \Sigma \)
   - Alphabets always non-empty and finite
   - Tape alphabet \( \Sigma \square = \Sigma \cup \{ \square \} \)

2. Finite set \( Q \) of internal states with initial state \( q_0 \in Q \)

3. State labeling \( I : Q \rightarrow \{ Y, N, \exists, \forall \} \)
   - Accepting, rejecting, existential, universal states
     \( Q_Y, Q_N, Q_\exists, Q_\forall \)
   - Terminal states \( Q_* = Q_Y \cup Q_N \)
   - Nonterminal states \( Q' = Q_\exists \cup Q_\forall \)

4. Transition relation \( \delta \subseteq (Q' \times \Sigma \square) \times (Q \times \Sigma \square \times \{-1, +1\}) \)
Let $M = \langle \Sigma, \square, Q, q_0, l, \delta \rangle$ be an ATM.

**Definition: Configuration**

A *configuration* of $M$ is a triple $(w, q, x) \in \Sigma^* \times Q \times \Sigma^+$.

- $w$: tape contents before tape head
- $q$: current state
- $x$: tape contents after and including tape head
Let $M = \langle \Sigma, \square, Q, q_0, l, \delta \rangle$ be an ATM.

**Definition: Yields relation**

A configuration $c$ of $M$ yields a configuration $c'$ of $M$, in symbols $c \vdash c'$, as defined by the following rules, where $a, a', b \in \Sigma_{\square}$, $w, x \in \Sigma^*$, $q, q' \in Q$ and $((q, a), (q', a', \Delta)) \in \delta$:

- $(w, q, ax) \vdash (wa', q', x)$ if $\Delta = +1$, $|x| \geq 1$
- $(w, q, a) \vdash (wa', q', \square)$ if $\Delta = +1$
- $(wb, q, ax) \vdash (w, q', ba'x)$ if $\Delta = -1$
- $(\varepsilon, q, ax) \vdash (\varepsilon, q', \square a'x)$ if $\Delta = -1$
Let $M = \langle \Sigma, \square, Q, q_0, l, \delta \rangle$ be an ATM.

**Definition: Acceptance (space)**

Let $c = (w, q, x)$ be a configuration of $M$.

- $M$ accepts $c = (w, q, x)$ with $q \in Q_Y$ in space $n$ iff $|w| + |x| \leq n$.
- $M$ accepts $c = (w, q, x)$ with $q \in Q_\exists$ in space $n$ iff $M$ accepts some $c'$ with $c \vdash c'$ in space $n$.
- $M$ accepts $c = (w, q, x)$ with $q \in Q_\forall$ in space $n$ iff $M$ accepts all $c'$ with $c \vdash c'$ in space $n$. 
Let $M = \langle \Sigma, \square, Q, q_0, l, \delta \rangle$ be an ATM.

**Definition: Accepting words**

$M$ accepts the word $w \in \Sigma^*$ in space $n \in \mathbb{N}_0$ iff $M$ accepts $(\varepsilon, q_0, w)$ in space $n$.

- Special case: $M$ accepts $\varepsilon$ in time (space) $n \in \mathbb{N}_0$ iff $M$ accepts $(\varepsilon, q_0, \square)$ in time (space) $n$.

**Definition: Accepting languages**

Let $f : \mathbb{N}_0 \rightarrow \mathbb{N}_0$.

$M$ accepts the language $L \subseteq \Sigma^*$ in space $f$ iff $M$ accepts each word $w \in L$ in space $f(|w|)$, and $M$ does not accept any word $w \notin L$. 
Alternating space complexity

Definition: ASPACE, APSPACE

Let $f : \mathbb{N}_0 \rightarrow \mathbb{N}_0$.

Complexity class $\text{ASPACE}(f)$ contains all languages accepted in space $f$ by some ATM.

Let $\mathcal{P}$ be the set of polynomials $p : \mathbb{N}_0 \rightarrow \mathbb{N}_0$.

$$\text{APSPACE} := \bigcup_{p \in \mathcal{P}} \text{ASPACE}(p)$$
Standard complexity classes relationships

**Theorem**

\[
P \subseteq NP \subseteq AP \\
PSPACE \subseteq NPSPACE \subseteq APSPACE \\
EXP \subseteq NEXP \subseteq AEXP \\
EXPSPACE \subseteq NEXPSPACE \subseteq AEXPSPACE \\
2\text{-EXP} \subseteq \ldots
\]
The power of alternation

**Theorem (Chandra et al. 1981)**

<table>
<thead>
<tr>
<th>Class</th>
<th>Complexity Class</th>
</tr>
</thead>
<tbody>
<tr>
<td>AP</td>
<td>PSPACE</td>
</tr>
<tr>
<td>APSPACE</td>
<td>EXP</td>
</tr>
<tr>
<td>AEXP</td>
<td>EXPSPACE</td>
</tr>
<tr>
<td>AEXPSPACE</td>
<td>2-EXP</td>
</tr>
</tbody>
</table>

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The hierarchy of complexity classes

- 2-EXPSPACE = 2-NEXPSPACE
- 2-NEXP
- 2-EXP = AEXPSPACE
- EXPSPACE = NEXPSPACE = AEXP
- NEXP
- EXP = APSPACE
- PSPACE = NPSPACE = AP
- NP
- P

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Complexity results
The strong planning problem

**STRONGPLANEx (strong plan existence)**

**Given:** nondeterministic planning task \( \langle A, I, O, G, V \rangle \) with full observability \( A = V \)

**Question:** Is there a strong plan for the task?

- We do **not** consider a nondeterministic analog of the bounded plan existence problem (PLANLEN).
Proof idea

- We will prove that StrongPlanEx is EXP-complete.
- We already know that the problem belongs to EXP, because we have presented a dynamic programming algorithm that generates strong plans in exponential time.
- We prove hardness for EXP by providing a generic reduction for alternating Turing Machines with polynomial space and use Chandra et al.’s theorem showing APSPACE = EXP.
For a fixed polynomial $p$, given ATM $M$ and input $w$, generate planning task which is solvable by a strong plan iff $M$ accepts $w$ in space $p(|w|)$.

For simplicity, restrict to ATMs which never move to the left of the initial head position (no loss of generality).

- Existential states of the ATM are modeled by states of the planning task where there are several applicable operators to choose from.
- Universal states of the ATM are modeled by states of the planning task where there is a single applicable operator with a nondeterministic effect.
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Universal states of the ATM are modeled by states of the planning task where there is a single applicable operator with a nondeterministic effect.
Let $p$ be the space-bound polynomial.

Given ATM $\langle \Sigma, \square, Q, q_0, l, \delta \rangle$ and input $w_1 \ldots w_n$, define relevant tape positions $X = \{1, \ldots, p(n)\}$.

### State variables

- $\text{state}_q$ for all $q \in Q$
- $\text{head}_i$ for all $i \in X \cup \{0, p(n) + 1\}$
- $\text{content}_{i,a}$ for all $i \in X$, $a \in \Sigma \setminus \square$
Let $p$ be the space bound polynomial.
Given ATM $\langle \Sigma, \square, Q, q_0, l, \delta \rangle$ and input $w_1 \ldots w_n$, define relevant tape positions $X = \{1, \ldots, p(n)\}$.

**Initial state formula**

Specify a unique initial state.

Initially true:
- state$_{q_0}$
- head$_1$
- content$_{i,w_i}$ for all $i \in \{1, \ldots, n\}$
- content$_{i,\square}$ for all $i \in X \setminus \{1, \ldots, n\}$

Initially false:
- all others
Let $p$ be the space bound polynomial.

Given ATM $\langle \Sigma, \square, Q, q_0, l, \delta \rangle$ and input $w_1 \ldots w_n$, define relevant tape positions $X = \{1, \ldots, p(n)\}$.

**Goal**

$$\bigvee_{q \in Q_Y} \text{state}_q$$

- Without loss of generality, we can assume that $Q_Y$ is a singleton set so that we do not need a disjunctive goal.
- This way, the hardness result also holds for a restricted class of planning tasks ("nondeterministic STRIPS").
Let \( p \) be the space bound polynomial.

Given ATM \( \langle \Sigma, \square, Q, q_0, I, \delta \rangle \) and input \( w_1 \ldots w_n \), define relevant tape positions \( X = \{1, \ldots, p(n)\} \).

**Operators**

For \( q, q' \in Q, \ a, a' \in \Sigma \square, \ \Delta \in \{-1, +1\}, \ i \in X \), define

- \( \text{pre}_{q,a,i} = \text{state}_q \land \text{head}_i \land \text{content}_{i,a} \)
- \( \text{eff}_{q,a,q',a',\Delta,i} = \neg\text{state}_q \land \neg\text{head}_i \land \neg\text{content}_{i,a} \land \text{state}_{q'} \land \text{head}_{i+\Delta} \land \text{content}_{i,a'} \)

  - If \( q = q' \), omit the effects \( \neg\text{state}_q \) and \( \text{state}_{q'} \).
  - If \( a = a' \), omit the effects \( \neg\text{content}_{i,a} \) and \( \text{content}_{i,a'} \).
Reduction: operators (continued)

Let $p$ be the space bound polynomial.

Given ATM $\langle \Sigma, \Box, Q, q_0, l, \delta \rangle$ and input $w_1 \ldots w_n$, define relevant tape positions $X = \{1, \ldots, p(n)\}$.

Operators (ctd.)

For existential states $q \in Q_\exists$, $a \in \Sigma_\Box$, $i \in X$:

Let $(q_j', a_j', \Delta_j)_{j \in \{1, \ldots, k\}}$ be those triples with $((q, a), (q_j', a_j', \Delta_j)) \in \delta$.

For each $j \in \{1, \ldots, k\}$, introduce one operator:

- precondition: $\text{pre}_{q, a, i}$
- effect: $\text{eff}_{q, a, q_j', a_j', \Delta_j, i}$
Reduction: operators (continued)

Let $p$ be the space bound polynomial.

Given ATM $\langle \Sigma, \square, Q, q_0, l, \delta \rangle$ and input $w_1 \ldots w_n$, define relevant tape positions $X = \{1, \ldots, p(n)\}$.

Operators (ctd.)

For universal states $q \in Q_\forall$, $a \in \Sigma_{\Box}$, $i \in X$:
Let $(q'_j, a'_j, \Delta_j)_{j \in \{1, \ldots, k\}}$ be those triples with $((q, a), (q'_j, a'_j, \Delta_j)) \in \delta$.

Introduce only one operator:
- precondition: $\text{pre}_{q, a, i}$
- effect: $\text{eff}_{q, a, q'_1, a'_1, \Delta_1, i} \ldots \text{eff}_{q, a, q'_k, a'_k, \Delta_k, i}$
Theorem (Rintanen)

**STRONGPLANEx** is EXP-complete.

This is true even if we only allow operators in unary nondeterminism normal form where all deterministic sub-effects and the goal satisfy the STRIPS restriction and if we require a deterministic initial state.

Proof.

Membership in EXP has been shown by providing exponential-time algorithms that generate strong plans (and decide if one exists as a side effect).

Hardness follows from the previous generic reduction for ATMs with polynomial space bound and Chandra et al.’s theorem.
EXP-completeness of strong planning with full observability

**Theorem (Rintanen)**

\textsc{StrongPlanEx} is EXP-complete.

*This is true even if we only allow operators in unary nondeterminism normal form where all deterministic sub-effects and the goal satisfy the STRIPS restriction and if we require a deterministic initial state.*

**Proof.**

Membership in EXP has been shown by providing exponential-time algorithms that generate strong plans (and decide if one exists as a side effect). Hardness follows from the previous generic reduction for ATMs with polynomial space bound and Chandra et al.’s theorem.
Summary
Nondeterministic planning is harder than deterministic planning.

In particular, it is EXP-complete in the fully observable case, compared to the PSPACE-completeness of deterministic planning.

The hardness result already holds if the operators and goals satisfy some fairly strong syntactic restrictions and there is a unique initial state.

The introduction of nondeterministic effects corresponds to the introduction of alternation in Turing Machines.

Later, we will see that restricted observability has an even more dramatic effect on the complexity of the planning problem.