

# Principles of AI Planning

## 18. Complexity of nondeterministic planning with full observability

Albert-Ludwigs-Universität Freiburg



Bernhard Nebel and Robert Mattmüller

February 7th, 2014

# 1 Motivation



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Motivation

Review

Complexity  
results

Summary

- Similar to the earlier analysis of deterministic planning, we will now study the computational complexity of nondeterministic planning with full observability.
- We consider the case of **strong planning**.
- The results for **strong cyclic planning** are identical.

As usual, the main **motivation** for such a study is to determine the **limit** of what is possible algorithmically: Should we try to develop a polynomial algorithm?

- The basic proof idea is very similar to the PSPACE-completeness proof for deterministic planning.
- The main difference is that we consider **alternating** Turing Machines (ATMs) instead of deterministic Turing Machines (DTMs) in the reduction.
- Due to the similarity to the earlier proof, we first review some of the concepts introduced in the earlier lecture.

- Alternating Turing Machines
- Complexity classes

Motivation

**Review**

ATMs

Complexity classes

Complexity  
results

Summary

## Definition: Alternating Turing Machine

**Alternating Turing Machine (ATM)**  $\langle \Sigma, \square, Q, q_0, I, \delta \rangle$ :

- 1 **input alphabet**  $\Sigma$  and **blank symbol**  $\square \notin \Sigma$ 
  - alphabets always non-empty and finite
  - **tape alphabet**  $\Sigma_{\square} = \Sigma \cup \{\square\}$
- 2 finite set  $Q$  of **internal states** with **initial state**  $q_0 \in Q$
- 3 state labeling  $I : Q \rightarrow \{Y, N, \exists, \forall\}$ 
  - **accepting, rejecting, existential, universal** states  
 $Q_Y, Q_N, Q_{\exists}, Q_{\forall}$
  - **terminal** states  $Q_{\star} = Q_Y \cup Q_N$
  - **nonterminal** states  $Q' = Q_{\exists} \cup Q_{\forall}$
- 4 **transition relation**  $\delta \subseteq (Q' \times \Sigma_{\square}) \times (Q \times \Sigma_{\square} \times \{-1, +1\})$

Motivation

Review

ATMs

Complexity classes

Complexity  
results

Summary

Let  $M = \langle \Sigma, \square, Q, q_0, l, \delta \rangle$  be an ATM.

## Definition: Configuration

A **configuration** of  $M$  is a triple  $(w, q, x) \in \Sigma_{\square}^* \times Q \times \Sigma_{\square}^+$ .

- $w$ : tape contents before tape head
- $q$ : current state
- $x$ : tape contents after and including tape head

Let  $M = \langle \Sigma, \square, Q, q_0, l, \delta \rangle$  be an ATM.

## Definition: Yields relation

A configuration  $c$  of  $M$  **yields** a configuration  $c'$  of  $M$ , in symbols  $c \vdash c'$ , as defined by the following rules, where  $a, a', b \in \Sigma_{\square}$ ,  $w, x \in \Sigma_{\square}^*$ ,  $q, q' \in Q$  and  $((q, a), (q', a', \Delta)) \in \delta$ :

$$\begin{array}{ll} (w, q, ax) \vdash (wa', q', x) & \text{if } \Delta = +1, |x| \geq 1 \\ (w, q, a) \vdash (wa', q', \square) & \text{if } \Delta = +1 \\ (wb, q, ax) \vdash (w, q', ba'x) & \text{if } \Delta = -1 \\ (\varepsilon, q, ax) \vdash (\varepsilon, q', \square a'x) & \text{if } \Delta = -1 \end{array}$$

Motivation

Review

ATMs

Complexity classes

Complexity  
results

Summary



Let  $M = \langle \Sigma, \square, Q, q_0, l, \delta \rangle$  be an ATM.

## Definition: Acceptance (space)

Let  $c = (w, q, x)$  be a configuration of  $M$ .

- $M$  **accepts**  $c = (w, q, x)$  with  $q \in Q_Y$  **in space  $n$**  iff  $|w| + |x| \leq n$ .
- $M$  **accepts**  $c = (w, q, x)$  with  $q \in Q_{\exists}$  **in space  $n$**  iff  $M$  accepts some  $c'$  with  $c \vdash c'$  in space  $n$ .
- $M$  **accepts**  $c = (w, q, x)$  with  $q \in Q_{\forall}$  **in space  $n$**  iff  $M$  accepts all  $c'$  with  $c \vdash c'$  in space  $n$ .

Motivation

Review

ATMs

Complexity classes

Complexity  
results

Summary

Let  $M = \langle \Sigma, \square, Q, q_0, l, \delta \rangle$  be an ATM.

## Definition: Accepting words

$M$  **accepts the word**  $w \in \Sigma^*$  **in space**  $n \in \mathbb{N}_0$

iff  $M$  accepts  $(\varepsilon, q_0, w)$  in space  $n$ .

- Special case:  $M$  accepts  $\varepsilon$  in time (space)  $n \in \mathbb{N}_0$   
iff  $M$  accepts  $(\varepsilon, q_0, \square)$  in time (space)  $n$ .

## Definition: Accepting languages

Let  $f : \mathbb{N}_0 \rightarrow \mathbb{N}_0$ .

$M$  **accepts the language**  $L \subseteq \Sigma^*$  **in space**  $f$

iff  $M$  accepts each word  $w \in L$  in space  $f(|w|)$ ,  
and  $M$  does not accept any word  $w \notin L$ .

Motivation

Review

ATMs

Complexity classes

Complexity  
results

Summary

## Definition: $\text{ASPACE}$ , $\text{APSPACE}$

Let  $f : \mathbb{N}_0 \rightarrow \mathbb{N}_0$ .

Complexity class  $\text{ASPACE}(f)$  contains all languages accepted in space  $f$  by some ATM.

Let  $\mathcal{P}$  be the set of polynomials  $p : \mathbb{N}_0 \rightarrow \mathbb{N}_0$ .

$$\text{APSPACE} := \bigcup_{p \in \mathcal{P}} \text{ASPACE}(p)$$



Motivation

Review

ATMs

Complexity classes

Complexity  
results

Summary

## Theorem

$$\begin{array}{lll} P \subseteq & NP & \subseteq AP \\ PSPACE \subseteq & NPSPACE & \subseteq APSPACE \\ EXP \subseteq & NEXP & \subseteq AEXP \\ EXPSPACE \subseteq & NEXPSPACE & \subseteq AEXPSPACE \\ 2-EXP \subseteq & \dots & \end{array}$$

## Theorem (Chandra et al. 1981)

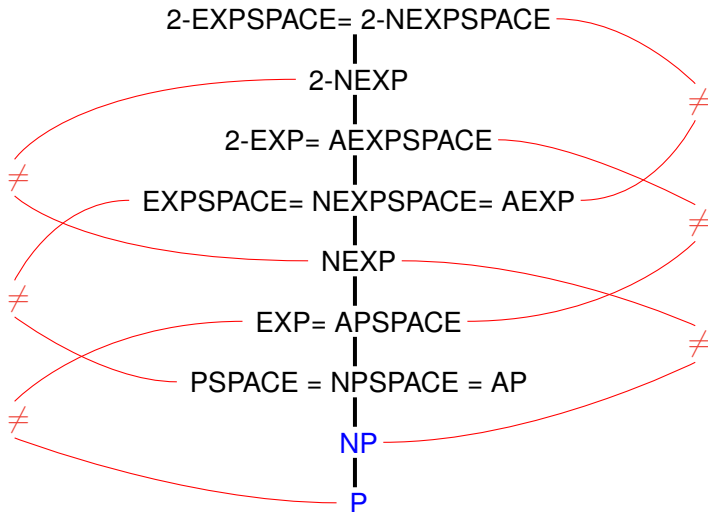
$$\text{AP} = \text{PSPACE}$$

$$\text{APSPACE} = \text{EXP}$$

$$\text{AEXP} = \text{EXPSPACE}$$

$$\text{AEXPSPACE} = 2\text{-EXP}$$

# The hierarchy of complexity classes



Motivation

Review

ATMs

Complexity classes

Complexity  
results

Summary

# 3 Complexity results

- The strong planning problem
- APSPACE reduction
- EXP-completeness proof

Motivation

Review

Complexity  
results

The problem

The reduction

The proof

Summary

## STRONGPLANEx (strong plan existence)

GIVEN:       nondeterministic planning task  $\langle A, I, O, G, V \rangle$   
              with full observability ( $A = V$ )

QUESTION:   Is there a **strong plan** for the task?

- We do **not** consider a nondeterministic analog of the bounded plan existence problem (PLANLEN).



- We will prove that STRONGPLANEx is EXP-complete.
- We already know that the problem belongs to EXP, because we have presented a dynamic programming algorithm that generates strong plans in exponential time.
- We prove **hardness** for EXP by providing a **generic reduction** for **alternating Turing Machines with polynomial space** and use Chandra et al.'s theorem showing  $\text{APSPACE} = \text{EXP}$ .

Motivation

Review

Complexity  
results

The problem

The reduction

The proof

Summary

- For a fixed polynomial  $p$ , given ATM  $M$  and input  $w$ , generate planning task which is solvable by a strong plan iff  $M$  accepts  $w$  in space  $p(|w|)$ .
- For simplicity, restrict to ATMs which never move to the left of the initial head position (no loss of generality).
- **Existential states** of the ATM are modeled by states of the planning task where there are **several applicable operators** to choose from.
- **Universal states** of the ATM are modeled by states of the planning task where there is **a single applicable operator with a nondeterministic effect**.

Motivation

Review

Complexity  
results

The problem

The reduction

The proof

Summary

Let  $p$  be the space-bound polynomial.

Given ATM  $\langle \Sigma, \square, Q, q_0, l, \delta \rangle$  and input  $w_1 \dots w_n$ ,  
define **relevant tape positions**  $X = \{1, \dots, p(n)\}$ .

## State variables

- $\text{state}_q$  for all  $q \in Q$
- $\text{head}_i$  for all  $i \in X \cup \{0, p(n) + 1\}$
- $\text{content}_{i,a}$  for all  $i \in X, a \in \Sigma \cup \square$

Motivation

Review

Complexity  
results

The problem

The reduction

The proof

Summary

# Reduction: initial state

Let  $p$  be the space bound polynomial.

Given ATM  $\langle \Sigma, \square, Q, q_0, l, \delta \rangle$  and input  $w_1 \dots w_n$ ,  
define **relevant tape positions**  $X = \{1, \dots, p(n)\}$ .

## Initial state formula

Specify a **unique initial state**.

Initially true:

- $\text{state}_{q_0}$
- $\text{head}_1$
- $\text{content}_{i, w_i}$  for all  $i \in \{1, \dots, n\}$
- $\text{content}_{i, \square}$  for all  $i \in X \setminus \{1, \dots, n\}$

Initially false:

- all others

Motivation

Review

Complexity  
results

The problem

The reduction

The proof

Summary

Let  $p$  be the space bound polynomial.

Given ATM  $\langle \Sigma, \square, Q, q_0, l, \delta \rangle$  and input  $w_1 \dots w_n$ ,  
define **relevant tape positions**  $X = \{1, \dots, p(n)\}$ .

## Goal

$\bigvee_{q \in Q_Y} \text{state}_q$

- Without loss of generality, we can assume that  $Q_Y$  is a singleton set so that we do not need a disjunctive goal.
- This way, the hardness result also holds for a restricted class of planning tasks (“nondeterministic STRIPS”).

Motivation

Review

Complexity  
results

The problem

The reduction

The proof

Summary

Let  $p$  be the space bound polynomial.

Given ATM  $\langle \Sigma, \square, Q, q_0, l, \delta \rangle$  and input  $w_1 \dots w_n$ ,  
define **relevant tape positions**  $X = \{1, \dots, p(n)\}$ .

## Operators

For  $q, q' \in Q$ ,  $a, a' \in \Sigma \cup \square$ ,  $\Delta \in \{-1, +1\}$ ,  $i \in X$ , define

- $\text{pre}_{q,a,i} = \text{state}_q \wedge \text{head}_i \wedge \text{content}_{i,a}$
- $\text{eff}_{q,a,q',a',\Delta,i} = \neg \text{state}_q \wedge \neg \text{head}_i \wedge \neg \text{content}_{i,a}$   
 $\quad \wedge \text{state}_{q'} \wedge \text{head}_{i+\Delta} \wedge \text{content}_{i,a'}$ 
  - If  $q = q'$ , omit the effects  $\neg \text{state}_q$  and  $\text{state}_{q'}$ .
  - If  $a = a'$ , omit the effects  $\neg \text{content}_{i,a}$  and  $\text{content}_{i,a'}$ .

Motivation

Review

Complexity  
results

The problem

The reduction

The proof

Summary

# Reduction: operators (continued)

Let  $p$  be the space bound polynomial.

Given ATM  $\langle \Sigma, \square, Q, q_0, l, \delta \rangle$  and input  $w_1 \dots w_n$ ,  
define **relevant tape positions**  $X = \{1, \dots, p(n)\}$ .

## Operators (ctd.)

For **existential** states  $q \in Q_{\exists}$ ,  $a \in \Sigma_{\square}$ ,  $i \in X$ :

Let  $(q'_j, a'_j, \Delta_j)_{j \in \{1, \dots, k\}}$  be those triples with  
 $((q, a), (q'_j, a'_j, \Delta_j)) \in \delta$ .

For each  $j \in \{1, \dots, k\}$ , introduce one operator:

- precondition:  $\text{pre}_{q,a,i}$
- effect:  $\text{eff}_{q,a,q'_j,a'_j,\Delta_j,i}$

Motivation

Review

Complexity  
results

The problem

The reduction

The proof

Summary

# Reduction: operators (continued)

Let  $p$  be the space bound polynomial.

Given ATM  $\langle \Sigma, \square, Q, q_0, l, \delta \rangle$  and input  $w_1 \dots w_n$ ,  
define **relevant tape positions**  $X = \{1, \dots, p(n)\}$ .

## Operators (ctd.)

For **universal** states  $q \in Q_{\forall}$ ,  $a \in \Sigma_{\square}$ ,  $i \in X$ :

Let  $(q'_j, a'_j, \Delta_j)_{j \in \{1, \dots, k\}}$  be those triples with  
 $((q, a), (q'_j, a'_j, \Delta_j)) \in \delta$ .

Introduce only one operator:

- precondition:  $\text{pre}_{q,a,i}$
- effect:  $\text{eff}_{q,a,q'_1,a'_1,\Delta_1,i} \dots \text{eff}_{q,a,q'_k,a'_k,\Delta_k,i}$

Motivation

Review

Complexity  
results

The problem

The reduction

The proof

Summary



# EXP-completeness of strong planning with full observability

## Theorem (Rintanen)

STRONGPLANEx is EXP-complete.

*This is true even if we only allow operators in unary nondeterminism normal form where all deterministic sub-effects and the goal satisfy the STRIPS restriction and if we require a deterministic initial state.*

## Proof.

Membership in EXP has been shown by providing exponential-time algorithms that generate strong plans (and decide if one exists as a side effect).

Hardness follows from the previous generic reduction for ATMs with polynomial space bound and Chandra et al.'s theorem.



Motivation

Review

Complexity  
results

The problem

The reduction

The proof

Summary

# 4 Summary



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Motivation

Review

Complexity  
results

**Summary**



- Nondeterministic planning is harder than deterministic planning.
- In particular, it is **EXP-complete** in the fully observable case, compared to the PSPACE-completeness of deterministic planning.
- The hardness result already holds if the operators and goals satisfy some fairly strong syntactic restrictions and there is a unique initial state.
- The introduction of nondeterministic effects corresponds to the introduction of **alternation** in Turing Machines.
- Later, we will see that **restricted observability** has an even more dramatic effect on the complexity of the planning problem.

Motivation

Review

Complexity  
results

Summary