## Principles of <br> Knowledge Representation and Reasoning

Dynamics of belief

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Winter Semester 2012/2013

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## Introduction

## Principles

## Propositional logic flaws:

- The world is not always static.
- The knowledge about the world is sometimes uncertain or imprecise

Therefore:

- Need the possibility to incorporate new (possibly contradictory) beliefs;
- Need to take into account change in the world;


## The Guettier argument

Plato - Theaetetus: A knowledge (a rightful opinion) is a piece of1 Justified True BeliefPlato - Theaetetus: A knowledge (a rightful opinion) is a piece of
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Agrippa's trilemma - A problem with the justification:
Either the justification stops to some unjustified belief; The justification is infinite (Socrates' clouds);

The justification is supported by affirmations it is supposed to justify (Baron Münchhausen's hair).

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## Foundationalism and coherentism

Three solutions:
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$\rightarrow$ Formalization issues
$\rightarrow$ Humans don't keep track of sources
$\rightarrow$ TMS System
Allow for infinite justification
$\rightarrow$ Does it really make sense?
Allow for circular justifications
$\rightarrow$ What is a solid belief?
$\rightarrow$ Belief revision/update

- In any cases, information is exiremely important and should not be discarded carelessly.


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## Social choice theory: the Arrow theorem

Arrow's impossibility theorem - there is no voting system which respects:Non-dictatorship(all voters should be taken into account);
Universality(complete and deterministic ranking);
Independance of irrelevant alternatives (ranking between $x$ and $y$ depends only on $x$ and $y$ );
Pareto efficiency
(if all preferences states $x<y$, then so must the results).

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## Revision or update

- We have a theory about the world, and the new information is meant to correct our theory accommodate the new information

We have a (supposedly) correct theory about the current state of the world, and the new information is meant to record a change in the world
belief update: incorporate the change by assuming that the world has changed minimally

## Revision or update

Introduction

- We have a theory about the world, and the new information is meant to correct our theory
$\rightsquigarrow$ belief revision: change your belief state minimally in order to accommodate the new information
- We have a (supposedly) correct theory about the current record a change in the world
$\rightsquigarrow$ belief update: incorporate the change by assuming that the world has changed minimally


## Update and revision are different

Assume the new information is consistent with our old beliefs.

```
In case of belief revision, we would like to add the new
information monotonically to our old beliefs.
For belief undate this is not necessarily the case.
Assume we know that the door is open or the window is
open.
Assume we learn that the world has changed and the door
is now closed.
    In this case, we do not want to add this information
    monotonically to our theory, since we would be forced to
    conclude that the window is open.
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## Overview of an operation

What are the criteria for definition of a belief revision operation?

Gärdenfors and Rott - belief revision (1995):
1 How are beliefs represented?
2 What is the relation between beliefs represented explicitly in the belief base and beliefs which can be derived from them?
3 In the face of a contradiction, how to deal with both new and old information?

## Belief base, belief set or interpretation?

## General assumption:

- A belief set is a deductively closed theory, i.e., $K=\operatorname{Cn}(K)$ with Cn the consequence operator
$\mathcal{L}:$ logical language (propositional logic)
$\mathrm{Th}_{\mathcal{L}}$ : set of deductively closed theories (or belief sets) over

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## Belief change operations

Monotonic addition: $+: \mathrm{Th}_{\mathcal{L}} \times \mathcal{L} \rightarrow \mathrm{Th}_{\mathcal{L}}$

$$
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## Belief change operations

Monotonic addition: $+: \mathrm{Th}_{\mathcal{L}} \times \mathcal{L} \rightarrow \mathrm{Th}_{\mathcal{L}}$

$$
\begin{array}{ll} 
& K+\psi=\operatorname{Cn}(K \cup\{\psi\}) \\
\text { Revision: } & \dot{+}: \operatorname{Th}_{\mathcal{L}} \times \mathcal{L} \rightarrow \operatorname{Th}_{\mathcal{L}}
\end{array}
$$

## Semantic or syntactic

Consider $K=\{a, b\}$ and $K^{\prime}=\{a \wedge b\}$. What is happening to $K \dot{+}\{\neg a\}$ ?

Introduction

- $X=\{b\}$ is the only maximal subset of $K$ s.t.

| $a$ | $b$ | $\mathcal{I}$ |
| :---: | :---: | :---: |
| 0 | 0 | 0 |
| 0 | 1 | 0 |
| 1 | 0 | 0 |
| 1 | 1 | 1 | $X \cup\{\neg a\}$ is consistent.

- $X^{\prime}=\emptyset$ is the only maximal subset of $K^{\prime}$ s.t. $X^{\prime} \cup\{\neg a\}$ is consistent.

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## Belief revision

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## What is a good revision operator?

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Consistency: a revision has to produce a consistent set of beliefs;

Minimality of change: a revision has to change the fewest possible beliefs;

Priority to the new information: the 'new' information is considered more important than the 'old' one.

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belief considered more important than the 'old' one.

## The AGM postulates

Characterization for belief sets' revision

## AGM postulates:

$$
(\dot{+1}) K \dot{+} \varphi \in \operatorname{Th}_{\mathcal{L}}
$$

$\varphi \in K+\varphi ;$


If $\neg \varphi \notin K$, then $K+\varphi \subseteq K \dot{+} \varphi$;
$\square$
If $\vdash \varphi \leftrightarrow \psi$ then $K \dot{+} \varphi=K \dot{+} \psi$;

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$K \dot{+}(\varphi \wedge \psi) \subseteq(K \dot{+} \varphi)+\psi ;$
If $\neg \psi \notin K \dot{+} \varphi$,
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$$
\begin{aligned}
& \text { (+1) } K \dot{+} \varphi \in \operatorname{Th}_{\mathcal{L}} ; \\
& \text { (+2) } \varphi \in K \dot{+} \text {; } \\
& \text { (+3) } K \dot{+} \varphi \subseteq K+\varphi ; \\
& \text { (+4) If } \neg \varphi \notin K \text {, then } K+\varphi \subseteq K \dot{+} \varphi ; \\
& \text { (+5) } K \dot{+} \varphi=\operatorname{Cn}(\perp) \text { only if } \vdash \neg \varphi ; \\
& \text { (+ं6) If } \vdash \varphi \leftrightarrow \psi \text { then } K \dot{+} \varphi=K \dot{+} \psi ;
\end{aligned}
$$

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Characterization for belief sets' revision

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$(\dot{+1}) K \dot{+} \varphi \in \operatorname{Th}_{\mathcal{L}}$;
$(\dot{+} 2) \varphi \in K \dot{+} \boldsymbol{\varphi}$;
$(\dot{+}) K \dot{+} \boldsymbol{\varphi} \subseteq K+\boldsymbol{\varphi}$;
(+4) If $\neg \varphi \notin K$, then $K+\varphi \subseteq K \dot{+} \varphi$;
$(\dot{+} 5) K \dot{+} \varphi=\operatorname{Cn}(\perp)$ only if $\vdash \neg \varphi$;
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$(\dot{+} 7) K \dot{+}(\varphi \wedge \psi) \subseteq(K \dot{+} \varphi)+\psi$;
then $(K \dot{+} \varphi)+\psi \subseteq K \dot{+}(\varphi \wedge \psi)$.

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## The Levi identity

Revision can be defined in terms of two suboperations.

-     + (expansion) denotes the simple union of beliefs;
-     - (contraction) denotes the removal of information contradicting the input.


## The Levi identity

$$
K \dot{+} \varphi \equiv C n[(K-\neg \varphi)+\varphi]
$$

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## The Levi identity

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## Example

$$
\begin{aligned}
& K=\{a, a \rightarrow b\} \quad \varphi\{\neg b\} ? \\
& K-\neg \varphi=\{a\} \text { or }\{a \rightarrow b\} \\
& K \dot{+} \neg \varphi=\{a, \neg b\} \text { or }\{a \rightarrow b, \neg b\}
\end{aligned}
$$

## Full-meet contraction

## Definition

We denote by $K \perp \varphi$ the set of maximal (wrt set-theoretic inclusion) subsets $J$ of $K$ such that $J \nvdash \varphi$.

## Definition

Is full-meet contraction reasonable?

- Nol It is far too cautious.
- It can nevertheless be used as a lower bound to any reasonable operator.
$K+\varphi=\bigcap(K \perp \varphi)+\varphi$ is referred to as the full-meet revision.


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$K \dot{+} \varphi=\bigcap(K \perp \varphi)+\varphi$ is referred to as the full-meet revision.


## Full-meet contraction

Properties

## Proposition

Full-meet revision respects all AGM postulates.

## Proof

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$K+\varphi=\operatorname{Cn}\left(\cap_{\alpha \in(K \perp \varphi)} \alpha \cup \varphi\right)$. But $\forall \alpha, \alpha \cup \varphi \nvdash \perp$, therefore $\cap_{x \in(K \mid m)} \alpha \cup \varphi \nvdash \perp$ (as PL is monotonic).

Lets assume that $\alpha \in K \perp \varphi$ but $\alpha \notin K \perp \Psi$. Two cases: (1) $\alpha \cup \Psi \vdash \perp \stackrel{(\varphi \leftrightarrow \psi)}{\longrightarrow} \alpha \cup \varphi \vdash \perp$ which is not possible. (2) $\exists \beta$ s.t. $\alpha \subset \rho$ and $\rho$, , $\quad,(\varphi \leftrightarrow \psi) \rho, \ldots, 1$, which is not-possibie Left as exercises.

## Full-meet contraction

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$(+1)$ and $(+2)$ are true by construction
( +3 ) Two cases: (1) If $K+\varphi$ is consistent then $K-\varphi=K$ and $K \dot{+} \varphi=K+\varphi$. (2) If $K+\varphi$ is inconsistent then $K+\varphi=\operatorname{Cn}(\perp)$ and $K \dot{+} \varphi \subseteq K+\varphi$.
Because $K$ $K+\varphi=\operatorname{Cn}(\cap \alpha \in(K \perp \varphi) \alpha \cup \varphi)$. But $\forall \alpha, \alpha \cup \varphi \nvdash \perp$, therefore

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revision
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( +4$)$ Because $K \nvdash \neg \varphi$ then $K \perp \varphi=\{K\}$ and thus $K \dot{+} \varphi=K+\varphi$.
$(+5) K \dot{+} \varphi=\operatorname{Cn}\left(\cap_{\alpha \in(K \perp \varphi)} \alpha \cup \varphi\right)$. But $\forall \alpha, \alpha \cup \varphi \nvdash \perp$, therefore $\cap_{\alpha \in(K \perp \varphi)} \alpha \cup \varphi \nvdash \perp$ (as PL is monotonic).
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$(\dot{+})$ and $(\dot{+})$ Left as exercises...

## Maxi-choice contraction

On the other side, one can ask for the principle of minimality to be strictly respected.

## Definition

A selection function for $K$ is a function $\gamma$ such that for all sentences $\varphi$ :
11 If $K \perp \varphi$ is non-empty, then $\gamma(K \perp \varphi)$ is a non-empty subset of $K \perp \varphi$, and
2 If $K \perp \varphi$ is empty, then $\gamma(K \perp \varphi)=\{K\}$.

## Definition

Maxichoice contraction is defined as $K-\varphi=\gamma(K \perp \varphi)$ where $\gamma$ is a selection function.

## Partial-meet contraction

Maxi-choice can be too bold: there is sometimes no reason to trust one piece more than one another.

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Seems to be a good compromise between full-meet and maxi-choice

## Distance-based revision operations

## Definition

The Dalal revision operation, denoted by $\dot{+}_{D}$, is defined as:

$$
K \dot{+}_{D} \varphi=\min \left(\operatorname{ext} \operatorname{Mod}(\varphi), \leq_{K}\right)
$$

where $d_{H}$ is the Hamming Distance and
$\alpha \leq_{K} \beta$ iff $\exists \omega \in \operatorname{ext} \operatorname{Mod}(K), \forall \omega^{\prime} \in \operatorname{extMod}(K), d_{H}(\alpha, \omega) \leq d_{H}\left(\beta, \omega^{\prime}\right)$
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## Example

|  | $a$ | $b$ | $c$ |
| :--- | :--- | :--- | :--- |
| $\mathcal{I}_{\varphi_{1}}$ | 0 | 0 | 0 |
| $\mathcal{I}_{\varphi_{2}}$ | 0 | 0 | 1 |
|  | 0 | 1 | 0 |
| $\mathcal{I}_{K_{1}}$ | 0 | 1 | 1 |
|  | 1 | 0 | 0 |
| $\mathcal{I}_{K_{2}}$ | 1 | 0 | 1 |
|  | 1 | 1 | 0 |
| $\mathcal{I}_{K_{3}}$ | 1 | 1 | 1 |

$$
\begin{aligned}
& \text { Let } \varphi=\{\neg a, \neg b\} \text { and } K=\{(a \vee b) \wedge c\}: \\
& \qquad \begin{array}{rl}
d\left(\mathcal{I}_{\varphi_{1}}, \mathcal{I}_{K_{1}}\right)=2 & d\left(\mathcal{I}_{\varphi_{2}}, \mathcal{I}_{K_{1}}\right)=1 \\
d\left(\mathcal{I}_{\varphi_{1}}, \mathcal{I}_{K_{2}}\right)=2 & d\left(\mathcal{I}_{\varphi_{2}}, \mathcal{I}_{K_{2}}\right)=1 \\
d\left(\mathcal{I}_{\varphi_{1}}, \mathcal{I}_{K_{3}}\right)=3 & d\left(\mathcal{I}_{\varphi_{2}}, \mathcal{I}_{K_{3}}\right)=2
\end{array}
\end{aligned}
$$

## Some complexity result

## Formula-based approaches

The question does $\psi$ belongs to $K \dot{+} \varphi$ (if $\dot{+}$ is a full-meet revision operator) is $\Delta_{2}^{p}-\left(\Sigma_{1}^{p} \cup \Pi_{1}^{p}\right)$ provided that NP $\neq$ co-NP.

## proof

If $\dot{+}$ is a full-meet revision, $\Psi \in \mathrm{Cn}(K) \dot{+} \varphi$ can be solved by the following algorithm: if $K \not \models \neg \Psi$, then $K \cup \Psi \models \varphi$ else $\Psi \models \varphi \longrightarrow$ Membership in $\Delta_{2}^{p}$.

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## Some complexity result

## Formula-based approaches

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Furthermore, SAT can be polynomially transformed to full-meet revision by solving $\Psi \in \mathrm{Cn}(\Psi) \dot{+} T$ and UNSAT can be polynomially transform to full-meet revision by solving
$\perp \in \mathrm{Cn}(\emptyset)+\Psi$. Hence, assuming that full-meet revision belongs to both NP and co-NP would lead to NP = co-NP.

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## Several sources - belief merging

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## Principles of belief merging

There is not only one source for the information:

- Voting procedure;
- Expert system;
- Distributed databases;
- multisource knowledge acquisition.

Constructing a belief base which represents the several sources
solves the contradiction;
reduces the redundancies;
is consistent.

## Principles of belief merging

There is not only one source for the information:

- Voting procedure;
- Expert system;
- Distributed databases;
- multisource knowledge acquisition.

Introduction

Constructing a belief base which represents the several sources and which:

- solves the contradiction;
- reduces the redundancies;
$\square$ is consistent.


## Merging in the general case



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$E=\left\{K_{1}, K_{2}, \ldots, K_{n}\right\}$
Each $K_{i}$ is consistent

## Merging in the general case



## Merging in the general case



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## Formal framework

## General assumption:

- $K_{1}, \ldots, K_{n}$ are belief bases;
- $E=\left\{K_{1}, \ldots, K_{n}\right\}$ is a multi-set of belief bases and is called a belief profile;
- IC is a propositional formula standing for constraints;
$\square \sqcup$ stands for multi-set union.

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## Operation

Belief merging operation: $\Delta: \mathcal{L}^{n} \times \mathcal{L} \rightarrow \mathcal{L}$
Sometimes also called fusion operation.

## Konieczny-PinoPerez postulates

```
(KPO) }\mp@subsup{\Delta}{IC}{\prime}(E)|IC
    If IC is consistent, then }\mp@subsup{\Delta}{IC}{}(E)\mathrm{ is consistent.
    If }\E\wedgeIC\mathrm{ is consistent, then }\mp@subsup{\Delta}{IC}{}(E)=\E\wedgeIC
    If }\mp@subsup{E}{1}{}\equiv\mp@subsup{E}{2}{}\mathrm{ and IC }\mp@subsup{C}{1}{}\equivI\mp@subsup{C}{2}{}\mathrm{ , then
    \mp@subsup{|}{I\mp@subsup{C}{1}{}}{(E}(\mp@subsup{E}{1}{})\equiv\mp@subsup{\Delta}{l\mp@subsup{C}{2}{}}{(}(\mp@subsup{E}{2}{\prime}).
    If K}\mp@subsup{K}{1}{}=IC\mathrm{ and K}\mp@subsup{K}{2}{}\modelsIC\mathrm{ , then
    \DeltaIC}(\mp@subsup{K}{1}{}\sqcup\mp@subsup{K}{2}{})\wedge\mp@subsup{K}{1}{}\not\vDash\perp\mathrm{ implies
    \mp@subsup{\Delta}{lC}{C}}(\mp@subsup{K}{1}{}\sqcup\mp@subsup{K}{2}{})\wedge\mp@subsup{K}{2}{}\not\Leftarrow\perp
    \DeltaIC}(\mp@subsup{E}{1}{})\wedge\mp@subsup{\Delta}{IC}{\prime}(\mp@subsup{E}{2}{})\models\mp@subsup{\Delta}{IC}{}(\mp@subsup{E}{1}{}\sqcup\mp@subsup{E}{2}{})
    If }\mp@subsup{\Delta}{IC}{}(\mp@subsup{E}{1}{})\wedge\mp@subsup{\Delta}{IC}{}(\mp@subsup{E}{2}{})\mathrm{ is consistent, then
    \mp@subsup{\Delta}{IC}{\prime}}(\mp@subsup{E}{1}{}\sqcup\mp@subsup{E}{2}{})=\mp@subsup{\Delta}{IC}{}(\mp@subsup{E}{1}{})\wedge\mp@subsup{\Delta}{IC}{}(\mp@subsup{E}{2}{})
    \mp@subsup{\Delta}{IC}{1}
    If }\mp@subsup{\Delta}{I\mp@subsup{C}{1}{}}{}(E)\wedgeI\mp@subsup{C}{2}{}\mathrm{ is consistent, then
\mp@subsup{\Delta}{I\mp@subsup{C}{1}{}\wedgeI\mp@subsup{C}{2}{}}{}(E)=\mp@subsup{\Delta}{I\mp@subsup{C}{1}{}}{}(E)\wedgeI\mp@subsup{C}{2}{}
```

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## Konieczny-PinoPerez postulates

$(\mathrm{KPO}) \quad \Delta_{I C}(E) \models I C$.
(KP1) If $I C$ is consistent, then $\Delta_{I C}(E)$ is consistent.


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$(\mathrm{KPO}) \quad \Delta_{I C}(E) \models I C$.
(KP1) If $I C$ is consistent, then $\Delta_{I C}(E)$ is consistent.
(KP2) If $\wedge E \wedge I C$ is consistent, then $\Delta_{I C}(E)=\wedge E \wedge I C$.

$(\mathrm{KPO}) \Delta_{I C}(E) \models I C$.
(KP1) If $I C$ is consistent, then $\Delta_{I C}(E)$ is consistent.
(KP2) If $\wedge E \wedge I C$ is consistent, then $\Delta_{I C}(E)=\wedge E \wedge I C$.
(KP3) If $E_{1} \equiv E_{2}$ and $I C_{1} \equiv I C_{2}$, then
$\Delta_{C_{1}}\left(E_{1}\right) \equiv \Delta_{C_{2}}\left(E_{2}\right)$.


If $\Delta_{I C}\left(E_{1}\right) \wedge \Delta_{I C}\left(E_{2}\right)$ is consistent, then
$\Delta_{I C}\left(E_{1} \sqcup E_{2}\right) \models \Delta_{I C}\left(E_{1}\right) \wedge \Delta_{I C}\left(E_{2}\right)$.


If $\Delta_{I C_{1}}(E) \wedge I C_{2}$ is consistent, then
$\Delta_{I C_{1} \wedge I C_{2}}(E) \models \Delta_{I C_{1}}(E) \wedge I C_{2}$.

## Konieczny-PinoPerez postulates

$(\mathrm{KPO}) \quad \Delta_{I C}(E) \models I C$.
(KP1) If IC is consistent, then $\Delta_{I C}(E)$ is consistent.
(KP2) If $\wedge E \wedge I C$ is consistent, then $\Delta_{I C}(E)=\wedge E \wedge I C$.
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$\Delta_{C_{1}}\left(E_{1}\right) \equiv \Delta_{C_{2}}\left(E_{2}\right)$.
(KP4) If $K_{1} \equiv I C$ and $K_{2} \models I C$, then
$\Delta_{I C}\left(K_{1} \sqcup K_{2}\right) \wedge K_{1} \neq \perp$ implies
$\Delta_{I C}\left(K_{1} \sqcup K_{2}\right) \wedge K_{2} \not \models \perp$.


## Konieczny-PinoPerez postulates

$(\mathrm{KPO}) \quad \Delta_{I C}(E) \models I C$.
(KP1) If $I C$ is consistent, then $\Delta_{I C}(E)$ is consistent.
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$\Delta_{I C}\left(K_{1} \sqcup K_{2}\right) \wedge K_{2} \not \vDash \perp$.
(KP5) $\Delta_{I C}\left(E_{1}\right) \wedge \Delta_{I C}\left(E_{2}\right) \models \Delta_{I C}\left(E_{1} \sqcup E_{2}\right)$.


## Konieczny-PinoPerez postulates

(KP0) $\Delta_{I C}(E) \models I C$.
(KP1) If $I C$ is consistent, then $\Delta_{I C}(E)$ is consistent.
(KP2) If $\wedge E \wedge I C$ is consistent, then $\Delta_{I C}(E)=\wedge E \wedge I C$.
(KP3) If $E_{1} \equiv E_{2}$ and $I C_{1} \equiv I C_{2}$, then
$\Delta_{C_{1}}\left(E_{1}\right) \equiv \Delta_{C_{2}}\left(E_{2}\right)$.
(KP4) If $K_{1}=I C$ and $K_{2} \models I C$, then
$\Delta_{I C}\left(K_{1} \sqcup K_{2}\right) \wedge K_{1} \notin \perp$ implies
$\Delta_{I C}\left(K_{1} \sqcup K_{2}\right) \wedge K_{2} \not \vDash \perp$.
(KP5) $\Delta_{I C}\left(E_{1}\right) \wedge \Delta_{I C}\left(E_{2}\right) \models \Delta_{I C}\left(E_{1} \sqcup E_{2}\right)$.
(KP6) If $\Delta_{I C}\left(E_{1}\right) \wedge \Delta_{I C}\left(E_{2}\right)$ is consistent, then
$\Delta_{I C}\left(E_{1} \sqcup E_{2}\right) \models \Delta_{I C}\left(E_{1}\right) \wedge \Delta_{I C}\left(E_{2}\right)$.

If $\Delta_{I C_{1}}(E) \wedge I C_{2}$ is consistent, then
$\Delta_{I C_{1} \wedge I C_{2}}(E) \models \Delta_{I C_{1}}(E) \wedge I C_{2}$.

## Konieczny-PinoPerez postulates

(KP0) $\Delta_{I C}(E) \models I C$.
(KP1) If $I C$ is consistent, then $\Delta_{I C}(E)$ is consistent.
(KP2) If $\wedge E \wedge I C$ is consistent, then $\Delta_{I C}(E)=\wedge E \wedge I C$.
(KP3) If $E_{1} \equiv E_{2}$ and $I C_{1} \equiv I C_{2}$, then
$\Delta_{C_{1}}\left(E_{1}\right) \equiv \Delta_{C_{2}}\left(E_{2}\right)$.
(KP4) If $K_{1}=I C$ and $K_{2} \models I C$, then
$\Delta_{I C}\left(K_{1} \sqcup K_{2}\right) \wedge K_{1} \notin \perp$ implies
$\Delta_{I C}\left(K_{1} \sqcup K_{2}\right) \wedge K_{2} \not \vDash \perp$.
(KP5) $\Delta_{I C}\left(E_{1}\right) \wedge \Delta_{I C}\left(E_{2}\right) \models \Delta_{I C}\left(E_{1} \sqcup E_{2}\right)$.
(KP6) If $\Delta_{I C}\left(E_{1}\right) \wedge \Delta_{I C}\left(E_{2}\right)$ is consistent, then
$\Delta_{I C}\left(E_{1} \sqcup E_{2}\right) \models \Delta_{I C}\left(E_{1}\right) \wedge \Delta_{I C}\left(E_{2}\right)$.
(KP7) $\Delta_{I C_{1}}(E) \wedge I C_{2} \vDash \Delta_{I C_{1} \wedge I C_{2}}(E)$.

If $\Delta_{I C_{1}}(E) \wedge I C_{2}$ is consistent, then


## Konieczny-PinoPerez postulates

(KP0) $\Delta_{I C}(E) \models I C$.
(KP1) If $I C$ is consistent, then $\Delta_{I C}(E)$ is consistent.
(KP2) If $\wedge E \wedge I C$ is consistent, then $\Delta_{I C}(E)=\wedge E \wedge I C$.
(KP3) If $E_{1} \equiv E_{2}$ and $I C_{1} \equiv I C_{2}$, then
$\Delta_{C_{1}}\left(E_{1}\right) \equiv \Delta_{C_{2}}\left(E_{2}\right)$.
(KP4) If $K_{1} \models I C$ and $K_{2} \models I C$, then
$\Delta_{I C}\left(K_{1} \sqcup K_{2}\right) \wedge K_{1} \neq \perp$ implies
$\Delta_{I C}\left(K_{1} \sqcup K_{2}\right) \wedge K_{2} \not \vDash \perp$.
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(KP6) If $\Delta_{I C}\left(E_{1}\right) \wedge \Delta_{I C}\left(E_{2}\right)$ is consistent, then
$\Delta_{I C}\left(E_{1} \sqcup E_{2}\right) \models \Delta_{I C}\left(E_{1}\right) \wedge \Delta_{I C}\left(E_{2}\right)$.
(KP7) $\Delta_{I C_{1}}(E) \wedge I C_{2}=\Delta_{I C_{1} \wedge I C_{2}}(E)$.
(KP8) If $\Delta_{I C_{1}}(E) \wedge I C_{2}$ is consistent, then
$\Delta_{I C_{1} \wedge I C_{2}}(E) \models \Delta_{I C_{1}}(E) \wedge I C_{2}$.

## Arbitration or majority operations

## Arbitration (Arb)

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## Independence from majority (IM)

$$
\forall n, \Delta_{I C}\left(K_{1} \sqcup K_{2}^{n}\right) \leftrightarrow \Delta_{I C}\left(K_{1} \sqcup K_{2}\right)
$$

## Link between (IM) the KP postulates

## Theorem

There exists no merging operator satisfying all the KP postulates and (IM).

## Proof

Consider $E_{1}=\{K, \neg K\}$ and $E_{2}=\{K\}$ be two belief profiles.
(IM) leads to $\Delta_{-}\left(E_{1} \sqcup E_{2}\right)=\Delta_{T}\left(E_{1}\right)$.
(KP4) allows for $\Delta_{T}\left(E_{1}\right) \nvdash K$ and $\Delta_{T}\left(E_{1}\right) \nvdash \neg K$

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From (KP2), we have that $\Delta_{T}\left(E_{2}\right) \vdash K$ and thus $\Delta_{T}\left(E_{1}\right) \wedge \Delta_{T}\left(E_{2}\right)$ is consistent and from (KP6) we obtain $\Delta_{\top}\left(E_{1} \sqcup E_{2}\right) \vdash \Delta_{\top}\left(E_{1}\right) \wedge \Delta_{-}\left(E_{2}\right)$, i.e. $\Delta_{\mathrm{T}}\left(E_{1}\right) \vdash \Delta_{\mathrm{T}}\left(E_{1}\right) \wedge K$ and thus $\Delta_{\mathrm{T}}\left(E_{1}\right) \vdash K$ contradicting $(\mathrm{KP} 4)$

## Link between (IM) the KP postulates

## Theorem

There exists no merging operator satisfying all the KP postulates and (IM).

## Proof

Consider $E_{1}=\{K, \neg K\}$ and $E_{2}=\{K\}$ be two belief profiles.
(IM) leads to $\Delta_{\top}\left(E_{1} \sqcup E_{2}\right)=\Delta_{\top}\left(E_{1}\right)$.
(KP4) allows for $\Delta_{\top}\left(E_{1}\right) \nvdash K$ and $\Delta_{\top}\left(E_{1}\right) \nvdash \neg K$.
From (KP2), we have that $\Delta_{T}\left(E_{2}\right) \vdash K$ and thus $\Delta_{T}\left(E_{1}\right) \wedge \Delta_{T}\left(E_{2}\right)$ is consistent and from (KP6) we obtain $\Delta_{T}\left(E_{1} \sqcup E_{2}\right) \vdash \Delta_{T}\left(E_{1}\right) \wedge \Delta_{T}\left(E_{2}\right)$, i.e., $\Delta_{\mathrm{T}}\left(E_{1}\right) \vdash \Delta_{\mathrm{T}}\left(E_{1}\right) \wedge K$ and thus $\Delta_{\mathrm{T}}\left(E_{1}\right) \vdash K$ contradicting (KP4).

## Link between (IM) and (Maj)

## Theorem

If a merging operator satisfies (KP1) and (KP2) then it can not satisfies (IM) and (Maj) at the same time.

## Link between (IM) and (Maj)

## Theorem

If a merging operator satisfies (KP1) and (KP2) then it can not satisfies (IM) and (Maj) at the same time.

## Proof

From (IM) and (Maj), we have for all $E_{1}, K$ that
$\Delta_{\top}\left(E_{1} \sqcup K\right) \leftrightarrow \Delta_{\top}\left(E_{1} \sqcup K^{n}\right) \vdash \Delta_{\top}(K)$.
From (KP2), we deduce that $\forall K, \Delta_{\top}\left(E_{1} \sqcup K\right) \vdash K$.
Consider $K^{\prime}$ such that $K \wedge K^{\prime} \vdash \perp$. Then with $E=K^{\prime}$, we have
$\Delta_{\top}\left(K^{\prime} \sqcup K\right) \vdash K$. And also that $\Delta_{\top}\left(K \sqcup K^{\prime}\right) \vdash K^{\prime}$ and thus that
$\Delta_{\mathrm{T}}\left(K^{\prime} \sqcup K\right) \vdash K \wedge K^{\prime}$. Finally, $\Delta_{\mathrm{T}}\left(K^{\prime} \sqcup K\right) \vdash \perp$ contradicting (KP1).

## Syncretic assignment

## Definition

A syncretic assignment is a function which associates to a belief

3 If $E_{1} \leftrightarrow E_{2}$ then $\leq_{E_{1}}=\leq_{E_{2}}$
$4 \forall \omega \models K, \exists \omega^{\prime} \models K^{\prime}, \omega^{\prime} \leq_{K \sqcup K^{\prime}} \omega$
5 If $\omega \leq_{E_{1}} \omega^{\prime}$ and $\omega \leq_{E_{2}} \omega^{\prime}$ then $\omega \leq_{E_{1} \sqcup E_{2}} \omega^{\prime}$
6 If $\omega<_{E_{1}} \omega^{\prime}$ and $\omega \leq_{E_{2}} \omega^{\prime}$ then $\omega<_{E_{1} \sqcup E_{2}} \omega^{\prime}$

## Syncretic assignment - Extra conditions

## Definition

Introduction
A majority syncretic assignment is a syncretic assignment which satisfies the following condition:

$$
7 \text { If } \omega<_{E_{2}} \omega^{\prime} \text {, then } \exists n, \omega<_{E_{1} \sqcup E_{2}^{n}} \omega^{\prime}
$$

## Definition

A fair syncretic assignment is a syncretic assignment which satisfies the following condition:

8

$$
\left.\begin{array}{r}
\omega<_{K} \omega^{\prime} \\
\omega<_{K^{\prime}} \omega^{\prime \prime} \\
\omega^{\prime} \simeq_{K \sqcup K^{\prime}} \omega^{\prime \prime}
\end{array}\right\} \Rightarrow \omega<_{K \sqcup K^{\prime}} \omega^{\prime}
$$

## Syncretic assignment and KP postulates

## Theorem

We consider $\Delta_{I C}$ a merging operation. $\Delta_{I C}$ respects all (KP) postulates iff there exists a syncretic assignment which associates to every belief profile $E$ a total pre-order $\leq_{E}$ such that the result of the merging operation $\Delta_{I C}(E)$ as the set of minimal elements of Mod(IC) according to the pre-order $\leq_{E}$.

## Theorem

An operator $\Delta$ is a majority (resp. arbitration) merging operation iff there exists a majority (resp. fair) syncretic assignment which associates to every belief profile $E$ a total pre-order $\leq_{E}$ such that the result of the merging operation $\Delta_{I C}(E)$ as the set of minimal elements of Mod(IC) according to the pre-order $\leq_{E}$.

## Distances and aggregation functions <br> Definition

## Distances

$d: \Omega \times \Omega \rightarrow \mathbb{N}$ is a distance between interpretations iff it respects

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## Aggregation function

$f: \mathbb{N}^{n} \rightarrow \mathbb{N}$ is an aggregation function iff it respects
$1 f$ is non-decreasing in each argument;
$2 \forall\left(x_{1}, \ldots, x_{n}\right), f\left(x_{1}, \ldots, x_{n}\right)=0$ iff $x_{1}=\ldots=x_{n}=0$;
(3) $\forall x_{1}, f\left(x_{1}\right)=x_{1}$

## Distances and aggregation functions <br> Example

Some distance functions:
drastic $d_{D}\left(\omega_{1}, \omega_{2}\right)=0$ if $\omega_{1}=\omega_{1}, 1$ otherwise
Hamming $d_{H}\left(\omega_{1}, \omega_{2}\right)=\left|\left\{x \in \mathcal{L} \mid \omega_{1}(x) \neq \omega_{2}(x)\right\}\right|$

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Syntactic merging s.t. $\forall i, a_{\sigma(i)} \geq a_{\sigma(i+1)}$ and $b_{\sigma^{\prime}(i)} \geq b_{\sigma^{\prime}(i+1)}$.
$\vec{a} \leq_{l e x} \vec{b}$ iff $\forall i, a_{\sigma(i)}=b_{\sigma^{\prime}(i)}$ or $\exists i \geq 1$ s.t. $a_{\sigma(i)}<b_{\sigma^{\prime}(i)}$ and $a_{\sigma(j)}=b_{\sigma^{\prime}(j)}$ for all $1 \leq j<i$.

## Distance-based merging

## Distance-based merging operators

$d$ is a distance, $f$ and $g$ are aggregation functions, $E=\left\{K_{1}, \ldots, K_{n}\right\}$ is belief profile and $C$ is a formula:

$$
\operatorname{Mod}\left(\Delta_{I C}^{d, f, g}(E)\right)=\{\omega \in \operatorname{Mod}(I C) \mid d(\omega, E) \text { is minimal }\}
$$

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and for every $K_{i}=\left\{\varphi_{i, 1}, \ldots, \varphi_{i, n_{i}}\right\}$

$$
d\left(\omega, K_{i}\right)=f\left(d\left(\omega, \varphi_{i, 1}\right), \ldots, d\left(\omega, \varphi_{i, n_{i}}\right)\right)
$$

## Distance-based merging: example

## Example

$E=\left\{K_{1}, K_{2}, K_{3}, K_{4}\right\}$ under the integrity constraint $I C=T$ where

$$
\begin{aligned}
K_{1} & =\{a \wedge b \wedge c, a \rightarrow \neg b\} \\
K_{2} & =\{a \wedge b\} \\
K_{3} & =\{\neg a \wedge \neg b, \neg b\} \\
K_{4} & =\{a, a \rightarrow b\} \\
& \quad \Delta^{d_{H}, \text { sum,lex }} \text { Operator. }
\end{aligned}
$$

|  | $a \wedge b \wedge c$ | $a \rightarrow \neg b$ | $a \vee b$ | $\neg a \wedge \neg b$ | $\neg b$ | $a$ | $a \rightarrow b$ | $K_{1}, K_{2}, K_{3}, K_{4}$ | $E$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 000 | 3 | 0 | 2 | 0 | 0 | 1 | 0 | $3,2,0,1$ | 3210 |
| 001 | 2 | 0 | 2 | 0 | 0 | 1 | 0 | $2,2,0,1$ | 2210 |
| 010 | 2 | 0 | 1 | 1 | 1 | 1 | 0 | $2,1,2,1$ | 2211 |
| 011 | 1 | 0 | 1 | 1 | 1 | 1 | 0 | $1,1,2,1$ | 2111 |
| 100 | 2 | 0 | 1 | 1 | 0 | 0 | 1 | $2,1,1,1$ | 2111 |
| 101 | 1 | 0 | 1 | 1 | 0 | 0 | 1 | $1,1,1,1$ | 1111 |
| 110 | 1 | 1 | 0 | 2 | 1 | 0 | 0 | $2,0,3,0$ | 3200 |
| 111 | 0 | 1 | 0 | 2 | 1 | 0 | 0 | $1,0,3,0$ | 3100 |

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## Table of complexity

## Complexity for $d_{D}$

| $f / g$ | $\max$ | sum | lex |
| :---: | :---: | :---: | :---: |
| $\max$ | $B H_{2}$ | $\Theta_{2}^{p}$ | $\Theta_{2}^{p}$ |
| sum | $\Theta_{2}^{p}$ | $\Theta_{2}^{p}$ | $\Delta_{2}^{p}$ |

## Complexity for $d_{H}$

| $f / g$ | $\max$ | sum | lex |
| :---: | :---: | :---: | :---: |
| $\max$ | $\Theta_{2}^{\rho}$ | $\Theta_{2}^{\rho}$ | $\Delta_{2}^{p}$ |
| sum | $\Theta_{2}^{\rho}$ | $\Theta_{2}^{p}$ | $\Delta_{2}^{p}$ |

## Removed Sets Fusion: Principle

- subset of formulas which restore consistency: Potential Removed Sets
- minimal subset of formulas which restore consistency: Removed Sets
- profile without these formulas: Removed Sets Fusion operation


## Potential Removed Set

$E=\left\{K_{1}, \ldots, K_{n}\right\}:$ a belief profile $\quad I C$ : constraints

## Definition (Potential Removed Set)

$X$ is a potential Removed Set of $E$ constrainted by IC iff $\left(\left(K_{1} \sqcup \cdots \sqcup K_{n}\right) \backslash X\right) \sqcup I C$ is consistent.

## Potential Removed Sets

$$
K_{1}=\left\{\begin{array}{ll}
a & b
\end{array}\right\} \quad K_{2}=\{\neg a \vee \neg b\}
$$

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## Removed Sets according to $P$

$E=\left\{K_{1}, \ldots, K_{n}\right\}:$ a belief profile $\quad I C$ : constraints
s.t. $K_{1} \sqcup \cdots \sqcup K_{n} \sqcup I C$ is inconsistent.
$P$ : a merging strategy.

## Definition (Removed Set)

$X$ is a Removed Set of $E$ constrainted by IC according to $P$ iff :
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$\square X$ is a potential Removed Set of $E$ constrainted by $I C$;

- $\nexists X^{\prime} \subseteq K_{1} \sqcup \cdots \sqcup K_{n}$ s.t. $X^{\prime} \subset X$;
- $\nexists X^{\prime} \subseteq K_{1} \sqcup \cdots \sqcup K_{n}$ s.t. $X^{\prime}<{ }_{p} X$.


## Removed Sets

$$
K_{1}=\{a \quad b\} \quad K_{2}=\{\neg a \vee \neg b\}
$$

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## Definition of the merging operator

$E=\left\{K_{1}, \ldots, K_{n}\right\}$ : a belief profile IC : constraints
$P$ : a merging strategy.
$\mathcal{F}_{P, I C} \mathcal{R}(E)$ : the set of Removed Sets of $E$ constrainted by IC according to $P$.

Definition $\left(\triangle_{P, / C}^{R S F}(E)\right)$

$$
\Delta_{P, I C}^{R S F}(E)=\bigvee_{x \in \mathcal{F}_{P, I C} \mathcal{R}(E)}\left\{\left(\left(K_{1} \sqcup \cdots \sqcup K_{n}\right) \backslash X\right) \sqcup I C\right\}
$$

## Example

$$
\begin{gathered}
K_{1}=\left\{\begin{array}{ll}
a & b
\end{array}\right\} \quad K_{2}=\{\neg a \vee \neg b\} \\
\Delta_{\Sigma, I C}^{R S F}(E)=\{\neg a \vee \neg b \quad b\} \vee\{\neg a \vee \neg b \quad a\} \vee\{a \quad b
\end{gathered}
$$

## Pre-order Sum

$E=\left\{K_{1}, \ldots, K_{n}\right\}$ : a belief profile. $X, X^{\prime}$ : two potential Removed Sets of $E$.
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## The Sum strategy

Profile $E=\left\{K_{1}, K_{2}, K_{3}\right\}$

$$
\left.\begin{array}{c}
K_{1}=\left\{\begin{array}{lcccc}
\neg d, & s \vee o, & s
\end{array}\right\} \quad K_{2}=\{\neg s, \quad d \vee o, \quad \neg d \vee \neg 0
\end{array}\right\}
$$

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