Principles of Knowledge Representation and Reasoning Nonmonotonic Reasoning III: Cumulative Logics

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Albert-Ludwigs-Universität Freiburg

Bernhard Nebel, Stefan Wölfl, and Julien Hué December 7 & 12 2012



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Introduction

- Conventional NM logics are based on (ad hoc) modifications of the logical machinery (proofs/models).
 - Nonmonotonicity is only a negative characterization: From $\Theta \triangleright \varphi$, it does not necessarily follow $\Theta \cup \{\psi\} \models \varphi$.
 - Could we have a constructive positive characterization of default reasoning?

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Plausible consequences

- In classical logics, we have the logical consequence relation $\alpha \models \beta$: If α is true, then also β is true.
- Instead, we will study the relation of plausible consequence $\alpha \sim \beta$: If α is all we know, can we conclude β ?
- $a \sim \beta \text{ does not imply } \alpha \wedge \alpha' \sim \beta!$ Compare to conditional probability: $P(\beta | \alpha) \neq P(\beta | \alpha, \alpha')!$
- Find rules that characterize $\succ \dots$ For example: if $\alpha \succ \beta$ and $\alpha \succ \gamma$, then $\alpha \succ \beta \land \gamma$.
- Write down all such rules ...
- \blacksquare ... and find a semantic characterization of $\mid \sim !$

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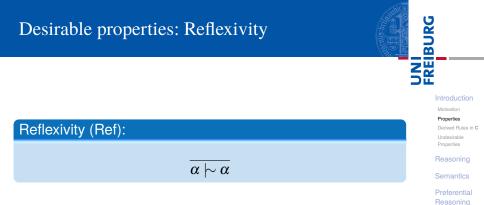
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- **Rationale:** If α holds, this normally implies α .
- Example: Tom goes to a party normally implies that Tom goes to a party.

Reflexivity in default logic

Let $\Delta = \langle D, W \rangle$ be a propositional default theory. Define the relation $\mid \sim_{\Delta}$ as follows:

$$\alpha \mathrel{\sim_{\Delta}} \beta \iff \langle D, W \cup \{\alpha\} \rangle \mathrel{\sim_{\partial}} \beta$$

 $\alpha \sim \beta$ means that β is a skeptical conclusion of $\langle D, W \cup \{\alpha\} \rangle$.

Proposition

Default logic satisfies Reflexivity.

Proof.

The question is: does α follow skeptically from $\Delta' = \langle D, W \cup \{\alpha\} \rangle$? For each extension *E* of Δ' , it holds $W \cup \{\alpha\} \subseteq E$ (by definition). Hence $\alpha \in E$, and thus α belongs to all extensions of Δ' .

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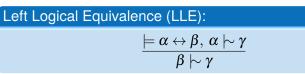
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Desirable properties: Left Logical Equivalence



- Rationale: It is not the syntactic form, but the content that is responsible for what we conclude normally.
- Example: Assume that

Tom goes or Peter goes normally implies Mary goes. Then we would expect that

Peter goes or Tom goes normally implies Mary goes.

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Proposition

Default logic satisfies Left Logical Equivalence.

Proof.

Assume $\models \alpha \leftrightarrow \beta$ and $\alpha \models_{\Delta} \gamma$ (with $\Delta = \langle D, W \rangle$). Hence, γ is in all extensions of $\Delta' := \langle D, W \cup \{\alpha\} \rangle$. The definition of extensions is invariant under replacing any formula by an equivalent formula. Thus, $\langle D, W \cup \{\beta\} \rangle$ has exactly the same extensions as Δ' , and γ is in every one of them. Hence, $\beta \models_{\Delta} \gamma$.

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Desirable properties: Right Weakening

Right Weakening (RW):

$$\frac{\models \alpha \rightarrow \beta, \ \gamma \models \alpha}{\gamma \models \beta}$$

- Rationale: If something can be concluded normally, then everything classically implied should also be concluded normally.
- Example: Assume that

Mary goes <mark>normally implies</mark> Clive goes <mark>and</mark> John goes. Then we would expect that

Mary goes <mark>normally implies</mark> Clive goes.

From (Ref) & (RW) Supraclassicality follows:

$$\alpha \succ \alpha + \frac{\models \alpha \rightarrow \beta, \ \alpha \succ \alpha}{\alpha \succ \beta} \implies \frac{\alpha \models \beta}{\alpha \succ \beta}$$

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Desirable properties: Right Weakening

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Default logic satisfies Right Weakening.

Proof.

Assume $\models \alpha \rightarrow \beta$ and $\gamma \mid_{\sim \Delta} \alpha$ (with $\Delta = \langle D, W \rangle$).

Hence, α is in each extension *E* of the default theory $\langle D, W \cup \{\gamma\} \rangle$. Since extensions are closed under logical consequence, β must also be in each extension of $\langle D, W \cup \{\gamma\} \rangle$. Hence, $\gamma \models_{\Delta} \beta$

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Desirable properties: Cut

Cut:

$$\frac{\alpha \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \beta, \hspace{0.58em} \alpha \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \gamma}{\alpha \hspace{0.2em}\mid\hspace{-0.58em}\sim\hspace{-0.9em} \gamma}$$

- Rationale: If part of the premise is plausibly implied by another part of the premise, then the latter is enough for the plausible conclusion.
- Example: Assume that
 John goes normally implies Mary goes.
 Assume further that
 John goes and Mary goes normally implies Clive goes.
 Then we would expect that
 John goes normally implies Clive goes.

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Cut in default logic

Proposition

Default logic satisfies Cut.

Proof idea.

Assume $\alpha \vdash_{\Delta} \beta$ (with $\Delta = \langle D, W \rangle$). Hence β is contained in each extension of $\Delta' := \langle D, W \cup \{\alpha\} \rangle$. Show that every extension *E* of Δ' is also an extension of $\Delta'' = \langle D, W \cup \{\alpha \land \beta\} \rangle$.

- Consistency of justifications of defaults is tested against *E* both in the $W \cup \{\alpha \land \beta\}$ case and in the $W \cup \{\alpha \land \beta\}$ case.
- The preconditions that are derivable when starting from $W \cup \{\alpha\}$ are also derivable when starting from $W \cup \{\alpha \land \beta\}$.
- $W \cup \{\alpha \land \beta\}$ does not allow for deriving further preconditions because also in the $W \cup \{\alpha\}$ case at some point β is derived.

Hence, because γ belongs to all extensions of Δ'' ($\alpha \land \beta \succ \gamma$), it also belongs to all extensions of Δ' ($\alpha \vdash \gamma$).

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Hence, because γ belongs to all extensions of Δ'' ($\alpha \land \beta \succ \gamma$), it also belongs to all extensions of Δ' ($\alpha \succ \gamma$).

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Desirable properties: Cautious Monotonicity

Cautious Monotonicity (CM):

$$\frac{\alpha \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \beta, \hspace{0.58em} \alpha \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \gamma}{\alpha \hspace{0.2em}\wedge\hspace{0.58em} \beta \hspace{0.2em}\mid\hspace{0.58em} \gamma}$$

Rationale: In general, adding new premises may cancel some conclusions.

However, existing conclusions may be added to the premises without canceling any conclusions!

- Example: Assume that
 - Mary goes normally implies Clive goes and
 - Mary goes normally implies John goes.
 - Mary goes and Jack goes might not normally imply that John goes.

However, Mary goes and Clive goes should normally imply that John goes.

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Cautious Monotonicity in default logic

Proposition

Default logic does not satisfy Cautious Monotonicity.

Proof.

Consider the default theory $\langle D, W \rangle$ with

$$D = \left\{\frac{a:g}{g}, \frac{g:b}{b}, \frac{b:\neg g}{\neg g}\right\} \text{ and } W = \{a\}.$$

 $E = \text{Th}(\{a, b, g\})$ is the only extension of $\langle D, W \rangle$ and thus both *b* and *g* follow skeptically (i.e., we have $a \triangleright_{\langle D, \emptyset \rangle} b$ and $a \triangleright_{\langle D, \emptyset \rangle} g$).

For $\langle D, \{a \land b\} \rangle$ also Th $(\{a, b, \neg g\})$ is an extension, and thus g does not follow skeptically (i.e., $a \land b \not\sim_{(D,\emptyset)} g$).

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 $E = \text{Th}(\{a, b, g\})$ is the only extension of $\langle D, W \rangle$ and thus both *b* and *g* follow skeptically (i.e., we have $a \succ_{\langle D, \emptyset \rangle} b$ and $a \succ_{\langle D, \emptyset \rangle} g$). For $\langle D, \{a \land b\} \rangle$ also $\text{Th}(\{a, b, \neg g\})$ is an extension, and thus *g* does not follow skeptically (i.e., $a \land b \nvDash_{\langle D, \emptyset \rangle} g$).

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Lemma

Rules (Cut) & (CM) can be equivalently stated as follows:

If $\alpha \sim \beta$, then the sets of plausible conclusions from α and $\alpha \wedge \beta$ are identical.

This property is called Cumulativity.

Proof.

 $\Rightarrow: Assume that we may apply both rules (Cut) and (CM) and assume$ $<math>\alpha \sim \beta$. Assume further that $\alpha \sim \gamma$. By applying (CM), we obtain $\alpha \wedge \beta \sim \gamma$. Similarly, by applying (Cut), from $\alpha \wedge \beta \sim \gamma$ it follows $\alpha \sim \gamma$. Hence the plausible conclusions from α and $\alpha \wedge \beta$ are the same. $\Leftarrow:$ Assume Cumulativity and $\alpha \sim \beta$. Now we can derive both rules (Cut) and (CM). UNI FREIBURG

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Assume further that $\alpha \succ \gamma$. By applying (CM), we obtain $\alpha \land \beta \succ \gamma$. Similarly, by applying (Cut), from $\alpha \land \beta \succ \gamma$ it follows $\alpha \succ \gamma$. Hence the plausible conclusions from α and $\alpha \land \beta$ are the same. \Leftarrow : Assume Cumulativity and $\alpha \succ \beta$. Now we can derive both rules (Cut) and (CM). UNI FREIBURG

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⇒: Assume that we may apply both rules (Cut) and (CM) and assume $\alpha \models \beta$. Assume further that $\alpha \models \gamma$. By applying (CM), we obtain $\alpha \land \beta \models \gamma$. Similarly, by applying (Cut), from $\alpha \land \beta \models \gamma$ it follows $\alpha \models \gamma$. Hence the plausible conclusions from α and $\alpha \land \beta$ are the same. (=: Assume Cumulativity and $\alpha \models \beta$. Now we can derive both rules (Cut) and (CM).

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$\frac{\alpha \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \beta, \, \alpha \hspace{0.2em}\wedge\hspace{0.2em} \beta \hspace{0.2em}\mid\hspace{0.58em} \gamma}{\alpha \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \gamma}$

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$\frac{\models \alpha \rightarrow \beta, \ \gamma \triangleright \alpha}{\gamma \triangleright \beta}$

$\frac{\models \alpha \leftrightarrow \beta, \ \alpha \succ \gamma}{\beta \succ \gamma}$

 $\alpha \sim \alpha$

Right Weakening

Left Logical Equivalence

Reflexivity

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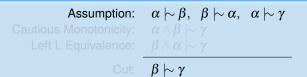
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Derived rules in C



Equivalence:		Motivation Properties
	$\underline{\alpha \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \beta, \beta \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \alpha, \alpha \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \gamma}}_{\alpha \hspace{0.2em}\mid\hspace{0.58em} \alpha}$	Derived Rules in C Undesirable Properties
	$eta ert \gamma$	Reasoning
And:	$rac{lpha \succ eta, \ lpha \succ \gamma}{lpha \succ eta \wedge \gamma}$	Semantics
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	$lpha \sim ho \wedge \gamma$	Literature
MPC:	$rac{lpha ert lpha eta eta \gamma, lpha ert lpha }{lpha ert \sim \gamma}$	

Proof (Equivalence).



Proof (And)

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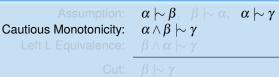
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MPC is an exercise.

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Proof (Equivalence).



Proof (And).

Assumption: Cautious Monotonicity: propositional logic: Supraclassicality: Cut:

Cut: $\alpha \sim \beta \wedge \gamma$

MPC is an exercise.

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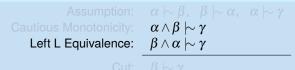
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Proof (Equivalence).

	$lpha \mid \sim eta, \ eta \mid \sim lpha, \ lpha \mid \sim lpha, \ lpha \mid \sim \gamma$
	$eta \wedge lpha vert \sim \gamma$
Cut:	$\beta \sim \gamma$

Proof (And).

Cut: $\alpha \sim \beta \wedge$

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Proof (Equivalence).

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Left L Equivalence:	$eta \wedge lpha vert \sim \gamma$
Cut:	$\beta \sim \gamma$

Proof (And).

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Left L Equivalence:	$eta \wedge lpha vert \sim \gamma$
Cut:	$\beta \sim \gamma$

Proof (And).

Assumption:	$lpha \sim eta, \ lpha \sim \gamma$
	$lpha \wedge eta ert \sim eta \wedge \gamma$
	$lpha \models eta \wedge \gamma$

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Proof (Equivalence).

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Cautious Monotonicity:	$lpha \wedge eta \models \gamma$
Left L Equivalence:	$eta \wedge lpha vert \sim \gamma$
Cut:	$\beta \sim \gamma$

Proof (And).

Cautious Monotonicity: propositional logic: Supraclassicality:	$\begin{array}{c} \alpha \models \beta, \ \alpha \models \gamma \\ \alpha \land \beta \models \gamma \\ \alpha \land \beta \land \gamma \models \beta \land \gamma \\ \alpha \land \beta \land \gamma \models \beta \land \gamma \\ \alpha \land \beta \land \gamma \models \beta \land \gamma \end{array}$	
	$lpha \sim eta \wedge \gamma$	

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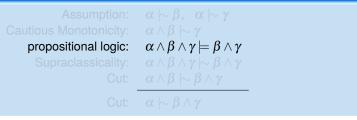
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Proof (Equivalence).

Assumption:	$lpha \models eta, \ eta \models lpha, \ lpha \models lpha, \ lpha \models \gamma$
Cautious Monotonicity:	$lpha \wedge eta \models \gamma$
Left L Equivalence:	$eta \wedge lpha vert \sim \gamma$
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Proof (And).



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Proof (And).



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Left L Equivalence:	$eta \wedge lpha vert \sim \gamma$
Cut:	$\beta \vdash \gamma$

Proof (And).

$\alpha \wedge \beta \sim \gamma$ Supraclassicality: $\alpha \land \beta \land \gamma \sim \beta \land \gamma$ Cut: $\alpha \wedge \beta \vdash \beta \wedge \gamma$

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Proof (Equivalence).

Assumption:	$\alpha \models \beta, \ \beta \models \alpha, \ \alpha \models \gamma$
Cautious Monotonicity:	$lpha \wedge eta \models \gamma$
Left L Equivalence:	$eta \wedge lpha vert \sim \gamma$
Cut:	$\beta \vdash \gamma$

Proof (And).

	$\boldsymbol{\alpha} \sim \boldsymbol{\beta}, \boldsymbol{\alpha} \sim \boldsymbol{\gamma}$
	$lpha \wedge eta \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} eta \wedge \gamma$
Cut.	
Cui.	$lpha \sim eta \wedge \gamma$

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Proof (Equivalence).

Assumption:	$lpha \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} eta, \hspace{0.2em} \beta \hspace{0.2em}\mid\hspace{0.58em} lpha, \hspace{0.2em} lpha \hspace{0.2em}\mid\hspace{0.58em} \gamma$
Cautious Monotonicity:	$lpha \wedge eta \models \gamma$
Left L Equivalence:	$eta \wedge lpha vert \sim \gamma$
Cut:	$\beta \vdash \gamma$

Proof (And).

Assumption:	$lpha \sim eta, \ lpha \sim \gamma$
Cautious Monotonicity:	$\alpha \wedge \beta \succ \gamma$
propositional logic:	$lpha \wedge eta \wedge \gamma \models eta \wedge \gamma$
Supraclassicality:	$lpha \wedge eta \wedge \gamma \triangleright eta \wedge \gamma$
Cut:	$lpha \wedge eta \models eta \wedge \gamma$
Cut:	$\alpha \sim \beta \wedge \gamma$

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Left L Equivalence:	$eta \wedge lpha vert \sim \gamma$
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Proof (And).

Assumption:	$\alpha \sim \beta, \ \alpha \sim \gamma$
Cautious Monotonicity:	$lpha \wedge eta \mid_{\sim} \gamma$
propositional logic:	$lpha \wedge eta \wedge \gamma \models eta \wedge \gamma$
	$lpha \wedge eta \wedge \gamma \triangleright eta \wedge \gamma$
Cut:	$lpha \wedge eta ert \sim eta \wedge \gamma$
Cut:	$lpha \sim eta \wedge \gamma$

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Undesirable properties: Monotonicity and Contraposition

Monotonicity:

$$rac{\modelslpha
ightarroweta,\,eta\models\gamma}{lpha
ightarrow\gamma}$$

 Example: Let us assume that John goes normally implies Mary goes. Now we will probably not expect that John goes and Joan (who is not in talking terms with Mary) goes normally implies Mary goes.

Contraposition:

$$\frac{\alpha \mathrel{\sim} \beta}{\neg \beta \mathrel{\sim} \neg \alpha}$$

 Example: Let us assume that John goes normally implies Mary goes.
 Would we expect that Mary does not go normally implies John does not go?
 What if John goes always?

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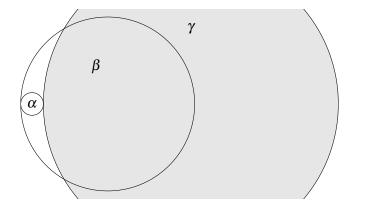
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Undesirable properties: Monotonicity

$$\alpha \models \beta$$
, $\beta \models \gamma$, but not $\alpha \models \gamma$ — pictorially:



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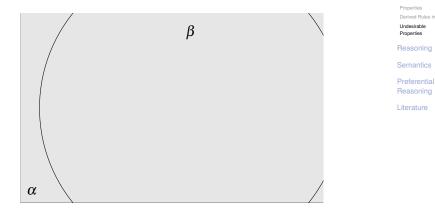
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Undesirable properties: Contraposition





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Undesirable properties: Transitivity & EHD

Transitivity:

$$\frac{\alpha \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \beta, \beta \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \gamma}{\alpha \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \gamma}$$

- Example: Let us assume that John goes normally implies Mary goes and Mary goes normally implies Jack goes. Now, should John goes normally imply that Jack goes? What, if John goes very seldom?
- Easy Half of the Deduction Theorem (EHD):

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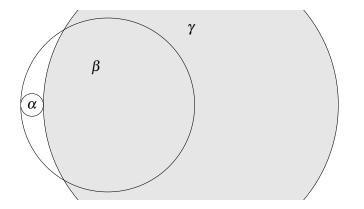
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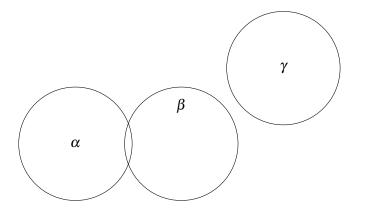
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Undesirable properties: EHD

$$\alpha \succ \beta \rightarrow \gamma$$
, but not $\alpha \land \beta \succ \gamma$ — pictorially:



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Theorem

In the presence of the rules in system *C*, the rules Monotonicity and EHD are equivalent.

Proof.

Monotonicity \Rightarrow EHD:

- $lpha \models eta o \gamma$ (assumption)
- $lpha \wedge eta ert \sim eta o \gamma$ (Monotonicity)
- $\alpha \wedge \beta \mathrel{\sim} \alpha \wedge \beta$ (Ref)
- $\alpha \land \beta \succ \beta$ (RW)

• $\alpha \land \beta \succ \gamma$ (MPC)

Monotonicity \leftarrow EHD:

- $\blacksquare \models \alpha \rightarrow \beta, \beta \models \gamma$ (assumption)
- $eta \mid \sim lpha
 ightarrow \gamma$ (RW)
- $\beta \land \alpha \sim \gamma$ (EHD)
- $\alpha \sim \gamma$ (LLE)

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Theorem

In the presence of the rules in system *C*, the rules Monotonicity and EHD are equivalent.

Proof. Monotonicity \Rightarrow EHD:

> $lpha \mathrel{\hspace{0.1in}\sim} eta \to \gamma$ (assumption) $lpha \wedge eta \mathrel{\hspace{0.1in}\sim} eta \to \gamma$ (Monotonicity $lpha \wedge eta \mathrel{\hspace{0.1in}\sim} lpha \wedge eta$ (Ref) $lpha \wedge eta \mathrel{\hspace{0.1in}\sim} eta$ (RW)

 $\alpha \wedge \beta \sim \gamma (MPC)$

fonotonicity \leftarrow EHD:

 $|\models \alpha \rightarrow \beta, \beta \vdash \gamma$ (assumption) $|\beta \vdash \alpha \rightarrow \gamma (RW)$ $|\beta \land \alpha \vdash \gamma (EHD)$ $|\alpha \vdash \gamma (LLE)$

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Theorem

In the presence of the rules in system *C*, the rules Monotonicity and EHD are equivalent.

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Monotonicity \Rightarrow EHD:

- $lpha \mathrel{\hspace{0.5mm}\sim} eta \mathrel{\hspace{0.5mm}\rightarrow} \gamma$ (assumption)
- $\alpha \wedge \beta \sim \beta \rightarrow \gamma$ (Monotonicity)
- $\alpha \wedge \beta \mathrel{\sim} \alpha \wedge \beta$ (Ref)
- $\alpha \land \beta \models \beta$ (RW)
- $\alpha \wedge \beta \sim \gamma$ (MPC)

fonotonicity \leftarrow EHD:

 $\begin{aligned} &\models \alpha \rightarrow \beta, \beta \vdash \gamma \\ &(\text{assumption}) \end{aligned}$ $\begin{aligned} &\mid \beta \vdash \alpha \rightarrow \gamma (\text{RW}) \\ &\mid \beta \land \alpha \vdash \gamma (\text{EHD}) \end{aligned}$ $\quad &\mid \alpha \vdash \gamma (\text{LLE}) \end{aligned}$

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Theorem

In the presence of the rules in system *C*, the rules Monotonicity and EHD are equivalent.

Proof.

Monotonicity \Rightarrow EHD:

- $\alpha \mathrel{\triangleright} eta
 ightarrow \gamma$ (assumption)
- $\alpha \wedge \beta \models \beta
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- $\alpha \land \beta \mathrel{\sim} \alpha \land \beta$ (Ref)
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Ionotonicity \leftarrow EHD:

 $| \models \alpha \rightarrow \beta, \beta \models \gamma$ (assumption) $| \beta \models \alpha \rightarrow \gamma (RW)$ $| \beta \land \alpha \models \gamma (EHD)$ $| \alpha \models \gamma (LLE)$

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 $| \models \alpha \rightarrow \beta, \beta \models \gamma$ (assumption) $\beta \models \alpha \rightarrow \gamma (RW)$ $\beta \land \alpha \models \gamma (EHD)$ $\alpha \models \gamma (LLE)$

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- $lpha \mathrel{\hspace{0.5mm}\sim} eta
 ightarrow \gamma$ (assumption)
- $\alpha \wedge \beta \models \beta
 ightarrow \gamma$ (Monotonicity)
- $\alpha \wedge \beta \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \alpha \wedge \beta$ (Ref)
- $\alpha \wedge \beta \models \beta$ (RW)
- $\alpha \wedge \beta \succ \gamma$ (MPC)

*I*onotonicity \leftarrow EHD:

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Monotonicity \leftarrow EHD:

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- $\beta \succ \alpha \rightarrow \gamma (RW)$ $\beta \land \alpha \succ \gamma (EHD)$ $\alpha \succ \gamma (LLE)$

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In the presence of the rules in system *C*, the rules Monotonicity and EHD are equivalent.

Proof.

Monotonicity \Rightarrow EHD:

- $lpha \mathrel{{\mid}\sim} eta \to \gamma$ (assumption)
- $\alpha \wedge \beta \models \beta
 ightarrow \gamma$ (Monotonicity)
- $\alpha \wedge \beta \mathrel{\sim} \alpha \wedge \beta$ (Ref)
- $\alpha \wedge \beta \models \beta$ (RW)
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Monotonicity \leftarrow EHD:

- $\blacksquare \models \alpha \rightarrow \beta, \beta \models \gamma$ (assumption)
- $\beta \mathrel{\sim} \alpha \rightarrow \gamma$ (RW)
- $\beta \land \alpha \sim \gamma$ (EHD)
- $lpha \sim \gamma$ (LLE)

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Monotonicity \leftarrow EHD:

- $\blacksquare \models \alpha \rightarrow \beta, \beta \models \gamma$ (assumption)
- $\beta \mathrel{\hspace{0.5mm}\sim\hspace{-0.5mm}\mid\hspace{0.5mm} } \alpha
 ightarrow \gamma$ (RW)

•
$$eta \wedge lpha
vert \sim \gamma$$
 (EHD)

$\alpha \sim \gamma$ (LLE)

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- $\beta \mathrel{\hspace{0.5mm}\sim\hspace{-0.5mm}\mid\hspace{0.5mm} } \alpha
 ightarrow \gamma$ (RW)
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Theorem

In the presence of the rules in system *C*, the rules Monotonicity and Transitivity are equivalent.

Proof.

Monotonicity \Rightarrow Transitivity:

- $\alpha \wedge \beta \mid \sim \gamma$ (Monotonicity)
- $\alpha \sim \gamma$ (Cut)

Monotonicity \leftarrow Transitivity:

- $\blacksquare \models \alpha \rightarrow \beta, \beta \succ \gamma$ (assumption)
- $\alpha \models \beta$ (deduction theorem)
- $\alpha \sim \beta$ (Supraclassicality)
- $\alpha \sim \gamma$ (Transitivity)

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Monotonicity \leftarrow Transitivity:

 $\models \alpha \rightarrow \beta, \beta \mid \sim \gamma$ (assumption)

- $\alpha \models \beta$ (deduction theorem)
- $lpha \sim eta$ (Supraclassicality)
- $lpha \sim \gamma$ (Transitivity)

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- $\alpha \wedge \beta \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \gamma$ (Monotonicity)

• $\alpha \sim \gamma$ (Cut)

```
Monotonicity \Leftarrow Transitivity:

\alpha \models \alpha \rightarrow \beta, \beta \vdash \gamma

(assumption)

\alpha \models \beta (deduction theorem

\alpha \models \alpha \models \beta (Supraclassicality)

\alpha \models \gamma (Transitivity)
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Proof.

Monotonicity \Rightarrow Transitivity:

- $\alpha \models \beta, \beta \models \gamma$ (assumption)
- $\alpha \wedge \beta \hspace{0.2em}\sim\hspace{-0.9em}\mid\hspace{0.58em} \gamma$ (Monotonicity)
- α ~ γ (Cut)

Monotonicity \leftarrow Transitivity:

 $\models \alpha \rightarrow \beta, \beta \succ \gamma$ (assumption)

- $x \models \beta$ (deduction theorem)
- $lpha \sim eta$ (Supraclassicality)
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Theorem

In the presence of Right Weakening, Contraposition implies Monotonicity.

Proof.

- $\models lpha
 ightarrow eta, eta
 ightarrow \gamma$ (assumption)
- $\neg \gamma \sim \neg eta$ (Contraposition)
- ${\scriptstyle f I}\models
 egetaeta
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 egeta
 ightarrow lpha$ (classical contraposition)
- $\neg \gamma \sim \neg \alpha \text{ (RW)}$
- $\alpha \sim \gamma$ (Contraposition)

Note: Monotonicity does not imply Contraposition, even in the presence of all rules of system **C**!

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Reasoning with conditionals

- How do we reason with \succ from φ to ψ ?
- Assumption: We have some (finite) set *K* of conditional statements of the form $\alpha \succ \beta$.

The question is: Assuming the statements in K, is it plausible to conclude ψ given φ ?

Idea: We consider all cumulative consequence relations that contain K.

Cumulative consequence relation: any relation \sim between propositional logic formulae that is closed unter the rules of system **C**.

Remark: It suffices to consider only the minimal cumulative consequence relation containing K ...

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Lemma

The set of cumulative consequence relations is closed under (arbitrary) intersections.

Proof.

Let $\[begin{subarray}{l} \label{eq:loss} \la$

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Lemma

The set of cumulative consequence relations is closed under (arbitrary) intersections.

Proof.

Let $\[begin{subarray}{c} \sim_1 \]$ and $\[begin{subarray}{c} \sim_2 \]$ be cumulative consequence relations. We have to show that $\[begin{subarray}{c} \sim_1 \cap \[begin{subarray}{c} \sim_2 \]$ is a cumulative consequence relation, that is, it is closed under all the rules of system **C**.

Take any instance of any of the rules. If the preconditions are satisfied by $|\sim_1$ and $|\sim_2$, then the consequence is trivially also satisfied by both. A similar argument works if we consider an arbitrary family of consequence relations.

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Theorem

For each set of conditional statements *K*, there exists a unique minimal cumulative consequence relation containing *K*.

Proof.

From the previous lemma it is clear that the intersection of all the cumulative consequence relations containing K is already such a cumulative consequence relation.

Obviously, there cannot be two distinct such minimal relations.

This relation is called the cumulative closure K^C of K.

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Cumulative models – informally

- We will now try to characterize cumulative reasoning model-theoretically.
- Idea: Cumulative models consist of states ordered by a preference relation.
- States characterize beliefs.
- The preference relation, ≺, expresses the normality of the beliefs.
 We read *s* ≺ *t* as: state *s* is preferred to/more normal than state *t*.
- We say: $\alpha \succ \beta$ is accepted in a model if in all most preferred states in which α is true also β is true.

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Preference relation

We consider an arbitrary binary relation \prec on a given set of states *S*.

Later, we will assume that \prec has particular properties, e.g., that

 \prec is irreflexive, asymmetric, transitive, a partial order, \ldots

... but currently we make no such restrictions.

We need a condition on state sets claiming that each state is, or is related to, a most preferred state.

Definition (Smoothness)

Let $P \subseteq S$.

- We say that $s \in P$ is minimal in P if $s' \not\prec s$ for each $s' \in P$.
 - P is called smooth if for each $s \in P$, either *s* is minimal in *P* or there exists an *s'* such that *s'* is minimal in *P* and *s'* \prec *s*.

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Let $\ensuremath{\mathcal{U}}$ be the set of all possible worlds (i.e., propositional interpretations).

- A cumulative model is a triple $W = \langle S, I, \prec \rangle$ such that
 - 1 *S* is a set of states,
 - 2 / is a mapping / : $\mathcal{S}
 ightarrow 2^\mathcal{U}$, and
 - \exists \prec is an arbitrary binary relation on S

such that the smoothness condition is satisfied (see below).

- A state s ∈ S satisfies a formula α (s ⊨ α) if m ⊨ α for each propositional interpretation m ∈ l(s).
 The set of states satisfying α is denoted by α̂.
- Smoothness condition: A cumulative model satisfies this condition if for all formulae α , $\hat{\alpha}$ is smooth.

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A cumulative model *W* induces a consequence relation \sim_W as follows:

 $\alpha \succ_W \beta$ iff $s \models \beta$ for every minimal s in $\hat{\alpha}$.

Example

Model
$$W = \langle \{s_1, s_2, s_3\}, I, \prec \rangle$$
 with $s_1 \prec s_2, s_2 \prec s_3, s_1 \prec s_3$
 $I(s_1) = \{\{\neg p, b, f\}\}$
 $I(s_2) = \{\{p, b, \neg f\}\}$
 $I(s_3) = \{\{\neg p, \neg b, f\}, \{\neg p, \neg b, \neg f\}\}$

Does *W* satisfy the smoothness condition?

 $\neg p \land \neg b \sim f? \quad N \quad Also: \neg p \land \neg b \not\sim \neg f!$ $p \sim \neg f? \quad Y$ $\neg p \sim f? \quad Y$ Introduction

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If W is a cumulative model, then \sim_W is a cumulative consequence relation.

Proof.

- Reflexivity: satisfied.
- LLE: satisfied.
- RW: satisfied

Cut: $\alpha \models_W \beta$, $\alpha \land \beta \models_W \gamma \Rightarrow \alpha \models_W \gamma$. Assume that all minimal elements of $\widehat{\alpha}$ satisfy β , and all minimal elements of $\widehat{\alpha} \land \widehat{\beta}$ satisfy γ . Every minimal element of $\widehat{\alpha}$ satisfies $\alpha \land \beta$. Since $\alpha \land \widehat{\beta} \subseteq \widehat{\alpha}$, all minimal elements of $\widehat{\alpha}$ are also minimal elements of $\alpha \land \widehat{\beta}$. Hence $\alpha \models_W \gamma$.

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• Cut: $\alpha \models_W \beta$, $\alpha \land \beta \models_W \gamma \Rightarrow \alpha \models_W \gamma$. Assume that all minimal elements of $\hat{\alpha}$ satisfy β , and all minimal elements of $\alpha \land \beta$ satisfy γ . Every minimal element of $\hat{\alpha}$ satisfies $\alpha \land \beta$. Since $\alpha \land \beta \subseteq \hat{\alpha}$, all minimal elements of $\hat{\alpha}$ are also minimal elements of $\alpha \land \beta$. Hence $\alpha \models_W \gamma$.



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If W is a cumulative model, then \sim_W is a cumulative consequence relation.

Proof.

- Reflexivity: satisfied.
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Proof continues...

Cautious Monotonicity: ($\alpha \succ \beta, \alpha \succ \gamma \Rightarrow \alpha \land \beta \succ \gamma$)

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Clearly, every minimal $s \in \alpha \land \beta$ is in $\widehat{\alpha}$.

We show that every minimal $s \in \alpha \land \beta$ is minimal in $\widehat{\alpha}$.

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is true for all minimal elements in $\alpha \wedge \beta$, we get $\alpha \wedge \beta \vdash_W \gamma$.

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Proof continues...

Cautious Monotonicity: ($\alpha \succ \beta, \alpha \succ \gamma \Rightarrow \alpha \land \beta \succ \gamma$) Assume $\alpha \sim_W \beta$ and $\alpha \sim_W \gamma$. We have to show: $\alpha \wedge \beta \sim_W \gamma$. **DRD**

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Proof continues...

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Hence *s* must be minimal in $\widehat{\alpha}$, and therefore $s \models \gamma$. Because this is true for all minimal elements in $\widehat{\alpha \land \beta}$, we get $\alpha \land \beta \models_W \gamma$.

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Hence *s* must be minimal in $\widehat{\alpha}$, and therefore $s \models \gamma$. Because this is true for all minimal elements in $\widehat{\alpha \land \beta}$, we get $\alpha \land \beta \models_W \gamma$. \Box

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Now we have a method for showing that a principle does not hold for cumulative consequence relations:

... construct a cumulative model that falsifies the principle.

Contraposition: $\alpha \succ \beta \Rightarrow \neg \beta \succ \neg \alpha$

$$W = \langle S, I, \prec \rangle$$
$$S = \{s_1, s_2\}$$
$$s_i \not\prec s_j \forall s_i, s_j \in S$$
$$I(s_1) = \{\{a, b\}\}$$
$$I(s_2) = \{\{a, \neg b\}, \{\neg a, \neg b\}\}$$

W is a cumulative model with $a \succ_W b$, but $\neg b \not\models_W \neg a$.

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Each cumulative model *W* induces a cumulative consequence relation \succ_W .

- Problem: Can we generate all cumulative consequence relations in this way?
- We can! There is a representation theorem:

Theorem (Representation of cumulative consequence)

A consequence relation is cumulative if and only if it is induced by some cumulative model.

Cumulative consequence can be characterized independently from the set of inference rules. Introduction

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Transitivity of the preference relation?

- Could we strengthen the preference relation to transitive relations without sacrificing anything? No!
- In such models, the following additional principle called Loop is valid:

$$\frac{\alpha_0 \succ \alpha_1, \alpha_1 \succ \alpha_2, \dots, \alpha_k \succ \alpha_0}{\alpha_0 \succ \alpha_k}$$

For the system CL = C + (Loop) and cumulative models with transitive preference relations, we could prove another representation theorem. **D**^RC

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The Or Rule

Or rule:

$$\frac{\alpha \succ \gamma, \beta \succ \gamma}{\alpha \lor \beta \succ \gamma}$$

Not valid in system C. Counterexample:

$$W = \langle S, l, \prec \rangle$$

$$S = \{s_1, s_2, s_3\}, s_i \not\prec s_j \forall s_i, s_j \in S$$

$$I(s_1) = \{\{a, b, c\}, \{a, \neg b, c\}\}$$

$$I(s_2) = \{\{a, b, c\}, \{\neg a, b, c\}\}$$

$$I(s_3) = \{\{a, b, \neg c\}, \{a, \neg b, \neg c\}, \{\neg a, b, \neg c\}\}$$

 $a \succ_W c, b \succ_W c$, but not $a \lor b \succ_W c$. Note: Or is not valid in default logic.



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System P contains all rules of C and the Or rule.

- A consequence relation that satisfies P is called preferential.
- Derived rules in P:
 - Hard half of the deduction theorem (S):

Proof by case analysis (D):

D and Or are equivalent in the presence of the rules in C.

 $\frac{\alpha \land \beta \mathrel{\sim} \gamma}{\alpha \mathrel{\sim} \beta \mathrel{\sim} \gamma}$

 $\frac{\alpha \wedge \neg \beta \mathrel{{\vdash}} \gamma, \: \alpha \wedge \beta \mathrel{{\vdash}} \gamma}{\alpha \mathrel{{\vdash}} \gamma}$

System **P**



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Definition

A cumulative model $W = \langle S, I, \prec \rangle$ such that \prec is a strict partial order (irreflexive and transitive) and |I(s)| = 1 for all $s \in S$ is called a preferential model.

Theorem (Soundness)

The consequence relation \succ_W induced by a preferential model is preferential.

Proof.

Since *W* is cumulative, we only have to verify that Or holds. Note that in preferential models we have $\alpha \lor \beta = \hat{\alpha} \cup \hat{\beta}$. Suppose $\alpha \models_W \gamma$ and $\beta \models_W \gamma$. Because of the above equation, each minimal state of $\alpha \lor \beta$ is minimal in $\hat{\alpha} \cup \hat{\beta}$. Since γ is satisfied in all minimal states in $\hat{\alpha} \cup \hat{\beta}$, γ is also satisfied in all minimal states of $\alpha \lor \beta$. Hence $\alpha \lor \beta \models_W \gamma$.

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Theorem (Representation of preferential consequence)

A consequence relation is preferential if and only if it is induced by a preferential model.

Proof.

Similar to the one for C.

Summary of cumulative systems

System

С

Reflexivity Left Logical Equivalence Right Weakening Cut Cautious Monotonicity

Models

States: sets of worlds Preference relation: arbitrary Models must be smooth

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CL + Loop	Preference relation: strict partial order
P + Or	States: singletons

System C and System P do not produce many of the inferences one would hope for:

Given $K = \{Bird \mid \sim Flies\}$ one cannot conclude Red \land Bird $\mid \sim$ Flies!

In general, adding information that is irrelevant cancels the plausible conclusions.

 \implies Cumulative and Preferential consequence relations are too nonmonotonic.

The plausible conclusions have to be strengthened!

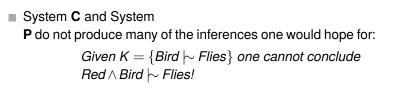
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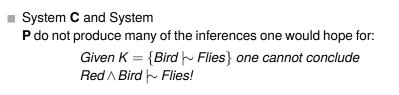
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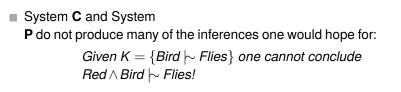
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- The rules so far seem to be reasonable: one cannot think of rules of the same form (if we have some plausible implications, other plausible implications should hold) that could be added.
- However, there are other types of rules one might want add.
- Disjunctive Rationality:

 $\frac{\alpha \not\vdash \gamma, \beta \not\vdash \gamma}{\alpha \lor \beta \not\vdash \gamma}$

Rational Monotonicity:

$$\frac{\alpha \vdash \gamma, \alpha \nvDash \neg \beta}{\alpha \land \beta \vdash \gamma}$$

Note: Consequence relations obeying these rules are not closed under intersection, which is a problem.

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Preferential Relations



- Instead of ad hoc extensions of the logical machinery, analyze the properties of nonmonotonic consequence relations.
- Correspondence between rule system and models for System C, and for System P could also be established wrt. a probabilistic semantics.
- Irrelevant information poses a problem. Solution approaches: rational monotonicity, maximum entropy approach.

Introduction

Semantics

Preferential Reasoning

Preferential Relations



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Literature

Literature I



Introduces cumulative consequence relations.

Daniel Lehman and Menachem Magidor. What does a conditional knowledge base entail? Artificial Intelligence, 55:1–60, 1992.

Introduces rational consequence relations.

Dov M. Gabbay.

Theoretical foundations for non-monotonic reasoning in expert systems. In K. R. Apt, editor, **Proceedings NATO Advanced Study Institute on Logics and Models of Concurrent Systems**, pages 439–457. Springer-Verlag, Berlin, Heidelberg, New York, 1985.

First to consider abstract properties of nonmonotonic consequence relations.

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Literature II



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Literature



Judea Pearl.

Probabilistic Reasoning in Intelligent Systems: Networks of Plausible Inference, Morgan Kaufmann Publishers, 1988.

One section on ε -semantics and maximum entropy.



Yoav Shoham. Reasoning about Change.

MIT Press, Cambridge, MA, 1988.

Introduces the idea of preferential models.