

Principles of AI Planning

Strong nondeterministic planning with full observability

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Strong planning with full observability

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Summary

We will first consider one of the simplest cases of nondeterministic planning by restricting attention to:

- fully observable planning tasks and
- strong plans.

In this lesson, planning task always means fully observable nondeterministic planning task.

Memoryless strategies

Definition

As noted previously, in the fully observable case, we can use simpler notions of strategies and plans.

Definition

Let S be the set of states of a planning task \mathcal{T} .

A **memoryless strategy** for \mathcal{T} is a partial function $\pi : S \rightarrow O$ such that $\pi(s)$ is applicable wherever $\pi(s)$ is defined.

Execution of a memoryless strategy

- 1 Determine the current state s (full observability!).
- 2 If $\pi(s)$ is not defined then terminate execution.
(If s is a goal state, then $\pi(s)$ should not be defined so that the execution terminates.)
- 3 Execute action $\pi(s)$.
- 4 Repeat from first step.

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Memoryless plans

- Memoryless strategies can be straightforwardly translated to strategies as introduced in the previous lesson.
- We do not discuss this.
- Following the definitions from the previous lesson, we can introduce concepts such as **weak memoryless plans**, **strong memoryless plans** etc.

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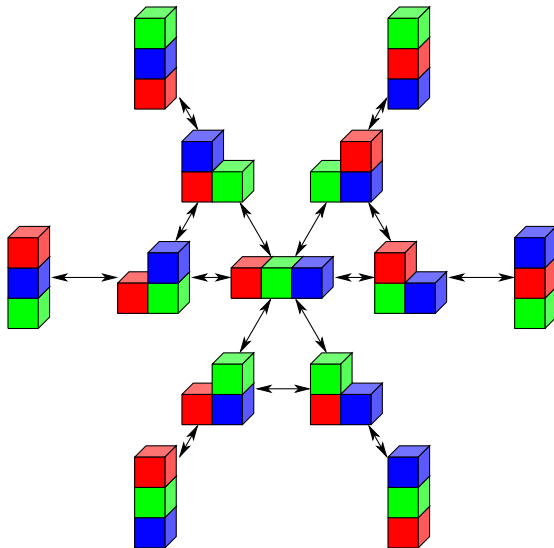
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Memoryless plans

Transition system of a blocks world task



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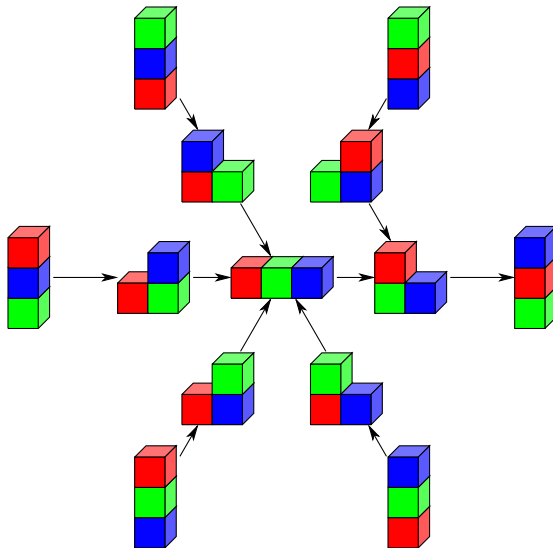
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Memoryless plans

Memoryless plan (deterministic operators, uncertain initial state)



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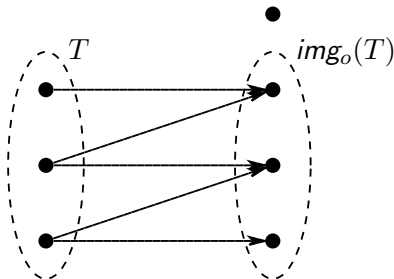
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Image

The **image** of a set T of states with respect to an operator o is the set of those states that can be reached by executing o in a state in T .



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Formal definition

Definition (Image of a state)

$$img_o(s) = \{s' \in S \mid sos'\}$$

Definition (Image of a set of states)

$$img_o(T) = \bigcup_{s \in T} img_o(s)$$

- Observe that $img_o(T) = app_o(T)$, where T is a belief state. We avoid the term “belief state” in this lesson because the intuition behind this term is wrong for fully observable planning – here, we consider sets of states together for algorithmic or efficiency reasons, not because they cannot be distinguished.

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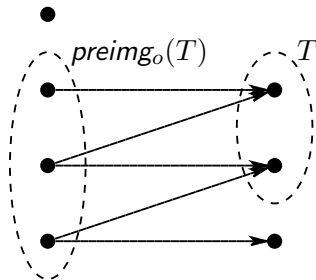
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Weak preimages

Weak preimage

The **weak preimage** of a set T of states with respect to an operator o is the set of those states from which a state in T can be reached by executing o .



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Weak preimages

Formal definition

Definition (Weak preimage of a state)

$$\text{preimg}_o(s') = \{s \in S \mid sos'\}$$

Definition (Weak preimage of a set of states)

$$\text{preimg}_o(T) = \bigcup_{s \in T} \text{preimg}_o(s).$$

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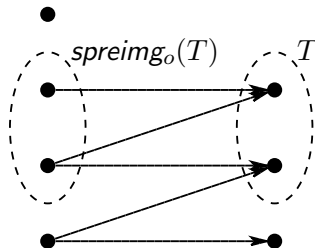
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Strong preimages

Strong preimage

The **strong preimage** of a set T of states with respect to an operator o is the set of those states from which a state in T is always reached when executing o .



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Strong preimages

Formal definition

Definition (Strong preimage of a set of states)

$$spreimg_o(T) = \{s \in S \mid \exists s' \in T : sos', img_o(s) \subseteq T\}$$

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Algorithms for fully observable problems

① Heuristic search (forward)

Strong planning can be viewed as AND-OR search.

OR nodes: Choice between operators

AND nodes: Nondeterministically reached state

Heuristic AND-OR search algorithms:

AO*, B*, Proof Number Search, ...

② Dynamic programming (backward)

Compute operator/distance/value for a state based on the operators/distances/values of its all successor states.

① 0 actions needed for goal states.

② If states with i actions to goals are known, states with $\leq i + 1$ actions to goals can be easily identified.

Automatic reuse of already found plan suffixes.

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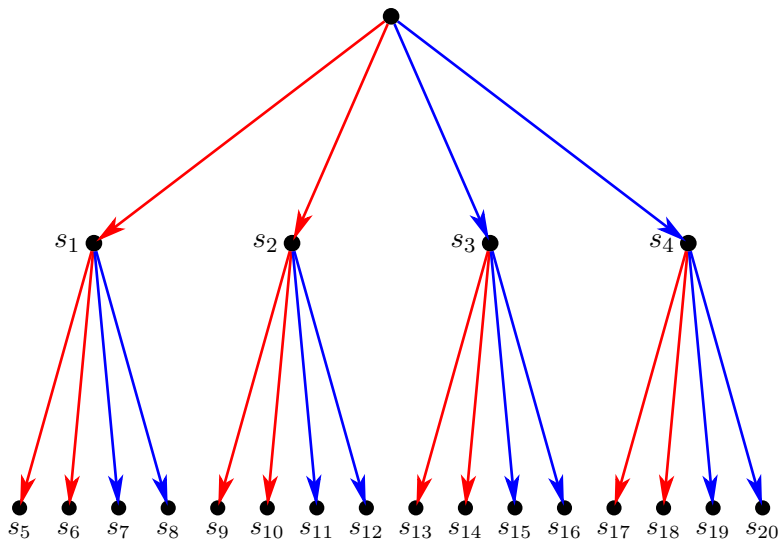
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AND-OR search



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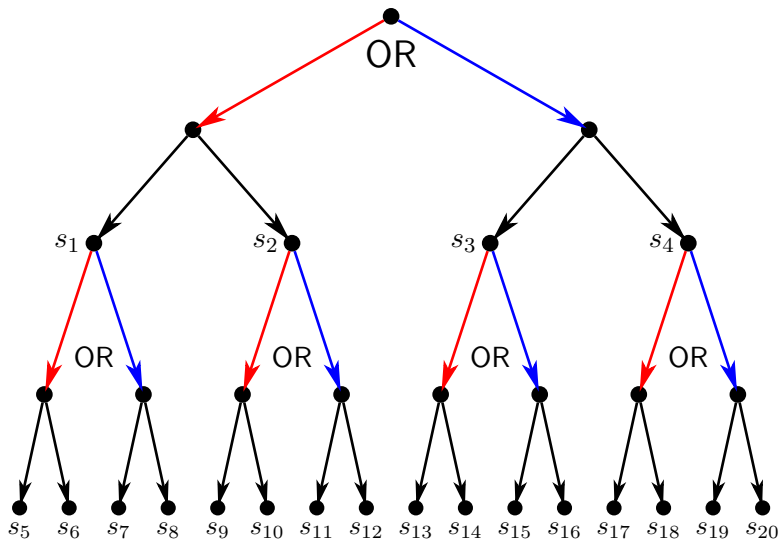
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Dynamic programming

Planning by dynamic programming

If for all successors of state s with respect to operator o a plan exists, assign operator o to s .

Base case $i = 0$: In goal states there is nothing to do.

Inductive case $i \geq 1$: If there is $o \in O$ such that for all $s' \in \text{img}_o(s)$, the state s' is a goal state or $\pi(s')$ was assigned in an earlier iteration, then assign $\pi(s) = o$.

Backward distances

If s is assigned a value on iteration $i \geq 1$, then the **backward distance** of s is i .

The dynamic programming algorithm essentially computes the **backward distances** of states.

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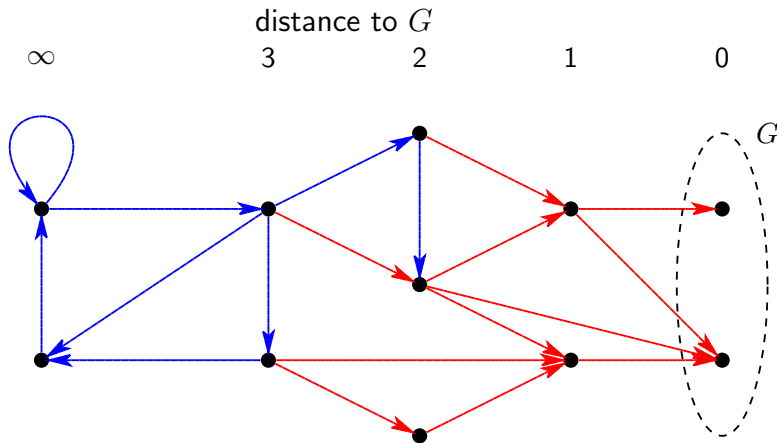
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Backward distances

Example



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Backward distances

Definition of distance sets

Definition

Let G be a set of states and O a set of operators.

The **backward distance sets** D_i^{bwd} for G and O consist of those states for which there is a guarantee of reaching a state in G with at most i operator applications using operators in O :

$$D_0^{bwd} := G$$

$$D_i^{bwd} := D_{i-1}^{bwd} \cup \bigcup_{o \in O} \text{spread}_{go}(D_{i-1}^{bwd}) \text{ for all } i \geq 1$$

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Backward distances

Definition

Definition

Let G be a set of states and O a set of operators, and let $D_0^{bwd}, D_1^{bwd}, \dots$ be the backward distance sets for G and O . Then the **backward distance** of a state s for G and O is

$$\delta_G^{bwd}(s) = \begin{cases} 0 & \text{if } s \in G \\ i & \text{if } s \in D_i^{bwd} \setminus D_{i-1}^{bwd} \text{ for any } i \in \mathbb{N}_1 \\ \infty & \text{otherwise} \end{cases}$$

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Strong memoryless plans based on distances

Let $\mathcal{T} = \langle A, I, O, G, V \rangle$ be a planning task with state set S .

Extraction of a strong memoryless plan from distance sets

- 1 Let $S' \subseteq S$ be those states having a finite backward distance for G and O .
- 2 Let $s \in S'$ be a state with distance $i = \delta_G^{bwd}(s) \geq 1$.
- 3 Assign to $\pi(s)$ any operator $o \in O$ such that $img_o(s) \subseteq D_{i-1}^{bwd}$. Hence o decreases the backward distance by at least one.

Then π is a strong plan for \mathcal{T} iff $\{s \in S \mid s \models I\} \subseteq S'$.

Question: What is the **worst-case** runtime of the algorithm?

Question: What is the **best-case** runtime of the algorithm if most states have a finite backward distance?

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Summary

Making the algorithm a logic-based algorithm

- An algorithm that represents the states **explicitly** stops being feasible at about 10^8 or 10^9 states.
- For planning with bigger transition systems **structural properties** of the transition system have to be taken advantage of.
- As before, representing state sets as **propositional formulae** or **BDDs** often allows taking advantage of the structural properties: a formula or BDD that represents a set of states or a transition relation that has certain regularities may be very small in comparison to the set or relation.
- In the following, we will present a BDD-based algorithm.

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Breadth-first search with progression and state sets

Reminder: Algorithm for the deterministic case

Progression breadth-first search

```
def bfs-progression( $A, I, O, G$ ):  
     $goal := formula-to-set(G)$   
     $reached := \{I\}$   
    loop:  
        if  $reached \cap goal \neq \emptyset$ :  
            return solution found  
         $new-reached := reached \cup apply(reached, O)$   
        if  $new-reached = reached$ :  
            return no solution exists  
         $reached := new-reached$ 
```

↗ This can easily be transformed into a **regression** algorithm.

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Breadth-first search with regression and state sets

Algorithm for the deterministic case

Regression breadth-first search

```
def bfs-regression( $A, I, O, G$ ):  
     $init := I$   
     $reached := formula-to-set(G)$   
    loop:  
        if  $init \in reached$ :  
            return solution found  
         $new-reached := reached \cup apply^{-1}(reached, O)$   
        if  $new-reached = reached$ :  
            return no solution exists  
         $reached := new-reached$ 
```

- This algorithm is very similar to the dynamic programming algorithm for the nondeterministic case!

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Breadth-first search with regression and state sets

Algorithm for the nondeterministic case

Regression breadth-first search

```
def bfs-regression( $A, I, O, G$ ):  
     $init := \text{formula-to-set}(I)$   
     $reached := \text{formula-to-set}(G)$   
    loop:  
        if  $init \subseteq reached$ :  
            return solution found  
         $new-reached := reached \cup \bigcup_{o \in O} \text{spreimg}_o(reached)$   
        if  $new-reached = reached$ :  
            return no solution exists  
         $reached := new-reached$ 
```

- How do we define *spreimg* with set-theoretic (BDD) operations?

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Computing strong preimages

Strong preimages

$$\begin{aligned} \text{spreimg}_o(T) &= \{s \in S \mid \exists s' \in T : \text{sos}'(s) \subseteq T\} \\ &= \{s \in S \mid (\exists s' \in S : s' \in T \wedge \text{sos}'(s) \subseteq T) \wedge \\ &\quad \{s' \in S \mid \text{sos}'(s) \subseteq T\} \subseteq T\} \\ &= \{s \in S \mid (\exists s' \in S : s' \in T \wedge \text{sos}'(s) \subseteq T) \wedge \\ &\quad (\forall s' \in S : \text{sos}'(s) \subseteq T \rightarrow s' \in T)\} \\ &= \{s \in S \mid (\exists s' \in S : s' \in T \wedge \text{sos}'(s) \subseteq T) \wedge \\ &\quad (\neg \exists s' \in S : \text{sos}'(s) \subseteq T \wedge s' \notin T)\} \end{aligned}$$

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Computing strong preimages with BDD operations

$$\text{spreimg}_o(T) = \{s \in S \mid (\exists s' \in S : s' \in T \wedge sos') \wedge (\neg \exists s' \in S : sos' \wedge s' \notin T)\}$$

Strong preimages with BDDs

```
def rename-A-to-A'(B):  
    for each  $a \in A$ :  
         $B := \text{bdd-rename}(B, a, a')$   
    return  $B$   
  
def forget-A'(B):  
    for each  $a \in A$ :  
         $B := \text{bdd-forget}(B, a')$   
    return  $B$ 
```

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Strong preimages with BDDs

def strong-preimage(o, T):

$s'\text{-in-}T := \text{rename-}A\text{-to-}A'(T)$

$s'\text{-not-in-}T := \text{bdd-complement}(s'\text{-in-}T)$

$B_1 := \text{forget-}A'(\text{bdd-intersection}(s'\text{-in-}T, T_A(o)))$

$B_2 := \text{forget-}A'(\text{bdd-intersection}(T_A(o), s'\text{-not-in-}T))$

return $\text{bdd-intersection}(B_1, \text{bdd-complement}(B_2))$

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Computing strong preimages with BDD operations

$$\text{spreimg}_o(T) = \{s \in S \mid (\exists s' \in S : s' \in T \wedge sos') \wedge (\neg \exists s' \in S : sos' \wedge s' \notin T)\}$$

Strong preimages with BDDs

def strong-preimage(o, T):

$s'\text{-in-}T := \text{rename-}A\text{-to-}A'(T)$

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Are we done?

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Are we done?

No, because we have not yet shown how to compute $T_A(o)$ for **nondeterministic** operators.

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Transition formula for nondeterministic operators

The formula $\tau_A(o)$ (on which the BDD/relation $T_A(o)$ is based) must express

- the conditions for applicability of o ,
- how o **changes** state variables, and
- which state variables o **does not change**.

A significant difficulty lies in the third requirement because **different variables** may be affected depending on nondeterministic choices.

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Normal forms for nondeterministic operators

- In deterministic planning, we translated effects to **normal form** to express them in propositional logic.
- For nondeterministic effects, there is no (simple) normal form with all the nice properties of deterministic operator normal form:
 - expressiveness (all effects are convertible to normal form)
 - efficient computability
 - simple representation in propositional logic
- We will thus introduce **different** normal forms which have a subset of these properties.

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Unary nondeterminism normal form

Definition

Definition

An effect e is in **unary nondeterminism** normal form iff

- e is deterministic and in normal form, or
 - $e = e_1 \mid \dots \mid e_n$ where each e_i is deterministic and in normal form.
-
- What about **simple representation**, **expressiveness** and **efficient computability**?

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Unary nondeterminism normal form

Simple representation

Recall: $\tau_A(o)$ for deterministic operators $o = \langle c, e \rangle$

$$\begin{aligned}\tau_A(o) = & c \wedge \bigwedge_{a \in A} ((EPC_a(e) \vee (a \wedge \neg EPC_{\neg a}(e))) \leftrightarrow a') \\ & \wedge \bigwedge_{a \in A} \neg(EPC_a(e) \wedge EPC_{\neg a}(e))\end{aligned}$$

For $o = \langle c, e_1 \mid \dots \mid e_n \rangle$ where each e_i is deterministic:

$$\begin{aligned}\tau_A(o) = & c \wedge \bigvee_{i=1}^n \bigwedge_{a \in A} ((EPC_a(e_i) \vee (a \wedge \neg EPC_{\neg a}(e_i))) \leftrightarrow a') \\ & \wedge \bigwedge_{i=1}^n \bigwedge_{a \in A} \neg(EPC_a(e_i) \wedge EPC_{\neg a}(e_i))\end{aligned}$$

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Unary nondeterminism normal form

Expressiveness and efficient computability

Unary nondeterminism normal form is **expressive**.

Every nondeterministic effect can be converted by using the following equivalences to raise nondeterminism to the root of the effect:

$$\begin{aligned}c \triangleright (e_1 \mid \dots \mid e_n) &\equiv (c \triangleright e_1) \mid \dots \mid (c \triangleright e_n) \\(e_1 \mid \dots \mid e_n) \wedge e' &\equiv (e_1 \wedge e') \mid \dots \mid (e_n \wedge e') \\(e_1 \mid \dots \mid e_n) \mid e'_1 \dots \mid e'_m &\equiv e_1 \mid \dots \mid e_n \mid e'_1 \mid \dots \mid e'_m\end{aligned}$$

and then converting the deterministic subeffects using the standard algorithm.

However, this is not **efficiently computable** because there are operators for which an exponential growth of operator size is unavoidable (\rightsquigarrow exercises).

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Unary nondeterminism normal form

Discussion

- Unary nondeterminism normal form is among the **simplest possible** normal forms. There is only one possible nesting of effect types:
 - atomic effects
 - within conditional effects
 - within conjunctive effects
 - within choice effects
- The price for this simplicity is an exponential blow-up in many cases.
- To avoid this blowup, we will now relax the nesting options somewhat.

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Unary conditionality normal form

Definition

Definition

An effect e is in **unary conditionality** normal form iff for all conditional effects $(c \triangleright e')$ occurring within e , the effect e' is atomic.

- Note that conjunctive effects and choice effects may be nested arbitrarily.

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Unary conditionality normal form

Properties

Unary conditionality normal form is **expressive**.

Every nondeterministic effect can be converted by using the following equivalences to push conditional effects towards the leaves of the effect:

$$\begin{aligned}c \triangleright (e_1 \mid \dots \mid e_n) &\equiv (c \triangleright e_1) \mid \dots \mid (c \triangleright e_n) \\c \triangleright (e_1 \wedge \dots \wedge e_n) &\equiv (c \triangleright e_1) \wedge \dots \wedge (c \triangleright e_n) \\c \triangleright (c' \triangleright e) &\equiv (c \wedge c') \triangleright e\end{aligned}$$

This is also **efficiently computable**.

However, for this normal form, there does not appear to be a **simple representation in propositional logic**.

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Unary conditionality normal form

Discussion

- Unary conditionality normal form allows **too complicated** nestings of conjunctive and choice effects.
- This makes it difficult to test, for example, whether there are possible choices that will lead to **inconsistent effects**.
- For this reason, we will now look into a slightly **stricter** normal form which is a good compromise between our desiderata.

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Decomposable unary conditionality normal form

Scope

Definition

Define the **scope** of an effect e as

$$\text{scope}(a) = \{a\}$$

$$\text{scope}(\neg a) = \{a\}$$

$$\text{scope}(c \triangleright e) = \text{scope}(e)$$

$$\text{scope}(e_1 \wedge \dots \wedge e_n) = \text{scope}(e_1) \cup \dots \cup \text{scope}(e_n)$$

$$\text{scope}(e_1 \mid \dots \mid e_n) = \text{scope}(e_1) \cup \dots \cup \text{scope}(e_n)$$

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Decomposable unary conditionality normal form

Definition

Definition

An effect e is in **decomposable unary conditionality (DUC)** normal form iff it is in unary conditionality normal form and for all conjunctive effects $(e_1 \wedge \dots \wedge e_n)$ occurring within e , either

- all e_i are deterministic, or
- for all $i \neq j$, $\text{scope}(e_i)$ and $\text{scope}(e_j)$ are disjoint.

Example: $(a \mid b) \wedge (\neg b \mid d)$ is **not** in DUC normal form because variable b occurs in $(a \mid b)$ and $(\neg b \mid d)$.

- Consistency of effect application can be tested easily:
The effect is guaranteed to be consistent in state s iff this is the case for each deterministic sub-effect.

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Properties

- DUC normal form is a **special case** of unary conditionality normal form and a **generalization** of unary nondeterminism normal form.
- Because it generalizes unary nondeterminism normal form, it is **expressive**.
- We do not discuss **efficient computability** in detail, but only note that **in practice**, nondeterministic operators can usually be compactly represented in DUC normal form.
- We will now consider the property of simple representation.

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Decomposable unary conditionality normal form

Representation in propositional logic

Recall: $\tau_A(o)$ for deterministic operators $o = \langle c, e \rangle$

$$\begin{aligned}\tau_A(o) = & c \wedge \bigwedge_{a \in A} ((EPC_a(e) \vee (a \wedge \neg EPC_{\neg a}(e))) \leftrightarrow a') \\ & \wedge \bigwedge_{a \in A} \neg(EPC_a(e) \wedge EPC_{\neg a}(e))\end{aligned}$$

For nondeterministic $o = \langle c, e \rangle$ where e is in DUC normal form, this generalizes to:

$$\tau_A(o) = c \wedge \tau_A^{nd}(e) \wedge \bigwedge_{e' \in E^{det}} \bigwedge_{a \in A} \neg(EPC_a(e') \wedge EPC_{\neg a}(e'))$$

where E^{det} is the set of deterministic sub-effects of e and $\tau_A^{nd}(e)$ is defined on the following slide.

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Decomposable unary conditionality normal form

Representation in propositional logic

We make sure that $\tau_A^{nd}(e)$ describes changed and unchanged variables consistently by expressing changes

- for **exactly the same** variables B within choice effects and
- for **disjoint** variables B for (nondeterministic) conjunctive effects.

This gives rise to the following recursive definition:

Definition

$\tau_B^{nd}(e) = \tau_B(e)$ for deterministic effects e

$$\tau_B^{nd}(e_1 \mid \dots \mid e_n) = \tau_B^{nd}(e_1) \vee \dots \vee \tau_B^{nd}(e_n)$$

$$\tau_B^{nd}(e_1 \wedge \dots \wedge e_n) = \tau_{scope(e_1)}^{nd}(e_1) \wedge \dots \wedge \tau_{scope(e_n)}^{nd}(e_n)$$

$$\bigwedge_{a \in B \setminus \bigcup_{i=1}^n scope(e_i)} (a \leftrightarrow a')$$

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Strong planning with full observability

- We have considered the special case of nondeterministic planning where
 - planning tasks are **fully observable** and
 - we are interested in **strong plans**.
- We have introduced important concepts also relevant to other variants of nondeterministic planning such as
 - **images** and
 - **weak and strong preimages**.
- We have discussed some basic classes of algorithms:
 - **forward search** in AND/OR graphs, and
 - **backward induction** by dynamic programming.
- Finally, we have shown how to make a dynamic programming algorithm more efficient by exploiting logic- or set-based representations such as BDDs.

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