

Principles of AI Planning

January 17th, 2007 — Strong nondeterministic planning with full observability

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- Memoryless plans

- Images

- Weak preimages

- Strong preimages

Basic Algorithms

- AND-OR search

- Dynamic programming

- Backward distances

Efficient Algorithm

- Main algorithm

- Representing operator transitions

Summary

Principles of AI Planning

Strong nondeterministic planning with full observability

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Strong planning with full observability

We will first consider one of the simplest cases of nondeterministic planning by restricting attention to:

- ▶ **fully observable** planning tasks and
- ▶ **strong plans**.

In this lesson, **planning task** always means **fully observable nondeterministic planning task**.

Memoryless strategies

Definition

As noted previously, in the fully observable case, we can use simpler notions of strategies and plans.

Definition

Let S be the set of states of a planning task \mathcal{T} .

A **memoryless strategy** for \mathcal{T} is a partial function $\pi : S \rightarrow O$ such that $\pi(s)$ is applicable wherever $\pi(s)$ is defined.

Execution of a memoryless strategy

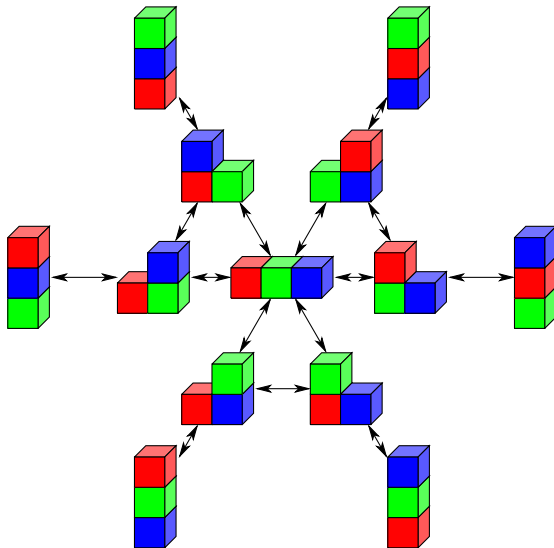
1. Determine the current state s (full observability!).
2. If $\pi(s)$ is not defined then terminate execution.
(If s is a goal state, then $\pi(s)$ should not be defined so that the execution terminates.)
3. Execute action $\pi(s)$.
4. Repeat from first step.

Memoryless plans

- ▶ Memoryless strategies can be straightforwardly translated to strategies as introduced in the previous lesson.
- ▶ We do not discuss this.
- ▶ Following the definitions from the previous lesson, we can introduce concepts such as **weak memoryless plans**, **strong memoryless plans** etc.

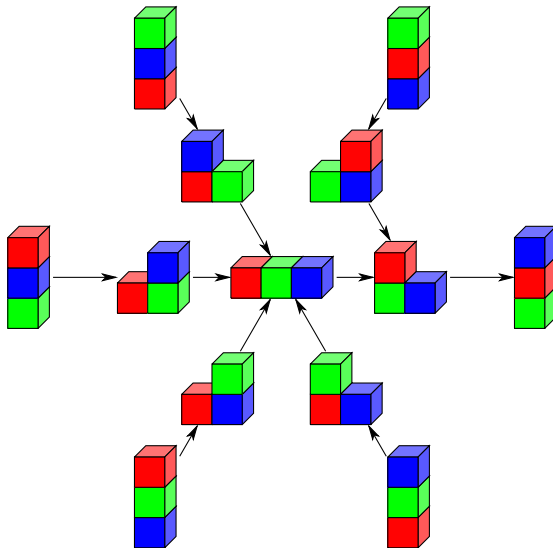
Memoryless plans

Memoryless plan (deterministic operators, uncertain initial state)



Memoryless plans

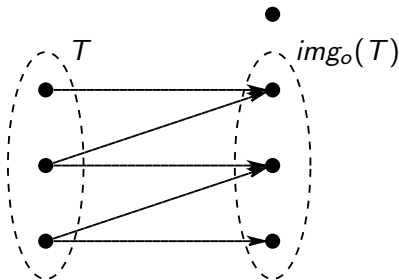
Memoryless plan (deterministic operators, uncertain initial state)



Images

Image

The **image** of a set T of states with respect to an operator o is the set of those states that can be reached by executing o in a state in T .



Images

Formal definition

Definition (Image of a state)

$$img_o(s) = \{s' \in S \mid sos'\}$$

Definition (Image of a set of states)

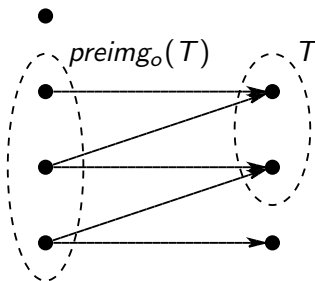
$$img_o(T) = \bigcup_{s \in T} img_o(s)$$

- Observe that $img_o(T) = app_o(T)$, where T is a belief state. We avoid the term “belief state” in this lesson because the intuition behind this term is wrong for fully observable planning – here, we consider sets of states together for algorithmic or efficiency reasons, not because they cannot be distinguished.

Weak preimages

Weak preimage

The **weak preimage** of a set T of states with respect to an operator o is the set of those states from which a state in T can be reached by executing o .



Weak preimages

Formal definition

Definition (Weak preimage of a state)

$$preimg_o(s') = \{s \in S \mid sos'\}$$

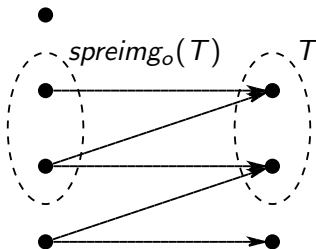
Definition (Weak preimage of a set of states)

$$preimg_o(T) = \bigcup_{s \in T} preimg_o(s).$$

Strong preimages

Strong preimage

The **strong preimage** of a set T of states with respect to an operator o is the set of those states from which a state in T is always reached when executing o .



Strong preimages

Formal definition

Definition (Strong preimage of a set of states)

$$spreim_g(T) = \{s \in S \mid \exists s' \in T : sos', img_o(s) \subseteq T\}$$

Algorithms for fully observable problems

1. Heuristic search (forward)

Strong planning can be viewed as AND-OR search.

OR nodes: Choice between operators

AND nodes: Nondeterministically reached state

Heuristic AND-OR search algorithms:

AO*, B*, Proof Number Search, ...

2. Dynamic programming (backward)

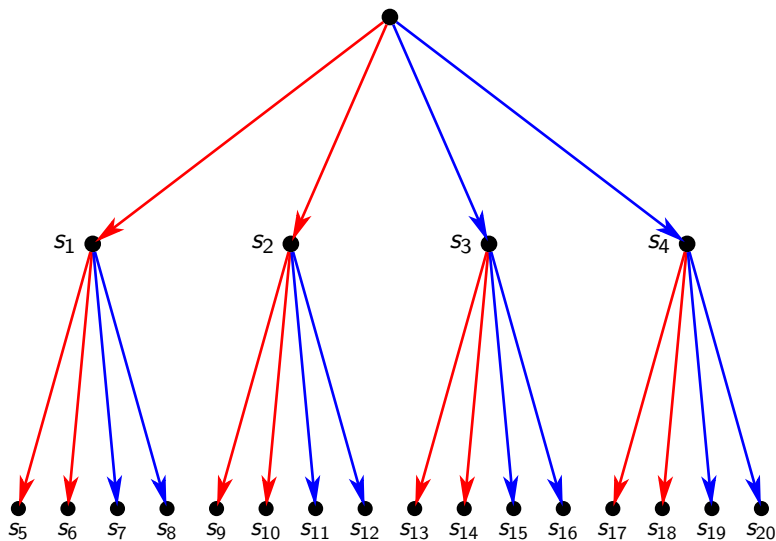
Compute operator/distance/value for a state based on the operators/distances/values of its all successor states.

2.1 0 actions needed for goal states.

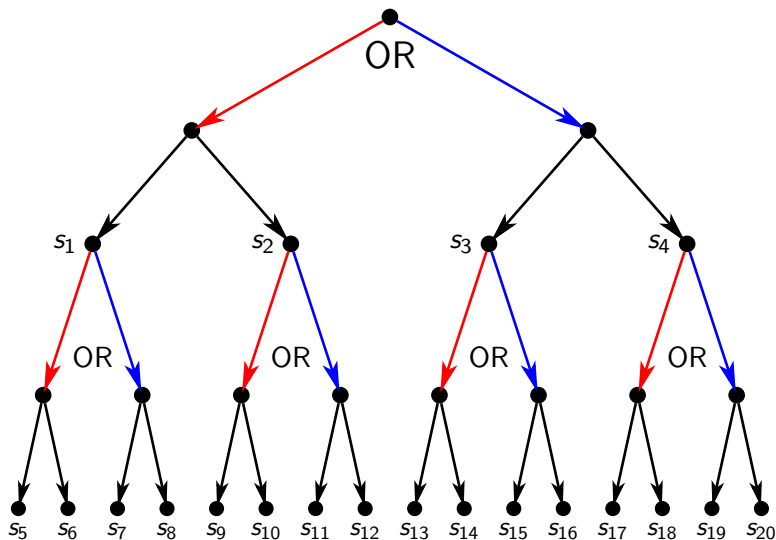
2.2 If states with i actions to goals are known, states with $\leq i + 1$ actions to goals can be easily identified.

Automatic reuse of already found plan suffixes.

AND-OR search



AND-OR search



Dynamic programming

Planning by dynamic programming

If for all successors of state s with respect to operator o a plan exists, assign operator o to s .

Base case $i = 0$: In goal states there is nothing to do.

Inductive case $i \geq 1$: If there is $o \in O$ such that for all $s' \in \text{img}_o(s)$, the state s' is a goal state or $\pi(s')$ was assigned in an earlier iteration, then assign $\pi(s) = o$.

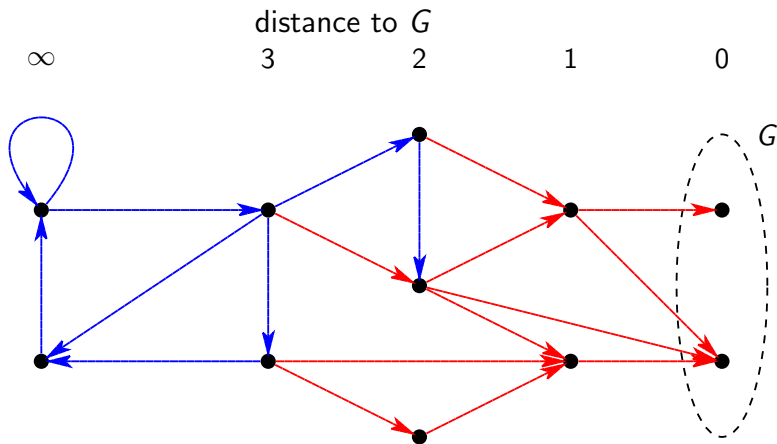
Backward distances

If s is assigned a value on iteration $i \geq 1$, then the **backward distance** of s is i .

The dynamic programming algorithm essentially computes the **backward distances** of states.

Backward distances

Example



Backward distances

Definition of distance sets

Definition

Let G be a set of states and O a set of operators.

The **backward distance sets** D_i^{bwd} for G and O consist of those states for which there is a guarantee of reaching a state in G with at most i operator applications using operators in O :

$$D_0^{bwd} := G$$

$$D_i^{bwd} := D_{i-1}^{bwd} \cup \bigcup_{o \in O} \text{spreimg}_o(D_{i-1}^{bwd}) \text{ for all } i \geq 1$$

Backward distances

Definition

Definition

Let G be a set of states and O a set of operators, and let $D_0^{bwd}, D_1^{bwd}, \dots$ be the backward distance sets for G and O . Then the **backward distance** of a state s for G and O is

$$\delta_G^{bwd}(s) = \begin{cases} 0 & \text{if } s \in G \\ i & \text{if } s \in D_i^{bwd} \setminus D_{i-1}^{bwd} \text{ for any } i \in \mathbb{N}_1 \\ \infty & \text{otherwise} \end{cases}$$

Strong memoryless plans based on distances

Let $\mathcal{T} = \langle A, I, O, G, V \rangle$ be a planning task with state set S .

Extraction of a strong memoryless plan from distance sets

1. Let $S' \subseteq S$ be those states having a finite backward distance for G and O .
2. Let $s \in S'$ be a state with distance $i = \delta_G^{bwd}(s) \geq 1$.
3. Assign to $\pi(s)$ any operator $o \in O$ such that $img_o(s) \subseteq D_{i-1}^{bwd}$. Hence o decreases the backward distance by at least one.

Then π is a strong plan for \mathcal{T} iff $\{s \in S \mid s \models I\} \subseteq S'$.

Question: What is the **worst-case** runtime of the algorithm?

Question: What is the **best-case** runtime of the algorithm if most states have a finite backward distance?

Making the algorithm a logic-based algorithm

- ▶ An algorithm that represents the states **explicitly** stops being feasible at about 10^8 or 10^9 states.
- ▶ For planning with bigger transition systems **structural properties** of the transition system have to be taken advantage of.
- ▶ As before, representing state sets as **propositional formulae** or **BDDs** often allows taking advantage of the structural properties: a formula or BDD that represents a set of states or a transition relation that has certain regularities may be very small in comparison to the set or relation.
- ▶ In the following, we will present a BDD-based algorithm.

Breadth-first search with progression and state sets

Reminder: Algorithm for the deterministic case

Progression breadth-first search

```
def bfs-progression(A, I, O, G):  
    goal := formula-to-set(G)  
    reached := {I}  
    loop:  
        if reached  $\cap$  goal  $\neq \emptyset$ :  
            return solution found  
        new-reached := reached  $\cup$  apply(reached, O)  
        if new-reached = reached:  
            return no solution exists  
        reached := new-reached
```

\rightsquigarrow This can easily be transformed into a **regression** algorithm.

Breadth-first search with regression and state sets

Algorithm for the deterministic case

Regression breadth-first search

```
def bfs-regression( $A, I, O, G$ ):  
     $init := I$   
     $reached := formula-to-set(G)$   
    loop:  
        if  $init \in reached$ :  
            return solution found  
         $new-reached := reached \cup apply^{-1}(reached, O)$   
        if  $new-reached = reached$ :  
            return no solution exists  
         $reached := new-reached$ 
```

- ▶ This algorithm is very similar to the dynamic programming algorithm for the nondeterministic case!

Breadth-first search with regression and state sets

Algorithm for the nondeterministic case

Regression breadth-first search

def bfs-regression(A, I, O, G):

$init := \text{formula-to-set}(I)$

$reached := \text{formula-to-set}(G)$

loop:

if $init \subseteq reached$:

return solution found

$new-reached := reached \cup \bigcup_{o \in O} \text{spreimg}_o(reached)$

if $new-reached = reached$:

return no solution exists

$reached := new-reached$

- How do we define *spreimg* with set-theoretic (BDD) operations?

Computing strong preimages

Strong preimages

$$\begin{aligned}
 spreimg_o(T) &= \{s \in S \mid \exists s' \in T : sos', img_o(s) \subseteq T\} \\
 &= \{s \in S \mid (\exists s' \in S : s' \in T \wedge sos') \wedge \\
 &\quad \{s' \in S \mid sos'\} \subseteq T\} \\
 &= \{s \in S \mid (\exists s' \in S : s' \in T \wedge sos') \wedge \\
 &\quad (\forall s' \in S : sos' \rightarrow (s' \in T)))\} \\
 &= \{s \in S \mid (\exists s' \in S : s' \in T \wedge sos') \wedge \\
 &\quad (\neg \exists s' \in S : sos' \wedge s' \notin T)\}
 \end{aligned}$$

Computing strong preimages with BDD operations

$$\text{spreimg}_o(T) = \{s \in S \mid (\exists s' \in S : s' \in T \wedge sos') \wedge (\neg \exists s' \in S : sos' \wedge s' \notin T)\}$$

Strong preimages with BDDs

```

def rename-A-to-A'(B):
    for each  $a \in A$ :
         $B := \text{bdd-rename}(B, a, a')$ 
    return  $B$ 

def forget-A'(B):
    for each  $a \in A$ :
         $B := \text{bdd-forget}(B, a')$ 
    return  $B$ 

```

Computing strong preimages with BDD operations

$$\text{spreimg}_o(T) = \{s \in S \mid (\exists s' \in S : s' \in T \wedge sos') \wedge (\neg \exists s' \in S : sos' \wedge s' \notin T)\}$$

Strong preimages with BDDs

def strong-preimage(o, T):

$s'\text{-in-}T := \text{rename-}A\text{-to-}A'(T)$

$s'\text{-not-in-}T := \text{bdd-complement}(s'\text{-in-}T)$

$B_1 := \text{forget-}A'(\text{bdd-intersection}(s'\text{-in-}T, T_A(o)))$

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Computing strong preimages with BDD operations

$$\text{spreimg}_o(T) = \{s \in S \mid (\exists s' \in S : s' \in T \wedge sos') \wedge (\neg \exists s' \in S : \textcolor{red}{sos'} \wedge s' \notin T)\}$$

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return $\text{bdd-intersection}(B_1, \text{bdd-complement}(B_2))$

Are we done?

No, because we have not yet shown how to compute $T_A(o)$ for **nondeterministic** operators.

Transition formula for nondeterministic operators

The formula $\tau_A(o)$ (on which the BDD/relation $T_A(o)$ is based) must express

- ▶ the conditions for applicability of o ,
- ▶ how o **changes** state variables, and
- ▶ which state variables o **does not change**.

A significant difficulty lies in the third requirement because **different variables** may be affected depending on nondeterministic choices.

Normal forms for nondeterministic operators

- ▶ In deterministic planning, we translated effects to **normal form** to express them in propositional logic.
- ▶ For nondeterministic effects, there is no (simple) normal form with all the nice properties of deterministic operator normal form:
 - ▶ expressiveness (all effects are convertible to normal form)
 - ▶ efficient computability
 - ▶ simple representation in propositional logic
- ▶ We will thus introduce **different** normal forms which have a subset of these properties.

Unary nondeterminism normal form

Definition

Definition

An effect e is in **unary nondeterminism** normal form iff

- ▶ e is deterministic and in normal form, or
- ▶ $e = e_1 \mid \dots \mid e_n$ where each e_i is deterministic and in normal form.
- ▶ What about **simple representation**, **expressiveness** and **efficient computability**?

Unary nondeterminism normal form

Simple representation

Recall: $\tau_A(o)$ for deterministic operators $o = \langle c, e \rangle$

$$\begin{aligned} \tau_A(o) = & c \wedge \bigwedge_{a \in A} ((EPC_a(e) \vee (a \wedge \neg EPC_{\neg a}(e))) \leftrightarrow a') \\ & \wedge \bigwedge_{a \in A} \neg(EPC_a(e) \wedge EPC_{\neg a}(e)) \end{aligned}$$

For $o = \langle c, e_1 \mid \dots \mid e_n \rangle$ where each e_i is deterministic:

$$\begin{aligned} \tau_A(o) = & c \wedge \bigvee_{i=1}^n \bigwedge_{a \in A} ((EPC_a(e_i) \vee (a \wedge \neg EPC_{\neg a}(e_i))) \leftrightarrow a') \\ & \wedge \bigwedge_{i=1}^n \bigwedge_{a \in A} \neg(EPC_a(e_i) \wedge EPC_{\neg a}(e_i)) \end{aligned}$$

Unary nondeterminism normal form

Expressiveness and efficient computability

Unary nondeterminism normal form is **expressive**.

Every nondeterministic effect can be converted by using the following equivalences to raise nondeterminism to the root of the effect:

$$\begin{aligned} c \triangleright (e_1 \mid \dots \mid e_n) &\equiv (c \triangleright e_1) \mid \dots \mid (c \triangleright e_n) \\ (e_1 \mid \dots \mid e_n) \wedge e' &\equiv (e_1 \wedge e') \mid \dots \mid (e_n \wedge e') \\ (e_1 \mid \dots \mid e_n) \mid e'_1 \dots \mid e'_m &\equiv e_1 \mid \dots \mid e_n \mid e'_1 \mid \dots \mid e'_m \end{aligned}$$

and then converting the deterministic subeffects using the standard algorithm.

However, this is not **efficiently computable** because there are operators for which an exponential growth of operator size is unavoidable (\rightsquigarrow exercises).

Unary nondeterminism normal form

Discussion

- ▶ Unary nondeterminism normal form is among the **simplest possible** normal forms. There is only one possible nesting of effect types:
 - ▶ atomic effects
 - ▶ within conditional effects
 - ▶ within conjunctive effects
 - ▶ within choice effects
- ▶ The price for this simplicity is an exponential blow-up in many cases.
- ▶ To avoid this blowup, we will now relax the nesting options somewhat.

Unary conditionality normal form

Definition

Definition

An effect e is in **unary conditionality** normal form iff for all conditional effects $(c \triangleright e')$ occurring within e , the effect e' is atomic.

- Note that conjunctive effects and choice effects may be nested arbitrarily.

Unary conditionality normal form

Properties

Unary conditionality normal form is **expressive**.

Every nondeterministic effect can be converted by using the following equivalences to push conditional effects towards the leaves of the effect:

$$\begin{aligned}c \triangleright (e_1 \mid \dots \mid e_n) &\equiv (c \triangleright e_1) \mid \dots \mid (c \triangleright e_n) \\c \triangleright (e_1 \wedge \dots \wedge e_n) &\equiv (c \triangleright e_1) \wedge \dots \wedge (c \triangleright e_n) \\c \triangleright (c' \triangleright e) &\equiv (c \wedge c') \triangleright e\end{aligned}$$

This is also **efficiently computable**.

However, for this normal form, there does not appear to be a **simple representation in propositional logic**.

Unary conditionality normal form

Discussion

- ▶ Unary conditionality normal form allows **too complicated** nestings of conjunctive and choice effects.
- ▶ This makes it difficult to test, for example, whether there are possible choices that will lead to **inconsistent effects**.
- ▶ For this reason, we will now look into a slightly **stricter** normal form which is a good compromise between our desiderata.

Decomposable unary conditionality normal form

Scope

Definition

Define the **scope** of an effect e as

$$\text{scope}(a) = \{a\}$$

$$\text{scope}(\neg a) = \{a\}$$

$$\text{scope}(c \triangleright e) = \text{scope}(e)$$

$$\text{scope}(e_1 \wedge \dots \wedge e_n) = \text{scope}(e_1) \cup \dots \cup \text{scope}(e_n)$$

$$\text{scope}(e_1 \mid \dots \mid e_n) = \text{scope}(e_1) \cup \dots \cup \text{scope}(e_n)$$

Decomposable unary conditionality normal form

Definition

Definition

An effect e is in **decomposable unary conditionality (DUC)** normal form iff it is in unary conditionality normal form and for all conjunctive effects $(e_1 \wedge \dots \wedge e_n)$ occurring within e , either

- ▶ all e_i are deterministic, or
- ▶ for all $i \neq j$, $\text{scope}(e_i)$ and $\text{scope}(e_j)$ are disjoint.

Example: $(a \mid b) \wedge (\neg b \mid d)$ is **not** in DUC normal form because variable b occurs in $(a \mid b)$ and $(\neg b \mid d)$.

- ▶ Consistency of effect application can be tested easily:
The effect is guaranteed to be consistent in state s iff this is the case for each deterministic sub-effect.

Decomposable unary conditionality normal form

Properties

- ▶ DUC normal form is a **special case** of unary conditionality normal form and a **generalization** of unary nondeterminism normal form.
- ▶ Because it generalizes unary nondeterminism normal form, it is **expressive**.
- ▶ We do not discuss **efficient computability** in detail, but only note that **in practice**, nondeterministic operators can usually be compactly represented in DUC normal form.
- ▶ We will now consider the property of simple representation.

Decomposable unary conditionality normal form

Representation in propositional logic

Recall: $\tau_A(o)$ for deterministic operators $o = \langle c, e \rangle$

$$\begin{aligned} \tau_A(o) = & c \wedge \bigwedge_{a \in A} ((EPC_a(e) \vee (a \wedge \neg EPC_{\neg a}(e))) \leftrightarrow a') \\ & \wedge \bigwedge_{a \in A} \neg(EPC_a(e) \wedge EPC_{\neg a}(e)) \end{aligned}$$

For nondeterministic $o = \langle c, e \rangle$ where e is in DUC normal form, this generalizes to:

$$\tau_A(o) = c \wedge \tau_A^{nd}(e) \wedge \bigwedge_{e' \in E^{det}} \bigwedge_{a \in A} \neg(EPC_a(e') \wedge EPC_{\neg a}(e'))$$

where E^{det} is the set of deterministic sub-effects of e and $\tau_A^{nd}(e)$ is defined on the following slide.

Decomposable unary conditionality normal form

Representation in propositional logic

We make sure that $\tau_A^{nd}(e)$ describes changed and unchanged variables consistently by expressing changes

- ▶ for **exactly the same** variables B within choice effects and
- ▶ for **disjoint** variables B for (nondeterministic) conjunctive effects.

This gives rise to the following recursive definition:

Definition

$$\begin{aligned}
 \tau_B^{nd}(e) &= \tau_B(e) \text{ for deterministic effects } e \\
 \tau_B^{nd}(e_1 \mid \dots \mid e_n) &= \tau_B^{nd}(e_1) \vee \dots \vee \tau_B^{nd}(e_n) \\
 \tau_B^{nd}(e_1 \wedge \dots \wedge e_n) &= \tau_{scope(e_1)}^{nd}(e_1) \wedge \dots \wedge \tau_{scope(e_n)}^{nd}(e_n) \\
 &\quad \wedge \bigwedge_{a \in B \setminus \bigcup_{i=1}^n scope(e_i)} (a \leftrightarrow a')
 \end{aligned}$$

Summary

Strong planning with full observability

- ▶ We have considered the special case of nondeterministic planning where
 - ▶ planning tasks are **fully observable** and
 - ▶ we are interested in **strong plans**.
- ▶ We have introduced important concepts also relevant to other variants of nondeterministic planning such as
 - ▶ **images** and
 - ▶ **weak and strong preimages**.
- ▶ We have discussed some basic classes of algorithms:
 - ▶ **forward search** in AND/OR graphs, and
 - ▶ **backward induction** by dynamic programming.
- ▶ Finally, we have shown how to make a dynamic programming algorithm more efficient by exploiting logic- or set-based representations such as BDDs.