Principles of Al Planning

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General Compilability Results

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Motivation Why?

Motivation: Why Analyzing the Expressive Power?

- ► Expressive power is the motivation for designing new planning languages
- ▶ Often there is the question: *Syntactic sugar* or *essential feature*?
- \rightarrow If a feature can be compiled away, then it is apparently only *syntactic* sugar.
- ► Sometimes, however, a compilation can lead to much larger planning domain descriptions or to much longer plans.
- This means the planning algorithm will probably choke, i.e., it cannot be considered as a compilation

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Expressive power

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Motivation Exar

Example: DNF Preconditions

- ► Assume we have **DNF preconditions** in STRIPS operators
- ► This can be **compiled away** as follows
- ▶ Split each operator with a DNF precondition $c_1 \lor ... \lor c_n$ into n operators with the same effects and c_i as preconditions
- → If there exists a plan for the original planning task there is one for the new planning task and *vice versa*
- → The planning task has almost the same size
- → The shortest plans have the same size

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Motivation Examples

Example: Conditional effects

► Can we compile away **conditional effects** to STRIPS?

▶ Example operator: $\langle a, b \rhd d \land \neg c \rhd e \rangle$

► Can be translated into four operators: $\langle a \wedge b \wedge c, d \rangle, \langle a \wedge b \wedge \neg c, d \wedge e \rangle, \dots$

▶ Plan existence and plan size are identical

► Exponential blowup of domain description!

→ Can this be avoided?

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Propositional STRIPS and Variants

Propositional STRIPS and Variants

▶ In the following we will only consider **propositional STRIPS** and some variants of it.

► Planning task:

$$\mathcal{T} = \langle A, I, O, G \rangle$$
.

▶ Often we refer to **domain structures** $\mathcal{D} = \langle A, O \rangle$.

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Disjunctive Preconditions: Trivial or Essential?

- ► Kambhampati et al [ECP 97] and Gazen & Knoblock [ECP 97]: Disjunctive preconditions are trivial – since they can be translated to basic STRIPS (DNF-preconditions)
- ▶ Bäckström [AIJ 95]: Disjunctive preconditions are probably essential since they can not easily be translated to basic STRIPS (CNF-preconditions)
- ► Anderson et al [AIPS 98]: "[D]isjunctive preconditions . . . are . . . essential prerequisites for handling conditional effects" → conditional effects imply disjunctive preconditions (?) (General Boolean preconditions)

Propositional STRIPS and Variants STRIPS Variants

More "Expressive Power"

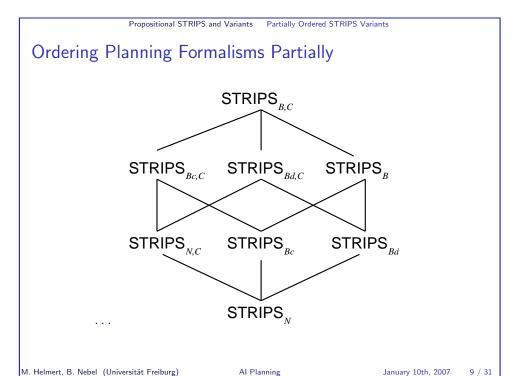
STRIPS_N: plain strips with negative literals

 STRIPS_{Bd} : precondition in disjunctive normal form STRIPS_{Bc} : precondition in conjunctive normal form

 $STRIPS_B$: Boolean expressions as preconditions

 $STRIPS_C$: conditional effects

 $STRIPS_{C,N}$: conditional effects & negative literals



Measuring Expressive Power

Measuring Expressive Power

Consider mappings between planning problems in different formalisms

- ▶ that preserve
 - solution existence
 - ▶ plan size linearly or polynomially etc.
 - ▶ the exact plan size
 - ▶ the plan "structure"
 - ▶ the solutions/plans themselves
- ▶ that are limited
 - ▶ in the *size* of the result (poly. size)
 - ▶ in the computational resources (poly. time)
- that transform
 - entire planning instances
 - domain structure and states in isolation

Propositional STRIPS and Variants Computational Complexity Computational Complexity . . . **Theorem** PLANEX is PSPACE-complete for STRIPS_N, STRIPS_{C,B}, and for all formalisms "between" the two. Proof. Follows from theorems proved in the previous lecture.

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Expressive Power Measuring Expressive Power

Method 1: Polynomial Transformation

preserving

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- ► solution existence
- plan size linearly or polynomially etc.
- ▶ the exact plan size
- ▶ the plan "structure"
- ▶ the solutions/plans themselves
- **▶** limiting
 - ▶ in the *size* of the result (poly. size)
 - ▶ in the *computational resources* (poly. time)
- transforming
 - ▶ entire planning instances
 - domain structure and states in isolation

→ all formalisms have the same expressiveness (?)

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Method 2: Bäckström's ESP-reductions

preserving

- solution existence
- plan size linearly or polynomially etc.
- ► the exact plan size
- ▶ the plan "structure"
- ▶ the solutions/plans themselves

limiting

- ▶ in the *size* of the result (poly. size)
- ▶ in the *computational resources* (poly. time)

transforming

- entire planning instances
- domain structure and states in isolation
- However, expressiveness is independent of the computational resources needed to compute the mapping

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Expressive Power Measuring Expressive Power

Method 4: Modular & Polysize Mappings

preserving

- solution existence
- ▶ plan size linearly or polynomially etc.
- the exact plan size
- ▶ the plan "structure"
- ▶ the solutions/plans themselves

limiting

- ▶ in the *size* of the result (poly. size)
- in the computational resources (poly. time)

transforming

- entire planning instances
- ▶ domain structure and states in isolation
- when measuring the expressiveness of **planning formalisms**, domain structures should be considered independently from states

Expressive Power Measuring Expressive Powe

Method 3: Polysize Mappings

preserving

- solution existence
- ▶ plan size linearly or polynomially etc.
- the exact plan size
- ▶ the plan "structure"
- ▶ the solutions/plans themselves

▶ limiting

- ▶ in the *size* of the result (poly. size)
- in the computational resources (poly. time)

transforming

- ► entire planning instances
- domain structure and states in isolation
- All formalisms are **trivially equivalent** (because planning is PSPACE-complete for all propositional STRIPS formalisms)

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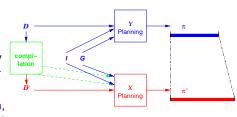
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Expressive Power Compilation Schemes

The Right Method: Compilation Schemes (Simplified)

- Transform domain structure
 - $\mathcal{D} = \langle A, O \rangle$ (with polynomial blowup) to \mathcal{D}' preserving solution existence
- Only trivial changes to states (independent of operator set)
- Resulting plans π' should not grow too much (additive constant, linear growth, polynomial growth)
- Similar to knowledge compilation, with operators as the fixed part and initial states & goals as the varying part



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Compilability

 $\mathcal{Y} \preceq \mathcal{X}$ (\mathcal{Y} is compilable to \mathcal{X})

iff

there exists a compilation scheme from $\mathcal Y$ to $\mathcal X$.

 $\mathcal{Y} \leq^1 \mathcal{X}$: preserving plan size exactly (modulo additive constants)

 $\mathcal{Y} \leq^{c} \mathcal{X}$: preserving plan size **linearly** (in $|\pi|$)

 $\mathcal{Y} \leq^{p} \mathcal{X}$: preserving plan size **polynomially** (in $|\pi|$ and $|\mathcal{D}|$)

 $\mathcal{Y} \leq_{p}^{\times} \mathcal{X}$: **polynomial-time** compilability

Theorem

For all x, y, the relations \leq_{v}^{x} are transitive and reflexive.

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Back-Translatability

- ► Shouldn't we also require that plans in the compiled instance can be *translated back* to the original formalism?
- ▶ Yes, if we want to use this technique, one should require that!
- ▶ In all *positive cases*, there was never any problem to translate the plan back
- ▶ For the *negative case*, it is easier to prove **non-existence**
- ► So, in order to prove negative results, we do not need it, for positive it never had been a problem
- So, similarly to the concentration on *decision problems* when determining complexity, we simplify things here

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Expressive Power Positive Results

A (Trivial) Positive Result: STRIPS_{Bd} \leq_p^1 STRIPS_N

DNF preconditions can be "compiled away." Assume operator $o = \langle c, e \rangle$ and

$$c = L_1 \vee \ldots \vee L_k$$

with L_i being a conjunction of literals. Create k operators $o_i = \langle L_i, e \rangle$

- 1. compilation is solution-preserving,
- 2. \mathcal{D}' is only polynomially larger than \mathcal{D} ,
- 3. compilation can be computed in polynomial time,
- 4. resulting plans do not grow at all.
- \rightsquigarrow STRIPS_{Bd} \leq_p^1 STRIPS_N

Expressive Power Positive Results

Another Positive Result: STRIPS_{C,Bc} \leq_p^c STRIPS_{C,N}

CNF preconditions can be **"compiled away"** – provided we have already conditional effects.

- ► Evaluate the truth value of all disjunctions appearing in operators by using a **special evaluation operator** with conditional effects that make new "clause atoms" true
- ► Alternate between executing original operators (clauses replaced by new atoms) and evaluation operators
- → Operator sets grow only polynomially
- → Plans are double as long as the original plans
- → Anderson et al's conjecture holds in a weak version

Expressive Power Negative Results

A First Negative Result: Conditional Effects Cannot be Compiled into Boolean Preconditions

Consider domain \mathcal{D} with only one (STRIPS_{C,B}) operator o:

$$\langle \top, (p_1 \rhd \neg p_1) \land (\neg p_1 \rhd p_1) \land \ldots \land (p_k \rhd \neg p_k) \land (\neg p_k \rhd p_k) \rangle,$$

which "inverts" a given state. For all (I, G) with

$$G = \bigwedge \{ \neg v \mid v \in A, I \models v \} \land \bigwedge \{ v \mid v \in A, I \not\models v \},$$

there exists a $STRIPS_{C,B}$ one-step plan.

Assume there exists a compilation preserving plan size linearly leading to a STRIPS_B domain structure \mathcal{D}' . There are exponentially many possible initial states, but only polynomially many different c-step plans for \mathcal{D}' . Some STRIPS_B plan π is used for different initial states l_1 , l_2 (for large enough k). Let v be a variable with $l_1(v) \neq l_2(v)$.

- \rightarrow In one case, v must be set by π , in the other case, it must be cleared.
- → This is not possible in an unconditional plan.
- → The transformation is not solution preserving!

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Expressive Power Negative Results

A Final Negative Result: Boolean Preconditions Cannot be Compiled Away Even in the Presence of Conditional Effects

- ▶ Boolean preconditions have the power of families of Boolean circuits with logarithmic depth (because Boolean formula have this power) (= NC¹)
- ► Conditional effects can simulate only **families of circuits with fixed depth** (= AC⁰).
- ► The parity function can be expressed in the first framework (NC¹) while it cannot be expressed in the second (AC¹).
- The negative result follows unconditionally!

Expressive Power Negative Results

Another Negative Result: STRIPS_{Rc} $\not\preceq^c$ STRIPS_N

k-**FISEX**: Planning problem with fixed plan length k and varying initial state. Does there exist an initial state leading to a successful k-step plan? 1-FISEX is NP-complete for STRIPS $_{Bc}$ (= SAT).

k-FISEX is polynomial for STRIPS $_N$ (regression analysis)

 $\rightsquigarrow \mathsf{STRIPS}_{Bc} \not\preceq^c_p \mathsf{STRIPS}_N \text{ (if } \mathsf{P} \neq \mathsf{NP}\text{)}$

Using a technique first used by Kautz & Selman, one can show that even arbitrary compilations can be ruled out – provided the polynomial hierarchy does not collapse. The proof method uses **non-uniform complexity classes** such as *P/poly*.

→ Bäckström's conjecture holds in the compilation framework.

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Expressive Power Circuit Complexi

Boolean Circuits

- ▶ We know what Boolean circuits are (directed, acyclic graphs with different types of nodes: and, or, not, input, output)
- ▶ Size of circuit = number of gates
- ▶ **Depth of circuit** = length of longest path from input gate to output gate
- ► When we want to *recognize formal languages* with circuits, we need a *sequence of circuits* with an increasing number of input gates \leadsto **family of circuits**
- \triangleright Families with polynomial size and poly-log ($\log^k n$) depth
- complexity classes NC^k (Nick's class)
- ▶ NC = \bigcup_k NC^k ⊆ P, the class of problems that can be solved efficiently in parallel
- ► The class of languages that can be characterized by polynomially sized Boolean formulae is identical to NC¹

The classes AC^k

- ightharpoonup The classes NC^k are defined with a fixed fan-in
- \blacktriangleright If we have unbounded fan-in, we get the classes AC^k
 - ▶ gate types: NOT, *n*-ary AND, *n*-ary OR for all $n \ge 2$
- ▶ Obviously: $NC^k \subset AC^k$
- ▶ Possible to show: $AC^{k-1} \subseteq NC^k$
- ► The parity language is in NC¹, but not in AC¹!

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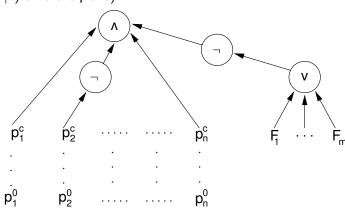
Accepting languages with families of domain structures with fixed goals

- ▶ We will view families of domain structures with fixed goals and fixed size plans as "machines" that accept languages
- ► Consider families of poly-sized domain structures in STRIPS_B and use one-step plans for acceptance.
- ▶ Obviously, this is the same as using Boolean formulae
- → All languages in NC¹ can be accepted in this way

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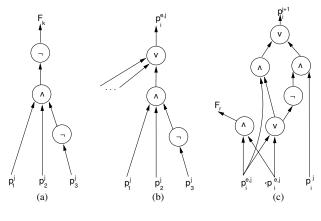
Simulating STRIPS_{C,N} c-Step Plans with AC⁰ circuits (1)

▶ Represent each operator and then chain the actions together $(O(|O|^c)$ different plans):



Simulating STRIPS_{C,N} c-Step Plans with AC^0 circuits (2)

► For each single action (precondition testing (a), conditional effects (b), and the computation of effects (c)



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Expressive Power Circuit Complexity

 $STRIPS_B \not\preceq^c STRIPS_{C,N}$

Theorem

 $STRIPS_B \not\preceq^c STRIPS_{C,N}$.

Proof.

Assuming STRIPS_B \leq^c STRIPS_{C,N} has the consequence that the underlying compilation scheme could be used to compile a NC¹ circuit family into an AC⁰ circuit family, which is impossible in the general case.

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Summary

Summary

- ► Compilation schemes seem to be the right method to measure the *relative* expressive power of planning formalisms
- ► Either we get a positive result preserving plan size **linearly** with a **polynomial-time compilation**
- ► or we get an impossibility result
- → Results are relevant for building planning systems
- CNF preconditions do not add much when we have already conditional effects
- ▶ Note: In all cases we can get a positive result if we allow for a polynomial blow-up of the plans.

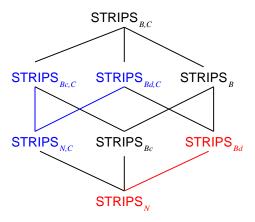
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General Results for Compilability Preserving Plan Size Linearly



Expressive Power General Compilability Results

All other potential positive results have been ruled out by our 3 negative results and transitivity.

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