Principles of Al Planning

November 24th, 2006 — Planning by satisfiability testing

SAT planning

Relations in propositional logic

Actions in propositional logic

Plans in propositional logic

DPLL

Example

Parallel plans

Parallelism

Interference

Parallel actions

Translation

Optimality

Example

Final remarks

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November 24th, 2006

1 / 74

SAT planning

Planning in the propositional logic

- ► Early work on deductive planning viewed plans as proofs that lead to a desired goal (theorem).
- ▶ Planning as satisfiability testing was proposed in 1992.
 - 1. A propositional formula represents all length n action sequences from the initial state to a goal state.
 - 2. If the formula is satisfiable then a plan of length n exists (and can be extracted from the satisfying valuation).
- ► Heuristic search and satisfiability planning are currently the best approaches for planning.
 - ► Satisfiability planning is often more efficient for small, but difficult problems.
 - ► Heuristic search is often more efficient for big, but easy problems.
- ▶ Bounded model-checking in Computer Aided Verification was introduced in 1998 as an extension of satisfiability planning after the success of the latter had been noticed outside the AI community.

Principles of Al Planning
Planning by satisfiability testing

Malte Helmert Bernhard Nebel

Albert-Ludwigs-Universität Freiburg

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November 24th, 2006

0 / 74

SAT planning

Planning in the propositional logic Abstractly

- 1. Represent actions (= binary relations) as propositional formulae.
- 2. Construct a formula saying "execute one of the actions".
- 3. Construct a formula saying "execute a sequence of *n* actions, starting from the initial state, ending in a goal state".
- 4. Test the satisfiability of this formula by a satisfiability algorithm.
- 5. If the formula is satisfiable, construct a plan from a satisfying valuation.

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- ▶ Like our earlier algorithms (progression and regression planning, possibly with heuristics), planning as satisfiability testing can be interpreted as a search algorithm.
- ▶ However, unlike these algorithms, satisfiability testing is undirected search:
 - ▶ As the first decision, the algorithm may decide to include a certain action as the 7th operator of the plan.
 - ▶ As the second decision, it may require a certain state variable to be true after the 5th operator of the plan.

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November 24th, 2006

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SAT planning Relations in CPC

Relations/actions as formulae

Formulae on $A \cup A'$ as binary relations

Let $A = \{a_1, \dots, a_n\}$ represent state variables in the current state, and $A' = \{a'_1, \dots, a'_n\}$ state variables in the successor state.

Formulae ϕ on $A \cup A'$ represent binary relations on states: a valuation of $A \cup A' \rightarrow \{0,1\}$ represents a pair of states $s : A \rightarrow \{0,1\}$, $s' : A' \rightarrow \{0,1\}$.

Example

Formula $(a \rightarrow a') \land ((a' \lor b) \rightarrow b')$ on a, b, a', b' represents the binary relation {(00,00), (00,01), (00,11), (01,01), (01,11), (10,11), (11,11)}.

Sets (of states) as formulae

Reminder: Formulae on A as sets of states

We view formulae ϕ as representing sets of states $s: A \to \{0, 1\}$.

Example

Formula $a \lor b$ on the state variables a, b, c represents the set $\{010, 011, 100, 101, 110, 111\}.$

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SAT planning Relations in CPC

Matrices as formulae

Example (Formulae as relations as matrices)

Binary relation $\{(00,00),(00,01),$ (00, 11), (01, 01),(01, 11), (10, 11),

can be represented as

the adjacency matrix:

(11, 11)

a'b' a'b' a'b'10 0 11

Representation of big matrices is possible

For n state variables, a formula (over 2n variables) represents an adjacency matrix of size $2^n \times 2^n$.

For n = 20, matrix size is $2^{20} \times 2^{20} \sim 10^6 \times 10^6$.

Actions/relations as propositional formulae Example

$\phi = (a_1 \leftrightarrow \lnot a_1') \land (a_2 \leftrightarrow \lnot a_2')$ as a matrix									
	a ₁ a ₂ 00	$a_1'a_2'$	$a_1'a_2'$	$a_1'a_2'$					
a_1a_2	00	01	10	11					
00	0	0	0	1					
01	0	0 0	1	0					
10	0	1	0	0					
11	1	Λ	Λ	Λ					

and as a conventional truth table:

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a_1	a_2	a_1'	a_2'	ϕ				
0	0	0	0	0				
0	0	0	1	0				
0	0	1	0	0				
0	0	1	1 0	1				
0	1	0	0	0				
0 0 0 0	1	0	1	0				
0	1	1	1 0	1				
0	1	1	1 0	0				
1	0	0	0	0				
1	0	0	1	1				
1	0	1	1 0	0				
1	0	1	1 0	0				
1 1 1 1	1	0	0	1				
1	1	0	1	0				
1	1	1	0	0 0 1 0 0 1 0 0 1 0 0 1 0 0 1 0 0 0				
1	1	1	1	0				
	November 24th, 2006							

SAT planning Actions in CPC

Translating operators into formulae

- ▶ Any operator can be translated into a propositional formula.
- ► Translation takes polynomial time.
- ▶ Resulting formula has polynomial size.
- ▶ Two main applications in planning algorithms are:
 - 1. planning as satisfiability and
 - 2. progression & regression for state sets as used in symbolic state-space traversal, typically implemented with the help of binary decision diagrams.

Actions/relations as propositional formulae Example

 $(a_1 \leftrightarrow a_2') \land (a_2 \leftrightarrow a_3') \land (a_3 \leftrightarrow a_1')$ represents the matrix:

	000	001	010	011	100	101	110	111
000	1	0	0	0	0	0	0	0
001	0	0	0	0	1	0	0	0
010	0	1	0	0	0	0	0	0
011	0	0	0	0	0	1	0	0
100	0	0	1	0	0	0	0	0
101	0	0	0	0	0	0	1	0
110	0	0	0	1	0	0	0	0
111	0	0	0	0	0	0	0	1

This action rotates the value of the state variables a_1 , a_2 , a_3 one step forward.

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November 24th, 2006

10 / 74

SAT planning Actions in CPC

Translating operators into formulae

Definition (operators in propositional logic)

Let $o = \langle c, e \rangle$ be an operator and A a set of state variables. Define $\tau_A(o)$ as the conjunction of

$$(1)$$

$$\bigwedge_{a \in A} ((EPC_a(e) \lor (a \land \neg EPC_{\neg a}(e))) \leftrightarrow a') \quad (2)$$

$$\bigwedge_{a \in A} \neg (EPC_a(e) \land EPC_{\neg a}(e)) \tag{3}$$

Condition (1) states that the precondition of o is satisfied.

Condition (2) states that the new value of a, represented by a', is 1 if the old value was 1 and it did not become 0, or if it became 1.

Condition (3) states that none of the state variables is assigned both 0 and

1. Together with (1), this encodes applicability of the operator.

SAT planning Actions in CPC

Translating operators into formulae

Example

Example

Let the state variables be $A = \{a, b, c\}$.

Consider the operator $\langle a \lor b, (b \rhd a) \land (c \rhd \neg a) \land (a \rhd b) \rangle$.

The corresponding propositional formula is

$$(a \lor b) \land ((b \lor (a \land \neg c)) \leftrightarrow a') \\ \land ((a \lor (b \land \neg \bot)) \leftrightarrow b') \\ \land ((\bot \lor (c \land \neg \bot)) \leftrightarrow c') \\ \land \neg (b \land c) \land \neg (a \land \bot) \land \neg (\bot \land \bot)$$

$$\equiv (a \lor b) \land ((b \lor (a \land \neg c)) \leftrightarrow a') \\ \land ((a \lor b) \leftrightarrow b') \\ \land (c \leftrightarrow c') \\ \land \neg (b \land c)$$

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November 24th, 2006

13 / 74

SAT planning Actions in CPC

Correctness

lemma

Let s and s' be states and o an operator. Let $v:A\cup A'\to\{0,1\}$ be a valuation such that

- 1. for all $a \in A$, v(a) = s(a), and
- 2. for all $a \in A$, v(a') = s'(a).

Then $v \models \tau_A(o)$ if and only if $s' = app_o(s)$.

AT planning Actions in CP

Translating operators into formulae

Example

Example

Let $A = \{a, b, c, d, e\}$ be the state variables.

Consider the operator $\langle a \wedge b, c \wedge (d \triangleright e) \rangle$.

After simplifications, the formula $\tau_A(o)$ is

$$(a \land b) \land (a \leftrightarrow a') \land (b \leftrightarrow b') \land c' \land (d \leftrightarrow d') \land ((d \lor e) \leftrightarrow e')$$

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November 24th, 2006

14 / 74

SAT planning Plans in CPC

Planning as satisfiability

- 1. Encode operator sequences of length 0, 1, 2, ... as formulae Φ_0^{seq} , Φ_1^{seq} , Φ_2^{seq} , ... (see next slide).
- 2. Test satisfiability of Φ_0^{seq} , Φ_1^{seq} , Φ_2^{seq} ,
- 3. If a satisfying valuation v is found, a plan can be constructed from v.

Planning as satisfiability

Definition (transition relation in propositional logic)

For $\langle A, I, O, G \rangle$ define $\mathcal{R}_1(A, A') = \bigvee_{o \in O} \tau_A(o)$.

Definition (bounded-length plans in propositional logic)

Existence of plans of length t is represented by the following formula over propositions $A^0 \cup \cdots \cup A^t$, where $A^i = \{ a^i \mid a \in A \}$ for all $i \in \{0, \ldots, t\}$:

$$\Phi_t^{seq} = \iota^0 \wedge \mathcal{R}_1(A^0, A^1) \wedge \mathcal{R}_1(A^1, A^2) \wedge \cdots \wedge \mathcal{R}_1(A^{t-1}, A^t) \wedge G^t$$

where $\iota^0 = \bigwedge_{a \in A, I(a)=1} a^0 \wedge \bigwedge_{a \in A, I(a)=0} \neg a^0$ and G^t is G with propositions a replaced by a^t .

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November 24th, 2006

19 / 74

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Planning as satisfiability

Existence of (optimal) plans

Theorem

Let Φ_t^{seq} be the formula for $\langle A, I, O, G \rangle$ and plan length t.

The formula Φ_t^{seq} is satisfiable if and only if there is a sequence of states s_0, \ldots, s_t and operators o_1, \ldots, o_t such that $s_0 = I$, $s_i = app_{o_i}(s_{i-1})$ for all $i \in \{1, \ldots, t\}$, and $s_t \models G$.

Consequence

If $\Phi_0^{seq}, \Phi_1^{seq}, \dots, \Phi_{i-1}^{seq}$ are unsatisfiable and Φ_i^{seq} is satisfiable, then the length of shortest plans is i.

Satisfiability planning with Φ_i^{seq} yields optimal plans, like heuristic search with admissible heuristics and optimal algorithms like A* or IDA*.

Planning as satisfiability

Example

Example

Consider

$$I \models b \land c$$

$$G = (b \land \neg c) \lor (\neg b \land c)$$

$$o_1 = \langle \top, (c \rhd \neg c) \land (\neg c \rhd c) \rangle$$

$$o_2 = \langle \top, (b \rhd \neg b) \land (\neg b \rhd b) \rangle$$

The formula Φ_3^{seq} for plans of length 3 is:

$$\begin{array}{l} (b^0 \wedge c^0) \\ \wedge \left(((b^0 \leftrightarrow b^1) \wedge (c^0 \leftrightarrow \neg c^1)) \vee ((b^0 \leftrightarrow \neg b^1) \wedge (c^0 \leftrightarrow c^1)) \right) \\ \wedge \left(((b^1 \leftrightarrow b^2) \wedge (c^1 \leftrightarrow \neg c^2)) \vee ((b^1 \leftrightarrow \neg b^2) \wedge (c^1 \leftrightarrow c^2)) \right) \\ \wedge \left(((b^2 \leftrightarrow b^3) \wedge (c^2 \leftrightarrow \neg c^3)) \vee ((b^2 \leftrightarrow \neg b^3) \wedge (c^2 \leftrightarrow c^3)) \right) \\ \wedge \left((b^3 \wedge \neg c^3) \vee (\neg b^3 \wedge c^3) \right). \end{array}$$

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November 24th, 2006

SAT planning Plans in CPC

Planning as satisfiability

Plan extraction

All satisfiability algorithms give a valuation v that satisfies Φ_i^{seq} upon finding out that Φ_i^{seq} is satisfiable.

This makes it possible to construct a plan.

Constructing a plan from a satisfying valuation

Let v be a valuation so that $v \models \Phi_t^{seq}$. Then define $s_i(a) = v(a^i)$ for all $a \in A$ and $i \in \{0, \ldots, t\}$.

The *i*-th operator in the plan is $o \in O$ if $app_o(s_{i-1}) = s_i$. Note: There may be more than one such operator, in which case any of them may be chosen.

Example, continued

Example

One valuation that satisfies Φ_3^{seq} :

Note:

- 1. There also exists a plan of length 1.
- 2. No plan of length 2 exists.

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November 24th, 2006

21 / 74

SAT planning DPLL

The unit resolution rule

Unit resolution

From $l_1 \vee l_2 \vee \cdots \vee l_n$ (here n > 1) and $\overline{l_1}$, infer $l_2 \vee \cdots \vee l_n$.

Example

From $a \lor b \lor c$ and $\neg a$ infer $b \lor c$.

Unit resolution: a special case

From A and $\neg A$ we get the empty clause \bot ("disjunction consisting of zero disjuncts").

Unit subsumption

The clause $l_1 \vee l_2 \vee \cdots \vee l_n$ can be eliminated if we have the unit clause l_1 .

SAT planning DPLL

Conjunctive normal form

Many satisfiability algorithms require formulas in the conjunctive normal form: transformation by repeated applications of the following equivalences.

$$\neg(\phi \lor \psi) \equiv \neg \phi \land \neg \psi
\neg(\phi \land \psi) \equiv \neg \phi \lor \neg \psi
\neg \neg \phi \equiv \phi
\phi \lor (\psi_1 \land \psi_2) \equiv (\phi \lor \psi_1) \land (\phi \lor \psi_2)$$

The formula is a conjunction of clauses (disjunctions of literals).

Example

$$(A \lor \neg B \lor C) \land (\neg C \lor \neg B) \land A$$

Note: Transformation to conjunctive normal form can increase formula size exponentially. There are also polynomial translations which introduce additional variables.

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November 24th, 2006

22 / 74

SAT planning DPLL

The Davis-Putnam-Logemann-Loveland procedure

- ► The first efficient decision procedure for any logic (Davis, Putnam, Logemann & Loveland, 1960/62).
- ▶ Based on binary search through the valuations of a formula.
- ▶ Unit resolution and unit subsumption help pruning the search tree.
- ► The currently most efficient satisfiability algorithms are variants of the DPLL procedure.

(Although there is currently a shift toward viewing these procedures as performing more general reasoning: clause learning.)

Satisfiability test by the DPLL procedure

Davis-Putnam-Logemann-Loveland Procedure

def DPLL(*C*: clauses):

while there are clauses $(I_1 \vee \cdots \vee I_n) \in C$ and $\overline{I_1} \in C$:

 $C := (C \setminus \{l_1 \vee \cdots \vee l_n\}) \cup \{l_2 \vee \cdots \vee l_n\}$

while there are clauses $(l_1 \vee \cdots \vee l_n) \in C \ (n \geq 2)$ and $l_1 \in C$:

 $C := C \setminus \{I_1 \vee \cdots \vee I_n\}$

if $\bot \in C$:

return false

if *C* contains only unit clauses:

return true

Pick some variable a such that $a \notin C$ and $\neg a \notin C$.

return DPLL($C \cup \{a\}$) or DPLL($C \cup \{\neg a\}$)

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November 24th, 2006

25 / 74

Planning as satisfiability

Example: plan search with DPLL

Consider the problem from a previous slide, with two operators each inverting the value of one state variable, for plan length 3.

$$(b^{0} \wedge c^{0})$$

$$\wedge (((b^{0} \leftrightarrow b^{1}) \wedge (c^{0} \leftrightarrow \neg c^{1})) \vee ((b^{0} \leftrightarrow \neg b^{1}) \wedge (c^{0} \leftrightarrow c^{1})))$$

$$\wedge (((b^{1} \leftrightarrow b^{2}) \wedge (c^{1} \leftrightarrow \neg c^{2})) \vee ((b^{1} \leftrightarrow \neg b^{2}) \wedge (c^{1} \leftrightarrow c^{2})))$$

$$\wedge (((b^{2} \leftrightarrow b^{3}) \wedge (c^{2} \leftrightarrow \neg c^{3})) \vee ((b^{2} \leftrightarrow \neg b^{3}) \wedge (c^{2} \leftrightarrow c^{3})))$$

$$\wedge ((b^{3} \wedge \neg c^{3}) \vee (\neg b^{3} \wedge c^{3})).$$

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Al Planning

November 24th, 2006

26 / 74

SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

To obtain a short CNF formula, we introduce auxiliary variables o_1^i and o_2^i for $i \in \{1, 2, 3\}$ denoting operator applications.

$$\begin{array}{lll} b^{0} & & o_{1}^{1} \rightarrow ((b^{0} \leftrightarrow b^{1}) \land (c^{0} \leftrightarrow \neg c^{1})) \\ c^{0} & & o_{2}^{1} \rightarrow ((b^{0} \leftrightarrow \neg b^{1}) \land (c^{0} \leftrightarrow \neg c^{1})) \\ o_{1}^{1} \lor o_{2}^{1} & & o_{1}^{2} \rightarrow ((b^{1} \leftrightarrow b^{2}) \land (c^{1} \leftrightarrow \neg c^{2})) \\ o_{1}^{2} \lor o_{2}^{2} & & o_{2}^{2} \rightarrow ((b^{1} \leftrightarrow \neg b^{2}) \land (c^{1} \leftrightarrow c^{2})) \\ o_{1}^{3} \lor o_{2}^{3} & & o_{1}^{3} \rightarrow ((b^{2} \leftrightarrow b^{3}) \land (c^{2} \leftrightarrow \neg c^{3})) \\ (b^{3} \land \neg c^{3}) \lor (\neg b^{3} \land c^{3}) & & o_{2}^{3} \rightarrow ((b^{2} \leftrightarrow \neg b^{3}) \land (c^{2} \leftrightarrow c^{3})) \end{array}$$

SAT planning Examp

Planning as satisfiability

Example: plan search with DPLL

We rewrite the formulae for operator applications by using the equivalence $\phi \to (I \leftrightarrow I') \equiv ((\phi \land I \to I') \land (\phi \land \overline{I} \to \overline{I'})).$

$$\phi \to (I \leftrightarrow I') \equiv ((\phi \land I \to I') \land (\phi \land I \to I')).$$

$$b^{0} \qquad o^{1}_{1} \land b^{0} \to b^{1} \qquad o^{2}_{1} \land b^{1} \to b^{2} \qquad o^{3}_{1} \land b^{2} \to b^{3}$$

$$c^{0} \qquad o^{1}_{1} \land \neg b^{0} \to \neg b^{1} \qquad o^{2}_{1} \land \neg b^{1} \to \neg b^{2} \qquad o^{3}_{1} \land \neg b^{2} \to \neg b^{3}$$

$$o^{1}_{1} \lor o^{1}_{2} \qquad o^{1}_{1} \land c^{0} \to \neg c^{1} \qquad o^{2}_{1} \land c^{1} \to \neg c^{2} \qquad o^{3}_{1} \land c^{2} \to \neg c^{3}$$

$$o^{1}_{1} \lor o^{2}_{2} \qquad o^{1}_{1} \land \neg c^{0} \to c^{1} \qquad o^{2}_{1} \land \neg c^{1} \to c^{2} \qquad o^{3}_{1} \land \neg c^{2} \to c^{3}$$

$$o^{1}_{1} \lor o^{3}_{2} \qquad o^{1}_{2} \land b^{0} \to \neg b^{1} \qquad o^{2}_{2} \land b^{1} \to \neg b^{2} \qquad o^{3}_{2} \land b^{2} \to \neg b^{3}$$

$$o^{1}_{1} \lor o^{3}_{2} \qquad o^{1}_{2} \land \neg b^{0} \to b^{1} \qquad o^{2}_{2} \land \neg b^{1} \to b^{2} \qquad o^{3}_{2} \land \neg b^{2} \to b^{3}$$

$$b^{3} \lor c^{3} \qquad o^{1}_{2} \land \neg b^{0} \to c^{1} \qquad o^{2}_{2} \land \neg b^{1} \to c^{2} \qquad o^{3}_{2} \land c^{2} \to c^{3}$$

$$o^{1}_{2} \land \neg c^{0} \to c^{1} \qquad o^{2}_{2} \land \neg c^{1} \to c^{2} \qquad o^{3}_{2} \land \neg c^{2} \to c^{3}$$

$$o^{1}_{2} \land \neg c^{0} \to c^{1} \qquad o^{2}_{2} \land \neg c^{1} \to c^{2} \qquad o^{3}_{2} \land \neg c^{2} \to c^{3}$$

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Al Planning

28 / 74

Eliminate implications with $((I_1 \wedge I_2) \rightarrow I_3) \equiv (\overline{I_1} \vee \overline{I_2} \vee I_3)$.

b^0	$ eg o_1^1 ee eg b^0 ee b^1$	$\neg o_1^2 \lor \neg b^1 \lor b^2$	$\neg o_1^3 \lor \neg b^2 \lor b^3$
c^0	$ eg o_1^{ar{1}} ee b^0 ee eg b^1$	$\neg o_1^{\overline{2}} \lor b^1 \lor \neg b^2$	$\neg o_1^{\bar{3}} \lor b^2 \lor \neg b^3$
	$\neg o_1^1 \lor \neg c^0 \lor \neg c^1$	$\neg o_1^{\bar{2}} \lor \neg c^1 \lor \neg c^2$	$\neg o_1^{\bar{3}} \vee \neg c^2 \vee \neg c^3$
$o_1^1 \lor o_2^1$	$\neg o_1^1 \lor c^0 \lor c^1$	$\neg o_1^{\frac{1}{2}} \lor c^1 \lor c^2$	$\neg o_1^{\frac{1}{3}} \lor c^2 \lor c^3$
$o_1^2 \lor o_2^2$	$\neg o_2^{\frac{1}{1}} \lor \neg b^0 \lor \neg b^1$	$\neg o_2^{\frac{1}{2}} \lor \neg b^1 \lor \neg b^2$	$\neg o_2^{\frac{1}{3}} \vee \neg b^2 \vee \neg b^3$
$o_1^3 \lor o_2^3$	$ eg o_2^1 \lor b^0 \lor b^1$	$\neg o_2^2 \lor b^1 \lor b^2$	$\neg o_2^{\stackrel{?}{3}} \lor b^2 \lor b^3$
$b^3 \vee c^3$	$\neg o_2^1 \lor \neg c^0 \lor c^1$	$\neg o_2^2 \lor \neg c^1 \lor c^2$	$\neg o_2^3 \lor \neg c^2 \lor c^3$
$\neg c^3 \lor \neg b^3$	$\neg o_2^1 \lor c^0 \lor \neg c^1$	$\neg o_2^2 \lor c^1 \lor \neg c^2$	$\neg o_2^3 \lor c^2 \lor \neg c^3$

Valuation constructed by the DPLL procedure

	0	1	2	3
bi				
c^{i}				

$$\begin{array}{c|cccc} & 1 & 2 & 3 \\ \hline o_1^i & & & \\ o_2^i & & & & \end{array}$$

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Al Planning

November 24th, 2006

29 / 74

SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

Perform unit resolution with b^0 and c^0 .

Valuation constructed by the DPLL procedure

SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

Identify unit clauses.

Valuation constructed by the DPLL procedure

	0	1	2	3
bi	1			
c^i	1			

$$\begin{array}{c|cccc}
 & 1 & 2 & 3 \\
\hline
o_1^i & & & \\
o_2^i & & & & \\
\end{array}$$

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Al Planning

November 24th, 2006

30 / 74

SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

Perform unit subsumption with b^0 and c^0 .

Valuation constructed by the DPLL procedure

$$\begin{array}{c|ccccc}
 & 1 & 2 & 3 \\
\hline
o_1^i & & & & \\
o_2^i & & & & \\
\end{array}$$

No unhandled unit clauses exist. Must branch.

	$\neg o_1^1 \lor$	b^1	$ eg o_1^2 ee eg b^1 ee b^2$	$\neg o_1^3 \lor \neg b^2 \lor b^3$
	_		$\neg o_1^{ar{2}} \lor b^1 \lor \neg b^2$	$\neg o_1^{\overline{3}} \lor b^2 \lor \neg b^3$
o1 \/ o1	$\neg o_1^1 \lor$	$\neg c^1$	$\neg o_1^2 \lor \neg c^1 \lor \neg c^2$	$\neg o_1^3 \lor \neg c^2 \lor \neg c^3$
$o_1^1 \lor o_2^1$			$\neg o_1^{\bar{2}} \lor c^1 \lor c^2$	$\neg o_1^{\bar{3}} \lor c^2 \lor c^3$
$o_1^2 \lor o_2^2$	$\neg o_2^1 \lor$	$\lnot b^1$	$\neg o_2^{ar{2}} \lor \neg b^1 \lor \neg b^2$	$\neg o_2^{\bar{3}} \vee \neg b^2 \vee \neg b^3$
$o_1^3 \lor o_2^3$			$\neg o_2^{\overline{2}} \lor b^1 \lor b^2$	$\neg o_2^{\overline{3}} \lor b^2 \lor b^3$
$b^3 \vee c^3$	$\neg o_2^1 \lor$	c^1	$\neg o_2^{\overline{2}} \vee \neg c^1 \vee c^2$	$\neg o_2^{\overline{3}} \vee \neg c^2 \vee c^3$
$\neg c^3 \lor \neg b^3$	_		$\neg o_2^{\overline{2}} \lor c^1 \lor \neg c^2$	$\neg o_2^{\overline{3}} \lor c^2 \lor \neg c^3$

Valuation constructed by the DPLL procedure

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November 24th, 2006

November 24th, 2006

35 / 74

33 / 74

SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

Perform unit resolution and unit subsumption with b^1 .

Valuation constructed by the DPLL procedure

$$\begin{array}{c|cccc}
 & 1 & 2 & 3 \\
\hline
o_1^i & & & \\
o_2^i & & & & \\
\end{array}$$

SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

We branch on b^1 , first trying out $b^1 = 1$.

Valuation constructed by the DPLL procedure

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November 24th, 2006

34 / 74

Planning as satisfiability

Example: plan search with DPLL

Perform unit resolution and unit subsumption with $\neg o_2^1$.

Valuation constructed by the DPLL procedure

$$\begin{array}{c|cccc} & 1 & 2 & 3 \\ \hline o_1^i & & & \\ o_2^i & 0 & & \end{array}$$

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November 24th, 2006 36 / 74 Perform unit resolution and unit subsumption with o_1^1 .

Valuation constructed by the DPLL procedure

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November 24th, 2006

37 / 74

SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

No unhandled unit clauses exist. Must branch a second time.

Valuation constructed by the DPLL procedure

SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

Perform unit resolution and unit subsumption with $\neg c^1$.

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November 24th, 2006

38 / 74

SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

We branch on c^3 , first trying out $c^3 = 1$.

Valuation constructed by the DPLL procedure

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November 24th, 2006 40 / 74

Valuation constructed by the DPLL procedure

	0	1	2	3	
bi	1	1			
ci	1	0		1	

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November 24th, 2006

41 / 74

SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

No unhandled unit clauses exist. Must branch a third time.

Valuation constructed by the DPLL procedure

SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

Perform unit resolution and unit subsumption with $\neg b^3$.

Valuation constructed by the DPLL procedure

	0	1	2	3
bi	1	1		0
c^i	1	0		1

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November 24th, 2006

42 / 74

SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

We branch on o_2^2 , first trying out $o_2^2 = 1$.

Valuation constructed by the DPLL procedure

	. constructed by			 	 ρ. υ	ccac				
	0	1	2	3				1		
bi	1 1	1		0			o_1^i o_2^i	1		
ci	1	0		1			o_2^i	0	1	

 $o_1^2 \lor o_2^2$ $o_1^3 \lor o_2^3$

$$\neg o_1^2 \lor$$

$$b^2$$

$$\neg o_1^3 \lor \neg b^2$$

$$\neg o_1^3 \setminus$$

$$o_1^2 \lor o_2^2 o_1^3 \lor o_2^3$$

$$\neg o_2^3 \lor b^2$$

$$\neg c^1 \lor c^2$$

$$rac{2}{2} \lor \qquad \neg c^2 \qquad \neg o_2^3 \lor c$$

Valuation constructed by the DPLL procedure

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45 / 74

SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

Perform unit resolution and unit subsumption with with $\neg o_1^2$ and $\neg o_2^3$.

 $o_1^3 \vee o_2^3$

Valuation constructed by the DPLL procedure

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SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

Perform unit resolution and unit subsumption with with $\neg b^2$ and $\neg c^2$.

$$\neg o_1^2 \lor$$

$$\neg o_1^3 \lor$$

$$o_1^2 \lor c_2^2 \lor c_3^2 \lor c_3^$$

$$0_1$$
 \vee

$$\neg c^2 \qquad \neg o_2^3 \lor c^2$$

Valuation constructed by the DPLL procedure

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 $o_1^3 \vee o_2^3$

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SAT planning Example

Planning as satisfiability

Example: plan search with DPLL

Perform unit resolution and unit subsumption with o_1^3 .

 o_1^3

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Planning as satisfiability

Example: plan search with DPLL

The formula is satisfiable.

Valuation constructed by the DPLL procedure

	0	1	2	3
bi	1	1	0	0
c^i	1	0	0	1

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Parallel plans

November 24th, 2006

19 / 74

Parallel operator application

Definition attempt

Similar to relaxed planning graphs, we consider the possibility of executing several operators simultaneously.

Definition (?)

Let σ be a set of operators (a plan step) and s a state.

Define $app_{\sigma}(s)$ as the state that is obtained from s by making the literals in $\bigcup_{(c,e)\in\sigma}[e]_s$ true.

For $app_{\sigma}(s)$ to be defined, we require that $s \models c$ for all $o = \langle c, e \rangle \in \sigma$ and $\bigcup_{\langle c, e \rangle \in \sigma} [e]_s$ is consistent.

Unfortunately, the definition is flawed. Why?

Parallel plans Parallelisn

Planning as satisfiability with parallel plans

- ▶ Efficiency of satisfiability planning is strongly dependent on the plan length because satisfiability algorithms have runtime $O(2^n)$ where n is the formula size, and formula sizes are linearly proportional to plan length.
- Formula sizes can be reduced by allowing several operators in parallel.
- ▶ On many problems this leads to big speed-ups.
- ▶ However there are no guarantees of optimality.

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November 24th, 2006

50 / 74

Parallel plans

Parallel actions

Non-interleavable actions

Example

According to the definition attempt, the operators $\langle a, \neg b \rangle$ and $\langle b, \neg a \rangle$ may be executed simultaneously in state $\{a \mapsto 1, b \mapsto 1\}$, resulting in the state $\{a \mapsto 0, b \mapsto 0\}$.

But this state is not reachable by the two operators sequentially, because executing any one operator makes the precondition of the other false.

Parallel actions

Comparison to relaxed planning tasks

- ▶ When discussing relaxed planning tasks, we gave a conservative definition of parallel operator application:
 - It is not guaranteed that each serialization of a plan step σ (or even one of them) leads to the state $app_{\sigma}(s)$.
 - ▶ However, the resulting state of the serialized plan is guaranteed to be at least as good as $app_{\sigma}(s)$.
- ▶ Our general definition attempt was not conservative not even if we require positive normal form (as the example shows).
- ► A conservative definition extending the earlier one for relaxed planning tasks is possible, but complicated.
- ▶ Instead, we use a semantic definition based on serializations.

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November 24th, 2006

53 / 74

Parallel plans Parallelism

Parallel plans

Definition (parallel plan)

A parallel plan for a general planning task $\langle A, I, O, G \rangle$ is a sequence of plan steps $\sigma_1, \ldots, \sigma_n$ of operators in O with:

- $ightharpoonup s_0 := I$
- ► For i = 1, ..., n, step σ_i is applicable in s_{i-1} and $s_i := app_{\sigma_i}(s_{i-1})$.
- \triangleright $s_n \models G$

Remark: By ordering the operators within each single step arbitrarily, we obtain a (regular, non-parallel) plan.

Parallel actions

Serializations and semantics

Definition (serialization)

A serialization of plan step $\sigma = \{o_1, \dots, o_n\}$ is a sequence $o_{\pi(1)}, \dots, o_{\pi(n)}$ where π is a permutation of $\{1, \dots, n\}$.

Definition (semantics of plan steps)

A plan step $\sigma = \{o_1, \dots, o_n\}$ is applicable in a state s iff each serialization of σ is applicable in s and results in the same state s'.

The result of applying σ in s is then defined as $app_{\sigma}(s) = s'$.

Note: This definition does not extend the earlier definition for relaxed planning tasks.

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November 24th, 2006

54 / 74

Parallel plans Interfere

Parallel plans

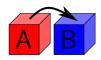
Sufficient conditions

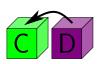
- ▶ Testing the condition for parallel applicability is difficult: even testing whether a set σ of operators is applicable in all serializations is co-NP-hard.
- ▶ Representing the executability test exactly as a propositional formula seems complicated: doing this test exactly would seem to cancel the benefits of parallel plans.
- ► Instead, all work on parallel plans so far has used sufficient but not necessary conditions that can be tested in polynomial-time.
- ▶ We use a simple syntactic test (which may be overly strict).

Interference

Example

Actions do not interfere









Actions can be taken simultaneously.

Actions interfere



If A is moved first, B will not be clear and cannot be moved.

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57 / 74

Parallel plans Interference

Interference

Definition (interference)

Operators o and o' interfere if o affects o' or o' affects o.

Example

 $\langle c,d \rangle$ and $\langle \neg d,e \rangle$ interfere.

 $\langle c, d \rangle$ and $\langle e, f \rangle$ do not interfere.

Interference

Auxiliary definition: affects

Definition (affect)

Let A be a set of state variables and $o = \langle c, e \rangle$ and $o' = \langle c', e' \rangle$ operators over A. Then o affects o' if there is $a \in A$ such that

- 1. a is an atomic effect in e and a occurs in a formula in e' or it occurs negatively in c', or
- 2. $\neg a$ is an atomic effect in e and a occurs in a formula in e' or it occurs positively in c'.

Example

 $\langle c, d \rangle$ affects $\langle \neg d, e \rangle$ and $\langle e, d \rhd f \rangle$.

 $\langle c, d \rangle$ does not affect $\langle d, e \rangle$ nor $\langle e, \neg c \rangle$.

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58 / 74

Parallel plans Interferen

Interference

Sufficient condition for applying a plan step

Lemma

Let s be a state and σ a set of operators so that each operator in σ is applicable in s, no two operators in σ interfere, and $\bigcup_{\langle c,e\rangle\in\sigma}[e]_s$ is consistent.

Then σ is applicable in s and results in the state that is obtained from s by making the literals in $\bigcup_{\langle c,e\rangle\in\sigma}[e]_s$ true.

Parallel operator application

We cannot simply use our current definition of $\tau_A(o)$ within a satisfiability encoding for parallel planning:

- ▶ The formula $\tau_A(o)$ completely defines the relationship between current state and successor state when o is applied.
- ▶ It leaves no room for applying another operator in sequence.

Basic idea for parallel plan encodings:

▶ Decouple the parts of the formula that describe what changes from parts that describe what does not change.

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The explanatory frame axioms

The formula states that the only explanation for a changing its value is the application of one operator:

$$igwedge_{a \in A} ((a \wedge \neg a')
ightarrow \mathit{EPC}_{\neg a}(e)) \ igwedge_{a \in A} ((\neg a \wedge a')
ightarrow \mathit{EPC}_a(e))$$

When several operators could be applied in parallel, we have to consider all operators as possible explanations:

$$\bigwedge_{a \in A} ((a \land \neg a') \to \bigvee_{i=1}^{n} (o_i \land EPC_{\neg a}(e_i)))$$
$$\bigwedge_{a \in A} ((\neg a \land a') \to \bigvee_{i=1}^{n} (o_i \land EPC_a(e_i)))$$

where $\sigma = \{o_1, \dots, o_n\}$ and e_1, \dots, e_n are the respective effects.

Parallel operator application

Representation in propositional logic

Consider the formula $\tau_A(o)$ representing operator $o = \langle c, e \rangle$:

This can be logically equivalently written as follows:

$$\land \bigwedge_{a \in A} (EPC_a(e) \rightarrow a')$$

$$\wedge \bigwedge_{a \in A} (EPC_{\neg a}(e) \rightarrow \neg a')$$

$$\wedge \bigwedge_{a \in A} ((a \wedge \neg EPC_{\neg a}(e)) \rightarrow a')$$

$$\wedge \bigwedge_{a \in A} ((\neg a \wedge \neg EPC_a(e)) \rightarrow \neg a')$$

This separates the changes from non-changes.

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November 24th, 2006

62 / 74

Parallel actions

Formula in propositional logic

Definition (plan step application in propositional logic)

Let σ be a plan step. Let $\tau_A(\sigma)$ denote the conjunction of formulae

$$(o \rightarrow c)$$

for all
$$o = \langle c, e \rangle \in \sigma$$
 and

$$\bigwedge_{a\in A}((a\wedge \neg a') \to \bigvee_{i=1}^n (o_i \wedge \mathit{EPC}_{\neg a}(e_i)))$$

$$\bigwedge_{a \in A} ((\neg a \land a') \to \bigvee_{i=1}^{n} (o_i \land EPC_a(e_i)))$$

where $\sigma = \{o_1, \dots, o_n\}$ and e_1, \dots, e_n are the respective effects.

63 / 74

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November 24th, 2006

Parallel plans Parallel actions

Correctness

The formula $\tau_A(\sigma)$ exactly matches the definition of $app_{\sigma}(s)$ provided that no actions in σ interfere.

Lemma

Let s and s' be states and σ a set of operators. Let $v:A\cup A'\cup \sigma \to \{0,1\}$ be a valuation such that

- 1. for all $o \in \sigma$, v(o) = 1,
- 2. for all $a \in A$, v(a) = s(a), and
- 3. for all $a \in A$, v(a') = s'(a).

If σ is applicable in s, then:

 $v \models \tau_A(\sigma)$ if and only if $s' = app_{\sigma}(s)$.

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65 / 74

Parallel plans Tr

Translation

Translation of parallel plans into propositional logic

Definition

Define $\mathcal{R}_2(A, A', O)$ as the conjunction of $\tau_A(O)$ and

$$\neg(o \land o')$$

for all $o \in O$ and $o' \in O$ such that o and o' interfere and $o \neq o'$.

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November 24th, 2006

66 / 74

Parallel plans Translation

Planning as satisfiability

Existence of plans

Definition (bounded step number plans in propositional logic)

Existence of parallel plans of length t is represented by the following formula over propositions $A^0 \cup \cdots \cup A^t \cup O^1 \cup \cdots \cup O^t$ where $A^i = \{ a^i \mid a \in A \}$ for all $i \in \{0, \ldots, t\}$ and $O^i = \{ o^i \mid o \in O \}$ for all $i \in \{1, \ldots, t\}$:

$$\Phi_t^{par} = \iota^0 \wedge \mathcal{R}_2(A^0, A^1, O^1) \wedge \cdots \wedge \mathcal{R}_2(A^{t-1}, A^t, O^t) \wedge G^t$$

where $\iota^0 = \bigwedge_{a \in A, I(a)=1} a^0 \wedge \bigwedge_{a \in A, I(a)=0} \neg a^0$ and G^t is G with propositions a replaced by a^t . Parallel plans Trans

Planning as satisfiability

Existence of plans

Theorem

Let Φ_t^{par} be the formula for $\langle A, I, O, G \rangle$ and plan length t. The formula Φ_t^{par} is satisfiable if and only if there is a sequence of states s_0, \ldots, s_t and plan steps $\sigma_1, \ldots, \sigma_t$, each consisting of non-interfering operators, such that $s_0 = I$, $s_i = app_{\sigma_i}(s_{i-1})$ for all $i \in \{1, \ldots, t\}$, and $s_t \models G$.

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Why is optimality lost?

Minimal step count does not imply minimal length

That a plan has the smallest number of steps does not guarantee that it has the smallest number of actions.

- \triangleright Satisfiability algorithms return any satisfying valuation of Φ_i^{par} , and this does not have to be the one with the smallest number of operators.
- ▶ There could be better solutions with more time points.
- ▶ Moreover, even optimality in the number of time steps is not guaranteed because the non-interference requirement is only sufficient, but not necessary, for parallel applicability.

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Parallel plans Example

Planning as satisfiability

Example

initial state



goal state



The DPLL procedure solves the problem quickly:

- ▶ Formulae for lengths 0 to 4 shown unsatisfiable without any search.
- ▶ Formula for plan length 5 is satisfiable: 3 nodes in the search tree.
- ▶ Plans have 5 to 7 operators, optimal plan has 5.

Parallel plans

Why is optimality lost?

Example

Example

```
Let I be a state such that s \models \neg c \land \neg d \land \neg e \land \neg f.
```

Let
$$G = c \wedge d \wedge e$$
, and let:

$$o_1 = \langle \top, c \rangle$$

$$o_2 = \langle \top, d \rangle$$

$$o_3 = \langle \top, e \rangle$$

$$o_4 = \langle \top, f \rangle$$

$$o_5 = \langle f, c \wedge d \wedge e \rangle$$

Now $\pi_1 = \{o_1, o_2, o_3\}$ is a plan with one step, and $\pi_2 = \{o_4\}; \{o_5\}$ is a plan with two steps.

Plan π_1 is optimal with respect to the number of steps, but not with respect to the number of actions, where π_2 is optimal. There is no plan which minimizes both measures.

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November 24th, 2006

70 / 74

Parallel plans

Planning as satisfiability

Example

```
v0.9 13/08/1997 19:32:47
```

30 propositions 100 operators

Length 0

Length 1

Length 2

Length 3

Length 4

Length 5

branch on -clear(b)[1] depth 0

branch on clear(a)[3] depth 1

Found a plan.

0 totable(e,d)

1 totable(c,b) fromtable(d,e)

2 totable(b,a) fromtable(c,d)

3 fromtable(b,c)

4 fromtable(a,b)

Branches 2 last 2 failed 0; time 0.0

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November 24th, 2006

```
Parallel plans
Planning as satisfiability
Example
       clear(a) 00
                      000 11
                               000111
                  0
       clear(b) 0
                      00 110
                               001110
       clear(c) 11 00
                     111100
                                111100
                                                    1. Infer state variable values
       clear(d) 011000
                      011000
                                011000
       clear(e) 110000
                      110000
                                110000
                                                       from initial values and
       on(a,b)000 1
                      000001
                                000001
        on(a,c)000000
                      000000
                                000000
                                                       goals.
       on(a,d) 000000
                      000000
                                000000
       on(a,e) 000000
                      000000
                                000000
                                                   2. Branch: \neg \operatorname{clear}(b)[1].
       on(b,a) 11 00
                      111 00
                                111000
       on(b,c) 0 0 11
                      000011
                                000011
       on(b.d) 000000
                      000000
                                000000
                                                   3. Branch: clear(a)[3].
       on(b,e) 000000
                      000000
                                000000
       on(c,a) 000000
                      000000
                                000000
                                                   4. Plan found:
       on(c,b) 1 000
                                110000
       on(c,d)000111
                      000111
                                000111
                                                                      01234
       on(c,e) 000000
                      000000
                                000000
                                                       fromtable(a,b) . . . . 1
       on(d,a) 000000
                      000000
                                000000
       on(d,b) 000000
                      000000
                                000000
                                                       fromtable(b,c)...1.
       on(d,c) 000000
                      000000
                                000000
                                                        fromtable(c,d) . . 1 . .
       on(d.e) 001111
                      001111
                               001111
       on(e,a) 000000
                      000000
                                000000
                                                        fromtable(d,e).1...
       on(e,b) 000000
                      000000
                                000000
       on(e,c)000000
                      000000
                                                          totable(b,a) . . 1 . .
                               000000
       on(e,d) 100000
                      100000
                                100000
                                                          totable(c,b).1...
      ontable(a) 111 0
                      111110
                                111110
     ontable(b) 00 00
                      000 00
                                000100
                                                          totable(e,d)1....
      ontable(c) 0 000
                      00 000
                               001000
     ontable(d) 110000
                     110000
                               110000
```

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November 24th, 2006

73 / 74

ontable(e) 011111

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011111

011111

Final remark

Final remarks

- ► All successful satisfiability-based planners use some kind of parallel encoding.
- ► Sequential encodings are not regarded as competitive with (admissible) heuristic search planners.
- ▶ In practice, the presented encoding is further refined to be able to rule out bad variable assignments early in the SAT solving procedure.
- ► The state-of-the-art SATPLAN06 (formerly SATPLAN04, formerly Blackbox) planner supports a number of different encodings.
- ► The ones that typically perform best are based on (non-relaxed) planning graphs.

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