### Principles of Knowledge Representation and Reasoning Belief Revision

Bernhard Nebel, Felix Lindner, and Thorsten Engesser  $_{\rm July \; 5, \; 2018}$ 

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## Introduction



- A dual approach to nonmonotonic reasoning is belief change.
- We start with some belief state *K*. When new information arrives, we change the belief state in order to accommodate the new information.
- In the general case, the changed belief state may not be a superset of the original belief state.
- Contrary to nonmonotonic reasoning, here we deal with temporal nonmonotonicity, i.e., the nonmonotonic evolution of a knowledge base or belief state over time.

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- We have a theory about the world, and the new information is meant to correct our theory ...
- Belief revision: change your belief state minimally in order to accommodate the new information

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- We have a theory about the world, and the new information is meant to correct our theory ...
- Belief revision: change your belief state minimally in order to accommodate the new information
  - We have a correct theory about the current state of the world, and the new information is meant to record a change in the world ...
- Belief update: incorporate the change by assuming that the world has changed minimally

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### Update and revision are different

Assume the new information is consistent with our old beliefs.

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In case of belief revision, we would like to add the new information monotonically to our old beliefs. Introduction

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- In case of belief revision, we would like to add the new information monotonically to our old beliefs.
- For belief update this is not necessarily the case.

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- In case of belief revision, we would like to add the new information monotonically to our old beliefs.
- For belief update this is not necessarily the case.
  - Assume we know that the door is open or the window is open.

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- In case of belief revision, we would like to add the new information monotonically to our old beliefs.
- For belief update this is not necessarily the case.
  - Assume we know that the door is open or the window is open.
  - Assume we learn that the world has changed and the door is now closed.

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- In case of belief revision, we would like to add the new information monotonically to our old beliefs.
- For belief update this is not necessarily the case.
  - Assume we know that the door is open or the window is open.
  - Assume we learn that the world has changed and the door is now closed.
  - In this case, we do not want to add this information monotonically to our theory, since we would be forced to conclude that the window is open.

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## **Belief Revision**



### **Belief** revision

▶ How to react to new information? *K* is the knowledge base, A some new information



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### Belief revision

How to react to new information? K is the knowledge base, A some new information



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### Belief revision

How to react to new information? K is the knowledge base, A some new information



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### Belief change operations

General assumption:

- A belief state is modeled by a deductively closed theory,
  - i.e., K = Cn(K) with Cn the consequence operator

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### Belief change operations

### General assumption:

- A belief state is modeled by a deductively closed theory,
  - i.e., K = Cn(K) with Cn the consequence operator
- L: logical language (propositional logic)

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### Belief change operations

### General assumption:

- A belief state is modeled by a deductively closed theory,
  - i.e., K = Cn(K) with Cn the consequence operator
- L: logical language (propositional logic)
- Th<sub>L</sub>: the set of all deductively closed theories (called belief sets) over L

### Belief change operations

Most belief change operations have the form:

$$\textit{op} \colon \mathsf{Th}_\mathcal{L} \times \mathcal{L} \to \mathsf{Th}_\mathcal{L}$$

- **Expansion:**  $K + \psi := Cn(K \cup \{\psi\})$
- Revision: K + φ

**Contraction:**  $K \doteq \phi$  (removal of some belief)

Nebel, Lindner, Engesser - KR&R

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### **Revision vs Contraction**

How are revision and contraction related to each other?

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### **Revision vs Contraction**

How are revision and contraction related to each other?

Given a contraction operator, one can define a revision operator:

Levi identity

$$K \div \varphi \equiv (K \div \neg \varphi) + \varphi$$

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How are revision and contraction related to each other?

Given a contraction operator, one can define a revision operator:

Levi identity

$$\mathsf{K} \dotplus \varphi \equiv (\mathsf{K} \dotplus \neg \varphi) + \varphi$$

Given a revision operator, one can define a contraction operator:



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Rationale of revision operator:

- Consistency: a revision has to produce a consistent set of beliefs
- Minimality of change: a revision has to change as few beliefs as possible
- Priority to the new information: the 'new' information is considered more important than the 'old' one

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Rationale of revision operator:

- Consistency: a revision has to produce a consistent set of beliefs
- Minimality of change: a revision has to change as few beliefs as possible
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To characterize rational revision operators, Alchourron, Gärdenfors, and Makinson identified conditions that should be satisfied by such an operator. Introduction

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### AGM Postulates: Constraining the space of revision operations

### AGM postulates:

- $(\div1) \ \textit{K} \div \phi \in \mathsf{Th}_{\mathcal{L}};$
- $(\div 2) \ \varphi \in K \div \varphi;$
- $(\div3) \ K \div \varphi \subseteq K + \varphi;$
- (+4) If  $\neg \phi \notin K$ , then  $K + \phi \subseteq K + \phi$ ;
- (+5)  $K \neq \varphi = Cn(\bot)$  only if  $\vdash \neg \varphi$ ;
- (+6) If  $\vdash \phi \leftrightarrow \psi$  then  $K \neq \phi = K \neq \psi$ ;

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### AGM Postulates: Constraining the space of revision operations

### AGM postulates:

- $(\div 1) \ \textit{K} \div \phi \in \mathsf{Th}_{\mathcal{L}};$
- (+2)  $\varphi \in K \neq \varphi;$
- $(\div3) \quad K \div \varphi \subseteq K + \varphi;$
- (+4) If  $\neg \phi \notin K$ , then  $K + \phi \subseteq K + \phi$ ;
- (+5)  $K \neq \varphi = Cn(\bot)$  only if  $\vdash \neg \varphi$ ;
- (+6) If  $\vdash \phi \leftrightarrow \psi$  then  $K \neq \phi = K \neq \psi$ ;

Supplementary postulates:

(+7) 
$$K \neq (\varphi \land \psi) \subseteq (K \neq \varphi) \neq \psi$$
;  
(+8) If  $\neg \psi \notin K \neq \varphi$ , then  $(K \neq \varphi) \neq \psi \subseteq K \neq (\varphi \land \varphi)$ 

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### Canonical revision operations?

AGM postulates do not constrain the operation with respect to varying belief sets! Introduction

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- AGM postulates do not constrain the operation with respect to varying belief sets!
- The postulates constrain the space to fully rational revision operations, but do not pick a single one.

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- AGM postulates do not constrain the operation with respect to varying belief sets!
- The postulates constrain the space to fully rational revision operations, but do not pick a single one.
- Revision operations are closed under intersection, so should we choose the minimum?

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Given a belief set *K* and some new information  $\varphi$ , we are specifically interested in the maximal subtheories consistent with  $\varphi$ :

### Definition

Let  $A \cup \{\varphi\}$  be a set of formulae. The  $\varphi$ -remainder set of A, denoted by  $A \perp \varphi$ , is the set of all (inclusion-) maximal subsets B of A that do not entail  $\varphi$ , i.e.:

- **2** There is no set B' such that  $B \subsetneq B' \subseteq A$  with  $\varphi \notin Cn(B')$

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# Canonical revision operations: Full-meet revision

### Full-meet contraction: $K \doteq \varphi = \bigcap (K \perp \varphi)$ (if $K \perp \varphi \neq \emptyset$ ; = K, else) Full-meet revision: $K \doteq \varphi = (K \doteq \neg \varphi) + \varphi$ .

Is full-meet contraction reasonable?

Full-meet contraction/revision

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# Canonical revision operations: Full-meet revision

### Full-meet contraction/revision

Full-meet contraction:  $K \doteq \varphi = \bigcap (K \perp \varphi)$  (if  $K \perp \varphi \neq \emptyset$ ; = K, else) Full-meet revision:  $K \doteq \varphi = (K \doteq \neg \varphi) + \varphi$ .

- Is full-meet contraction reasonable?
- Easy to show: all AGM postulates are satisfied.
- But: it is far too cautious.

Given  $\varphi$  is inconsistent with *K*, we get:  $K \neq \varphi = Cn(\varphi)$ 

- More reasonable: define contraction by only considering some of the remainders: ~> partial meet contraction
- Are there other revision schemes?

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### Preference information (what to keep and what to give up)

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Preference information (what to keep and what to give up)
... may be different for different *K*'s, but independent from the new information φ

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- Preference information (what to keep and what to give up)
- ... may be different for different K's, but independent from the new information  $\varphi$
- $\rightsquigarrow$  compose revision operation pointwise for each K

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- Preference information (what to keep and what to give up)
- ...may be different for different K's, but independent from the new information φ
- $\sim$  compose revision operation pointwise for each K
  - In general, a belief revision scheme (BRS) is a "recipe" for deriving a revision operation – restricted to a particular set K – from
    - the belief set and

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- Preference information (what to keep and what to give up)
- ...may be different for different K's, but independent from the new information φ
- $\sim$  compose revision operation pointwise for each K
  - In general, a belief revision scheme (BRS) is a "recipe" for deriving a revision operation – restricted to a particular set K – from
    - the belief set and
    - preference information over this belief set

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### Examples

Partial meet revision (AGM): Preference information is given by a selection function  $\gamma$  over the set of maximal subtheories consistent with the new information:

$$K \div \varphi \stackrel{\text{def}}{=} \left( \bigcap \gamma(K \bot \neg \varphi) \right) + \varphi.$$

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### Examples

Partial meet revision (AGM): Preference information is given by a selection function  $\gamma$  over the set of maximal subtheories consistent with the new information:

$$K \neq \varphi \stackrel{\text{def}}{=} \left( \bigcap \gamma(K \perp \neg \varphi) \right) + \varphi$$

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Cut revision (GM): Preference information is given by a complete preorder  $\leq$  over all  $\psi \in K$ :

$$K \div \varphi \stackrel{\text{def}}{=} \{ \psi \in K \mid \neg \varphi \prec \psi \} + \varphi.$$

Provided  $\leq$  satisfies a number of axioms (epistemic entrenchment), cut revisions correspond to fully rational revision operations.

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# Revision - Viewed computationally

- We don't want to deal with deductively closed theories ...
- Consider belief bases (finite sets of propositions) to represent belief sets.

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# Revision - Viewed computationally

- We don't want to deal with deductively closed theories ...
- Consider belief bases (finite sets of propositions) to represent belief sets.
- We don't want to specify an arbitrary amount of preference information ...
- A theory K over the propositional logic L with n propositional atoms can have as much as
  - $2^{2^n}$  different propositions,
  - 2<sup>n</sup> different models.
- Consider ways of specifying preference information in a concise way, i.e., polynomial in the size of the belief base.

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- Start with a finite belief base A and preference information over the elements of A ...
- We want to generate a revision operation (restricted to Cn(A))

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- Start with a finite belief base A and preference information over the elements of A ...
- We want to generate a revision operation (restricted to Cn(A))
- Assume a partitioning of *A* into *n* priority classes  $A_1, ..., A_n$  such that the elements of  $A_i$  are more important or relevant than those of  $A_j$  for j < i

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- Start with a finite belief base A and preference information over the elements of A ...
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- Equivalently, consider a complete preorder ⊴ over A
  comparing priorities (epistemic relevance)

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- Equivalently, consider a complete preorder ≤ over *A* comparing priorities (epistemic relevance)
- Define a (base) revision scheme that keeps as many of the more relevant propositions as possible

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- Start with a finite belief base A and preference information over the elements of A ...
- We want to generate a revision operation (restricted to Cn(A))
- Assume a partitioning of A into n priority classes A<sub>1</sub>,...,A<sub>n</sub> such that the elements of A<sub>i</sub> are more important or relevant than those of A<sub>j</sub> for j < i</p>
- Equivalently, consider a complete preorder ≤ over *A* comparing priorities (epistemic relevance)
- Define a (base) revision scheme that keeps as many of the more relevant propositions as possible
- $\Rightarrow\,$  Base revision schemes generate revision operations in the same way as ordinary schemes do.

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Let  $(A \downarrow \varphi)$  be the maximal subsets of *A* that are consistent with  $\neg \varphi$  and maximize relevant propositions.

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Let  $(A \downarrow \varphi)$  be the maximal subsets of *A* that are consistent with  $\neg \varphi$  and maximize relevant propositions.

### Definition

Let  $A \cup \{\varphi\}$  be a set of formulae. The prioritized base-removal

 $A \Downarrow \varphi$  is the set of all subsets *B* of *A* such that:

- **2** For each  $C \subseteq A$  and  $1 \leq j \leq n$ , if  $B \cap \bigcup_{i \geq j} A_i \subsetneq C \cap \bigcup_{i \geq j} A_i$ , then  $\varphi \in Cn(C \cap \bigcup_{i \geq j} A_i)$ .

Note that the 2nd condition is equivalent to: For each  $1 \le j \le n$  and each  $C \subseteq \bigcup_{i \ge j} A_i$ , if  $B \cap \bigcup_{i \ge j} A_i \subsetneq C$ , then  $\varphi \in Cn(C)$ . Introduction

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### Prioritized Meet-Base Revision (PMBR):

$$A \oplus \varphi \stackrel{\text{def}}{=} \left(\bigcap_{B \in (A \Downarrow \neg \varphi)} \operatorname{Cn}(B)\right) + \varphi.$$

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Prioritized Meet-Base Revision (PMBR):

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Define a revision operation  $\div$  on Cn(*A*) (that depends on *A* and the priority information) by

$$Cn(A) \neq \varphi \stackrel{\text{def}}{=} A \oplus \varphi.$$

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Generates partial meet revision, but does not satisfy (+8) in general.

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- Generates partial meet revision, but does not satisfy (+8) in general.
- Deciding whether  $A \oplus \varphi \vdash \psi$  is  $\Pi_2^{\rho}$ -complete, even for one priority class.

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- Generates partial meet revision, but does not satisfy (+8) in general.
- Deciding whether  $A \oplus \varphi \vdash \psi$  is  $\Pi_2^{\rho}$ -complete, even for one priority class.
- A revised base can be represented by

$$A \oplus \varphi = \mathsf{Cn}\Big(\Big(\bigvee (A \Downarrow \neg \varphi)\Big) \land \varphi\Big).$$

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$$A \oplus \varphi = \operatorname{Cn}\Big(\Big(\bigvee (A \Downarrow \neg \varphi)\Big) \land \varphi\Big).$$

A revised base can become exponentially large

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- Generates partial meet revision, but does not satisfy (+8) in general.
- Deciding whether  $A \oplus \varphi \vdash \psi$  is  $\Pi_2^p$ -complete, even for one priority class.
- A revised base can be represented by

$$A \oplus \varphi = \mathsf{Cn}\Big(\Big(\bigvee (A \Downarrow \neg \varphi)\Big) \land \varphi\Big).$$

A revised base can become exponentially large:

$$A = \{p_1, \ldots, p_m, q_1, \ldots, q_m\}, \quad \varphi = \bigwedge_{i=1}^m (p_i \leftrightarrow \neg q_i)$$

 $(A \Downarrow \phi)$  has size exponential in |A|.

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- Generates partial meet revision, but does not satisfy (+8) in general.
- Deciding whether  $A \oplus \varphi \vdash \psi$  is  $\Pi_2^p$ -complete, even for one priority class.
- A revised base can be represented by

$$A \oplus \varphi = \operatorname{Cn}\Big(\Big(\bigvee (A \Downarrow \neg \varphi)\Big) \land \varphi\Big).$$

A revised base can become exponentially large:

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 $(A \Downarrow \phi)$  has size exponential in |A|.

Worse, in some cases there exists no concise representation of the revised base (provided the polynomial hierarchy does not collapse [Cadoli et al 94]).

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Belief Revision and Nonmonotonic Reasoning seem to be of different nature, but there exists a tight connection

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Belief Revision and Nonmonotonic Reasoning seem to be of different nature, but there exists a tight connection:

Given *K* and a revision operation +, a nonmonotonic consequence relation can be defined as follows: φ |~ ψ iff ψ ∈ K + φ. Introduction

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Belief Revision and Nonmonotonic Reasoning seem to be of different nature, but there exists a tight connection:

Given *K* and a revision operation +, a nonmonotonic consequence relation can be defined as follows: φ ├~ ψ iff ψ ∈ K + φ.

In this case,

 the rationality postulates correspond to principles of NMR (such as cautious monotonicity, etc.); Introduction

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Belief Revision and Nonmonotonic Reasoning seem to be of different nature, but there exists a tight connection:

Given *K* and a revision operation +, a nonmonotonic consequence relation can be defined as follows: φ ⊢ ψ iff ψ ∈ K + φ.

In this case,

- the rationality postulates correspond to principles of NMR (such as cautious monotonicity, etc.);
- in the case of prerequisite-free, normal defaults *D*, the cautions conclusions from (W, D) are simply  $D \oplus W$  with one priority level;

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Belief Revision and Nonmonotonic Reasoning seem to be of different nature, but there exists a tight connection:

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In this case,

- the rationality postulates correspond to principles of NMR (such as cautious monotonicity, etc.);
- in the case of prerequisite-free, normal defaults *D*, the cautions conclusions from (W, D) are simply  $D \oplus W$  with one priority level;
- a similar relationship holds between Brewka's level default theories and PMBRs.

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$(12)  0 \in K \neq 0$	Belief Revision
$(+2)  \psi \in \mathcal{N} + \psi,$	Change Operators AGM Postulates
Reflexivity	Base Revision Priorities
(ig) $\mathbf{K} \neq 0 \subset \mathbf{K} \neq 0$ .	Revision vs. NMR
■ Supraclassicality	Literature



(:0) a $C V$ : a:	Belief Revision
$(+2)  \varphi \in \kappa + \varphi;$	Change Ope
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$(+3)  \mathbf{K} + \boldsymbol{\varphi} \subseteq \mathbf{K} + \boldsymbol{\varphi};$	Conclusions
Supraclassicality	Literature
( $\div$ 6) If $\vdash \phi \leftrightarrow \psi$ then $K \neq \phi = K \neq \psi$ ;	

Left Logical Equivalence 



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(+2) $\varphi \in K \neq \varphi$ ; ■ Reflexivity
(+3) $K \neq \varphi \subseteq K \neq \varphi$ ; Supraclassicality
(+6) If $\vdash \varphi \leftrightarrow \psi$ then $K \neq \varphi = K \neq \psi$ ; Left Logical Equivalence
(+8) If $\neg \psi \not\in \mathcal{K} \neq \varphi$ ,

- then ( $K \dotplus arphi$ ) +  $\psi \subseteq K \dotplus (arphi \land \psi)$ ;
- Rational Monotonicity

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NMR can be thought of as the other side of the same coin.

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- NMR can be thought of as the other side of the same coin.
- NMR (at least for default logic) is as hard as belief revision.

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- NMR can be thought of as the other side of the same coin.
- NMR (at least for default logic) is as hard as belief revision.
- Representing the conclusions from a propositional default theory using classical propositional logic cannot be done in polynomial space, provided the polynomial hierarchy does not collapse.

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- NMR can be thought of as the other side of the same coin.
- NMR (at least for default logic) is as hard as belief revision.
- Representing the conclusions from a propositional default theory using classical propositional logic cannot be done in polynomial space, provided the polynomial hierarchy does not collapse.
- In other words, nonmonotonic logics can be thought of representing (some) information in a denser way than classical logic, and with that come higher computational costs.

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- While NMR and Belief Revision seem to be the two sides of the same coin, there are notable pragmatic differences:
  - Belief revision seems to require that we can easily represent the changed belief base, while for NMR it makes sense to use dense representations.
  - A similar argument could be made for the computational complexity.

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- NMR and Belief Revision can be thought of as qualitative ways of dealing with uncertainty in a purely logical setting.

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- While NMR and Belief Revision seem to be the two sides of the same coin, there are notable pragmatic differences:
  - Belief revision seems to require that we can easily represent the changed belief base, while for NMR it makes sense to use dense representations.
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- There exists a strong correspondence between NMR and Belief Revision.

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- NMR and Belief Revision can be thought of as qualitative ways of dealing with uncertainty in a purely logical setting.
- There exists a strong correspondence between NMR and Belief Revision.
- Both are computationally expensive and representational problematic.
- There are cases, though, that are tractable and practical.

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