### Principles of Knowledge Representation and Reasoning Semantic Networks and Description Logics I: Simple, Strict Inheritance Networks

Bernhard Nebel, Felix Lindner, and Thorsten Engesser November 16, 2015 UNI FREIBURG

#### Introduction

Motivation

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature



# Introduction

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# Terminological reasoning

- Often, we need to use semantic (conceptual, terminological) knowledge ...
- For example, consider a knowledge base that classifies things into different categories, which in turn may be organized in some hierarchical way

Task: Query objects that belong to a specific category or one of its super categories ...

- Even more involved: Answer queries of users of the knowledge base who are not aware of the internal categories of the knowledge base
- Topic of this section: a naïve (maybe too naïve) approach to reasoning with terminological knowledge, namely inheritance networks

#### Introduction Motivation

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

### Intuition

### Definition

A strict inheritance network is defined by a set of nodes (representing concepts, properties) and a set of directed edges (representing generalization, the is-a-relation).



#### Introduction Motivation

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



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Reasoning problem: Is some concept C a specialization (a subconcept) of another concept C'?

... and how can we solve this problem efficiently?

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#### Introduction Motivation

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

#### Introduction

#### A simple network formalism

#### Semantics

A polynomia inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature



# A simple network formalism

Networks as formula sets

A strict inheritance network can be seen as a set  $\Theta$  of formulae of the form

 $C_1$  isa  $C_2$ .

#### Introduction

#### A simple network formalism

#### Semantics

A polynomial inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



### Networks as formula sets

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### Example

Student **isa** Person Student **isa** studious Professor **isa** Person Professor **isa** knowledgeable Grad-Student **isa** Student Undergrad-Student **isa** Student

#### Introduction

#### A simple network formalism

#### Semantics

A polynomial inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

### Networks as formula sets

A strict inheritance network can be seen as a set  $\Theta$  of formulae of the form

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### Example

Student **isa** Person Student **isa** studious Professor **isa** Person Professor **isa** knowledgeable Grad-Student **isa** Student Undergrad-Student **isa** Student

### Reasoning problem (inheritance problem): $\Theta \models C_1$ isa $C_2$ ?

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#### Introduction

#### A simple network formalism

#### Semantics

A polynomial inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

### We assign the following logical semantics to isa-formulae:

$$C_1$$
 isa  $C_2 \mapsto \forall x. C_1(x) \rightarrow C_2(x)$ 

#### Introduction

A simple network formalism

#### Semantics

A polynomial inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



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…i.e., we interpret each directed edge or isa-formula as a universally quantified implication.

#### Introduction

A simple network formalism

#### Semantics

A polynomial inheritance algorithm

Soundness & Completeness

#### Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



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- …i.e., we interpret each directed edge or isa-formula as a universally quantified implication.
- This is intuitively plausible: each instance of a sub-concept is an instance of the super-concept.

#### Introduction

A simple network formalism

#### Semantics

A polynomial inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



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- This is intuitively plausible: each instance of a sub-concept is an instance of the super-concept.
- Now we can reduce the inheritance problem as follows: Let  $\pi(\Theta)$  be the translation. Then we want to know:

$$\pi(\Theta) \models \forall x. C_1(x) \to C_2(x)?$$

#### Introduction

A simple network formalism

#### Semantics

A polynomial inheritance algorithm

Soundness & Completeness

#### Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

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- Now we can reduce the inheritance problem as follows: Let  $\pi(\Theta)$  be the translation. Then we want to know:

 $\pi(\Theta) \models \forall x. C_1(x) \rightarrow C_2(x)?$ 

How hard is this problem?

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#### Introduction

A simple network formalism

#### Semantics

A polynomial inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



Let  $G_{\Theta}$  be the graph corresponding to  $\Theta$ . Then we have:

 $\pi(\Theta) \models orall x. C_1(x) 
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there exists a path in  $G_{\Theta}$  from  $C_1$  to  $C_2$ .

Introduction

A simple network formalism

Semantics

A polynomial inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature



Nebel, Lindner, Engesser - KR&R

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....which has to be proven (next slides).

Introduction

A simple network formalism

Semantics

A polynomial inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



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- Thus, we have reduced reasoning in strict inheritance networks to graph reachability problem, which is solvable in polynomial time.

Introduction

A simple network formalism

Semantics

A polynomial inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



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- ....which has to be proven (next slides).
- Thus, we have reduced reasoning in strict inheritance networks to graph reachability problem, which is solvable in polynomial time.
- Note: Reasoning is not simple because we used a graph to represent the knowledge (there are actually very difficult graph problems),

Introduction

A simple network formalism

Semantics

A polynomial inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



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- ....which has to be proven (next slides).
- Thus, we have reduced reasoning in strict inheritance networks to graph reachability problem, which is solvable in polynomial time.
- Note: Reasoning is not simple because we used a graph to represent the knowledge (there are actually very difficult graph problems),
- ... reasoning is simple because the expressiveness compared with first-order logic is very restricted.

A simple

network formalism

Semantics

A polynomial inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature



Nebel, Lindner, Engesser - KR&R

### Soundness

### Theorem (Soundness of inheritance reasoning)

If there exists a path from  $C_1$  to  $C_2$  in  $G_{\Theta}$ , then

$$\pi(\Theta) \models \forall x. C_1(x) \to C_2(x).$$

#### Introduction

A simple network formalism

Semantics

A polynomia inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



### Soundness

### Theorem (Soundness of inheritance reasoning)

If there exists a path from  $C_1$  to  $C_2$  in  $G_{\Theta}$ , then

$$\pi(\Theta) \models \forall x. C_1(x) \rightarrow C_2(x).$$

### Proof.

If there is a path, then there exists a chain of implications of the form  $\forall x. D_j(x) \rightarrow D_{j+1}(x)$  with  $D_0 = C_1$  and  $D_n = C_2$ . Since logical implication is transitive, the claim follows trivially.

#### Introduction

A simple network formalism

Semantics

A polynomia inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature



### Theorem (Completeness of inheritance reasoning)

If  $\pi(\Theta) \models \forall x. C_1(x) \rightarrow C_2(x)$ , then there exists a path from  $C_1$  to  $C_2$  in  $G_{\Theta}$ .

#### Introduction

A simple network formalism

Semantics

A polynomia inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



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#### Proof.

We prove the contraposition.

#### Introduction

A simple network formalism

Semantics

A polynomia inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

BURG

5

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### Proof.

We prove the contraposition.

Assume that there exists no such path from  $C_1$  to  $C_2$  in  $G_{\Theta}$ . We show that  $\pi(\Theta) \not\models \forall x. C_1(x) \rightarrow C_2(x)$ .

#### Introduction

A simple network formalism

Semantics

A polynomia inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

BURG

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For this define an interpretation on a universe with exactly one element d such that d is in the interpretation of  $C_1$  and in the interpretation of all concepts reachable from  $C_1$  by following directed edges (and not in the interpretation of any other concept).

#### Introduction

A simple network formalism

Semantics

A polynomia inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

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This interpretation satisfies all formulae in  $\pi(\Theta)$ .

#### Introduction

A simple network formalism

Semantics

A polynomia inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

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This interpretation satisfies all formulae in  $\pi(\Theta)$ . However, it does not satisfy  $\forall x. C_1(x) \rightarrow C_2(x)$ .

#### Introduction

A simple network formalism

Semantics

A polynomia inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

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This interpretation satisfies all formulae in  $\pi(\Theta)$ .

However, it does not satisfy  $\forall x. C_1(x) \rightarrow C_2(x)$ .

For this reason, we have  $\pi(\Theta) \not\models \forall x. C_1(x) \rightarrow C_2(x)$ .

#### Introduction

A simple network formalism

Semantics

A polynomia inheritance algorithm

Soundness & Completeness

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature



# Semantic Networks with Instances

## An extension: instances





# An extension: instances



### John **inst-of** Undergrad-Student Bernhard **inst-of** Professor

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Logical semantics:

*i* inst-of  $C \mapsto C(i)$ .

Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature



November 16, 2015

Logical semantics:

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i inst-of C \mapsto C(i).
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■ Problem 1: Is this extension of the language conservative? That is, can we still decide  $\Theta \models C_1$  isa  $C_2$  without taking formulae of the form *i* inst-of *C* into account? Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



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Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



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- yes (but has to be shown)
- Problem 2: Is it true:  $\Theta \models i$  **inst-of** *C* if and only if there is a path from the node *i* to the node *C* in  $G_{\Theta}$ ?

Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



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Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



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- yes (but has to be shown)
- Problem 2: Is it true:  $\Theta \models i$  **inst-of** *C* if and only if there is a path from the node *i* to the node *C* in  $G_{\Theta}$ ?
- yes (has to be shown)
- This means, we can also use efficient graph algorithms for this extension.

Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

#### Introduction

A simple network formalism

Semantic Networks with Instances

#### Semantic Networks with Negation

Satisfiability of a Semantic Network Reasoning

Semantic Networks with Negation and Conjunction

Literature



# Semantic Networks with Negation

We now allow for negated concepts, i.e, concept terms of the form

### **not***C*,

where C is a concept name (an atomic concept).

Introduction

A simple network formalism

Semantic Networks with Instances

#### Semantic Networks with Negation

Satisfiability of a Semantic Network Reasoning

Semantic Networks with Negation and Conjunction



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Example

Undergrad-Student isa not Grad-Student

Introduction

A simple network formalism

Semantic Networks with Instances

#### Semantic Networks with Negation

Satisfiability of a Semantic Network Reasoning

Semantic Networks with Negation and Conjunction



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$$C \mapsto \neg C(x)$$

Introduction

A simple network formalism

Semantic Networks with Instances

#### Semantic Networks with Negation

Satisfiability of a Semantic Network Reasoning

Semantic Networks with Negation and Conjunction



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where C is a concept name (an atomic concept).

### Example

Undergrad-Student isa not Grad-Student

Logical semantics:

**not** 
$$C \mapsto \neg C(x)$$

### Example

$$C_1$$
 isa not  $C_2 \mapsto \forall x. C_1(x) \rightarrow \neg C_2(x).$ 

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#### Introduction

A simple network formalism

Semantic Networks with Instances

#### Semantic Networks with Negation

Satisfiability of a Semantic Network Reasoning

Semantic Networks with Negation and Conjunction

Literature

BURG

### Complementing an inheritance network

Define  $\overline{\alpha}$ :

$$\overline{\alpha} := \begin{cases} \operatorname{not} C & \text{if } \alpha = C \\ C & \text{if } \alpha = \operatorname{not} C \end{cases}$$

Construct  $G_{\Theta}$  from  $\Theta$  as follows:

Introduction

A simple network formalism

Semantic Networks with Instances

#### Semantic Networks with Negation

Satisfiability of a Semantic Network Reasoning

Semantic Networks with Negation and Conjunction



## Complementing an inheritance network

Define  $\overline{\alpha}$ :

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For each concept name C, we will have two nodes: C and not C. Introduction

A simple network formalism

Semantic Networks with Instances

#### Semantic Networks with Negation

Satisfiability of a Semantic Network Reasoning

Semantic Networks with Negation and Conjunction



## Complementing an inheritance network

Define  $\overline{\alpha}$ :

$$\overline{\alpha} := \begin{cases} \operatorname{not} C & \text{if } \alpha = C \\ C & \text{if } \alpha = \operatorname{not} C \end{cases}$$

Construct  $G_{\Theta}$  from  $\Theta$  as follows:

- For each concept name *C*, we will have two nodes: *C* and **not** *C*.
- For each formula α<sub>1</sub> isa α<sub>2</sub>, we introduce the following two edges:

$$lpha_1 
ightarrow lpha_2 \ \overline{lpha_2} 
ightarrow \overline{lpha_1}$$

Introduction

A simple network formalism

Semantic Networks with Instances

#### Semantic Networks with Negation

Satisfiability of a Semantic Network Reasoning

Semantic Networks with Negation and Conjunction

Literature

Example





Introduction

A simple network formalism

Semantic Networks with Instances

#### Semantic Networks with Negation

Satisfiability of a Semantic Network Reasoning

Semantic Networks with Negation and Conjunction



Strict inheritance networks without negation are always satisfiable, i.e., they have a non-empty model (which one?) Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network

Reasoning

Semantic Networks with Negation and Conjunction



- Strict inheritance networks without negation are always satisfiable, i.e., they have a non-empty model (which one?)
- This is no longer true when we allow for negated concepts. Consider:

P isa not P, not P isa P

means

$$\forall x. P(x) \rightarrow \neg P(x), \ \forall x. \neg P(x) \rightarrow P(x),$$

which is equivalent to

$$\forall x. \neg P(x), \forall x. P(x).$$

Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network

Reasoning

Semantic Networks with Negation and Conjunction



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• ... i.e., this set of formulae is not satisfiable, symb.  $\Theta \models \bot$ .

Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network

Reasoning

Semantic Networks with Negation and Conjunction

Literature

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which is equivalent to

$$\forall x. \neg P(x), \forall x. P(x).$$

- ... i.e., this set of formulae is not satisfiable, symb.  $\Theta \models \bot$ .
- This is important to find out since in this case everything follows.

November 16, 2015

#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network

Reasoning

Semantic Networks with Negation and Conjunction

Literature

BURG

# Deciding satisfiability

### Theorem (Satisfiability of strict networks with negation)

 $\Theta \models \bot$  if and only if the graph  $G_{\Theta}$  contains a cycle from  $\alpha$  to  $\overline{\alpha}$  and back to  $\alpha$ .

Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network

Reasoning

Semantic Networks with Negation and Conjunction



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### Proof.

 $\Leftarrow: \text{Adding } \overline{\alpha_2} \to \overline{\alpha_1} \text{ corresponds to adding}$ 

$$\forall x. \neg \alpha_2(x) \rightarrow \neg \alpha_1(x)$$

when  $\forall x. \alpha_1(x) \rightarrow \alpha_2(x)$  is given. This is logically correct (contraposition).

#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network Reasoning

Semantic Networks with Negation and Conjunction

Literature

# Deciding satisfiability

### Theorem (Satisfiability of strict networks with negation)

 $\Theta \models \bot$  if and only if the graph  $G_{\Theta}$  contains a cycle from  $\alpha$  to  $\overline{\alpha}$  and back to  $\alpha$ .

### Proof.

 $\Leftarrow: \text{Adding } \overline{\alpha_2} \to \overline{\alpha_1} \text{ corresponds to adding}$ 

$$\forall x. \neg \alpha_2(x) \rightarrow \neg \alpha_1(x)$$

when  $\forall x. \alpha_1(x) \rightarrow \alpha_2(x)$  is given. This is logically correct (contraposition). Since all directed paths in  $G_{\Theta}$  correspond to universally quantified implications that can be deduced from  $\pi(\Theta)$ , a cycle as in the theorem implies:

$$\forall x. \alpha(x) \rightarrow \overline{\alpha}(x), \ \forall x. \overline{\alpha}(x) \rightarrow \alpha(x)$$

### This, however, is unsatisfiable.

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#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network Reasoning

Semantic Networks with Negation and Conjunction

Literature

### Proof (cont'd).

 $\Rightarrow$ : We have to show that unsatisfiability of  $\Theta$  implies the existence of a cycle from some node  $\alpha$  to  $\overline{\alpha}$  and back to  $\alpha$  in  $G_{\Theta}$ .

Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network

Reasoning

Semantic Networks with Negation and Conjunction

Literature



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#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network

Reasoning

Semantic Networks with Negation and Conjunction

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We prove the contraposition, i.e. that the absence of any such cycle implies satisfiability.

We start with the universe  $D = \{d\}$  and then construct step-wise an interpretation for all concepts.

#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network

Reasoning

Semantic Networks with Negation and Conjunction

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Convention: Whenever we assign  $\alpha^{\mathcal{I}} = \{d\}$ , then we assign  $\overline{\alpha}^{\mathcal{I}} = \emptyset$ .

#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network

Reasoning

Semantic Networks with Negation and Conjunction

Literature

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- **1** Choose an  $\alpha$  without an interpretation that has no path to  $\overline{\alpha}$ .
- **2** Assign  $\alpha^{\mathcal{I}} = \{d\}$  and continue to do that for all concepts  $\beta$  reachable from  $\alpha$  that do not have an interpretation.
- 3 Continue until all concepts have an interpretation.

#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network Reasoning

Semantic Networks with Negation and Conjunction

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If there is still a concept without an interpretation, we always can find one satisfying the condition in step 1 since there is no cycle.

#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network Reasoning

Semantic Networks with Negation and Conjunction

Literature

BURG

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- 3 Continue until all concepts have an interpretation.

If there is still a concept without an interpretation, we always can find one satisfying the condition in step 1 since there is no cycle. In step 2, no concept reachable from  $\alpha$  can have an empty interpretation, so the assignment does not violate any subconcept

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#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network

Semantic Networks with Negation and Conjunction

Literature

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# isa-Reasoning

### Theorem (Inheritance in strict networks with negation)

 $\Theta \models \alpha_1$  isa  $\alpha_2$  if and only if one of the following conditions is satisfied:

- $\bullet \models \bot.$
- **2** There is a path from  $\alpha_1$  to  $\overline{\alpha_1}$  in  $G_{\Theta}$ .
- **3** There is a path from  $\overline{\alpha_2}$  to  $\alpha_2$  in  $G_{\Theta}$ .
- 4 There is a path from  $\alpha_1$  to  $\alpha_2$  in  $G_{\Theta}$ .

### Proof (sketch).

Soundness is obvious.

#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network

Reasoning

Semantic Networks with Negation and Conjunction

Literature



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Completeness can be shown using the same argument that we used for completeness of the Satisfiability Theorem and the fact that we can start the construction process with  $\alpha_1^{\mathcal{I}} = \{d\}$  and  $\overline{\alpha_2}^{\mathcal{I}} = \{d\}$ .

#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network

Reasoning

Semantic Networks with Negation and Conjunction

Literature

URG

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#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Satisfiability of a Semantic Network

Reasoning

Semantic Networks with Negation and Conjunction

Literature

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#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature



# Semantic Networks with Negation and Conjunction

# A final extension: conjunctions and negation

A concept description is a concept name (*C*), a negation of a concept name (**not** *C*) or the conjunction of concept descriptions ( $\alpha_1$  and  $\alpha_2$ ).

Example

(Student and not Grad-Student) isa Undergrad-Student (Woman and Parent) isa Mother

Logical semantics is obvious!

Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction



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Example

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Logical semantics is obvious!

Is it still possible to decide inheritance in polynomial time?

Introductio

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

BURG

November 16, 2015

Nebel, Lindner, Engesser – KR&R

# Computational complexity

Theorem (Complexity of strict inheritance with negation and conjunction)

The reasoning problem for strict inheritance networks with conjunction and negation is coNP-complete.

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Proof (sketch).

We show hardness by a reduction from 3SAT.

Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

# Computational complexity

Theorem (Complexity of strict inheritance with negation and conjunction)

The reasoning problem for strict inheritance networks with conjunction and negation is coNP-complete.

### Proof (sketch).

We show hardness by a reduction from 3SAT. Let  $D = C_1 \land ... \land C_n$  be formula in CNF with exactly three literals per clause (over atoms  $a_i$ ). Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

# Computational complexity

Theorem (Complexity of strict inheritance with negation and conjunction)

The reasoning problem for strict inheritance networks with conjunction and negation is coNP-complete.

### Proof (sketch).

We show hardness by a reduction from 3SAT. Let  $D = C_1 \land ... \land C_n$  be formula in CNF with exactly three literals per clause (over atoms  $a_i$ ).

Let  $\sigma(C_i)$  be the following translation:

 $a_1 \lor a_2 \lor a_3 \mapsto (\operatorname{not} a_1 \operatorname{and} \operatorname{not} a_2) \operatorname{isa} a_3$  $\neg a_1 \lor a_2 \lor a_3 \mapsto (a_1 \operatorname{and} \operatorname{not} a_2) \operatorname{isa} a_3$  $\neg a_1 \lor \neg a_2 \lor a_3 \mapsto (a_1 \operatorname{and} a_2) \operatorname{isa} a_3$  $\neg a_1 \lor \neg a_2 \lor \neg a_3 \mapsto (a_1 \operatorname{and} a_2) \operatorname{isa} (\operatorname{not} a_3)$ 

Extend  $\sigma$  to CNF formulae, and show that *D* is unsatisfiable iff  $\sigma(D) \models \bot$ 

November 16, 2015

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#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

BURG

# Conclusion

- Strict inheritance networks are easy
- Inheritance corresponds to a universally quantified implication
- If concepts are atomic, everything can be decided in poly. time
- We can deal with negation without increasing the complexity
- Conjunction and negation, however, make the reasoning problem hard
- ... as hard as propositional unsatisfiability.

#### Introduction

A simple network formalism

Semantic Networks with Instances

Semantic Networks with Negation

Semantic Networks with Negation and Conjunction

Literature

### Literature



Semantic Networks with Negation and Conjunction

