Principles of Knowledge Representation and Reasoning Propositional Logic

UNI FREIBURG

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Why Logic?

Why Logic?

Propositional Logic

Syntax

Semantic

Terminology



Why logic?

- Logic is one of the best developed systems for representing knowledge.
- Can be used for analysis, design and specification.
- Understanding formal logic is a prerequisite for understanding most research papers in KR&R.

Why Logic?

tional Logic

Semantics

Terminology



The right logic...

- Logics of different orders (1st, 2nd, ...)
- Modal logics
 - epistemic
 - temporal
 - dynamic (program)
 - multi-modal logics
 - **.**..
- Many-valued logics
- Nonmonotonic logics
- Intuitionistic logics
- ...

Why Logic?

tional Logic

- ,

Semantics

Terminology



The logical approach

Define a formal language: logical & non-logical symbols, syntax rules Why Logic?

Propositional Logic

Syntax

Semantics

Terminology Decision



The logical approach

- Define a formal language: logical & non-logical symbols, syntax rules
- Provide language with compositional semantics:
 - Fix universe of discourse
 - Specify how the non-logical symbols can be interpreted: interpretation
 - Rules how to combine interpretation of single symbols
 - Satisfying interpretation = model
 - Semantics often entails concept of logical implication / entailment

Why Logic?

Propositional Logic

Semantics

Decision

Problems and Resolution



The logical approach

- Define a formal language: logical & non-logical symbols, syntax rules
- Provide language with compositional semantics:
 - Fix universe of discourse
 - Specify how the non-logical symbols can be interpreted: interpretation
 - Rules how to combine interpretation of single symbols
 - Satisfying interpretation = model
 - Semantics often entails concept of logical implication / entailment
- Specify a calculus that allows to derive new formulae from old ones – according to the entailment relation

Why Logic?

Propositional Logic

Semantics

Decision

Problems and Resolution



Propositional Logic

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology Decision





Propositional logic: main ideas

- Non-logical symbols: propositional variables or atoms
 - representing propositions which cannot be decomposed
 - which can be true or false (for example: "Snow is white", "It rains")

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology

Propositional logic: main ideas

- Non-logical symbols: propositional variables or atoms
 - representing propositions which cannot be decomposed
 - which can be true or false (for example: "Snow is white", "It rains")
- Logical symbols: propositional connectives such as: and (\land) , or (\lor) , and not (\neg)

Why Logic?

Propositional Logic

Terminology

Problems and Resolution

Propositional logic: main ideas

- Non-logical symbols: propositional variables or atoms
 - representing propositions which cannot be decomposed
 - which can be true or false (for example: "Snow is white", "It rains")
- Logical symbols: propositional connectives such as: and (\(\lambda\), or (\(\nabla\)), and not (\(\nabla\))
- Formulae: built out of atoms and connectives
- Universe of discourse: truth values

Why Logic?

Propositional Logic

Terminology

Decision

Problems and Resolution



Syntax

Why Logic?

Propositional Logic

Syntax

Semantic

Terminology

Syntax

Countable alphabet Σ of propositional variables: a,b,c,...Propositional formulae are built according to the following rule:

φ	::=	а	atomic formula
		\perp	falsity
	ĺ	Т	truth
	ĺ	$ eg oldsymbol{arphi}'$	negation
	ĺ	$(\varphi' \wedge \varphi'')$	conjunction
	ĺ	$(\varphi' \lor \varphi'')$	disjunction
	ĺ	$(oldsymbol{arphi}' ightarrow oldsymbol{arphi}'')$	implication
	ĺ	$(oldsymbol{arphi}' \leftrightarrow oldsymbol{arphi}'')$	equivalence

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Propositional Logic

Syntax

Semantics

Terminology

Syntax

Countable alphabet Σ of propositional variables: a,b,c,...Propositional formulae are built according to the following rule:

Parentheses can be omitted if no ambiguity arises.

Operator precedence:
$$\neg > \land > \lor > \rightarrow = \leftrightarrow$$
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Propositional Logic

Syntax

Semantics

Terminology

Language and meta-language

 \blacksquare ($a \lor b$) is an expression of the language of propositional logic.

- $\phi ::= a | \dots | (\phi' \leftrightarrow \phi'')$ is a statement about how expressions in the language of propositional logic can be formed. It is stated using meta-language.
- In order to describe how expressions (in this case formulae) can be formed, we use meta-language.
- When we describe how to interpret formulae, we use meta-language expressions.

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology



Why Logic?

Propositional Logic

Syntax

Semantics

Terminology

Decision

Problems and
Resolution

Semantics



Semantics: idea

- Atomic propositions can be true (1, T) or false (0, F).
- Provided the truth values of the atoms have been fixed (truth assignment or interpretation), the truth value of a formula can be computed from the truth values of the atoms and the connectives.
- Example:

$$(a \lor b) \land c$$

is true iff c is true and, additionally, a or b is true.

Why Logic?

Propositional Logic

Semantics

Terminology

Semantics: idea

- Atomic propositions can be true (1, T) or false (0, F).
- Provided the truth values of the atoms have been fixed (truth assignment or interpretation), the truth value of a formula can be computed from the truth values of the atoms and the connectives.
- Example:

$$(a \lor b) \land c$$

is true iff c is true and, additionally, a or b is true.

Logical implication can then be defined as follows:

 φ is implied by a set of formulae Θ iff φ is true for all truth assignments (world states) that make all formulae in Θ true.

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Propositional Logic

- ,

Semantics

Terminology

Formal semantics

An interpretation (or truth assignment) over Σ is a function:

$$\mathcal{I}\colon \Sigma \to \{T,F\}.$$

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Propositional Logic

Syntax

Semantics

Terminology

Problems and Resolution

Formal semantics

An interpretation (or truth assignment) over Σ is a function:

$$\mathcal{I} \colon \Sigma \to \{T, F\}.$$

A formula ψ is true under \mathcal{I} or is satisfied by \mathcal{I} (symb. $\mathcal{I} \models \psi$):

$$\mathcal{I} \models a \qquad \text{iff} \qquad \mathcal{I}(a) = \mathcal{T} \\ \qquad \qquad \mathcal{I} \models \top \\ \qquad \qquad \mathcal{I} \not\models \bot \\ \qquad \qquad \mathcal{I} \models \neg \varphi \qquad \text{iff} \qquad \mathcal{I} \not\models \varphi \\ \qquad \mathcal{I} \models \varphi \land \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ and } \mathcal{I} \models \varphi' \\ \qquad \mathcal{I} \models \varphi \lor \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ or } \mathcal{I} \models \varphi' \\ \qquad \mathcal{I} \models \varphi \to \varphi' \qquad \text{iff} \qquad \text{if } \mathcal{I} \models \varphi \text{ then } \mathcal{I} \models \varphi' \\ \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ if and only if } \mathcal{I} \models \varphi' \\ \qquad \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ if and only if } \mathcal{I} \models \varphi' \\ \qquad \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ if and only if } \mathcal{I} \models \varphi' \\ \qquad \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ if and only if } \mathcal{I} \models \varphi' \\ \qquad \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ if and only if } \mathcal{I} \models \varphi' \\ \qquad \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ if and only if } \mathcal{I} \models \varphi' \\ \qquad \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ if and only if } \mathcal{I} \models \varphi' \\ \qquad \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ if and only if } \mathcal{I} \models \varphi' \\ \qquad \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ if and only if } \mathcal{I} \models \varphi' \\ \qquad \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ if and only if } \mathcal{I} \models \varphi' \\ \qquad \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ if and only if } \mathcal{I} \models \varphi' \\ \qquad \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ if and only if } \mathcal{I} \models \varphi' \\ \qquad \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \rightarrow \varphi' \\ \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \text{ if and only if } \mathcal{I} \models \varphi' \\ \qquad \mathcal{I} \models \varphi \leftrightarrow \varphi' \qquad \text{iff} \qquad \mathcal{I} \models \varphi \rightarrow \varphi'$$

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology

Given

$$\mathcal{I}: a \mapsto T, b \mapsto F, c \mapsto F, d \mapsto T,$$

Is $((a \lor b) \leftrightarrow (c \lor d)) \land (\neg(a \land c) \lor (c \land \neg d))$ true or false?

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology



Given

$$\mathcal{I}: a \mapsto T, b \mapsto F, c \mapsto F, d \mapsto T,$$

Is $((a \lor b) \leftrightarrow (c \lor d)) \land (\neg(a \land c) \lor (c \land \neg d))$ true or false?

$$((\mathbf{a} \vee \mathbf{b}) \leftrightarrow (\mathbf{c} \vee \mathbf{d})) \wedge (\neg (\mathbf{a} \wedge \mathbf{c}) \vee (\mathbf{c} \wedge \neg \mathbf{d}))$$

Why Logic?

Propositional Logic

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Semantics

Terminology

Given

$$\mathcal{I}: a \mapsto T, b \mapsto F, c \mapsto F, d \mapsto T,$$

Is
$$((a \lor b) \leftrightarrow (c \lor d)) \land (\neg(a \land c) \lor (c \land \neg d))$$
 true or false?

$$((\mathbf{a} \vee \mathbf{b}) \leftrightarrow (\mathbf{c} \vee \mathbf{d})) \wedge (\neg (\mathbf{a} \wedge \mathbf{c}) \vee (\mathbf{c} \wedge \neg \mathbf{d}))$$

$$((\mathbf{a} \lor \mathbf{b}) \leftrightarrow (\mathbf{c} \lor \mathbf{d})) \land (\neg(\mathbf{a} \land \mathbf{c}) \lor (\mathbf{c} \land \neg \mathbf{d}))$$

Why Logic?

Propositional Logic

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Semantics

Terminology

Given

$$\mathcal{I}: a \mapsto T$$
. $b \mapsto F$. $c \mapsto F$. $d \mapsto T$.

Is
$$((a \lor b) \leftrightarrow (c \lor d)) \land (\neg(a \land c) \lor (c \land \neg d))$$
 true or false?

$$((\mathbf{a} \vee \mathbf{b}) \leftrightarrow (\mathbf{c} \vee \mathbf{d})) \wedge (\neg (\mathbf{a} \wedge \mathbf{c}) \vee (\mathbf{c} \wedge \neg \mathbf{d}))$$

$$((\mathbf{a} \lor \mathbf{b}) \leftrightarrow (\mathbf{c} \lor \mathbf{d})) \land (\neg(\mathbf{a} \land \mathbf{c}) \lor (\mathbf{c} \land \neg \mathbf{d}))$$

$$((a \lor b) \leftrightarrow (c \lor d)) \land (\neg(a \land c) \lor (c \land \neg d))$$

Why Logic?

Propositional Logic

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Semantics

Terminology



Given

$$\mathcal{I}: a \mapsto T$$
, $b \mapsto F$, $c \mapsto F$, $d \mapsto T$.

Is
$$((a \lor b) \leftrightarrow (c \lor d)) \land (\neg(a \land c) \lor (c \land \neg d))$$
 true or false?

$$((\mathbf{a} \vee \mathbf{b}) \leftrightarrow (\mathbf{c} \vee \mathbf{d})) \wedge (\neg (\mathbf{a} \wedge \mathbf{c}) \vee (\mathbf{c} \wedge \neg \mathbf{d}))$$

$$((\mathbf{a} \lor \mathbf{b}) \leftrightarrow (\mathbf{c} \lor \mathbf{d})) \land (\neg(\mathbf{a} \land \mathbf{c}) \lor (\mathbf{c} \land \neg \mathbf{d}))$$

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Why Logic?

Propositional Logic

Synta

Semantics

Terminology

Given

$$\mathcal{I}: a \mapsto T$$
, $b \mapsto F$, $c \mapsto F$, $d \mapsto T$.

Is
$$((a \lor b) \leftrightarrow (c \lor d)) \land (\neg(a \land c) \lor (c \land \neg d))$$
 true or false?

$$((\mathbf{a} \vee \mathbf{b}) \leftrightarrow (\mathbf{c} \vee \mathbf{d})) \wedge (\neg (\mathbf{a} \wedge \mathbf{c}) \vee (\mathbf{c} \wedge \neg \mathbf{d}))$$

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$$((a \lor b) \leftrightarrow (c \lor d)) \land (\neg(a \land c) \lor (c \land \neg d))$$

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Why Logic?

Propositional Logic

Synta

Semantics

Terminology



Terminology

Why Logic?

Propositional Logic

Syntax

Terminology



Terminology

An interpretation \mathcal{I} is a model of φ iff $\mathcal{I} \models \varphi$. A formula φ is

- satisfiable if there is an \mathcal{I} such that $\mathcal{I} \models \varphi$;
- unsatisfiable, otherwise; and
- valid if $\mathcal{I} \models \varphi$ for each \mathcal{I} (or tautology);
- falsifiable, otherwise.

Why Logic?

Propositional Logic

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Semantics Terminology

Decision Problems and



Terminology

An interpretation \mathcal{I} is a model of φ iff $\mathcal{I} \models \varphi$. A formula φ is

- **satisfiable** if there is an \mathcal{I} such that $\mathcal{I} \models \varphi$;
- unsatisfiable, otherwise; and
- valid if $\mathcal{I} \models \varphi$ for each \mathcal{I} (or tautology);
- falsifiable, otherwise.

Formulae φ and ψ are logically equivalent (symb. $\varphi \equiv \psi$) if for all interpretations \mathcal{I} ,

$$\mathcal{I} \models \varphi \text{ iff } \mathcal{I} \models \psi.$$

Why Logic?

Propositional Logic

Semantics

Terminology

Satisfiable, unsatisfiable, falsifiable, valid?

$$(a \lor b \lor \neg c) \land (\neg a \lor \neg b \lor d) \land (\neg a \lor b \lor \neg d)$$

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology



Satisfiable, unsatisfiable, falsifiable, valid?

$$(a \lor b \lor \neg c) \land (\neg a \lor \neg b \lor d) \land (\neg a \lor b \lor \neg d)$$

$$\rightarrow$$
 satisfiable: $a \mapsto T, b \mapsto F, d \mapsto F, \dots$

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology

Satisfiable, unsatisfiable, falsifiable, valid?

$$(a \lor b \lor \neg c) \land (\neg a \lor \neg b \lor d) \land (\neg a \lor b \lor \neg d)$$

$$\rightsquigarrow$$
 satisfiable: $a \mapsto T, b \mapsto F, d \mapsto F, \dots$

$$\rightarrow$$
 falsifiable: $a \mapsto F, b \mapsto F, c \mapsto T, \dots$

Why Logic?

Propositional Logic

. .

Semantics

Terminology

Satisfiable, unsatisfiable, falsifiable, valid?

$$(a \lor b \lor \neg c) \land (\neg a \lor \neg b \lor d) \land (\neg a \lor b \lor \neg d)$$

$$\rightarrow$$
 satisfiable: $a \mapsto T, b \mapsto F, d \mapsto F, \dots$

$$\rightarrow$$
 falsifiable: $a \mapsto F, b \mapsto F, c \mapsto T, \dots$

$$((\neg a \rightarrow \neg b) \rightarrow (b \rightarrow a))$$

Why Logic?

Propositional Logic

1

Semantics

Terminology

Satisfiable, unsatisfiable, falsifiable, valid?

$$(a \lor b \lor \neg c) \land (\neg a \lor \neg b \lor d) \land (\neg a \lor b \lor \neg d)$$

$$\rightarrow$$
 satisfiable: $a \mapsto T, b \mapsto F, d \mapsto F, \dots$

$$\rightarrow$$
 falsifiable: $a \mapsto F, b \mapsto F, c \mapsto T, \dots$

$$((\neg a \rightarrow \neg b) \rightarrow (b \rightarrow a))$$

 \rightarrow satisfiable: $a \mapsto T, b \mapsto T$

Why Logic?

Propositional Logic

Semantics

Terminology

Satisfiable, unsatisfiable, falsifiable, valid?

$$(a \lor b \lor \neg c) \land (\neg a \lor \neg b \lor d) \land (\neg a \lor b \lor \neg d)$$

 \rightarrow satisfiable: $a \mapsto T, b \mapsto F, d \mapsto F, \dots$

 \rightarrow falsifiable: $a \mapsto F, b \mapsto F, c \mapsto T, \dots$

$$((\neg a \rightarrow \neg b) \rightarrow (b \rightarrow a))$$

- \rightarrow satisfiable: $a \mapsto T, b \mapsto T$
- valid: Consider all interpretations or argue about falsifying ones.

Why Logic?

Propositional Logic

Semantics

Terminology



Satisfiable, unsatisfiable, falsifiable, valid?

$$(a \lor b \lor \neg c) \land (\neg a \lor \neg b \lor d) \land (\neg a \lor b \lor \neg d)$$

 \rightarrow satisfiable: $a \mapsto T, b \mapsto F, d \mapsto F, \dots$

 \rightarrow falsifiable: $a \mapsto F, b \mapsto F, c \mapsto T, \dots$

$$((\neg a \rightarrow \neg b) \rightarrow (b \rightarrow a))$$

- \rightsquigarrow satisfiable: $a \mapsto T, b \mapsto T$
- valid: Consider all interpretations or argue about falsifying ones.

Equivalence? $\neg (a \lor b) \equiv \neg a \land \neg b$

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Propositional Logic

Symax

Semantics

Terminology

Examples

Satisfiable, unsatisfiable, falsifiable, valid?

$$(a \lor b \lor \neg c) \land (\neg a \lor \neg b \lor d) \land (\neg a \lor b \lor \neg d)$$

 \rightarrow satisfiable: $a \mapsto T, b \mapsto F, d \mapsto F, \dots$

 \rightarrow falsifiable: $a \mapsto F, b \mapsto F, c \mapsto T, \dots$

$$((\neg a \rightarrow \neg b) \rightarrow (b \rightarrow a))$$

- \rightsquigarrow satisfiable: $a \mapsto T, b \mapsto T$
- valid: Consider all interpretations or argue about falsifying ones.

Equivalence? $\neg (a \lor b) \equiv \neg a \land \neg b$

→ Of course, equivalent (de Morgan).

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Propositional Logic

, ...

Semantics

Terminology

Some obvious consequences

Proposition

 φ is valid iff $\neg \varphi$ is unsatisfiable. φ is satisfiable iff $\neg \varphi$ is falsifiable.

Why Logic?

Propositional Logic

-,.....

Semantics

Terminology



Some obvious consequences

Proposition

 φ is valid iff $\neg \varphi$ is unsatisfiable.

 φ is satisfiable iff $\neg \varphi$ is falsifiable.

Proposition

 $\varphi \equiv \psi$ iff $\varphi \leftrightarrow \psi$ is valid.

Why Logic?

Propositional Logic

- ,

Semantics

Terminology



Some obvious consequences

Proposition

 φ is valid iff $\neg \varphi$ is unsatisfiable.

 φ is satisfiable iff $\neg \varphi$ is falsifiable.

Proposition

 $\varphi \equiv \psi$ iff $\varphi \leftrightarrow \psi$ is valid.

Theorem

If $\varphi \equiv \psi$, and χ' results from substituting φ by ψ in χ , then $\chi' \equiv \chi$.

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Propositional Logic

Syntax

Semantics

Terminology

Some equivalences

simplifications	$\phi ightarrow \psi$	=	$\neg \varphi \lor \psi$	$\phi \leftrightarrow \psi$	≡	$(arphi ightarrow\psi)\wedge \ (\psi ightarrowarphi)$
idempotency	$oldsymbol{arphi}ee oldsymbol{arphi}$	≡	φ	$\phi \wedge \phi$	≡	φ
commutativity	$\varphi \lor \psi$	≡	$\psi \lor \varphi$		\equiv	•
associativity	$(\varphi \lor \psi) \lor \chi$	\equiv	$\varphi \lor (\psi \lor \chi)$	$(\varphi \wedge \psi) \wedge \chi$	\equiv	$\varphi \wedge (\psi \wedge \chi)$
absorption	$\varphi \lor (\varphi \land \psi)$	\equiv	φ	$\varphi \wedge (\varphi \vee \psi)$	\equiv	φ
distributivity	$\varphi \wedge (\psi \vee \chi)$	\equiv	$(\varphi \wedge \psi) \vee$	$\varphi \lor (\psi \land \chi)$	\equiv	$(\varphi \lor \psi) \land$
			$(\varphi \wedge \chi)$			$(\varphi \lor \chi)$
double negation	$\neg\neg \varphi$	\equiv	φ			
constants	$\neg \top$	\equiv	\perp	$\neg \bot$	\equiv	Τ
De Morgan	$\neg(\varphi \lor \psi)$	\equiv	$\neg \phi \wedge \neg \psi$	$\neg(\phi \wedge \psi)$	\equiv	$\neg \phi \lor \neg \psi$
truth	$\boldsymbol{\varphi} \vee \top$	\equiv	Τ	$\boldsymbol{\varphi} \wedge \top$	\equiv	φ
falsity	$oldsymbol{arphi}eeoldsymbol{\perp}$	\equiv	φ	$oldsymbol{arphi} \wedge oldsymbol{\perp}$	\equiv	\perp
taut./contrad.	$oldsymbol{arphi} ee eg oldsymbol{arphi}$	\equiv	Τ	$\boldsymbol{\varphi} \wedge \neg \boldsymbol{\varphi}$	\equiv	\perp

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology



 \dots for a given finite alphabet Σ ?

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Propositional Logic

Syntax

Semantics

Terminology



...for a given finite alphabet Σ ?

■ Infinitely many: $a, a \lor a, a \land a, a \lor a \lor a, \dots$

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology



...for a given finite alphabet Σ ?

- Infinitely many: $a, a \lor a, a \land a, a \lor a \lor a, ...$
- How many different logically distinguishable (not equivalent) formulae?

Why Logic?

Propositional Logic

Semantics

Terminology



...for a given finite alphabet Σ ?

- Infinitely many: $a, a \lor a, a \land a, a \lor a \lor a, ...$
- How many different logically distinguishable (not equivalent) formulae?
 - A formula can be characterized by its set of models (if two formulae are not logically equivalent, then their sets of models differ).

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Terminology

...for a given finite alphabet Σ ?

- Infinitely many: $a,a \lor a,a \land a,a \lor a \lor a,...$
- How many different logically distinguishable (not equivalent) formulae?
 - A formula can be characterized by its set of models (if two formulae are not logically equivalent, then their sets of models differ).
 - For Σ with $n = |\Sigma|$, there are 2^n different interpretations.

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Propositional Logic

Symax

Semantics

Terminology

...for a given finite alphabet Σ ?

- Infinitely many: $a,a \lor a,a \land a,a \lor a \lor a,...$
- How many different logically distinguishable (not equivalent) formulae?
 - A formula can be characterized by its set of models (if two formulae are not logically equivalent, then their sets of models differ).
 - For Σ with $n = |\Sigma|$, there are 2^n different interpretations.
 - There are $2^{(2^n)}$ different sets of interpretations.

Why Logic?

Propositional Logic

-,.....

Semantics

Terminology

...for a given finite alphabet Σ ?

- Infinitely many: $a,a \lor a,a \land a,a \lor a \lor a,...$
- How many different logically distinguishable (not equivalent) formulae?
 - A formula can be characterized by its set of models (if two formulae are not logically equivalent, then their sets of models differ).
 - For Σ with $n = |\Sigma|$, there are 2^n different interpretations.
 - There are $2^{(2^n)}$ different sets of interpretations.
 - There are 2^(2ⁿ) (logical) equivalence classes of formulae.

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Propositional Logic

Semantics

Terminology



Extension of the relation \models to sets Θ of formulae:

$$\mathcal{I} \models \Theta \ \text{ iff } \ \mathcal{I} \models \varphi \text{ for all } \varphi \in \Theta.$$

Why Logic?

Propositional Logic

Syntax

Terminology

Problems and

Extension of the relation \models to sets Θ of formulae:

$$\mathcal{I} \models \Theta$$
 iff $\mathcal{I} \models \varphi$ for all $\varphi \in \Theta$.

 ϕ is logically implied by Θ (symbolically $\Theta \models \phi$) iff ϕ is true in all models of Θ :

$$\Theta \models \varphi$$
 iff $\mathcal{I} \models \varphi$ for all \mathcal{I} such that $\mathcal{I} \models \Theta$

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology

Extension of the relation \models to sets Θ of formulae:

$$\mathcal{I} \models \Theta$$
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 ϕ is logically implied by Θ (symbolically $\Theta \models \phi$) iff ϕ is true in all models of Θ :

$$\Theta \models \varphi$$
 iff $\mathcal{I} \models \varphi$ for all \mathcal{I} such that $\mathcal{I} \models \Theta$

Some consequences:

■ Deduction theorem: $\Theta \cup \{\phi\} \models \psi \text{ iff } \Theta \models \phi \rightarrow \psi$

Why Logic?

Propositional Logic

-,.....

Semantics

Terminology

Extension of the relation \models to sets Θ of formulae:

$$\mathcal{I} \models \Theta \text{ iff } \mathcal{I} \models \varphi \text{ for all } \varphi \in \Theta.$$

 ϕ is logically implied by Θ (symbolically $\Theta \models \phi$) iff ϕ is true in all models of Θ :

$$\Theta \models \varphi$$
 iff $\mathcal{I} \models \varphi$ for all \mathcal{I} such that $\mathcal{I} \models \Theta$

Some consequences:

- Deduction theorem: $\Theta \cup \{\phi\} \models \psi \text{ iff } \Theta \models \phi \rightarrow \psi$
- Contraposition: $\Theta \cup \{\varphi\} \models \neg \psi$ iff $\Theta \cup \{\psi\} \models \neg \varphi$

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Propositional Logic

Symax

Semantics

Terminology

Extension of the relation \models to sets Θ of formulae:

$$\mathcal{I} \models \Theta \text{ iff } \mathcal{I} \models \varphi \text{ for all } \varphi \in \Theta.$$

 ϕ is logically implied by Θ (symbolically $\Theta \models \phi$) iff ϕ is true in all models of Θ :

$$\Theta \models \varphi$$
 iff $\mathcal{I} \models \varphi$ for all \mathcal{I} such that $\mathcal{I} \models \Theta$

Some consequences:

- Deduction theorem: $\Theta \cup \{\phi\} \models \psi \text{ iff } \Theta \models \phi \rightarrow \psi$
- Contraposition: $\Theta \cup \{\phi\} \models \neg \psi \text{ iff } \Theta \cup \{\psi\} \models \neg \phi$
- Contradiction: $\Theta \cup \{\phi\}$ is unsatisfiable iff $\Theta \models \neg \phi$

Why Logic?

Propositional Logic

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Semantics

Terminology

Terminology:

- Atomic formulae a, negated atomic formulae $\neg a$, truth \top and falsity \bot are literals.
- A disjunction of literals is a clause.

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology



Terminology:

- Atomic formulae a, negated atomic formulae $\neg a$, truth \top and falsity \bot are literals.
- A disjunction of literals is a clause.
- If \neg only occurs in front of an atom and there are no \rightarrow and \leftrightarrow , the formula is in negation normal form (NNF).

Example: $(\neg a \lor \neg b) \land c$, but not: $\neg (a \land b) \land c$

Why Logic?

Propositional Logic

- ,

Semantics

Terminology

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 A conjunction of clauses is in conjunctive normal form (CNF).

Example: $(a \lor b) \land (\neg a \lor c)$

Why Logic?

Propositional Logic

O j max

Semantics

Terminology

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A conjunction of clauses is in conjunctive normal form (CNF).

Example: $(a \lor b) \land (\neg a \lor c)$

The dual form (disjunction of conjunctions of literals) is in disjunctive normal form (DNF).

Example: $(a \land b) \lor (\neg a \land c)$

Why Logic?

Propositional Logic

Symax

Semantics

Terminology



Theorem

For each propositional formula there is a logically equivalent formula in NNF.

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology



Theorem

For each propositional formula there is a logically equivalent formula in NNF.

Proof.

First eliminate \rightarrow and \leftrightarrow by the appropriate equivalences.

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology

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First eliminate \rightarrow and \leftrightarrow by the appropriate equivalences.

Base case: Claim is true for a, $\neg a$, \top , \bot .

Why Logic?

Propositional Logic

Semantics

Terminology



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Proof.

First eliminate \rightarrow and \leftrightarrow by the appropriate equivalences.

Base case: Claim is true for a, $\neg a$, \top , \bot .

Inductive case: Assume claim is true for all formulae φ (up to a certain number of connectives) and call its NNF $\operatorname{nnf}(\varphi)$.

Why Logic?

Propositional Logic

Semantics

Terminology

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Why Logic?

Propositional Logic

Semantics

Terminology

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- $\qquad \mathsf{nnf}(\phi \wedge \psi) = (\mathsf{nnf}(\phi) \wedge \mathsf{nnf}(\psi))$
- $\qquad \mathsf{nnf}(\phi \vee \psi) = (\mathsf{nnf}(\phi) \vee \mathsf{nnf}(\psi))$

Why Logic?

Propositional Logic

Semantics

Terminology

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- $\qquad \mathsf{nnf}(\neg(\phi \land \psi)) = (\mathsf{nnf}(\neg\phi) \lor \mathsf{nnf}(\neg\psi))$

Why Logic?

Propositional Logic

-

Semantics

Terminology

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Why Logic?

Propositional Logic

Semantics

Terminology

Theorem

For each propositional formula there exist logically equivalent formulae in CNF and DNF, respectively.

Why Logic?

Propositional Logic

Semantics Terminology

Decision Problems and



Theorem

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The claim is true for $a, \neg a, \top, \bot$.

Why Logic?

Propositional Logic

Semantics

Terminology



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For each propositional formula there exist logically equivalent formulae in CNF and DNF, respectively.

Proof.

The claim is true for a, $\neg a$, \top , \bot .

Let us assume it is true for all formulae φ (up to a certain number of connectives) and call its CNF $\operatorname{cnf}(\varphi)$ (and its DNF $\operatorname{dnf}(\varphi)$).

Why Logic?

Propositional Logic

Semantics

Terminology



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For each propositional formula there exist logically equivalent formulae in CNF and DNF, respectively.

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 \blacksquare cnf($\neg \phi$) = nnf(\neg dnf(ϕ)) and cnf($\phi \land \psi$) = cnf(ϕ) \land cnf(ψ).

Why Logic?

Propositional Logic

Semantics

Terminology



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For each propositional formula there exist logically equivalent formulae in CNF and DNF, respectively.

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The claim is true for a, $\neg a$, \top , \bot .

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- $= \operatorname{cnf}(\neg \varphi) = \operatorname{nnf}(\neg \operatorname{dnf}(\varphi)) \text{ and } \operatorname{cnf}(\varphi \wedge \psi) = \operatorname{cnf}(\varphi) \wedge \operatorname{cnf}(\psi).$
- Assume $cnf(\phi) = \bigwedge_i \chi_i$ and $cnf(\psi) = \bigwedge_j \rho_j$ with χ_i, ρ_j being clauses.

Why Logic?

Propositional Logic

Semantics

Terminology

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Why Logic?

Propositional Logic

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Semantics

Terminology

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Similar for $dnf(\varphi)$.

Why Logic?

Propositional Logic

O j max

Semantics

Terminology

Decision Problems and Resolution

Why Logic?

Propositional Logic

Semantics

Terminology

Decision Problems and Resolution

Resolution Strategies Horn Clauses



How do we decide whether a formula is satisfiable, unsatisfiable, valid, or falsifiable?

Note: Satisfiability and falsifiability are NP-complete. Validity and unsatisfiability are co-NP-complete.

Why Logic?

Propositional Logic

1

Semantics

Terminology

Decision Problems and Resolution

Completeness
Resolution
Strategies
Horn Clauses



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■ A CNF formula is valid iff all clauses contain two complementary literals or T. Why Logic?

Propositional Logic

Cyritax

Semantics

Terminology

Decision Problems and Resolution

Completeness Resolution Strategies

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Why Logic?

Propositional Logic

Symax

Semantics

Terminology

Decision Problems and Resolution

Completeness Resolution Strategies



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Why Logic?

Propositional Logic

Symax

Semantics

Terminology

Decision Problems and Resolution



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- One can try out all truth assignments.

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology

Decision Problems and Resolution



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- However, transformation to CNF or DNF may take exponential time (and space!).
- One can try out all truth assignments.
- One can test systematically for satisfying truth assignments (backtracking) → Davis-Putnam-Logemann-Loveland.

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology

Decision Problems and Resolution

Completeness Resolution Strategies



■ We want to decide $\Theta \models \varphi$.

Why Logic?

Propositional Logic

Syntax

Semantic

Terminology

Decision Problems and Resolution

Resolution Strategies Horn Clauses



- We want to decide $\Theta \models \varphi$.
- Use deduction theorem and reduce to validity:

$$\Theta \models \varphi \; \text{iff} \; \bigwedge \Theta \rightarrow \varphi \, \text{is valid}.$$

■ Now negate and test for unsatisfiability using DPLL.

Why Logic?

Propositional Logic

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Semantics

Terminology

Decision Problems and Resolution

Completeness
Resolution
Strategies
Horn Clauses

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Why Logic?

Propositional Logic

Semantics

Terminology

Decision Problems and Resolution

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Why Logic?

Propositional Logic

Symax

Semantics

Terminology

Decision Problems and Resolution



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- Different approach: Try to derive φ from Θ find a proof of φ from Θ .
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- One particular calculus: resolution.

Why Logic?

Propositional Logic

Cymax

Semantics

Terminology

Decision Problems and Resolution

Resolution Strategies

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Resolution: representation

- We assume that all formulae are in CNF.
 - Can be generated using the described method.
 - Often formulae are already close to CNF.
 - There is a "cheap" conversion from arbitrary formulae to CNF that preserves satisfiability – which is enough as we will see.

Why Logic?

Propositional Logic

Terminology

Decision Problems and Resolution

Completeness Resolution Strategies

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- More convenient representation:
 - CNF formula is represented as a set.
 - Each clause is a set of literals.

Why Logic?

Propositional Logic

- ,

Semantics

Terminology

Decision Problems and Resolution

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- More convenient representation:
 - CNF formula is represented as a set.
 - Each clause is a set of literals.
- Empty clause (symbolically □) and empty set of clauses (symbolically 0) are different!

Why Logic?

Propositional Logic

- ,

Semantics

Terminology

Decision Problems and Resolution

Let I be a literal and \overline{I} its complement.

The resolution rule

$$\frac{C_1 \dot{\cup} \{I\}, C_2 \dot{\cup} \{\bar{I}\}}{C_1 \cup C_2}$$

Why Logic?

Propositional Logic

-,

Semantics

Terminology

Decision Problems and Resolution

Completeness
Resolution
Strategies
Horn Clauses

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 $C_1 \cup C_2$ is the resolvent of the parent clauses $C_1 \cup \{I\}$ and $C_2 \cup \{\overline{I}\}$. I and \overline{I} are the resolution literals.

Why Logic?

Propositional Logic

-,

Semantics

Terminology

Decision Problems and Resolution

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Example: $\{a,b,\neg c\}$ resolves with $\{a,d,c\}$ to $\{a,b,d\}$.

Why Logic?

Propositional Logic

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Semantics

Terminology

Decision Problems and Resolution

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Why Logic?

Propositional Logic

, ...

Semantics

Terminology

Decision Problems and Resolution

Completeness Resolution Strategies

Let I be a literal and \overline{I} its complement.

The resolution rule

$$\frac{C_1 \dot{\cup} \{I\}, C_2 \dot{\cup} \{\bar{I}\}}{C_1 \cup C_2}$$

 $C_1 \cup C_2$ is the resolvent of the parent clauses $C_1 \cup \{l\}$ and $C_2 \cup \{\bar{l}\}$. I and \bar{l} are the resolution literals.

Example: $\{a,b,\neg c\}$ resolves with $\{a,d,c\}$ to $\{a,b,d\}$.

Note: The resolvent is not logically equivalent to the set of parent clauses!

Notation:

 $R(\Delta) = \{C \mid C \text{ is resolvent of two clauses in } \Delta\}$

Why Logic?

Propositional Logic

Oymax

Semantics

Terminology

Decision Problems and Resolution

Completeness Resolution Strategies

D can be derived from Δ by resolution (symbolically $\Delta \vdash D$) if there is a sequence C_1, \ldots, C_n of clauses such that

If $C_n = D$ and $C_i \in R(\Delta \cup \{C_1, \dots, C_{i-1}\})$, for all $i \in \{1, \dots, n\}$. Define $R^*(\Delta) = \{D \mid \Delta \vdash D\}$.

Theorem (Soundness of resolution)

Let D be a clause. If $\Delta \vdash D$ then $\Delta \models D$.

Why Logic?

Propositional Logic

Terminology

Decision Problems and Resolution

D can be derived from Δ by resolution (symbolically $\Delta \vdash D$) if there is a sequence C_1, \ldots, C_n of clauses such that

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Theorem (Soundness of resolution)

Let D be a clause. If $\Delta \vdash D$ then $\Delta \models D$.

Proof idea.

Show $\Delta \models D$ if $D \in R(\Delta)$ and use induction on proof length.

Why Logic?

Propositional Logic

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Terminology

Decision Problems and Resolution

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Show $\Delta \models D$ if $D \in R(\Delta)$ and use induction on proof length. Let $C_1 \cup \{I\}$ and $C_2 \cup \{\overline{I}\}$ be the parent clauses of $D = C_1 \cup C_2$. Why Logic?

Propositional Logic

Terminology

Decision Problems and Resolution

> Resolution Strategies

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Propositional Logic

- ,

Terminology

Decision Problems and Resolution

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Case 1: $\mathcal{I} \models I$ then $\exists m \in C_2$ s.t. $\mathcal{I} \models m$. This implies $\mathcal{I} \models D$.

Why Logic?

Propositional Logic

, ...

Terminology

Decision Problems and Resolution

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Case 1: $\mathcal{I} \models I$ then $\exists m \in C_2$ s.t. $\mathcal{I} \models m$. This implies $\mathcal{I} \models D$.

Case 2: $\mathcal{I} \models \overline{l}$ similarly, $\exists m \in C_1$ s.t. $\mathcal{I} \models m$.

Why Logic?

Propositional Logic

1

Terminology

Decision Problems and Resolution

> Resolution Strategies

D can be derived from Δ by resolution (symbolically $\Delta \vdash D$) if there is a sequence C_1, \ldots, C_n of clauses such that

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Case 2: $\mathcal{I} \models \overline{l}$ similarly, $\exists m \in C_1$ s.t. $\mathcal{I} \models m$.

This means that each model \mathcal{I} of Δ also satisfies D, i.e., $\Delta \models D$.

Why Logic?

Propositional Logic

Semantics

Terminology

Decision Problems and Resolution

> Resolution Strategies

Do we have

$$\Delta \models \varphi \text{ implies } \Delta \vdash \varphi$$
?

Why Logic?

Propositional Logic

Syntax

Semantics

Terminology

Decision Problems and Resolution

Completeness Resolution

Strategies Horn Clauses

Do we have

$$\Delta \models \varphi \text{ implies } \Delta \vdash \varphi$$
?

Of course, could only hold for CNF.

However:

$$\left\{\{a,b\},\{\neg b,c\}\right\} \models \{a,b,c\} \\ \not\vdash \{a,b,c\}$$

Why Logic?

Propositional Logic

Semantics

Terminology

Decision Problems and Resolution

Completeness

Resolution Strategies Horn Clauses

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However:

$$\left\{\{a,b\},\{\neg b,c\}\right\} \models \{a,b,c\} \\ \not\vdash \{a,b,c\}$$

However, one can show that resolution is refutation-complete:

 Δ is unsatisfiable iff $\Delta \vdash \Box$.

Why Logic?

Propositional Logic

Ojinan

Semantics

Terminology

Decision Problems and Resolution

Completeness Resolution

Resolution Strategies

Do we have

$$\Delta \models \varphi \text{ implies } \Delta \vdash \varphi$$
?

Of course, could only hold for CNF.

However:

$$\left\{\{a,b\},\{\neg b,c\}\right\} \models \{a,b,c\} \\ \not\vdash \{a,b,c\}$$

However, one can show that resolution is refutation-complete:

 Δ is unsatisfiable iff $\Delta \vdash \Box$.

Entailment: Reduce to unsatisfiability testing and decide by resolution.

Why Logic?

Propositional Logic

,

Semantics

Terminology

Decision Problems and Resolution

Completeness Resolution

Resolution Strategies

Resolution strategies

- Trying out all different resolutions can be very costly,
- and might not be necessary.
- There are different resolution strategies.
- Examples:
 - Input resolution ($R_I(\cdot)$): In each resolution step, one of the parent clauses must be a clause of the input set.
 - Unit resolution ($R_U(\cdot)$): In each resolution step, one of the parent clauses must be a unit clause.
 - Not all strategies are (refutation) completeness preserving. Neither input nor unit resolution is. However, there are others.

Why Logic?

Propositional Logic

Cyrnax

Semantics

Terminology

Decision
Problems and
Resolution

Resolution Strategies



Horn clauses & resolution

Horn clauses: Clauses with at most one positive literal

Example: $(a \lor \neg b \lor \neg c), (\neg b \lor \neg c)$

Why Logic?

Propositional Logic

Syntax Semantics

Semantics

Terminology

Decision

Problems and

Resolution
Completeness
Resolution

Resolution Strategies Horn Clauses



Horn clauses & resolution

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Proposition

Unit resolution is refutation-complete for Horn clauses.

Proof idea.

Consider $R_U^*(\Delta)$ of Horn clause set Δ . We have to show that if

 $\square \notin R_{II}^*(\Delta)$, then $\Delta (\equiv R_{II}^*(\Delta))$ is satisfiable.

Why Logic?

Propositional Logic

,

Semantics

Terminology

Decision Problems and Resolution

Completeness Resolution

Resolution Strategies

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Proof idea.

Consider $R_U^*(\Delta)$ of Horn clause set Δ . We have to show that if $\Box \notin R_U^*(\Delta)$, then $\Delta (\equiv R_U^*(\Delta))$ is satisfiable.

- Assign true to all unit clauses in $R_{II}^*(\Delta)$.
- Those clauses that do not contain a literal / such that {/} is one of the unit clauses have at least one negative literal.
- Assign true to these literals.
- Results in satisfying truth assignment for $R_U^*(\Delta)$ (and $\Delta \subseteq R_U^*(\Delta)$).

Why Logic?

Propositional Logic

Gymax

Semantics

Terminology

Decision
Problems and
Resolution

Completeness Resolution Strategies



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Why Logic?

Propositional Logic

Syntax

Semantics

Terminology

Decision Problems and

Completeness
Resolution
Strategies

Tiom Glaus

