1 What are CSPs?
2 Backtracking Search for CSPs
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A Constraint Satisfaction Problems (CSP) consists of
- a set of variables \( \{X_1, X_2, \ldots, X_n\} \) to which
- values \( \{d_1, d_2, \ldots, d_k\} \) can be assigned
- such that a set of constraints over the variables is respected

A CSP is solved by a variable assignment that satisfies all given constraints.

In CSPs, states are explicitly represented as variable assignments. CSP search algorithms take advantage of this structure.

The main idea is to exploit the constraints to eliminate large portions of search space.

*Formal representation language* with associated general inference algorithms
Example: Map-Coloring

- **Variables:** $WA, NT, SA, Q, NSW, V, T$
- **Values:** $\{\text{red}, \text{green}, \text{blue}\}$
- **Constraints:** adjacent regions must have different colors, e.g., $NSW \neq V$
Australian Capital Territory (ACT) and Canberra (inside NSW)

View of the Australian National University and Telstra Tower
Solution assignment:

\[ \{WA = \text{red}, NT = \text{green}, Q = \text{red}, NSW = \text{green}, V = \text{red}, SA = \text{blue}, T = \text{green}\} \]

Perhaps in addition \(ACT = \text{blue}\)
a constraint graph can be used to visualize binary constraints
for higher order constraints, hyper-graph representations might be used
Nodes = variables, arcs = constraints

Note: Our problem is three-colorability for a planar graph
Variations

- Binary, ternary, or even higher arity (e.g., ALL_DIFFERENT)
- Finite domains ($d$ values) $\rightarrow d^n$ possible variable assignments
- Infinite domains (reals, integers)
  - *linear constraints (each variable occurs only in linear form)*: solvable (in P if real)
  - *nonlinear constraints*: unsolvable
Applications

- Timetabling (classes, rooms, times)
- Configuration (hardware, cars, . . .)
- Spreadsheets
- Scheduling
- Floor planning
- Frequency assignments
- Sudoku
- . . .
Backtracking Search over Assignments

- Assign values to variables step by step (order does not matter)
- Consider only one variable per search node!
- DFS with single-variable assignments is called backtracking search
- Can solve $n$-queens for $n \approx 25$
function BACKTRACKING-SEARCH(csp) returns a solution, or failure
    return BACKTRACK({}, csp)

function BACKTRACK(assignment, csp) returns a solution, or failure
    if assignment is complete then return assignment
    var ← SELECT-UNASSIGNED-VARIABLE(csp)
    for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
        if value is consistent with assignment then
            add \{var = value\} to assignment
            inferences ← INFRINGEMENT(csp, var, value)
            if inferences \neq failure then
                add inferences to assignment
                result ← BACKTRACK(assignment, csp)
                if result \neq failure then
                    return result
            remove \{var = value\} and inferences from assignment
    return failure
Example (2)
Example (3)
Improving Efficiency: CSP Heuristics & Pruning Techniques

• Variable ordering: Which one to assign first?
• Value ordering: Which value to try first?
• Try to detect failures early on
• Try to exploit problem structure

→ Note: all this is not problem-specific!
Variable Ordering:
Most constrained first

Most constrained variable:
- choose the variable with the fewest remaining legal values
  → reduces branching factor!
Variable Ordering:
Most Constraining Variable First

- Break ties among variables with the same number of remaining legal values:
  - choose variable with the most constraints on remaining unassigned variables
→ reduces branching factor in the next steps
Value Ordering:
Least Constraining Value First

- Given a variable,
  - choose first a value that rules out the fewest values in the remaining unassigned variables
- We want to find an assignment that satisfies the constraints (of course, does not help if unsat.)

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Foundations of AI

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Rule out Failures early on:
Forward Checking

- Whenever a value is assigned to a variable, values that are now illegal for other variables are removed.
- Implements what the ordering heuristics implicitly compute.
- $WA = \text{red}$, then $NT$ cannot become $\text{red}$.
- If all values are removed for one variable, we can stop!
Forward Checking (1)

- Keep track of remaining values
- Stop if all have been removed
Forward Checking (2)

- Keep track of remaining values
- Stop if all have been removed
Forward Checking (3)

- Keep track of remaining values
- Stop if all have been removed

![Diagram showing states WA, NT, Q, NSW, V, SA, T with color-coded markers indicating states.]

- WA
- NT
- Q
- NSW
- V
- SA
- T
Forward Checking (4)

- Keep track of remaining values
- Stop if all have been removed
Forward Checking: Sometimes it Misses Something

- Forward Checking propagates information from assigned to unassigned variables.
- However, there is no propagation between unassigned variables.
Arc Consistency

- A directed arc $X \rightarrow Y$ is “consistent” iff
  - for every value $x$ of $X$, there exists a value $y$ of $Y$, such that $(x, y)$ satisfies the constraint between $X$ and $Y$

- Remove values from the domain of $X$ to enforce arc-consistency

- Arc consistency detects failures earlier

- Can be used as preprocessing technique or as a propagation step during backtracking
Arc Consistency Example
AC-3 Algorithm

function AC-3(csp) returns false if an inconsistency is found and true otherwise

inputs: csp, a binary CSP with components (X, D, C)

local variables: queue, a queue of arcs, initially all the arcs in csp

while queue is not empty do
  (X_i, X_j) ← REMOVE-FIRST(queue)
  if REVISE(csp, X_i, X_j) then
    if size of D_i = 0 then return false
    for each X_k in X_i.NEIGHBORS - {X_j} do
      add (X_k, X_i) to queue
  return true

function REVISE(csp, X_i, X_j) returns true iff we revise the domain of X_i

revised ← false
for each x in D_i do
  if no value y in D_j allows (x, y) to satisfy the constraint between X_i and X_j then
    delete x from D_i
    revised ← true
return revised
Properties of AC-3

- AC-3 runs in $O(d^3n^2)$ time, with $n$ being the number of nodes and $d$ being the maximal number of elements in a domain.

- Of course, AC-3 does not detect all inconsistencies (which is an NP-hard problem).
CSP has two independent components
Identifiable as connected components of constraint graph
Can reduce the search space dramatically
Problem Structure (2):
Tree-structured CSPs

- If the CSP graph is a tree, then it can be solved in $O(nd^2)$
- General CSPs need in the worst case $O(d^m)$

Idea: Pick root, order nodes, apply arc consistency from leaves to root, and assign values starting at root
Problem Structure (2):
Tree-structured CSPs

- Pick any variable as root; choose an ordering such that each variable appears after its parent in the tree.
- Apply arc-consistency to $(X_i, X_k)$, when $X_i$ is the parent of $X_k$, for all $k = n$ down to 2. (any tree with $n$ nodes has $n - 1$ arcs, per arc $d^2$ comparisons are needed: $O(n \cdot d^2)$)
- Now one can start at $X_1$ assigning values from the remaining domains without creating any conflict in one sweep through the tree!
- Algorithm linear in $n$
Problem Structure (3): Almost Tree-structured

Idea: Reduce the graph structure to a tree by fixing values in a reasonably chosen subset

Instantiate a variable and prune values in neighboring variables is called **Conditioning**
Algorithm Cutset Conditioning:

1. Choose a subset $S$ of the CSPs variables such that the constraint graph becomes a tree after removal of $S$. $S$ is called a cycle cutset.

2. For each possible assignment of variables in $S$ that satisfies all constraints on $S$

   1. remove from the domains of the remaining variables any values that are inconsistent with the assignments for $S$, and

   2. if the remaining CSP has a solution, return it together with the assignment for $S$

Note: Finding the smallest cycle cutset is NP hard, but several efficient approximation algorithms are known.
Another Method: Tree Decomposition (1)

- Decompose problem into a set of connected sub-problems, where two sub-problems are connected when they share a constraint
- Solve sub-problems independently and combine solutions
Another Method:
Tree Decomposition (2)

- A tree decomposition must satisfy the following conditions:
  - Every variable of the original problem appears in at least one sub-problem
  - Every constraint appears in at least one sub-problem
  - If a variable appears in two sub-problems, it must appear in all sub-problems on the path between the two sub-problems
  - The connections form a tree
Another Method: Tree Decomposition (3)

- Consider sub-problems as new mega-variables, which have values defined by the solutions to the sub-problems.
- Use technique for tree-structured CSP to find an overall solution (constraint is to have identical values for the same variable).

\[
\begin{align*}
\{WA=\text{red}, \ NT=\text{green}, \ SA=\text{blue}\} \\
\{WA=\text{red}, \ NT=\text{blue}, \ SA=\text{green}\} \\
\{WA=\text{blue}, \ NT=\text{green}, \ SA=\text{red}\} \\
\vdots \\
\vdots \\
\{NT=\text{blue}, \ SA=\text{green}, \ Q=\text{red}\} \\
\{NT=\text{green}, \ SA=\text{red}, \ Q=\text{blue}\} \\
\{NT=\text{green}, \ SA=\text{blue}, \ Q=\text{red}\} \\
\vdots \\
\vdots 
\end{align*}
\]
The aim is to make the subproblems as small as possible. **Tree width** \( w \) of a tree decomposition is the size of largest sub-problem minus 1.

**Tree width of a graph** is minimal tree width over all possible tree decompositions.

If a graph has tree width \( w \) and we know a tree decomposition with that width, we can solve the problem in \( O(nd^{w+1}) \).

Unfortunately, finding a tree decomposition with minimal tree width is **NP-hard**. However, there are heuristic methods that work well in practice.
Summary & Outlook

- **CSPs** are a special kind of search problem:
  - states are value assignments
  - goal test is defined by constraints
- **Backtracking** = DFS with one variable assigned per node. Other intelligent backtracking techniques possible
- **Variable/value ordering** heuristics can help dramatically
- **Constraint propagation** prunes the search space
- **Path-consistency** is a constraint propagation technique for triples of variables
- **Tree structure** of CSP graph simplifies problem significantly
- **Cutset conditioning** and **tree decomposition** are two ways to transform part of the problem into a tree
- CSPs can also be solved using **local search**