# Principles of Al Planning

8. Planning as search: relaxation heuristics

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# Parallel plans

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#### Towards better relaxed plans

Why does the greedy algorithm compute low-quality plans?

• It may apply many operators which are not goal-directed.

How can this problem be fixed?

- Reaching the goal of a relaxed planning task is most easily achieved with forward search.
- Analyzing relevance of an operator for achieving a goal (or subgoal) is most easily achieved with backward search.

Idea: Use a forward-backward algorithm that first finds a path to the goal greedily, then prunes it to a relevant subplan.

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#### Relaxed plan steps

How to decide which operators to apply in forward direction?

 We avoid such a decision by applying all applicable operators simultaneously.

#### Definition (plan step)

A plan step is a set of operators  $\omega = \{\langle \chi_1, e_1 \rangle, \dots, \langle \chi_n, e_n \rangle\}$ . In the special case of all operators of  $\omega$  being relaxed, we further define:

- Plan step  $\omega$  is applicable in state s iff  $s \models \chi_i$  for all  $i \in \{1, \dots, n\}$ .
- The result of applying  $\omega$  to s, in symbols  $app_{\omega}(s)$ , is defined as the state s' with  $on(s') = on(s) \cup \bigcup_{i=1}^{n} [e_i]_s$ .

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Summary

general semantics for plan steps → much later

#### Applying relaxed plan steps: examples

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Plan steps

In all cases,  $s = \{a \mapsto 0, b \mapsto 0, c \mapsto 1, d \mapsto 0\}.$ 

- $\omega = \{\langle c, a \rangle, \langle \top, b \rangle\}$
- $\omega = \{\langle c, a \rangle, \langle c, a \rhd b \rangle\}$
- $\omega = \{\langle c, a \wedge b \rangle, \langle a, b \rangle \mid d \rangle \}$
- $\omega = \{\langle c, a \land (b \rhd d) \rangle, \langle c, b \land (a \rhd d) \rangle\}$

#### Serializations

Applying a relaxed plan step to a state is related to applying the operators in the step to a state in sequence.

#### Definition (serialization)

A serialization of plan step  $\omega = \{o_1^+, \dots, o_n^+\}$  is a sequence  $o_{\pi(1)}^+, \dots, o_{\pi(n)}^+$  where  $\pi$  is a permutation of  $\{1, \dots, n\}$ .

#### Lemma (conservativeness of plan step semantics)

If  $\omega$  is a plan step applicable in a state s of a relaxed planning task, then each serialization  $o_1, \ldots, o_n$  of  $\omega$  is applicable in s and  $\mathsf{app}_{o_1, \ldots, o_n}(s)$  dominates  $\mathsf{app}_{\omega}(s)$ .

- Does equality hold for all serializations/some serialization?
- What if there are no conditional effects?
- What if we allowed general (unrelaxed) planning tasks?

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# Parallel plans

#### Definition (parallel plan)

A parallel plan for a relaxed planning task  $\langle A, I, O^+, \gamma \rangle$  is a sequence of plan steps  $\omega_1, \ldots, \omega_n$  of operators in  $O^+$  with:

- $s_0 := I$
- For  $i=1,\ldots,n$ , step  $\omega_i$  is applicable in  $s_{i-1}$  and  $s_i:=\mathsf{app}_{\omega_i}(s_{i-1}).$
- $s_n \models \gamma$

Remark: By ordering the operators within each single step arbitrarily, we obtain a (regular, non-parallel) plan.

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#### Forward states, plan steps and sets

Idea: In the forward phase of the heuristic computation,

- first apply plan step with all operators applicable initially,
- then apply plan step with all operators applicable then,
- and so on.

#### Definition (forward state, forward plan step, forward set)

Let  $\Pi^+ = \langle A, I, O^+, \gamma \rangle$  be a relaxed planning task.

The n-th forward state, in symbols  $s_n^{\mathsf{F}}$   $(n \in \mathbb{N}_0)$ , the n-th forward plan step, in symbols  $\omega_n^{\mathsf{F}}$   $(n \in \mathbb{N}_1)$ , and the n-th forward set, in symbols  $S_n^{\mathsf{F}}$   $(n \in \mathbb{N}_0)$ , are defined as:

- $s_0^{\mathsf{F}} := I$
- $\omega_n^{\mathsf{F}} := \{ o \in O^+ \mid o \text{ applicable in } s_{n-1}^{\mathsf{F}} \}$  for all  $n \in \mathbb{N}_1$
- $s_n^{\mathsf{F}} := \mathsf{app}_{\omega_n^{\mathsf{F}}}(s_{n-1}^{\mathsf{F}})$  for all  $n \in \mathbb{N}_1$
- $S_n^{\mathsf{F}} := \mathsf{on}(s_n^{\mathsf{F}})$  for all  $n \in \mathbb{N}_0$

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#### The max heuristic $h_{\text{max}}$

#### Definition (parallel forward distance)

The parallel forward distance of a relaxed planning task  $\langle A, I, O^+, \gamma \rangle$  is the lowest number  $n \in \mathbb{N}_0$  such that  $s_n^{\mathsf{F}} \models \gamma$ , or  $\infty$  if no forward state satisfies  $\gamma$ .

Remark: The parallel forward distance can be computed in polynomial time. (How?)

#### Definition (max heuristic $h_{max}$ )

Let  $\Pi = \langle A, I, O, \gamma \rangle$  be a planning task in positive normal form, and let s be a state of  $\Pi$ .

The max heuristic estimate for s,  $h_{\max}(s)$ , is the parallel forward distance of the relaxed planning task  $\langle A, s, O^+, \gamma \rangle$ .

Remark:  $h_{\text{max}}$  is safe, goal-aware, admissible and consistent. (Why?)

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#### So far, so good...

- We have seen how systematic computation of forward states leads to an admissible heuristic estimate.
- However, this estimate is very coarse.
- To improve it, we need to include backward propagation of information.

For this purpose, we use so-called relaxed planning graphs.

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# Relaxed planning graphs

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# AND/OR dags

#### Definition (AND/OR dag)

An AND/OR dag  $\langle V, A, type \rangle$  is a directed acyclic graph  $\langle V, A \rangle$  with a label function  $type: V \to \{\land, \lor\}$  partitioning nodes into AND nodes  $(type(v) = \land)$  and OR nodes  $(type(v) = \lor)$ .

Note: We draw AND nodes as squares and OR nodes as circles.

#### Definition (truth values in AND/OR dags)

Let  $G = \langle V, A, \textit{type} \rangle$  be an AND/OR dag, and let  $u \in V$  be a node with successor set  $\{v_1, \dots, v_k\} \subseteq V$ .

The (truth) value of u, val(u), is inductively defined as:

- If  $type(u) = \land$ , then  $val(u) = val(v_1) \land \cdots \land val(v_k)$ .
- If  $type(u) = \vee$ , then  $val(u) = val(v_1) \vee \cdots \vee val(v_k)$ .

Note: No separate base case is needed. (Why not?)

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Summarv

#### Relaxed planning graphs

Let  $\Pi^+$  be a relaxed planning task, and let  $k \in \mathbb{N}_0$ .

The relaxed planning graph of  $\Pi^+$  for depth k, in symbols  $RPG_k(\Pi^+)$ , is an AND/OR dag that encodes

- which propositions can be made true in k plan steps, and
- how they can be made true.

Its construction is a bit involved, so we present it in stages.

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#### Running example

As a running example, consider the relaxed planning task  $\langle A,I,\{o_1,o_2,o_3,o_4\},\gamma\rangle$  with

$$\begin{split} A &= \{a,b,c,d,e,f,g,h\} \\ I &= \{a \mapsto 1, b \mapsto 0, c \mapsto 1, d \mapsto 1, \\ e \mapsto 0, f \mapsto 0, g \mapsto 0, h \mapsto 0\} \\ o_1 &= \langle b \lor (c \land d), b \land ((a \land b) \rhd e) \rangle \\ o_2 &= \langle \top, f \rangle \\ o_3 &= \langle f, g \rangle \\ o_4 &= \langle f, h \rangle \\ \gamma &= e \land (g \land h) \end{split}$$

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#### Running example: forward sets and plan steps

$$I = \{a \mapsto 1, b \mapsto 0, c \mapsto 1, d \mapsto 1, e \mapsto 0, f \mapsto 0, g \mapsto 0, h \mapsto 0\}$$

$$o_1 = \langle b \vee (c \wedge d), b \wedge ((a \wedge b) \triangleright e) \rangle$$

$$o_2 = \langle \top, f \rangle, \quad o_3 = \langle f, g \rangle, \quad o_4 = \langle f, h \rangle$$

$$\begin{split} S_0^{\mathsf{F}} &= \{a,c,d\} \\ \omega_1^{\mathsf{F}} &= \{o_1,o_2\} \\ S_1^{\mathsf{F}} &= \{a,b,c,d,f\} \\ \omega_2^{\mathsf{F}} &= \{o_1,o_2,o_3,o_4\} \\ S_2^{\mathsf{F}} &= \{a,b,c,d,e,f,g,h\} \\ \omega_3^{\mathsf{F}} &= \omega_2^{\mathsf{F}} \\ S_3^{\mathsf{F}} &= S_2^{\mathsf{F}} \text{ etc.} \end{split}$$

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# Components of relaxed planning graphs

A relaxed planning graph consists of four kinds of components:

- Proposition nodes represent the truth value of propositions after applying a certain number of plan steps.
- Idle arcs represent the fact that state variables, once true, remain true.
- Operator subgraphs represent the possibility and effect of applying a given operator in a given plan step.
- The goal subgraph represents the truth value of the goal condition after k plan steps.

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Let  $\Pi^+ = \langle A, I, O^+, \gamma \rangle$  be a relaxed planning task, let  $k \in \mathbb{N}_0$ .

For each  $i \in \{0, ..., k\}$ ,  $RPG_k(\Pi^+)$  contains one proposition layer which consists of:

• a proposition node  $a^i$  for each state variable  $a \in A$ .

Node  $a^i$  is an AND node if i=0 and  $I\models a$ . Otherwise, it is an OR node.

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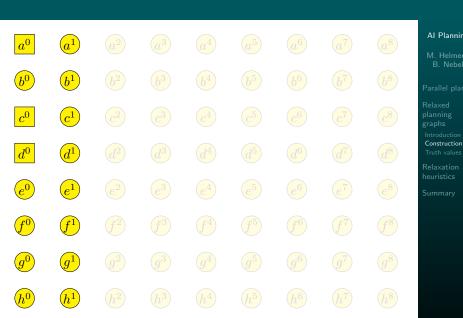
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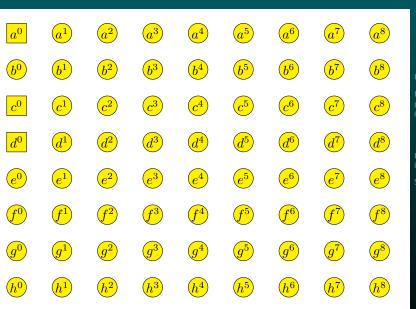
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#### Relaxed planning graph: idle arcs

For each proposition node  $a^i$  with  $i \in \{1, ..., k\}$ ,  $RPG_k(\Pi^+)$  contains an arc from  $a^i$  to  $a^{i-1}$  (idle arcs).

Intuition: If a state variable is true in step i, one of the possible reasons is that it was already previously true.

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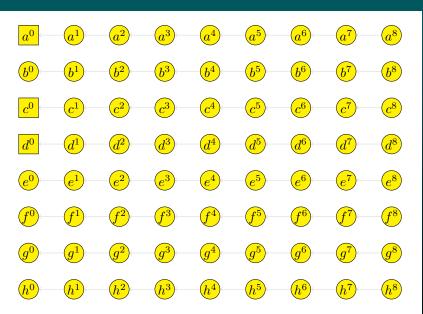
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### Relaxed planning graph: idle arcs



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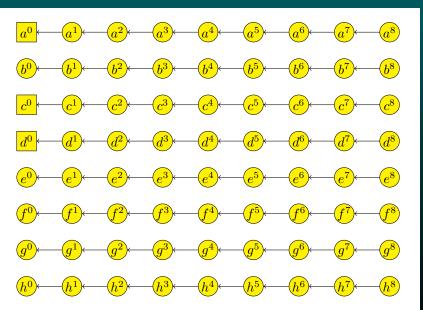
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### Relaxed planning graph: idle arcs



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### Relaxed planning graph: operator subgraphs

For each  $i \in \{1,\ldots,k\}$  and each operator  $o^+ = \langle \chi,e^+ \rangle \in O^+$ ,  $RPG_k(\Pi^+)$  contains a subgraph called an operator subgraph with the following parts:

- one formula node  $n_{\varphi}^{i}$  for each formula  $\varphi$  which is a subformula of  $\chi$  or of some effect condition in  $e^{+}$ :
  - If  $\varphi = a$  for some atom a,  $n_{\omega}^{i}$  is the proposition node  $a^{i-1}$ .
  - $\bullet$  If  $\varphi=\top$  ,  $n_{\varphi}^{i}$  is a new AND node without outgoing arcs.
  - If  $\varphi = \bot$ ,  $n_{\varphi}^{i}$  is a new OR node without outgoing arcs.
  - If  $\varphi=(\varphi'\wedge\varphi'')$ ,  $n_{\varphi}^i$  is a new AND node with outgoing arcs to  $n_{\varphi'}^i$  and  $n_{\varphi''}^i$ .
  - If  $\varphi = (\varphi' \vee \varphi'')$ ,  $n_{\varphi}^i$  is a new OR node with outgoing arcs to  $n_{\varphi'}^i$  and  $n_{\varphi''}^i$ .

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### Relaxed planning graph: operator subgraphs

For each  $i \in \{1,\ldots,k\}$  and each operator  $o^+ = \langle \chi,e^+ \rangle \in O^+$ ,  $RPG_k(\Pi^+)$  contains a subgraph called an operator subgraph with the following parts:

- for each conditional effect  $(\chi' \rhd a)$  in  $e^+$ , an effect node  $o^i_{\chi'}$  (an AND node) with outgoing arcs to the precondition formula node  $n^i_{\chi}$  and effect condition formula node  $n^i_{\chi'}$ , and incoming arc from proposition node  $a^i$ 
  - unconditional effects a (effects which are not part of a conditional effect) are treated the same, except that there is no arc to an effect condition formula node
  - effects with identical condition (including groups of unconditional effects) share the same effect node
  - ullet the effect node for unconditional effects is denoted by  $o^i$

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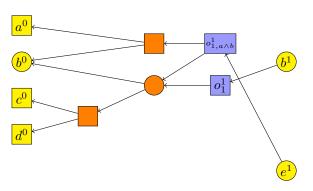
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#### Relaxed planning graph: operator subgraphs

Operator subgraph for  $o_1 = \langle b \lor (c \land d), b \land ((a \land b) \rhd e) \rangle$  for layer i = 1.



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#### Relaxed planning graph: goal subgraph

 $RPG_k(\Pi^+)$  contains a subgraph called a goal subgraph with the following parts:

- one formula node  $n_{\varphi}^k$  for each formula  $\varphi$  which is a subformula of  $\gamma$ :
  - If  $\varphi=a$  for some atom  $a,\,n_{\varphi}^k$  is the proposition node  $a^i.$
  - If  $\varphi = \top$ ,  $n_{\varphi}^k$  is a new AND node without outgoing arcs.
  - If  $\varphi = \bot$ ,  $n_{\omega}^{k}$  is a new OR node without outgoing arcs.
  - If  $\varphi = (\varphi' \wedge \varphi'')$ ,  $n_{\varphi}^k$  is a new AND node with outgoing arcs to  $n_{\varphi'}^k$  and  $n_{\varphi''}^k$ .
  - If  $\varphi = (\varphi' \vee \varphi'')$ ,  $n_{\varphi}^k$  is a new OR node with outgoing arcs to  $n_{\varphi'}^k$  and  $n_{\varphi''}^k$ .

The node  $n_{\gamma}^{k}$  is called the goal node.

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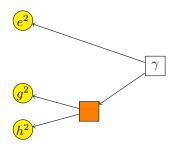
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#### Relaxed planning graph: goal subgraphs

Goal subgraph for  $\gamma = e \wedge (g \wedge h)$  and depth k = 2:



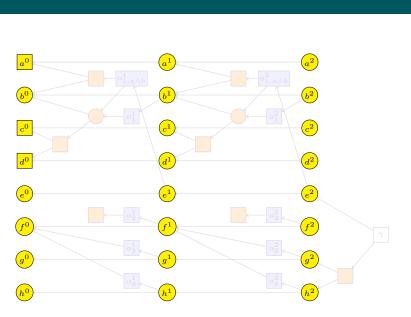
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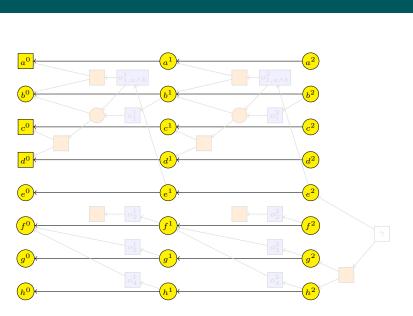
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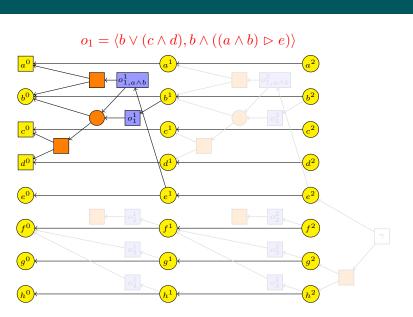
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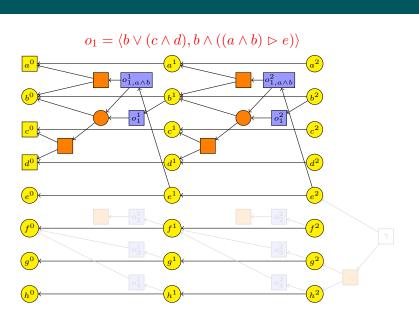
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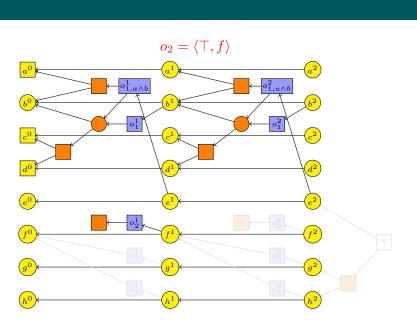
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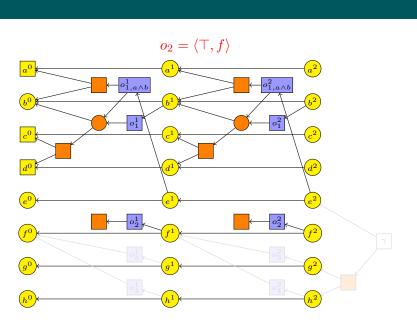
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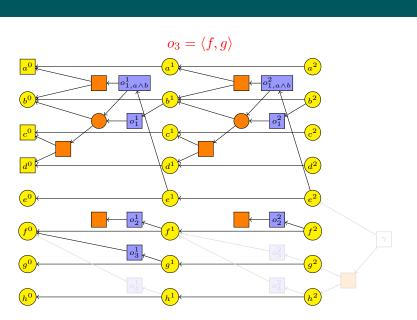
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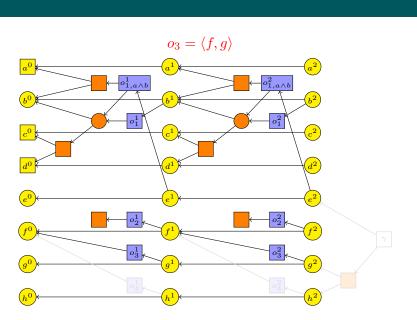
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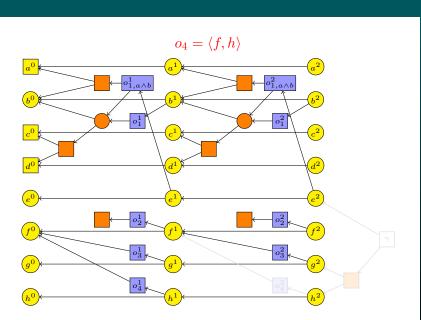
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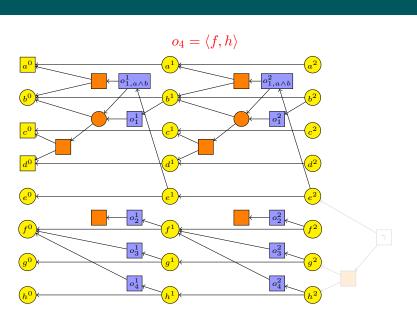
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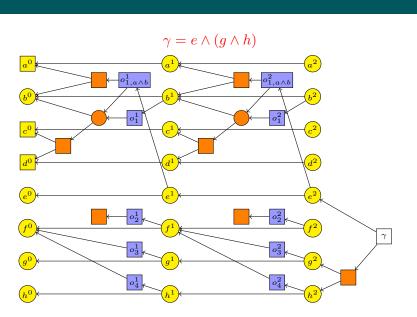
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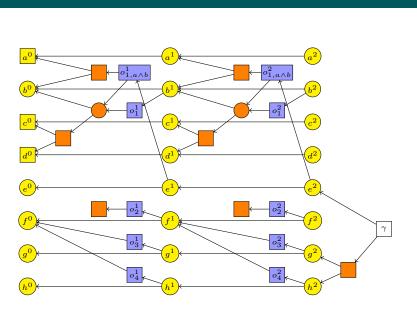
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# Connection to forward sets and plan steps

### Theorem (relaxed planning graph truth values)

Let  $\Pi^+ = \langle A, I, O^+, \gamma \rangle$  be a relaxed planning task. Then the truth values of the nodes of its depth-k relaxed planning graph  $RPG_k(\Pi^+)$  relate to the forward sets and forward plan steps of  $\Pi^+$  as follows:

- Proposition nodes: For all  $a \in A$  and  $i \in \{0, ..., k\}$ ,  $val(a^i) = 1$  iff  $a \in S_i^F$ .
- (Unconditional) effect nodes: For all  $o \in O^+$  and  $i \in \{1, ..., k\}$ ,  $\mathit{val}(o^i) = 1$  iff  $o \in \omega_i^F$ .
- Goal nodes:  ${\it val}(n_{\gamma}^k) = 1 \ \ {\it iff the parallel forward distance of} \ \Pi^+ \ \ {\it is at most} \ k.$

(We omit the straight-forward proof.)

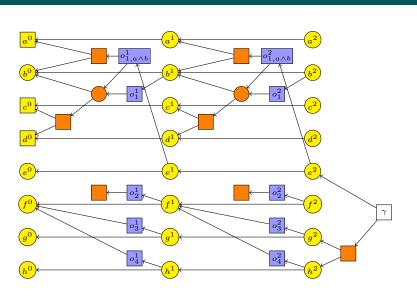
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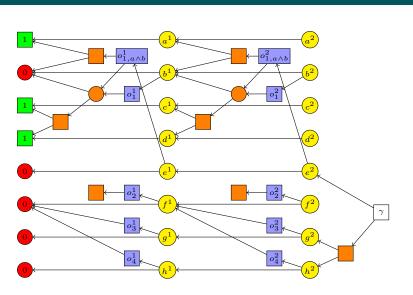
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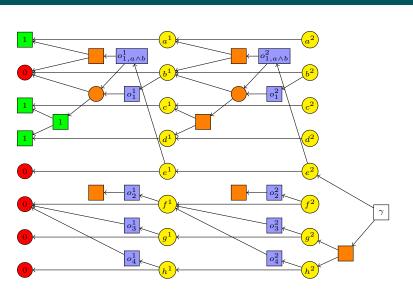
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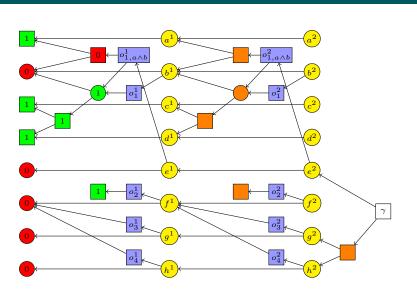
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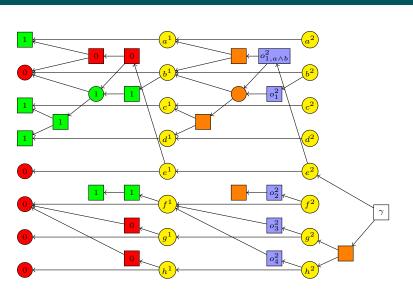
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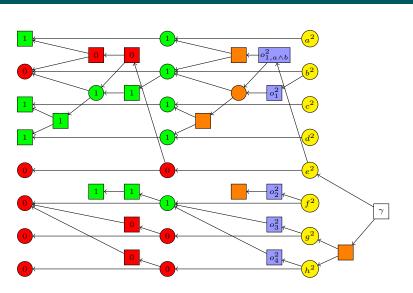
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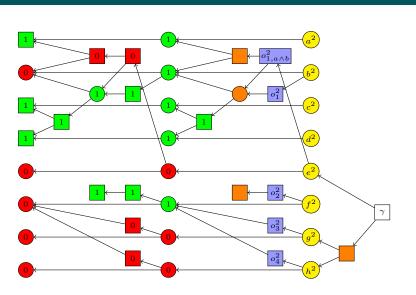
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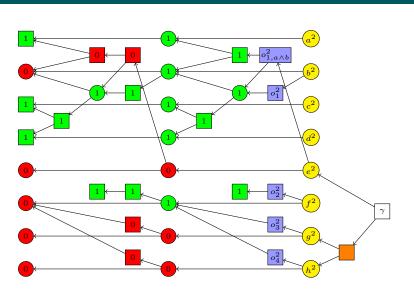
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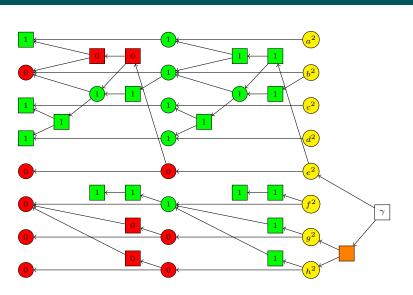
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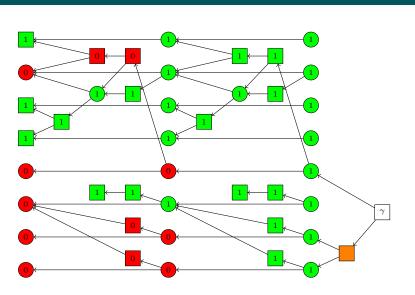
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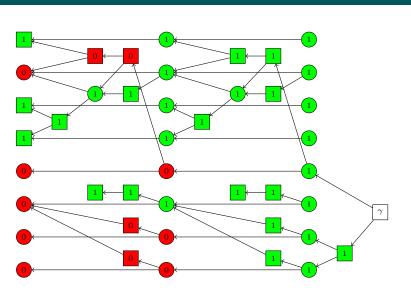
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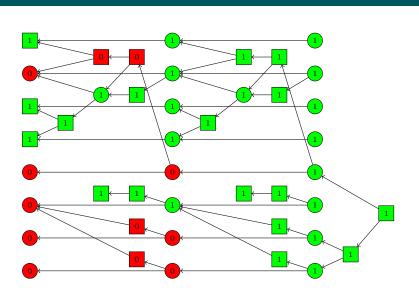
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## Relaxed planning graphs for STRIPS

Remark: Relaxed planning graphs have historically been defined for STRIPS tasks only. In this case, we can simplify:

- Only one effect node per operator: STRIPS does not have conditional effects.
  - Because each operator has only one effect node, effect nodes are called operator nodes in relaxed planning graphs for STRIPS.
- No goal nodes: The test whether all goals are reached is done by the algorithm that evaluates the AND/OR dag.
- No formula nodes: Operator nodes are directly connected to their preconditions.
- Relaxed planning graphs for STRIPS are layered digraphs and only have proposition and operator nodes.

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# Relaxation heuristics

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Generic template

 $h_{\mathsf{max}}$ 

 $h_{\mathsf{Sa}}$ 

Incremental computation

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## Computing parallel forward distances from RPGs

So far, relaxed planning graphs offer us a way to compute parallel forward distances:

### Parallel forward distances from relaxed planning graphs

```
\begin{array}{l} \operatorname{def}\ \textit{parallel-forward-distance}(\Pi^+) \colon \\ \operatorname{Let}\ A\ \operatorname{be}\ \operatorname{the}\ \operatorname{set}\ \operatorname{of}\ \operatorname{state}\ \operatorname{variables}\ \operatorname{of}\ \Pi^+. \\ \operatorname{for}\ k \in \{0,1,2,\dots\} \colon \\ \mathit{rpg} := \mathit{RPG}_k(\Pi^+) \\ \operatorname{Evaluate}\ \operatorname{truth}\ \operatorname{values}\ \operatorname{for}\ \mathit{rpg}. \\ \operatorname{if}\ \operatorname{goal}\ \operatorname{node}\ \operatorname{of}\ \mathit{rpg}\ \operatorname{has}\ \operatorname{value}\ 1 \colon \\ \operatorname{return}\ k \\ \operatorname{else}\ \operatorname{if}\ k = |A| \colon \\ \operatorname{return}\ \infty \end{array}
```

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## Remarks on the algorithm

- The relaxed planning graph for depth  $k \ge 1$  can be built incrementally from the one for depth k-1:
  - Add new layer k.
  - Move goal subgraph from layer k-1 to layer k.
- ullet Similarly, all truth values up to layer k-1 can be reused.
- Thus, overall computation with maximal depth m requires time  $O(\|RPG_m(\Pi^+)\|) = O((m+1) \cdot \|\Pi^+\|)$ .
- This is not a very efficient way of computing parallel forward distances (and wouldn't be used in practice).
- However, it allows computing additional information for the relaxed planning graph nodes along the way, which can be used for heuristic estimates.

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# Generic relaxed planning graph heuristics

### Computing heuristics from relaxed planning graphs

```
def generic-rpg-heuristic(\langle A, I, O, \gamma \rangle, s):
     \Pi^+ := \langle A, s, O^+, \gamma \rangle
     for k \in \{0, 1, 2, \dots\}:
           rpg := RPG_k(\Pi^+)
           Evaluate truth values for rpg.
           if goal node of rpg has value 1:
                 Annotate true nodes of rpg.
                 if termination criterion is true.
                       return heuristic value from annotations
           else if k = |A|:
                 return \infty
```

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Summary

→ generic template for heuristic functions

→ to get concrete heuristic: fill in highlighted parts

## Concrete examples for the generic heuristic

Many planning heuristics fit the generic template:

- additive heuristic  $h_{add}$  (Bonet, Loerincs & Geffner, 1997)
- max heuristic h<sub>max</sub> (Bonet & Geffner, 1999)
- FF heuristic h<sub>FF</sub> (Hoffmann & Nebel, 2001)
- cost-sharing heuristic h<sub>cs</sub> (Mirkis & Domshlak, 2007)
  - not covered in this course
- set-additive heuristic *h*<sub>sa</sub> (Keyder & Geffner, 2008)

#### Remarks:

- For all these heuristics, equivalent definitions that don't refer to relaxed planning graphs are possible.
- Historically, such equivalent definitions have mostly been used for  $h_{\text{max}}$ ,  $h_{\text{add}}$  and  $h_{\text{sa}}$ .
- For those heuristics, the most efficient implementations do not use relaxed planning graphs explicitly.

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### Forward cost heuristics

- The simplest relaxed planning graph heuristics are forward cost heuristics.
- Examples:  $h_{\text{max}}$ ,  $h_{\text{add}}$
- Here, node annotations are cost values (natural numbers).
- The cost of a node estimates how expensive (in terms of required operators) it is to make this node true.

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h<sub>add</sub>
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## Forward cost heuristics: fitting the template

#### Forward cost heuristics

### Computing annotations:

- Propagate cost values bottom-up using a combination rule for OR nodes and a combination rule for AND nodes.
- At effect nodes, add 1 after applying combination rule.

#### Termination criterion:

ullet stability: terminate if cost for proposition node  $a^k$  equals cost for  $a^{k-1}$  for all true propositions a in layer k

### Heuristic value:

- The heuristic value is the cost of the goal node.
- Different forward cost heuristics only differ in their choice of combination rules.

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Generic template  $h_{\max}$ 

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# The max heuristic $h_{\text{max}}$ (again)

### Forward cost heuristics: max heuristic $h_{max}$

Combination rule for AND nodes:

•  $cost(u) = \max(\{cost(v_1), \dots, cost(v_k)\})$ (with  $\max(\emptyset) := 0$ )

Combination rule for OR nodes:

•  $cost(u) = min(\{cost(v_1), \ldots, cost(v_k)\})$ 

In both cases,  $\{v_1, \ldots, v_k\}$  is the set of true successors of u.

#### Intuition:

- AND rule: If we have to achieve several conditions, estimate this by the most expensive cost.
- OR rule: If we have a choice how to achieve a condition, pick the cheapest possibility.

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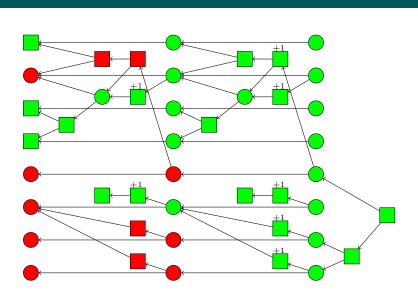
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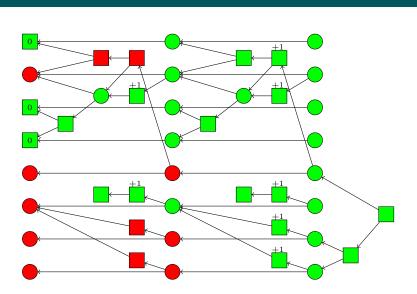
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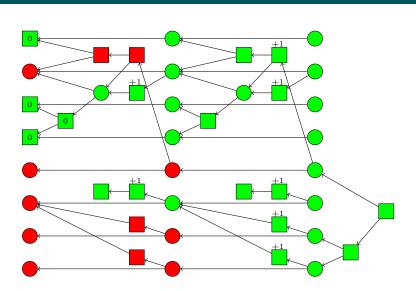
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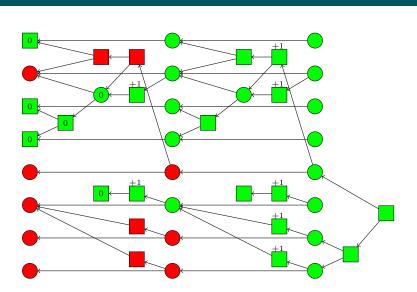
Generic template

h<sub>max</sub>

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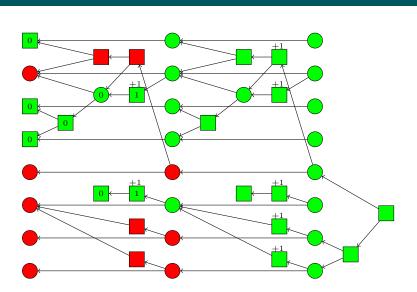
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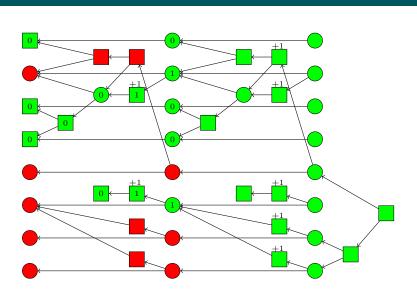
Generic template

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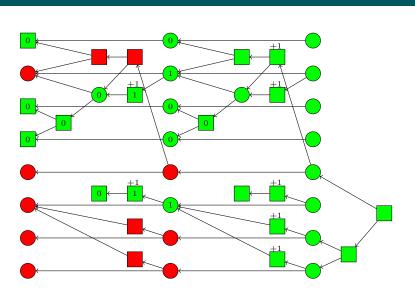
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h<sub>add</sub> h<sub>sa</sub>

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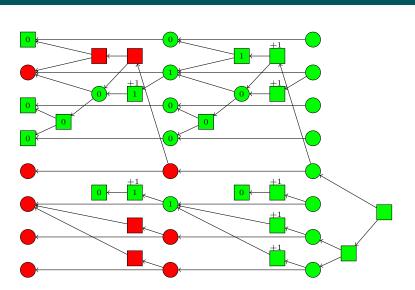
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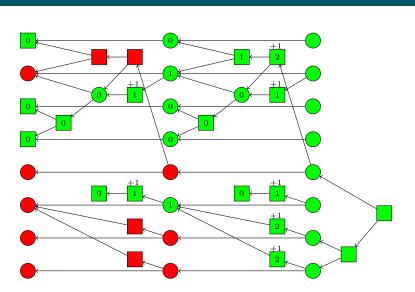
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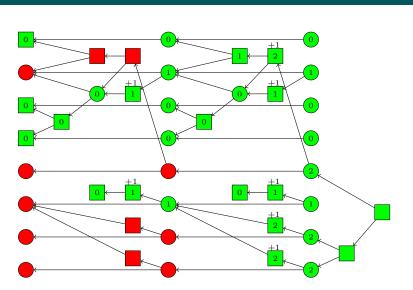
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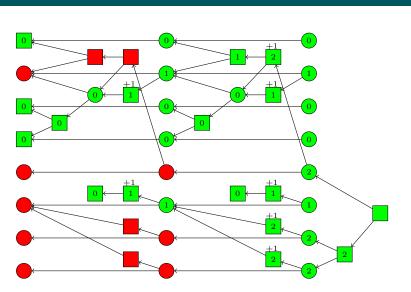
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# Running example: $h_{\text{max}}$



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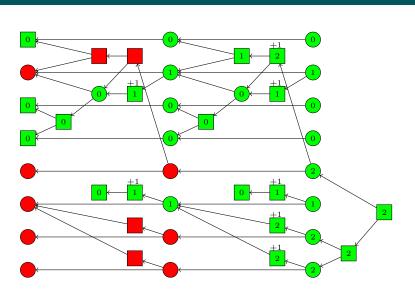
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# Running example: $h_{\text{max}}$



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#### Remarks on $h_{\text{max}}$

- The definition of  $h_{\text{max}}$  as a forward cost heuristic is equivalent to our earlier definition in this chapter.
- Unlike the earlier definition, it generalizes to an extension where every operator has an associated non-negative cost (rather than all operators having cost 1).
- In the case without costs (and only then), it is easy to prove that the goal node has the same cost in all graphs  $RPG_k(\Pi^+)$  where it is true. (Namely, the cost is equal to the lowest value of k for which the goal node is true.)
- We can thus terminate the computation as soon as the goal becomes true, without waiting for stability.
- The same is not true for other forward-propagating heuristics ( $h_{add}$ ,  $h_{cs}$ ,  $h_{sa}$ ).

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Incremental
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#### The additive heuristic

#### Forward cost heuristics: additive heuristic $h_{\text{add}}$

Combination rule for AND nodes:

• 
$$cost(u) = cost(v_1) + ... + cost(v_k)$$
  
(with  $\sum(\emptyset) := 0$ )

Combination rule for OR nodes:

•  $cost(u) = min(\{cost(v_1), \ldots, cost(v_k)\})$ 

In both cases,  $\{v_1, \ldots, v_k\}$  is the set of true successors of u.

#### Intuition:

- AND rule: If we have to achieve several conditions, estimate this by the cost of achieving each in isolation.
- OR rule: If we have a choice how to achieve a condition, pick the cheapest possibility.

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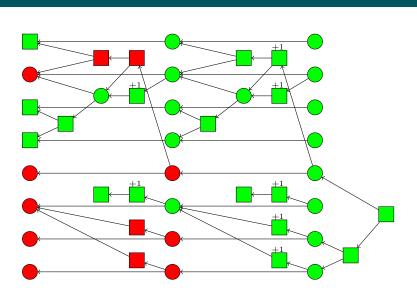
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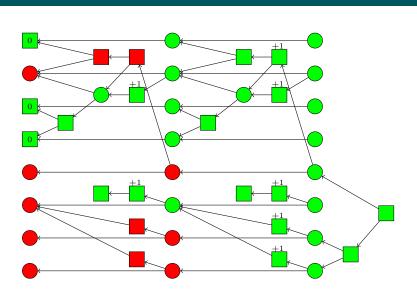
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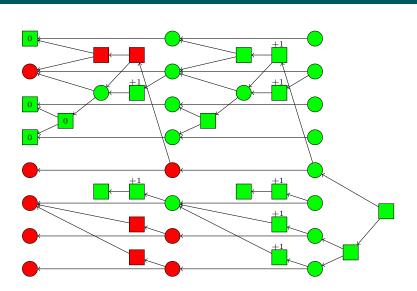
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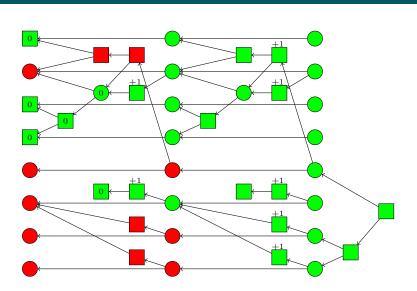
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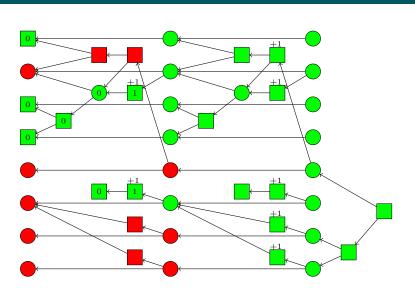
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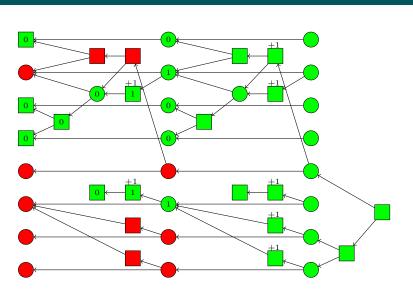
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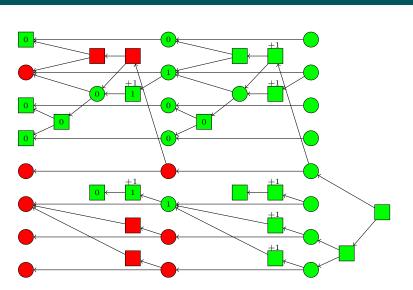
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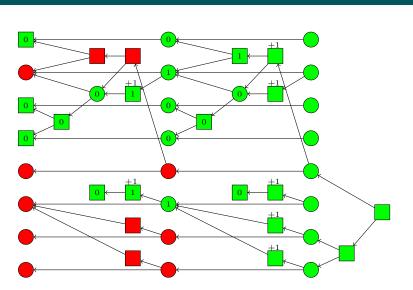
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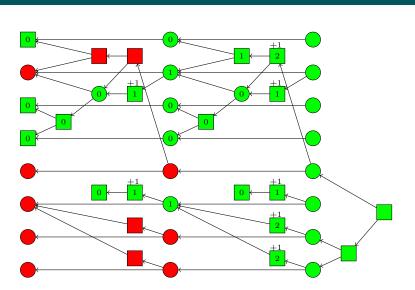
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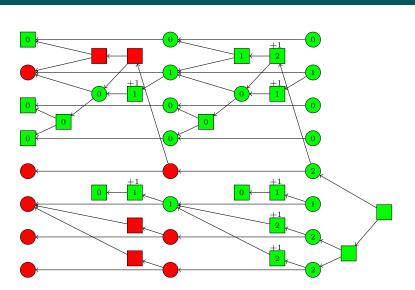
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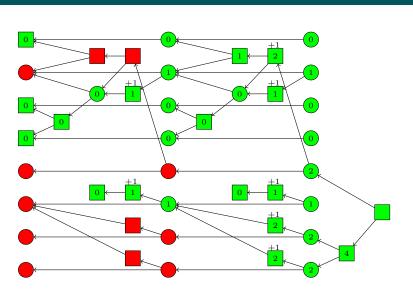
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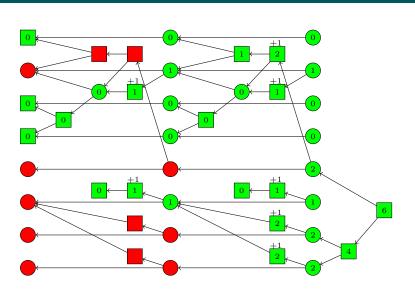
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#### Remarks on $h_{add}$

- It is important to test for stability in computing  $h_{\sf add}!$  (The reason for this is that, unlike  $h_{\sf max}$ , cost values of true propositions can decrease from layer to layer.)
- Stability is achieved after layer |A| in the worst case.
- $h_{\text{add}}$  is safe and goal-aware.
- Unlike  $h_{\text{max}}$ ,  $h_{\text{add}}$  is a very informative heuristic in many planning domains.
- The price for this is that it is not admissible (and hence also not consistent), so not suitable for optimal planning.
- In fact, it almost always overestimates the h<sup>+</sup> value because it does not take positive interactions into account.

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#### The set-additive heuristic

- We now discuss a refinement of the additive heuristic called the set-additive heuristic h<sub>sa</sub>.
- The set-additive heuristic addresses the problem that  $h_{\rm add}$  does not take positive interactions into account.
- Like  $h_{\text{max}}$  and  $h_{\text{add}}$ ,  $h_{\text{sa}}$  is calculated through forward propagation of node annotations.
- However, the node annotations are not cost values, but sets of operators (kind of).
- The idea is that by taking set unions instead of adding costs, operators needed only once are counted only once.

Disclaimer: There are some quite subtle differences between the  $h_{\rm sa}$  heuristic as we describe it here and the "real" heuristic of Keyder & Geffner. We do not want to discuss this in detail, but please note that such differences exist.

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#### Operators needed several times

- The original h<sub>sa</sub> heuristic as described in the literature is defined for STRIPS tasks and propagates sets of operators.
- This is fine because in relaxed STRIPS tasks, each operator need only be applied once.
- The same is not true in general: in our running example, operator  $o_1$  must be applied twice in the relaxed plan.
- In general, it only makes sense to apply an operator again in a relaxed planning task if a previously unsatisfied effect condition has been made true.
- For this reason, we keep track of operator/effect condition pairs rather than just plain operators.

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 $h_{\mathsf{add}} \ h_{\mathsf{sa}} \$ 

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#### Set-additive heuristic: fitting the template

#### The set-additive heuristic $h_{sa}$

#### Computing annotations:

 Annotations are sets of operator/effect condition pairs, computed bottom-up.

Combination rule for AND nodes:

• 
$$ann(u) = ann(v_1) \cup \cdots \cup ann(v_k)$$
 (with  $\bigcup (\emptyset) := \emptyset$ )

Combination rule for OR nodes:

•  $ann(u) = ann(v_i)$  for some  $v_i$  minimizing  $|ann(v_i)|$ In case of several minimizers, use any tie-breaking rule.

In both cases,  $\{v_1,\ldots,v_k\}$  is the set of true successors of u.

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# Set-additive heuristic: fitting the template (ctd.)

#### The set-additive heuristic $h_{sa}$ (ctd.)

#### Computing annotations:

• ...

At effect nodes, add the corresponding operator/effect condition pair to the set after applying combination rule. (Effect nodes for unconditional effects are represented just by the operator, without a condition.)

#### Termination criterion:

• stability: terminate if set for proposition node  $a^k$  has same cardinality as for  $a^{k-1}$  for all true propositions a in layer k

#### Heuristic value:

 The heuristic value is the set cardinality of the goal node annotation. Al Planning

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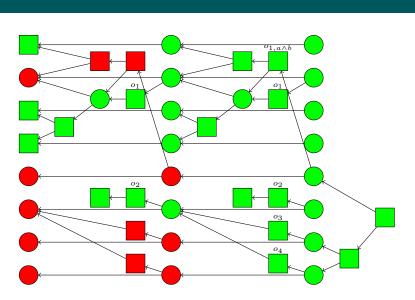
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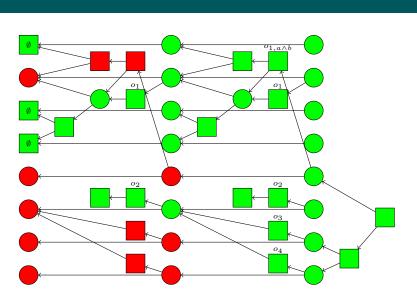
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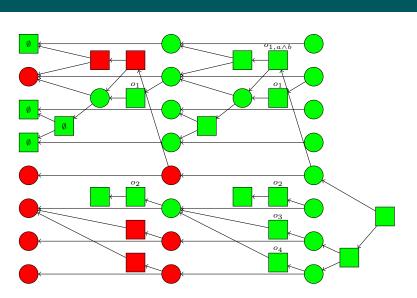
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Parallel plans

Relaxed planning graphs

Relaxation heuristics

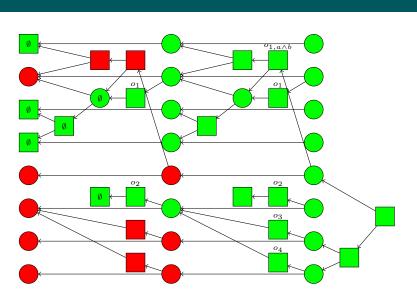
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 $h_{\mathsf{add}} \ h_{\mathsf{sa}}$ 

Incremental computation

<sup>2</sup>FF Comparison & ractice



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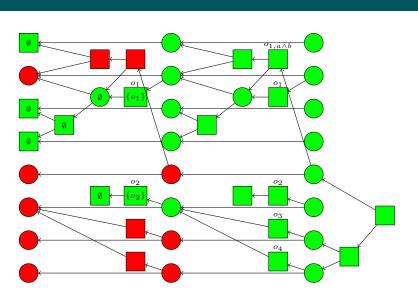
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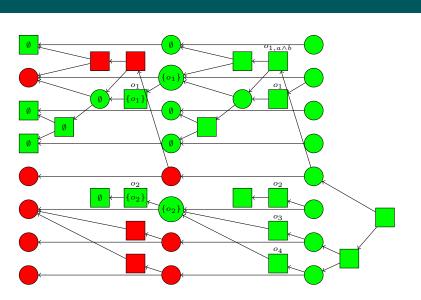
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Incremental computation

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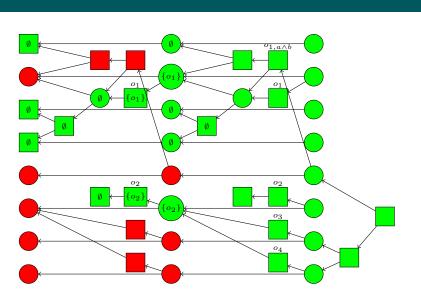
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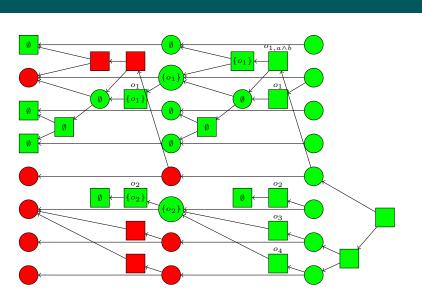
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 $h_{\mathsf{add}}$  $h_{\mathsf{sa}}$ 

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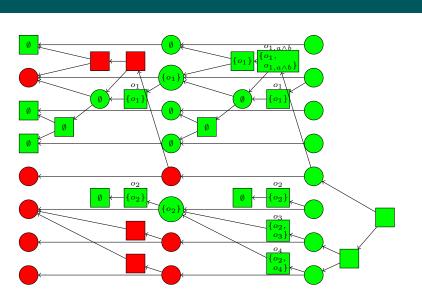
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Incremental computation

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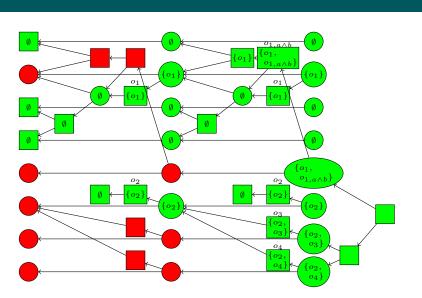
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Incremental computation

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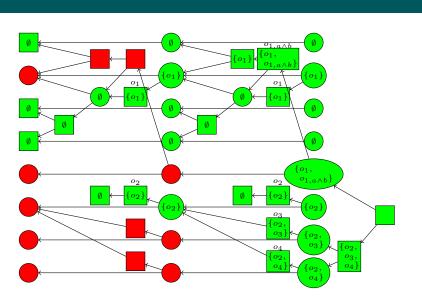
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 $h_{\mathsf{add}} \ h_{\mathsf{sa}}$ 

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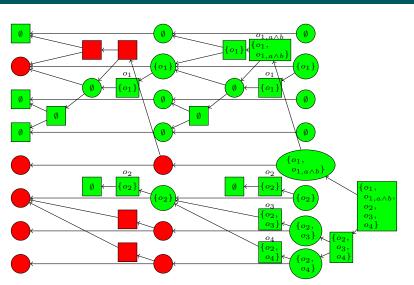
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 $h_{\mathsf{max}}$ 

 $h_{\mathsf{add}} \ h_{\mathsf{sa}}$ 

Incremental computation

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Conorio tompla

h<sub>max</sub>

 $h_{\mathsf{add}} \ h_{\mathsf{sa}}$ 

Incremental computation

Comparison & practice

#### Remarks on $h_{sa}$

- ullet The same remarks for stability as for  $h_{\mathsf{add}}$  apply.
- Like  $h_{add}$ ,  $h_{sa}$  is safe and goal-aware, but neither admissible nor consistent.
- h<sub>sa</sub> is generally better informed than h<sub>add</sub>, but significantly more expensive to compute.
- The  $h_{sa}$  value depends on the tie-breaking rule used, so  $h_{sa}$  is not well-defined without specifying the tie-breaking rule.
- The operators contained in the goal node annotation, suitably ordered, define a relaxed plan for the task.
  - Operators mentioned several times in the annotation must be added as many times in the relaxed plan.

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heuristics
Generic template

 $h_{\mathsf{max}}$ 

h<sub>sa</sub>
Incremental

 $h_{\mathsf{FF}}$ Comparison & practice

#### Incremental computation of forward heuristics

One nice property of forward-propagating heuristics is that they allow incremental computation:

- when evaluating several states in sequence which only differ in a few state variables, can
  - start computation from previous results and
  - keep track only of what needs to be recomputed
- typical use case: depth-first style searches (e.g., IDA\*)
- rarely exploited in practice

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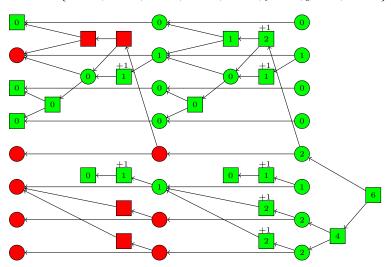
Generic template h<sub>max</sub>

h<sub>add</sub>
h<sub>sa</sub>
Incremental computation

 $h_{\mathsf{FF}}$ Comparison & practice

#### Incremental computation example: $h_{\mathsf{add}}$

Result for  $\{a \mapsto 1, b \mapsto 0, c \mapsto 1, d \mapsto 1, e \mapsto 0, f \mapsto 0, g \mapsto 0, h \mapsto 0\}$ 



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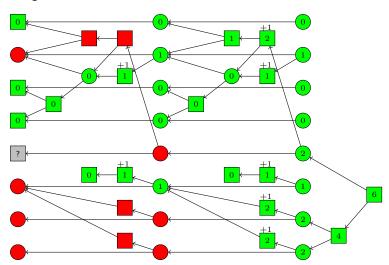
 $h_{\mathsf{max}}$ 

h<sub>add</sub>

Incremental computation

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Change value of e to 1.



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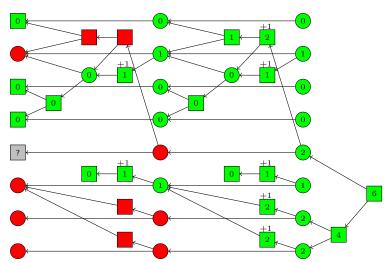
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add sa

Incremental computation

Comparison & practice





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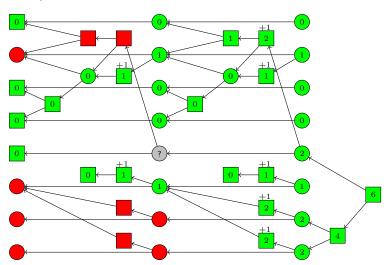
max

¹add ¹sa

Incremental computation

Comparison & practice

Recompute outdated values.



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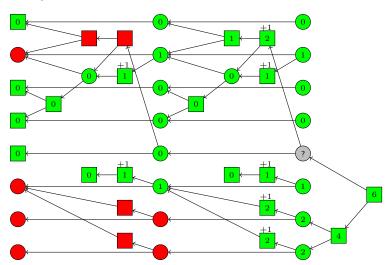
<sup>1</sup>max

 $h_{\mathrm{Sa}}$ Incremental

Incremental computation

Comparison & practice

Recompute outdated values.



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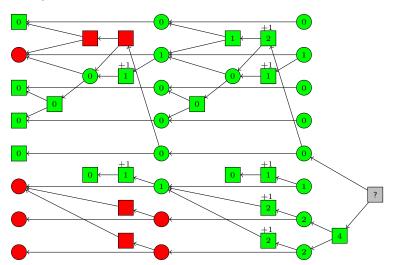
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<sup>r</sup>add h<sub>sa</sub> ncrementa

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Comparison & practice

Recompute outdated values.



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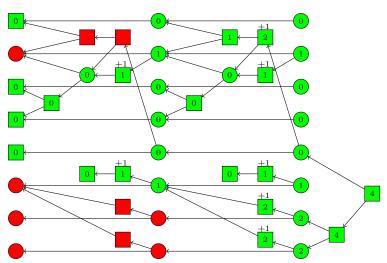
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<sup>l</sup>add <sup>l</sup>sa acremental

Incremental computation

Comparison & practice





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Generic template

h<sub>max</sub>

<sup>l</sup>add <sup>l</sup>sa ncrement :

Incremental computation

Comparison & practice

#### Heuristic estimate $h_{\mathsf{FF}}$

- h<sub>sa</sub> is more expensive to compute than the other forward propagating heuristics because we must propagate sets.
- It is possible to get the same advantage over  $h_{\rm add}$  combined with efficient propagation.
- Key idea of  $h_{\text{FF}}$ : perform a backward propagation that selects a sufficient subset of nodes to make the goal true (called a solution graph in AND/OR dag literature).
- The resulting heuristic is almost as informative as  $h_{\rm sa}$ , yet computable as quickly as  $h_{\rm add}$ .

Note: Our presentation inverts the historical order. The set-additive heuristic was defined after the FF heuristic (sacrificing speed for even higher informativeness).

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Generic template  $h_{\mathsf{max}}$ 

h<sub>add</sub> h<sub>sa</sub> Incremental

h<sub>FF</sub> Comparison & practice

#### FF heuristic: fitting the template

#### The FF heuristic $h_{\mathsf{FF}}$

#### Computing annotations:

• Annotations are Boolean values, computed top-down.

A node is marked when its annotation is set to 1 and unmarked if it is set to 0. Initially, the goal node is marked, and all other nodes are unmarked.

We say that a true AND node is justified if all its true successors are marked, and that a true OR node is justified if at least one of its true successors is marked.

. . .

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Generic templat

 $h_{\mathsf{add}} \ h_{\mathsf{sa}}$ 

nsa Incremental computation

h<sub>FF</sub> Comparison & practice

### FF heuristic: fitting the template (ctd.)

#### The FF heuristic $h_{\text{FF}}$ (ctd.)

#### Computing annotations:

• . . .

Apply these rules until all marked nodes are justified:

- 1 Mark all true successors of a marked unjustified AND node.
- Mark the true successor of a marked unjustified OR node with only one true successor.
- Mark a true successor of a marked unjustified OR node connected via an idle arc.
- Mark any true successor of a marked unjustified OR node.

The rules are given in priority order: earlier rules are preferred if applicable.

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Generic template  $h_{\mathsf{max}}$ 

 $h_{\mathsf{sa}}$ Incremental

h<sub>FF</sub> Comparison & practice

## FF heuristic: fitting the template (ctd.)

#### The FF heuristic $h_{\text{FF}}$ (ctd.)

#### Termination criterion:

Always terminate at first layer where goal node is true.

#### Heuristic value:

 The heuristic value is the number of operator/effect condition pairs for which at least one effect node is marked.

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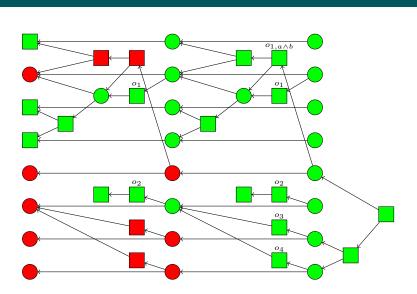
Relaxation heuristics

Generic template

h<sub>max</sub> h<sub>add</sub>

 $h_{\mathsf{Sa}}$ Incremental computation

h<sub>FF</sub> Comparison & practice



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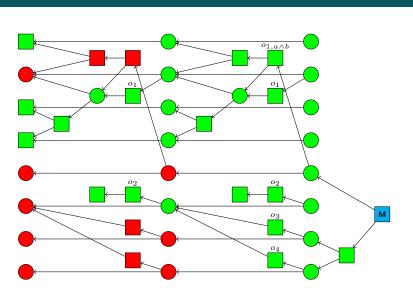
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h<sub>add</sub>

Incremental computation

> FF omparison & ractice



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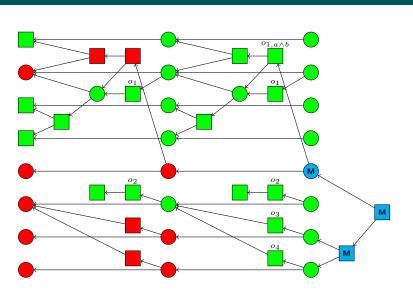
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Incremental computation

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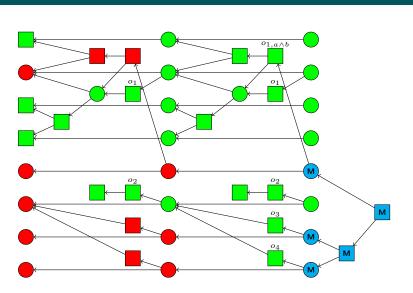
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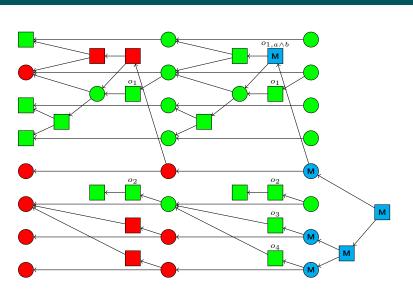
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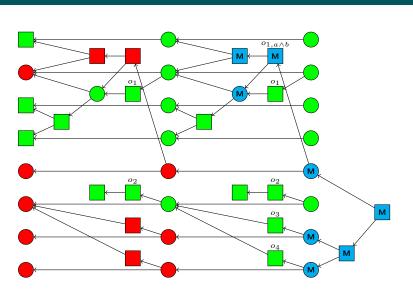
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h<sub>sa</sub> Incremental

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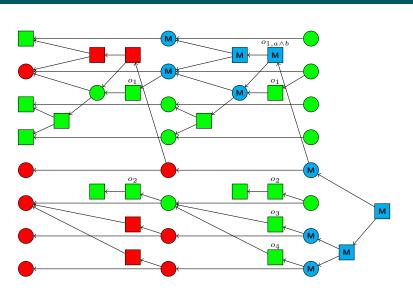
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<sup>n</sup>max <sup>h</sup>add

h<sub>sa</sub> Incremental

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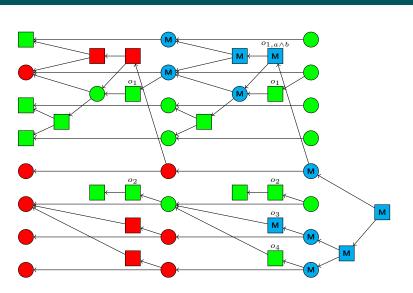
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 $h_{\mathsf{max}}$  $h_{\mathsf{add}}$ 

 $h_{\mathsf{Sa}}$ Incremental

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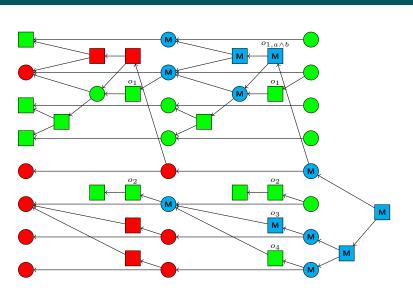
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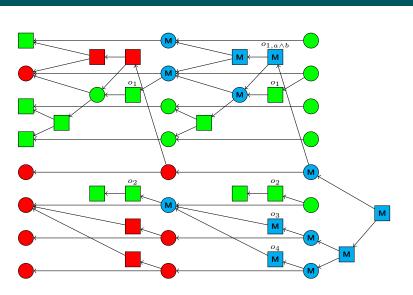
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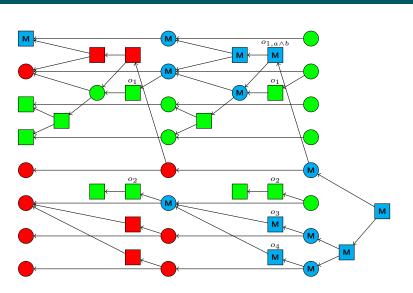
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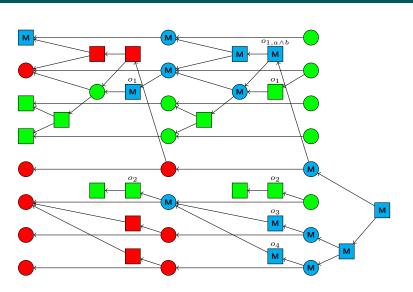
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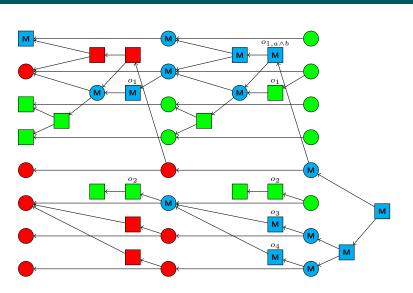
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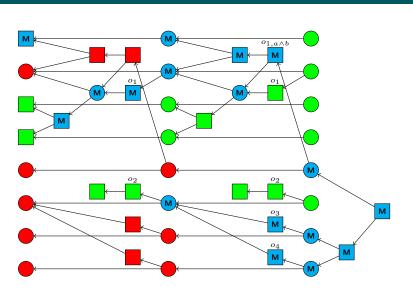
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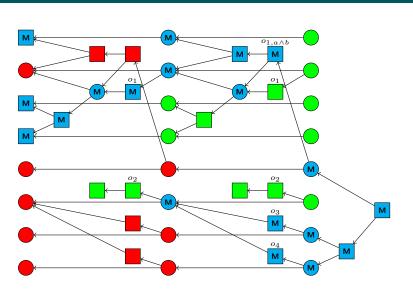
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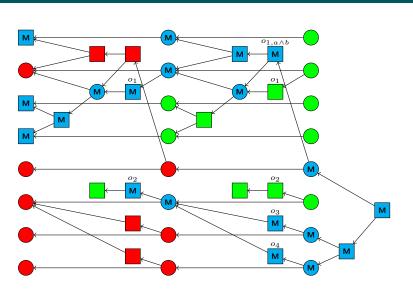
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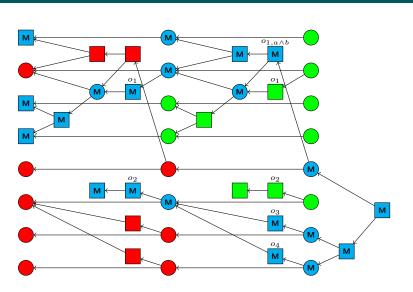
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 $h_{\mathsf{max}}$ 

¹add ¹sa

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#### Remarks on $h_{\mathsf{FF}}$

- Like  $h_{\text{add}}$  and  $h_{\text{sa}}$ ,  $h_{\text{FF}}$  is safe and goal-aware, but neither admissible nor consistent.
- Its informativeness can be expected to be slightly worse than for  $h_{sa}$ , but is usually not far off.
- Unlike  $h_{\rm sa}$ ,  $h_{\rm FF}$  can be computed in linear time.
- Similar to  $h_{sa}$ , the operators corresponding to the marked operator/effect condition pairs define a relaxed plan.
- Similar to  $h_{\rm sa}$ , the  $h_{\rm FF}$  value depends on tie-breaking when the marking rules allow several possible choices, so  $h_{\rm FF}$  is not well-defined without specifying the tie-breaking rule.
  - The implementation in FF uses additional rules of thumb to try to reduce the size of the generated relaxed plan.

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h<sub>sa</sub>
Incremental

h<sub>FF</sub> Comparison & practice

### Comparison of relaxation heuristics

#### Theorem (relationship between relaxation heuristics)

Let s be a state of planning task  $\langle A, I, O, \gamma \rangle$ . Then:

- $h_{max}(s) \le h^+(s) \le h^*(s)$
- $h_{\max}(s) \le h^+(s) \le h_{\operatorname{sa}}(s) \le h_{\operatorname{add}}(s)$
- $\bullet \ h_{\max}(s) \leq h^+(s) \leq h_{\mathit{FF}}(s) \leq h_{\mathit{add}}(s)$
- $h^*$ ,  $h_{FF}$  and  $h_{sa}$  are pairwise incomparable
- $h^*$  and  $h_{add}$  are incomparable

Moreover,  $h^+$ ,  $h_{\text{max}}$ ,  $h_{\text{add}}$ ,  $h_{\text{sa}}$  and  $h_{\text{FF}}$  assign  $\infty$  to the same set of states.

Note: For inadmissible heuristics, dominance is in general neither desirable nor undesirable. For relaxation heuristics, the objective is usually to get as close to  $h^+$  as possible.

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 $n_{\mathsf{max}}$   $h_{\mathsf{add}}$   $h_{\mathsf{sa}}$ 

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#### Relaxation heuristics in practice: HSP

#### Example (HSP)

HSP (Bonet & Geffner) was one of the four top performers at the 1st International Planning Competition (IPC-1998).

#### Key ideas:

- ullet hill climbing search using  $h_{\mathsf{add}}$
- on plateaus, keep going for a number of iterations, then restart
- use a closed list during exploration of plateaus

Literature: Bonet, Loerincs & Geffner (1997), Bonet & Geffner (2001)

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h<sub>sa</sub> Incremental computation

Comparison & practice

### Relaxation heuristics in practice: FF

#### Example (FF)

FF (Hoffmann & Nebel) won the 2nd International Planning Competition (IPC-2000).

#### Key ideas:

- ullet enforced hill-climbing search using  $h_{\mathsf{FF}}$
- helpful action pruning: in each search node, only consider successors from operators that add one of the atoms marked in proposition layer 1
- goal ordering: in certain cases, FF recognizes and exploits that certain subgoals should be solved one after the other

If the main search fails, FF performs a greedy best-first search using  $h_{\text{FF}}$  without helpful action pruning or goal ordering.

Literature: Hoffmann & Nebel (2001), Hoffmann (2005)

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 $h_{\mathsf{Sa}}$ Incremental

h<sub>FF</sub>
Comparison & practice

Summarv

#### Relaxation heuristics in practice: Fast Downward

#### Example (Fast Downward)

Fast Downward (Helmert & Richter) won the satisficing track of the 4th International Planning Competition (IPC-2004). Key ideas:

- greedy best-first search using h<sub>FF</sub> and causal graph heuristic (not relaxation-based)
- search enhancements:
  - multi-heuristic best-first search
  - deferred evaluation of heuristic estimates
  - preferred operators (similar to FF's helpful actions)

Literature: Helmert (2006)

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heuristics

Generic template  $h_{\mathsf{max}}$ 

 $h_{\mathsf{add}}$   $h_{\mathsf{Sa}}$ 

computation

Comparison & practice

### Relaxation heuristics in practice: SGPlan

#### Example (SGPlan)

SGPlan (Wah, Hsu, Chen & Huang) won the satisficing track of the 5th International Planning Competition (IPC-2006). Key ideas:

- FF
- problem decomposition techniques
- domain-specific techniques

Literature: Chen, Wah & Hsu (2006)

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Generic template  $h_{\mathsf{max}}$ 

 $h_{\mathsf{add}}$ 

Incremental computation

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#### Relaxation heuristics in practice: LAMA

#### Example (LAMA)

LAMA (Richter & Westphal) won the satisficing track of the 6th International Planning Competition (IPC-2008).

#### Key ideas:

- Fast Downward
- landmark pseudo-heuristic instead of causal graph heuristic ("somewhat" relaxation-based)
- anytime variant of Weighted A\* instead of greedy best-first search

Literature: Richter, Helmert & Westphal (2008), Richter & Westphal (2010)

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Generic template

 $h_{\mathsf{add}}$   $h_{\mathsf{Sa}}$ 

Incremental computation

Comparison & practice

### Summary

- Relaxed planning graphs are AND/OR dags. They encode which propositions can be made true in  $\Pi^+$  and how.
  - They are closely related to forward sets and forward plan steps, based on the notion of parallel relaxed plans.
  - They can be constructed and evaluated efficiently, in time  $O((m+1)\|\Pi^+\|)$  for planning task  $\Pi$  and depth m.
- By annotating RPG nodes with appropriate information, we can compute many useful heuristics.
- Examples: the max heuristic  $h_{\text{max}}$ , additive heuristic  $h_{\text{add}}$ , set-additive heuristic  $h_{\text{sa}}$  and FF heuristic  $h_{\text{FF}}$ 
  - ullet Of these, only  $h_{\max}$  is admissible (but not very accurate).
  - The others are much more informative. The set-additive heuristic is the most sophisticated one.
  - The FF heuristic is often similarly informative. It offers a good trade-off between accuracy and computation time.

Al Planning

M. Helmert B. Nebel

Parallel plans

Relaxed planning graphs

Relaxation heuristics