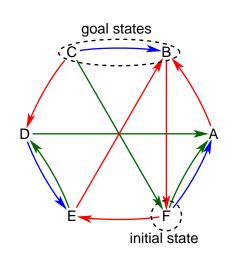
Transition systems



AI Planning

Transition systems Definition Example Matrices Reachability Algorithm

Succinct TS

Definition

A transition system is $\langle S, I, \{a_1, \ldots, a_n\}, G \rangle$ where

- S is a finite set of states (the state space),
- $I \subseteq S$ is a finite set of initial states,
- every action $a_i \subseteq S \times S$ is a binary relation on S,
- $G \subseteq S$ is a finite set of goal states.

Definition

An action a is applicable in a state s if sas' for at least one state s'.

AI Planning

A transition system is deterministic if there is only one initial state and all actions are deterministic. Hence all future states of the world are completely predictable.

Definition

A deterministic transition system is $\langle S, I, O, G \rangle$ where

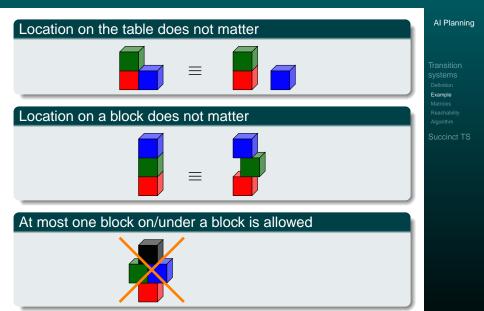
- S is a finite set of states (the state space),
- $I \in S$ is a state,
- actions $a \in O$ (with $a \subseteq S \times S$) are partial functions,
- $G \subseteq S$ is a finite set of goal states.

Successor state wrt. an action

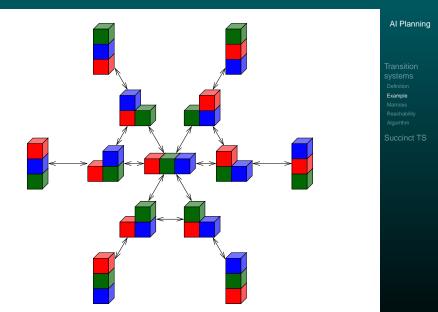
Given a state *s* and an action *A* so that *a* is applicable in *s*, the successor state of *s* with respect to *a* is *s'* such that sas', denoted by $s' = app_a(s)$.

AI Planning

Blocks world The rules of the game



Blocks world The transition graph for three blocks



Blocks world Properties

blocks	states
1	1
2	3
3	13
4	73
5	501
6	4051
7	37633
8	394353
9	4596553
10	58941091

- Finding a solution is polynomial time in the number of blocks (move everything onto the table and then construct the goal configuration)
- Finding a shortest solution is NP-complete (for a compact description of the problem).

AI Planning

Deterministic planning: plans

Definition

A plan for $\langle S, I, O, G \rangle$ is a sequence $\pi = o_1, \ldots, o_n$ of operators such that $o_1, \ldots, o_n \in O$ and s_0, \ldots, s_n is a sequence of states (the execution of π) so that

•
$$s_0 = I$$
,
• $s_i = app_{o_i}(s_{i-1})$ for every $i \in \{1, ..., n\}$, and
• $s_n \in G$

This can be equivalently expressed as

$$app_{o_n}(app_{o_{n-1}}(\cdots app_{o_1}(I)\cdots)) \in G$$

AI Planning

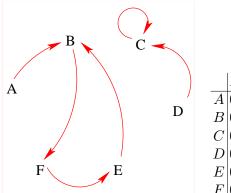
Transition relations as matrices

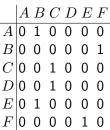
If there are n states, each action (a binary relation) corresponds to an n × n matrix: Element at row i and column j is 1 if the action maps state i to state j, and 0 otherwise.

For deterministic actions there is at most one non-zero element in each row.

- 2 Matrix multiplication corresponds to sequential composition: taking action M_1 followed by action M_2 is the product M_1M_2 . (This also corresponds to the join of the relations.)
- The unit matrix I_{n×n} is the NO-OP action: every state is mapped to itself.

AI Planning

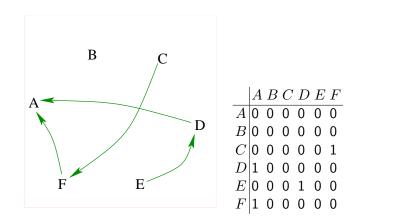




AI Planning

Transition systems Definition Example Matrices Reachability Algorithm

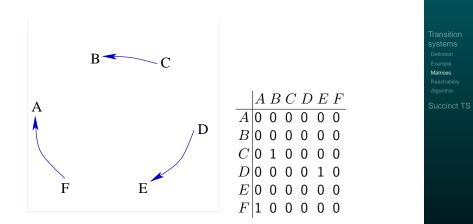
Succinct TS



AI Planning

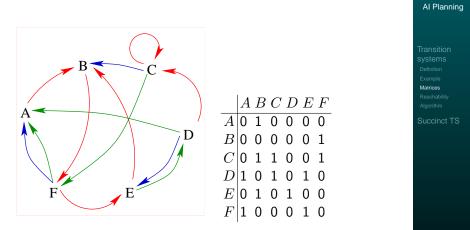
Transition systems Definition Example Matrices Reachability Algorithm

Succinct TS



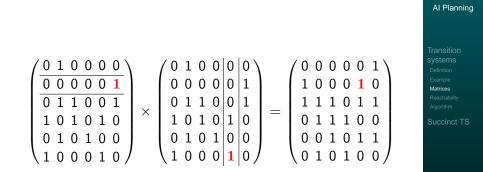
AI Planning

Sum matrix $M_R + M_G + M_B$ Representing one-step reachability by any of the component actions



We use addition 0 + 0 = 0 and b + b' = 1 if b = 1 or b' = 1.

Sequential composition as matrix multiplication



E is reachable from B by two actions because

F is reachable from B by one action and E is reachable from F by one action.

Let M be the $n\times n$ matrix that is the (Boolean) sum of the matrices of the individual actions. Define

$$R_{0} = I_{n \times n}$$

$$R_{1} = I_{n \times n} + M$$

$$R_{2} = I_{n \times n} + M + M^{2}$$

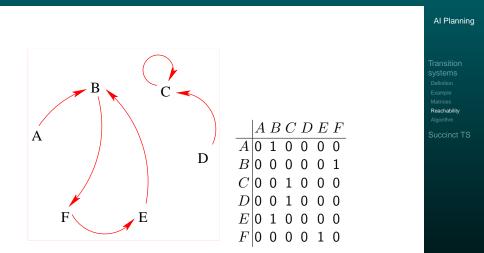
$$R_{3} = I_{n \times n} + M + M^{2} + M^{3}$$

$$\vdots$$

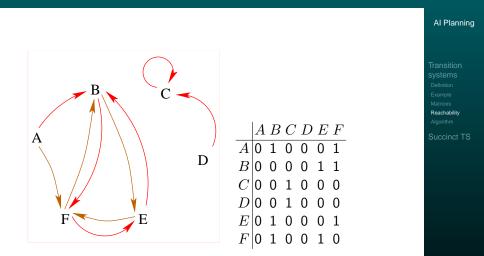
 R_i represents reachability by *i* actions or less. If *s'* is reachable from *s*, then it is reachable with $\leq n - 1$ actions: $R_{n-1} = R_n$.

AI Planning

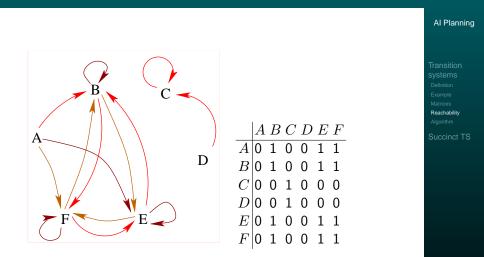
Reachability: example, M_R



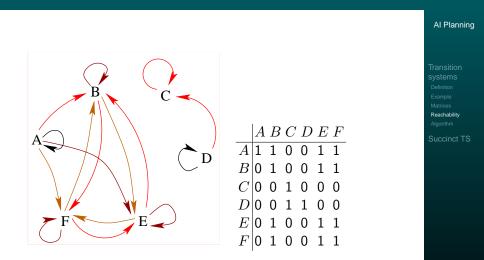
Reachability: example, $M_R + M_R^2$



Reachability: example, $M_R + M_R^2 + M_R^3$



Reachability: example, $M_R + M_R^2 + M_R^3 + I_{6\times 6}$



Relations and sets as matrices

Row vectors as sets of states

Row vectors S represent sets. SM is the set of states reachable from S by M.

$$\begin{pmatrix} 1\\0\\1\\0\\0\\0\\0 \end{pmatrix}^{T} \times \begin{pmatrix} 1\ 1\ 0\ 0\ 1\ 1\\0\ 1\ 0\ 0\ 1\\0\\0\ 1\ 0\ 0\ 1 \\ 0\ 1 \\0\ 1\ 0\ 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 1\\1\\1\\0\\1\\1 \end{pmatrix}^{T}$$

AI Planning

Transition systems Definition Example Matrices Reachability Algorithm

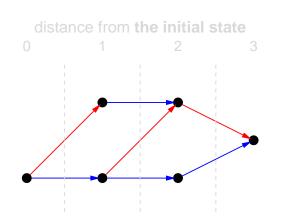
Succinct TS

A simple planning algorithm

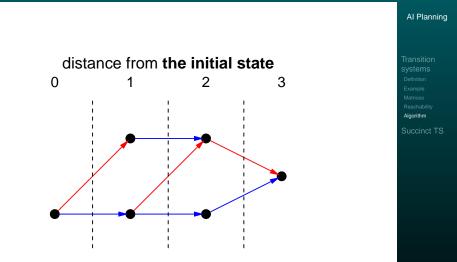
- We next present a simple planning algorithm based on computing distances in the transition graph.
- The algorithm finds shortest paths less efficiently than Dijkstra's algorithm; we present the algorithm because we later will use it as a basis of an algorithm that is applicable to much bigger state spaces than Dijkstra's algorithm directly.

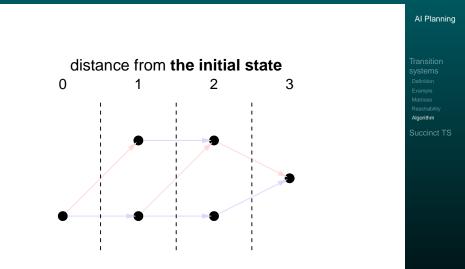
AI Planning

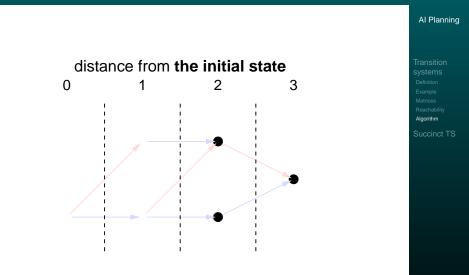
A simple planning algorithm Idea

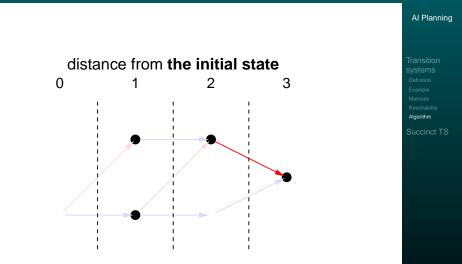


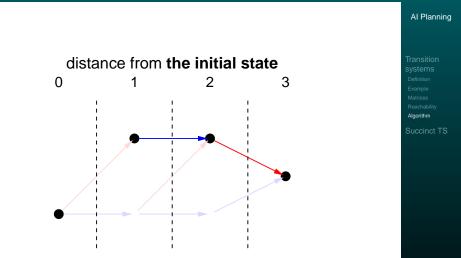
AI Planning

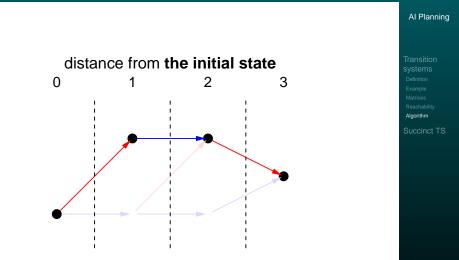








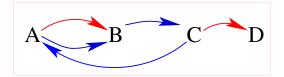




A simple planning algorithm

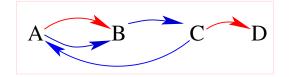
- Compute the matrices $R_0, R_1, R_2, \ldots, R_n$ representing reachability with $0, 1, 2, \ldots, n$ steps with all actions.
- 2 Find the smallest *i* such that a goal state s_g is reachable from the initial state according to R_i .
- Similar Find an action (the last action of the plan) by which s_g is reached with one step from a state $s_{g'}$ that is reachable from the initial state according to R_{i-1} .
- Seperate the last step, now viewing $s_{g'}$ as the goal state with distance i 1.

AI Planning



A B C DA B C D|A B C D|A1 0 0 A 0 1 0 0 $A \mid \mathbf{0}$ 1 0 0 0 $0 \ 0 \ 0 \ 0 \ + B \ 0 \ 0 \ 1 \ 0 = B \ 0 \ 0 \ 1$ B0 D0 0 0 0 D 0 0 0 0D0 0 0 0 AI Planning





AI Planning

Succinct representation of transition systems

- More compact representation of actions than as relations is often
 - possible because of symmetries and other regularities,
 unavoidable because the relations are too big.
- Represent actions in terms of changes to the state variables.

AI Planning

Transition systems

Succinct TS

State variables Logic Operators Schemata

State variables

 The state of the world is described in terms of a finite set of finite-valued state variables.

Example

```
\begin{array}{l} \text{HOUR}: \{0, \ldots, 23\} = 13 \\ \text{MINUTE}: \{0, \ldots, 59\} = 55 \\ \text{LOCATION}: \{ \ 51, \ 52, \ 82, \ 101, \ 102 \ \} = 101 \\ \text{WEATHER}: \{ \ \text{sunny, cloudy, rainy} \ \} = \text{cloudy} \\ \text{HOLIDAY}: \{ \ \text{T, F} \ \} = \text{F} \end{array}
```

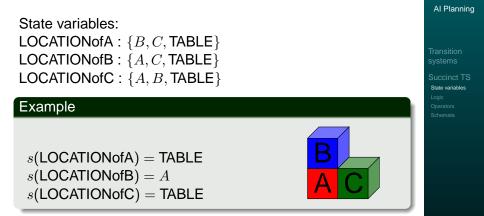
- Any *n*-valued state variable can be replaced by \[log₂ n] Boolean (2-valued) state variables.
- Actions change the values of the state variables.

AI Planning

Transition systems

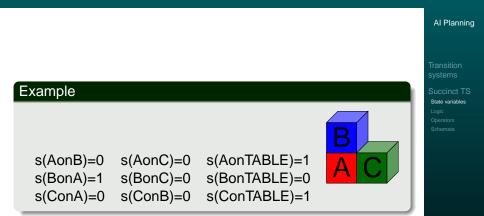
Succinct IS State variables Logic Operators Schemata

Blocks world with state variables



Not all valuations correspond to an intended blocks world state, e.g. *s* such that s(LOCATIONofA) = B and s(LOCATIONofB) = A.

Blocks world with Boolean state variables



Logical representations of state sets

- n state variables with m values induce a state space consisting of mⁿ states (2ⁿ states for n Boolean state variables).
- A language for talking about sets of states (valuations of state variables) is the propositional logic.
- Logical connectives correspond to set-theoretical operations.
- Logical relations correspond to set-theoretical relations.

AI Planning

Transition systems

Succinct TS State variables Logic Operators Schemata Let A be a set of atomic propositions (\sim state variables.)

- For all $a \in A$, a is a propositional formula.
- 2 If ϕ is a propositional formula, then so is $\neg \phi$.
- **③** If ϕ and ϕ' are propositional formulae, then so is $\phi \lor \phi'$.
- If ϕ and ϕ' are propositional formulae, then so is $\phi \wedge \phi'$.
- **(**) The symbols \perp and \top are propositional formulae.

The implication $\phi \rightarrow \phi'$ is an abbreviation for $\neg \phi \lor \phi'$. The equivalence $\phi \leftrightarrow \phi'$ is an abbreviation for $(\phi \rightarrow \phi') \land (\phi' \rightarrow \phi)$.

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Transition systems

Succinct TS State variables Logic Operators Schemata A valuation of *A* is a function $v : A \rightarrow \{0, 1\}$. Define the notation $v \models \phi$ for valuations v and formulae ϕ by

•
$$v \models a$$
 if and only if $v(a) = 1$, for $a \in A$.

2
$$v \models \neg \phi$$
 if and only if $v \not\models \phi$

$$v \models \phi \land \phi'$$
 if and only if $v \models \phi$
 and $v \models \phi'$

$$v \models \exists$$

 $\bigcirc v \not\models \bot$

AI Planning

Transition systems

- A propositional formula φ is satisfiable if there is at least one valuation v so that v ⊨ φ. Otherwise it is unsatisfiable.
- A propositional formula φ is valid or a tautology if v ⊨ φ for all valuations v. We write this as ⊨ φ.
- A propositional formula φ is a logical consequence of a propositional formula φ', written φ' ⊨ φ, if v ⊨ φ for all valuations v such that v ⊨ φ'.
- A propositional formula that is a proposition *a* or a negated proposition ¬*a* for some *a* ∈ *A* is a literal.
- A formula that is a disjunction of literals is a clause.

Transition systems

SUCCINCT 15 State variables Logic Operators Schemata

Formulae vs. sets

		AI Planning
sets	formulae	
those $\frac{2^n}{2}$ states in which <i>a</i> is true	$a \in A$	
$E \cup F$	$E \lor F$	Transition systems
$E \cap F$	$E \wedge F$	Succinct TS
$E \setminus F$ (set difference) \overline{E} (complement)	$E \wedge \neg F$	State variables Logic
\overline{E} (complement)	$\neg E$	Operators Schemata
the empty set \emptyset the universal set		
question about sets	question about formulae	
$E \subseteq F$?	$E \models F$?	
$E \subset F$?	$E \models F$ and $F \not\models E$?	
E = F?	$E \models F$ and $F \models E$?	

Actions are represented as operators $\langle c, e \rangle$ where

- c (the precondition) is a propositional formula over A describing the set of states in which the action can be taken. (*States in which an arrow starts.*)
- *e* (the effect) describes the successor states of states in which the action can be taken. (*Where do the arrows go.*)

The description is procedural: how do the values of the state variable change?

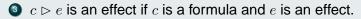
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Transition systems

Definition

Effects are then recursively defined as follows.

- **(**) a and $\neg a$ for state variables $a \in A$ are effects.
- 2 $e_1 \wedge \cdots \wedge e_n$ is an effect if e_1, \ldots, e_n are effects (the special case with n = 0 is the empty conjunction \top .)



Atomic effects a and $\neg a$ are best understood respectively as assignments a := 1 and a := 0.

AI Planning

Transition systems Succinct TS State variables Logic Operators

Schemat

 $c \triangleright e$ means that change e takes place if c is true in the current state.

Example

Increment 4-bit numbers $b_3b_2b_1b_0$.

$$\begin{array}{c} (\neg b_0 \rhd b_0) \land \\ ((\neg b_1 \land b_0) \rhd (b_1 \land \neg b_0)) \land \\ ((\neg b_2 \land b_1 \land b_0) \rhd (b_2 \land \neg b_1 \land \neg b_0)) \land \\ ((\neg b_3 \land b_2 \land b_1 \land b_0) \rhd (b_3 \land \neg b_2 \land \neg b_1 \land \neg b_0))\end{array}$$

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Transition systems

For convenience we use also state variables *Aclear*, *Bclear*, and *Cclear* to denote that there is nothing on the block in question.

 $\begin{array}{l} \langle Aclear \wedge AonT \wedge Bclear, AonB \wedge \neg AonT \wedge \neg Bclear \rangle \\ \langle Aclear \wedge AonT \wedge Cclear, AonC \wedge \neg AonT \wedge \neg Cclear \rangle \\ \vdots \\ \langle Aclear \wedge AonB, AonT \wedge \neg AonB \wedge \neg AonC \rangle \\ \langle Aclear \wedge AonC, AonT \wedge \neg AonB \wedge \neg AonC \rangle \\ \langle Bclear \wedge BonA, BonT \wedge \neg BonA \wedge Aclear \rangle \\ \langle Bclear \wedge BonC, BonT \wedge \neg BonC \wedge Cclear \rangle \\ \end{array}$

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Transition systems

Changes caused by an operator

Assign each effect e and state s a set $[e]_s$ of literals as follows.

$$(a]_s = \{a\} \text{ and } [\neg a]_s = \{\neg a\} \text{ for } a \in A.$$

$$e_1 \wedge \cdots \wedge e_n]_s = [e_1]_s \cup \ldots \cup [e_n]_s.$$

③
$$[c ▷ e]_s = [e]_s$$
 if $s \models c$ and $[c ▷ e]_s = \emptyset$ otherwise.

Applicability of an operator

Operator $\langle c, e \rangle$ is applicable in a state *s* iff $s \models c$ and $[e]_s$ is consistent.

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Transition systems Succinct TS State variables Logic Operators

Schemata

Operators: the successor state of a state

Definition (Successor state)

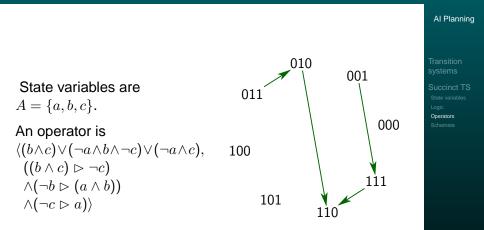
The successor state $app_o(s)$ of s with respect to operator $o = \langle c, e \rangle$ is obtained from s by making literals in $[e]_s$ true. This is defined only if o is applicable in s.

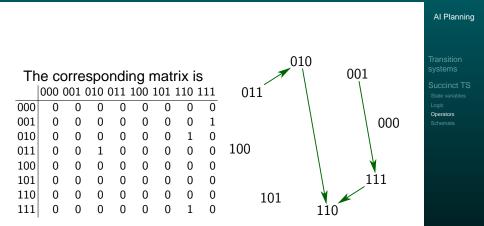
Example

Consider the operator $\langle a, \neg a \land (\neg c \rhd \neg b) \rangle$ and a state *s* such that $s \models a \land b \land c$. The operator is applicable because $s \models a$ and $[\neg a \land (\neg c \rhd \neg b)]_s = \{\neg a\}$ is consistent. Hence $app_{\langle a, \neg a \land (\neg c \rhd \neg b) \rangle}(s) \models \neg a \land b \land c$. AI Planning

Transition systems Succinct TS

State variables Logic Operators Schemata





Succinct transition systems

Deterministic case

Definition

A succinct deterministic transition system is

 $\langle A, I, \{o_1, \ldots, o_n\}, G \rangle$ where

- A is a finite set of state variables,
- I is an initial state,
- every o_i is an operator,
- *G* is a formula describing the goal states.

AI Planning

Succinct TS State variables Logic

Mapping from succinct TS to TS

From every succinct transition system $\langle A, I, O, G \rangle$ we can produce a corresponding transition system $\langle S, I, O', G' \rangle$.

 \bigcirc S is the set of all valuations of A,

2
$$O' = \{R(o) | o \in O\}$$
 where
 $R(o) = \{(s, s') \in S \times S | s' = app_o(s)\},$ and

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Transition systems

Schematic operators

- Description of state variables and operators in terms of a given finite set of objects.
- Analogy: propositional logic vs. predicate logic
- Planners take input as schematic operators, and translate them into (ground) operators. This is called grounding.

AI Planning

Transition systems

Schematic operators: example

Schematic operator

 $\begin{array}{l} x \in \{ \mathsf{car1}, \mathsf{car2} \} \\ y_1 \in \{ \mathsf{Freiburg}, \mathsf{Strassburg} \}, \\ y_2 \in \{ \mathsf{Freiburg}, \mathsf{Strassburg} \}, y_1 \neq y_2 \end{array}$

 $\langle \mathsf{in}(x, y_1), \mathsf{in}(x, y_2) \land \neg \mathsf{in}(x, y_1) \rangle$

corresponds to the operators

 $\begin{array}{l} \langle \text{in}(\text{car1},\text{Freiburg}),\text{in}(\text{car1},\text{Strassburg}) \land \neg \text{in}(\text{car1},\text{Freiburg}) \rangle, \\ \langle \text{in}(\text{car1},\text{Strassburg}),\text{in}(\text{car1},\text{Freiburg}) \land \neg \text{in}(\text{car1},\text{Strassburg}) \\ \langle \text{in}(\text{car2},\text{Freiburg}),\text{in}(\text{car2},\text{Strassburg}) \land \neg \text{in}(\text{car2},\text{Freiburg}) \rangle, \\ \langle \text{in}(\text{car2},\text{Strassburg}),\text{in}(\text{car2},\text{Freiburg}) \land \neg \text{in}(\text{car2},\text{Strassburg}) \\ \end{array}$

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Transition systems Succinct TS State variables

Operators Schemata

Existential quantification (for formulae only)

Finite disjunctions $\phi(a_1) \lor \cdots \lor \phi(a_n)$ represented as $\exists x \in \{a_1, \ldots, a_n\}\phi(x)$.

Universal quantification (for formulae and effects)

Finite conjunctions $\phi(a_1) \wedge \cdots \wedge \phi(a_n)$ represented as $\forall x \in \{a_1, \ldots, a_n\}\phi(x)$.

Example

 $\exists x \in \{A, B, C\}$ in(x, Freiburg) is a short-hand for in(A, Freiburg) \lor in(B, Freiburg) \lor in(C, Freiburg).

AI Planning

Transition systems Succinct TS State variables Logic Operators Schemata

PDDL: the Planning Domain Description Language

- Used by almost all implemented systems for deterministic planning.
- Supports a language comparable to what we have defined above (including schematic operators and quantification)
- Syntax inspired by the Lisp programming language: e.g. prefix notation for formulae

```
(and (or (on A B) (on A C))
(or (on B A) (on B C))
(or (on C A) (on A B)))
```

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Transition systems

A domain file consists of

- (define (domain DOMAINNAME)
- a :requirements definition (use :adl :typing by default)
- definitions of types (each parameter has a type)
- definitions of predicates
- definitions of operators

AI Planning

Transition systems

Transition systems Succinct TS

```
State variables
Logic
Operators
Schemata
```

PDDL: operator definition

- (:action OPERATORNAME
- list of parameters: (?x type1 ?y type2 ?z type3)
- precondition: a formula

```
<schematic-state-var>
(and <formula> ... <formula>)
(or <formula> ... <formula>)
(not <formula>)
(forall (?x1 - type1 ... ?xn - typen) <formula>
(exists (?x1 - type1 ... ?xn - typen) <formula>)
```

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Transition systems

State variables Logic Operators Schemata

effect:

```
<schematic-state-var>
(not <schematic-state-var>)
(and <effect> ... <effect>)
(when <formula> <effect>)
(forall (?x1 - type1 ... ?xn - typen) <effect>)
```

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Transition systems

State variables Logic Operators Schemata

```
(:action fromtable
    :parameters (?x - smallblock ?y - block)
    :precondition (and (not (= ?x ?y))
                                                 Schemata
                         (clear ?x)
                         (ontable ?x)
                         (clear ?y))
    :effect
      (and (not (ontable ?x))
            (not (clear ?y))
            (on ?x ?y)))
```

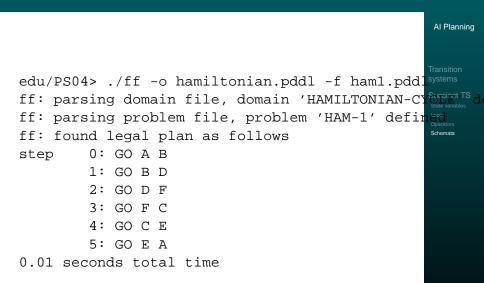
A problem file consists of

- (define (problem PROBLEMNAME)
- declaration of which domain is needed for this problem
- definitions of objects belonging to each type
- definition of the initial state (list of state variables initially true)
- definition of goal states (a formula like operator precondition)

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Transition systems

(:goal (and (on a d) (on b e) (on c f)))



Example: blocks world in PDDL

AI Planning

Iransition systems Succinct TS State variables

State variables Logic Operators Schemata

```
(:action totable
  :parameters (?x - block ?y - block)
  :precondition (and (clear ?x) (on ?x ?y))
  :effect
    (and (not (on ?x ?y))
        (clear ?y)
        (ontable ?x)))
```

```
AI Planning
```

Transition systems

SUCCINCT 1S State variables Logic Operators Schemata

Systems Succinct TS State variables Logic

Schemata

Transition systems Succinct TS State variables Logic Operators

```
Schemata
```